

CS:4350 Logic in Computer Science

Satisfiability Modulo Theories

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Credits

Some of these slides are based on slides originally developed by **Albert Oliveras** at the Technical University of Barcelona and **Dejan Jovanovic** at the New York University. Adapted by permission.

Introduction

Historically:

Automated logical reasoning achieved through **uniform theorem-proving procedures** for **First Order Logic** (e.g., resolution, tableaux calculi)

Some success:

However, uniform proof procedures for FOL are not always the best *compromise* between **expressiveness** and **efficiency**

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Introduction

Last 20 years: R&D has focused on

- expressive enough **decidable fragments** of various logics
- incorporating **domain-specific** reasoning, e.g., on:
 - temporal reasoning
 - arithmetic reasoning
 - equality reasoning
 - reasoning about certain data structures (arrays, lists, finite sets, ...)
- combining specialized reasoners **modularly**

Introduction

Two successful examples of this trend:

SAT: propositional formalization,
boolean reasoning

- + high degree of efficiency
- expressive (all NP-complete problems) but involved encodings

SMT: first-order formalization,
boolean + domain-specific reasoning

- + improves expressivity and scalability
- some (but acceptable) loss of efficiency

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Satisfiability Modulo Theories (SMT): Motivation

Some problems are more naturally expressed in logics other than propositional or plain first-order logic

Ex: software verification needs efficient reasoning about **equality**, **arithmetic**, **memory**, **data structures**, ...

One needs to check the *satisfiability* of formulas with respect to, or modulo one or more *background theories*

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The Basic SMT Problem

Determining the **satisfiability** of a logical formula **wrt** some combination T of **background theories**

Example

$$n > 3 * m + 1 \wedge (f(n) \leq \text{head}(l_1) \vee l_2 = f(n) :: l_1)$$

Linear Arithm.
(LIA)

Equality
(EUF)

Lists
(ADT)

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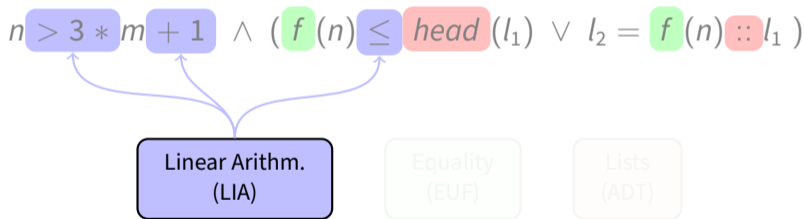
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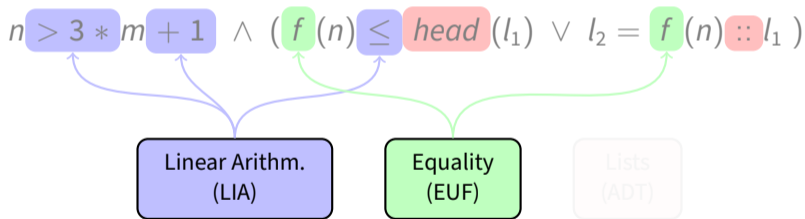
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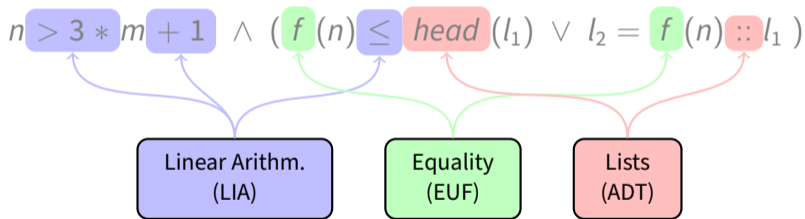
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The Basic SMT Problem

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Satisfiability Modulo Theories

Given

1. a (many-sorted) logical theory T
2. a first-order formula F

is F satisfiable in a model of T ?

SMT Semantics

The theory T can be defined

- **axiomatically**, as set \mathbf{A} of first-order sentences
- **algebraically**, as a class \mathcal{C} of interpretations

We call *models of T* the interpretations that satisfy \mathbf{A} / are in \mathcal{C}

Some Background Theories of Interest

Uninterpreted Functions $x = y \rightarrow f(x) = f(y)$

Integer/Real Arithmetic $2x + y = 0 \wedge 2x - y = 4 \rightarrow x = 1$

Floating Point Arithmetic $x + 1 \neq \text{NaN} \wedge x < \infty \rightarrow x + 1 > x$

Bit-vectors $4 \circ (x \gg 2) = (x \& \sim 3) + 1$

Strings and RegExs $x = y \cdot z \wedge z \in ab^* \rightarrow |x| > |y|$

Arrays $i = j \rightarrow \text{read}(\text{write}(a, i, x), j) = x$

Algebraic Data Types $x \neq \text{Leaf} \rightarrow \exists l, r : \text{Tree}(\alpha). \exists a : \alpha.$
 $x = \text{Node}(l, a, r)$

Finite Sets $e_1 \in x \wedge e_2 \in x \setminus e_1 \rightarrow \exists y, z : \text{Set}(\alpha).$
 $|y| = |z| \wedge x = y \cup z \wedge y \neq \emptyset$

Finite Relations $(x, y) \in r \wedge (y, z) \in r \rightarrow (x, z) \in r \bowtie s$

Equality and Uninterpreted Functions (EUF)

Simplest first-order theory with equality, applications of uninterpreted functions, and variables of uninterpreted sorts

For all sorts σ, σ' and function symbols $f : \sigma \rightarrow \sigma'$

Reflexivity: $\forall x : \sigma \ x = x$

Symmetry: $\forall x, y : \sigma \ (x = y \rightarrow y = x)$

Transitivity: $\forall x, y, z : \sigma \ (x = y \wedge y = z \rightarrow x = z)$

Congruence: $\forall \mathbf{x}, \mathbf{y} : \sigma \ (\mathbf{x} = \mathbf{y} \rightarrow f(\mathbf{x}) = f(\mathbf{y}))$

Example

$$f(f(f(a))) = b \wedge g(f(a), b) = a \wedge f(a) \neq a$$

Arrays

Operates over sorts $\text{Array}(\sigma_i, \sigma_e)$, σ_i , σ_e and function symbols

$$\text{read} : \text{Array}(\sigma_i, \sigma_e) \times \sigma_i \rightarrow \sigma_e$$

$$\text{write} : \text{Array}(\sigma_i, \sigma_e) \times \sigma_i \times \sigma \rightarrow \text{Array}(\sigma_i, \sigma_e)$$

For any index sort σ_i and element sort σ_e

Read-Over-Write-1: $\forall a, i, e. \text{read}(\text{write}(a, i, e), i) = e$

Read-Over-Write-2: $\forall a, i, j, e. (i \neq j \rightarrow \text{read}(\text{write}(a, i, e), j) = \text{read}(a, j))$

Extensionality: $\forall a, b, i. (a \neq b \rightarrow \exists i. \text{read}(a, i) \neq \text{read}(b, i))$

Example

$$\begin{aligned} &\text{write}(\text{write}(a, i, \text{read}(a, j)), j, \text{read}(a, i)) = \\ &\text{write}(\text{write}(a, j, \text{read}(a, i)), i, \text{read}(a, j)) \end{aligned}$$

Arithmetics

Restricted fragments, over the reals or the integers, support *efficient* methods:

- **Bounds:** $x \bowtie k$ with $\bowtie \in \{<, >, \leq, \geq, =\}$
- **Difference constraints:** $x - y \bowtie k$, with $\bowtie \in \{<, >, \leq, \geq, =\}$
- **UTVPI:** $\pm x \pm y \bowtie k$, with $\bowtie \in \{<, >, \leq, \geq, =\}$
- **Linear arithmetic**, e.g: $2x - 3y + 4z \leq 5$
- **Non-linear arithmetic**, e.g: $2xy + 4xz^2 - 5y \leq 10$

Algebraic Data Types

Family of user-definable theories

Example

Color := red | green | blue

List(α) := nil | (head : α) :: (tail : List(α))

Distinctiveness: $\forall h, t \text{ nil} \neq h :: t$

Exhaustiveness: $\forall l (l = \text{nil} \vee \exists h, t. h :: t)$

Injectivity: $\forall h_1, h_2, t_1, t_2$

$(h_1 :: t_1 = h_2 :: t_2 \rightarrow h_1 = h_2 \wedge t_1 = t_2)$

Selectors: $\forall h, t (\text{head}(h :: t) = h \wedge \text{tail}(h :: t) = t)$

Non-circularity: $\forall l, x_1, \dots, x_n l \neq x_1 :: \dots :: x_n :: l$

Other Interesting Theories

- Floating point arithmetic
- Strings and regular expressions
- Sequences
- Finite sets with cardinality
- Finite multisets
- Finite relations
- Transcendental Functions
- Ordinary differential equations
- ...

Reasoning Modulo Theories, Example

$f(\text{read}(\text{write}(a, i, 3), c - 2)) \neq f(c - i + 1)$

$\wedge l_1 = c :: d :: e :: \text{nil}$

$\wedge i + 2 = \text{head}(l_1 @ l_2)$

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Theory of Linear Integer Arithmetic

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Theory of Arrays

Reasoning Modulo Theories, Example

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Theory of Equality and Uninterpreted Functions

Reasoning Modulo Theories, Example

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$l_1 = c :: d :: e :: \text{nil} \models_{\text{ADT}} \text{head}(l_1 @ l_2) = c$

Reasoning Modulo Theories, Example

$$f(\text{read}(\text{write}(a, i, 3), c - 2)) \neq f(c - i + 1)$$

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$$i + 2 = c \models_{\text{EUF}} \begin{array}{l} c - 2 = i + 2 - 2 \\ c - i + 1 = i + 2 - i + 1 \end{array}$$

Reasoning Modulo Theories, Example

$$f(\text{read}(\text{write}(a, i, 3), i + 2 - 2)) \neq f(i + 2 - i + 1)$$

$$\wedge l_1 = c :: d :: e :: \text{nil}$$

$$\wedge c = i + 2$$

Reasoning Modulo Theories, Example

$$f(\text{read}(\text{write}(a, i, 3), i + 2 - 2)) \neq f(i + 2 - i + 1)$$

$$\wedge l_1 = c :: d :: e :: \text{nil}$$

$$\wedge c = i + 2$$

$$\models_{\text{LIA}} \begin{array}{rcl} i + 2 - 2 & = & i \\ i + 2 - i + 1 & = & 3 \end{array}$$

Reasoning Modulo Theories, Example

$$f(\text{read}(\text{write}(a, i, 3), i)) \neq f(3)$$

$$\wedge l_1 = c :: d :: e :: \text{nil}$$

$$\wedge c = i + 2$$

Reasoning Modulo Theories, Example

$$f(\text{read}(\text{write}(a, i, 3), i)) \neq f(3)$$

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Reasoning Modulo Theories, Example

$$f(3) \neq f(3)$$

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$$f(3) \neq f(3) \models_{\text{EUF}} \perp$$

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$$f(3) \neq f(3)$$

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Unsatisfiable!

Solving SMT Problems

Fact: Many theories have **efficient decision procedures** for the satisfiability of **conjunctions of literals**

Problem: In practice, we need to deal with

1. arbitrary **Boolean combinations** of literals
2. literals over **more than one theory**
3. formulas with **quantifiers**

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Satisfiability Modulo a Theory T

F, F_1, \dots, F_n formulas, T a theory

F is *satisfiable in T* , or *T -satisfiable*, if it is satisfiable in a model of T

F is *unsatisfiable in T* , or *T -unsatisfiable*, if it is not T satisfiable

F_1, \dots, F_n *entail F in T* , or *T -entail F* , written $F_1, \dots, F_n \models_T F$

if $F_1 \wedge \dots \wedge F_n \wedge F$ is T -unsatisfiable

Satisfiability Modulo a Theory T

Note:

The T -satisfiability of **quantifier-free formulas** is **decidable** iff
the T -satisfiability of **conjunctions/sets of literals** is **decidable**

Satisfiability Modulo a Theory T

Note:

The T -satisfiability of **quantifier-free formulas** is **decidable** iff
the T -satisfiability of **conjunctions/sets of literals** is **decidable**

(Convert the formula in DNF and check if any of its disjuncts is T -sat)

Satisfiability Modulo a Theory T

Note:

The T -satisfiability of **quantifier-free formulas** is **decidable** iff the T -satisfiability of **conjunctions/sets of literals** is **decidable**

Problem: In practice, dealing with Boolean combinations of literals is as hard as in propositional logic

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Problem: In practice, dealing with Boolean combinations of literals is as hard as in propositional logic

Solution: Exploit propositional satisfiability technology

Lifting SAT Technology to SMT

Two main approaches:

Lifting SAT Technology to SMT

Two main approaches:

1. *Eager*

- translate the input formula F to an equisatisfiable propositional formula P
- feed P to any SAT solver

Lifting SAT Technology to SMT

Two main approaches:

2. *Lazy*

- **abstract** the input formula F to a propositional formula A in CNF
- feed A to a **DPLL-based SAT solver**
- use a **theory-specific solver** to refine the abstraction and **guide** the SAT solver

Lifting SAT Technology to SMT

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We will focus on the lazy approach here

(Very) Lazy Approach for SMT, Example

$$g(a) = c \quad \wedge \quad f(g(a)) \neq f(c) \quad \vee \quad g(a) = d \quad \wedge \quad c \neq d$$

Theory T : Equality with Uninterpreted Functions

(Very) Lazy Approach for SMT, Example

$$g(a) = c \quad \wedge \quad f(g(a)) \neq f(c) \quad \vee \quad g(a) = d \quad \wedge \quad c \neq d$$

Simplest setting:

- Off-line SAT solver
- Non-incremental *theory solver*
for conjunctions of equalities and disequalities
- Theory atoms *abstracted* to propositional atoms
(e.g., $g(a) = c$ abstracted to p_1)

(Very) Lazy Approach for SMT – Example

$$\underbrace{g(a) = c}_{p_1} \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{p}_2} \vee \underbrace{g(a) = d}_{p_3} \wedge \underbrace{c \neq d}_{\bar{p}_4}$$

Notation:

- $\bar{a} \stackrel{\text{def}}{=} \neg a$
- $\bar{\bar{a}} \stackrel{\text{def}}{=} a$
- $\{p_1, \bar{p}_2, \bar{p}_3, p_4\} \stackrel{\text{def}}{=} \{p_1 \mapsto 1, p_2 \mapsto 0, p_3 \mapsto 0, \bar{p}_4 \mapsto 1\}$

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1. Send $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4\}$ to SAT solver
2. SAT solver returns satisfying assignment $\{p_1, \bar{p}_2, \bar{p}_4\}$
3. Send $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4, \bar{p}_1 \vee p_2 \vee p_4\}$ to SAT solver
4. SAT solver returns new satisfying assignment $\{p_1, p_3, \bar{p}_4\}$
5. Send $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4, \bar{p}_1 \vee p_2, \bar{p}_1 \vee \bar{p}_3 \vee p_4\}$ to SAT solver
6. SAT solver finds $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4, \bar{p}_1 \vee p_2 \vee p_4, \bar{p}_1 \vee \bar{p}_3 \vee p_4\}$ unsat

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4. SAT solver ret

New clause **blocks** previous assignment

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($\{g(a) = c, f(g(a)) \neq f(c), c \neq d\}$) **unsat**
3. Send $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4, \bar{p}_1 \vee p_2 \vee p_4\}$ to SAT solver
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(Very) Lazy Approach for SMT – Example

$$\underbrace{g(a) = c}_{p_1} \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{p}_2} \vee \underbrace{g(a) = d}_{p_3} \wedge \underbrace{c \neq d}_{\bar{p}_4}$$

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($\{g(a) = c, f(g(a)) \neq f(c), c \neq d\}$) **unsat**
3. Send $\{p_1, \bar{p}_2 \vee p_3, \bar{p}_4, \bar{p}_1 \vee p_2, \bar{p}_1 \vee \bar{p}_3 \vee p_4\}$ to SAT solver
Done! The original formula is unsatisfiable in EUF!
4. SAT solver returns new satisfying assignment $\{p_1, p_3, \bar{p}_4\}$
Theory solver finds $\{p_1, p_3, \bar{p}_4\}$ **unsat**
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Lazy Approach – Enhancements

Several **enhancements** are possible to **increase efficiency**:

- Check T -satisfiability only of full propositional model
- Check T -satisfiability of **partial** assignment M as it grows
- If M is T -unsatisfiable, add $\neg M$ as a clause
- If M is T -unsatisfiable, identify a T -unsatisfiable subset M_0 of M and add $\neg M_0$ as a clause
- If M is T -unsatisfiable, add clause and restart
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Lazy Approach, Main Benefits

Every tool **does** what it is **good at**:

- **SAT solver** takes care of **Boolean information**
- **Theory solver** takes care of **theory information**

The SAT solver works only with propositional clauses

The theory solver works only with conjunctions of (FOL) literals

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The Original DPLL Procedure

Recall

Modern SAT solvers are based on the **DPLL procedure**

DPLL tries to **build** incrementally a **satisfying truth assignment** M for a formula F in CNF

M is grown by

- **deducing** by unit propagation the truth value of a literal from M and F , or
- **guessing** a truth value

The procedure **backtracks** on each wrong guess and tries the opposite value

An Abstract Transition System for DPLL

States:

fail or $\langle M, F \rangle$

where

- M is a **sequence of literals** and **decision points** • denoting a partial truth **assignment**
- F is a **set of clauses** denoting a CNF **formula**

Definition If $M = M_0 \bullet M_1 \bullet \dots \bullet M_n$ where each M_i contains no decision points

1. M_i is **decision level** i of M
2. $M^{[i]} \stackrel{\text{def}}{=} M_0 \bullet \dots \bullet M_i$

An Abstract Transition System for DPLL

States:

fail or $\langle M, F \rangle$

Initial state:

$\langle \varepsilon, F_0 \rangle$ where ε is the empty sequence and F_0 is the input CNF

Expected final states:

fail if F_0 is unsatisfiable

$\langle M, G \rangle$ otherwise, where

- G is equivalent to F_0 and
- M satisfies G

Transition Rule Notation

Transition rules in *guarded assignment form*

$$\frac{P_1 \quad \dots \quad P_n}{M' = e_1 \quad F' = e_2}$$

updating M , F or both when premises P_1, \dots, P_n all hold

Note: When convenient, will treat M as the set of its literals

Transition Rules for Original DPLL

Extending M

$$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \cup \{l\}} \text{ Propagate}$$

Note: The order of literal in clauses is not meaningful

$$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \cup \{l\}} \text{ Decide}$$

Notation: $\text{Lit}(F) \stackrel{\text{def}}{=} \{l \mid l \text{ literal of } F\} \cup \{\bar{l} \mid l \text{ literal of } F\}$

Transition Rules for Original DPLL

Extending M

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Transition Rules for Original DPLL

Repairing M

$$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \quad \text{Fail}$$

$$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l_n \quad \bullet \notin N}{M' = M \bar{l}_n} \quad \text{Backtrack}$$

Note: Last premise of Backtrack enforces chronological backtracking

Transition Rules for Original DPLL

Repairing M

$$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \quad \text{Fail}$$

$$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \quad \text{Backtrack}$$

Note: Last premise of **Backtrack** enforces **chronological** backtracking

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

DPLL Execution, Example 1

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One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
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One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0					

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate}$	
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$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide \bar{a}				
3	$r \bullet \bar{a}$	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \cdot l \cdot N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide \bar{a}				
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$				
4	$r \bullet \bar{a} \bar{e}$	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \cdot l \cdot N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide \bar{a}				
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$				
4	$r \bullet \bar{a} \bar{e}$	F_0	Propagate on $a \vee e \vee c$				
5	$r \bullet \bar{a} \bar{e} c$	F_0					

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate}$	
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$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	$r a$	F_0	
2	r	F_0	Decide \bar{a}				
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$				
4	$r \bullet \bar{a} \bar{e}$	F_0	Propagate on $a \vee e \vee c$				
5	$r \bullet \bar{a} \bar{e} c$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

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One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	ra	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide \bar{a}	7	rae	F_0	
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$				
4	$r \bullet \bar{a} \bar{e}$	F_0	Propagate on $a \vee e \vee c$				
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DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \cdot l \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	ra	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide \bar{a}	7	rae	F_0	Propagate on $\bar{e} \vee c$
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$	8	$raec$	F_0	
4	$r \bullet \bar{a} \bar{e}$	F_0	Propagate on $a \vee e \vee c$				
5	$r \bullet \bar{a} \bar{e} c$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \cdot l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \cdot l \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

One execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	ra	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide \bar{a}	7	rae	F_0	Propagate on $\bar{e} \vee c$
3	$r \bullet \bar{a}$	F_0	Propagate on $\bar{r} \vee a \vee \bar{e}$	8	$raec$	F_0	Fail on $\bar{a} \vee \bar{e} \vee \bar{c}$
4	$r \bullet \bar{a} \bar{e}$	F_0	Propagate on $a \vee e \vee c$	9	fail		
5	$r \bullet \bar{a} \bar{e} c$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide e				
3	$r \bullet e$	F_0					

DPLL Execution, Example 1

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide e				
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$				
4	$r \bullet e c$	F_0					

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r				
2	r	F_0	Decide e				
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$				
4	$r \bullet e c$	F_0	Propagate on $\bar{a} \vee \bar{e} \vee \bar{c}$				
5	$r \bullet e c \bar{a}$	F_0					

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	$r \bar{e}$	F_0	
2	r	F_0	Decide e				
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$				
4	$r \bullet e c$	F_0	Propagate on $\bar{a} \vee \bar{e} \vee \bar{c}$				
5	$r \bullet e c \bar{a}$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \vee l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l \vee N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	$r \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide e	7	$r \bar{e} \bar{a}$	F_0	
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$				
4	$r \bullet e c$	F_0	Propagate on $\bar{a} \vee \bar{e} \vee \bar{c}$				
5	$r \bullet e c \bar{a}$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \vee l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l \vee n \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	$r \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide e	7	$r \bar{e} \bar{a}$	F_0	Propagate on $a \vee e \vee c$
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$	8	$r \bar{e} \bar{a} c$	F_0	
4	$r \bullet e c$	F_0	Propagate on $\bar{a} \vee \bar{e} \vee \bar{c}$				
5	$r \bullet e c \bar{a}$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 1

$\frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M \vee l} \text{ Propagate}$	
$\frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide}$	$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail}$
$\frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l \vee N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}$	

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}, \quad r$$

Another execution:

	M	F	Rule		M	F	Rule
1	ε	F_0	Propagate on r	6	$r \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
2	r	F_0	Decide e	7	$r \bar{e} \bar{a}$	F_0	Propagate on $a \vee e \vee c$
3	$r \bullet e$	F_0	Propagate on $\bar{e} \vee c$	8	$r \bar{e} \bar{a} c$	F_0	Fail on $a \vee \bar{c} \vee \bar{r}$
4	$r \bullet e c$	F_0	Propagate on $\bar{a} \vee \bar{e} \vee \bar{c}$	9		fail	
5	$r \bullet e c \bar{a}$	F_0	Backtrack on $a \vee \bar{c} \vee \bar{r}$				

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	

	M	F	Rule

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	

	M	F	Rule

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	

	M	F	Rule
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DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$
4	$\bullet \bar{c} \bar{e} a$	F_0	

M	F	Rule
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DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$
4	$\bullet \bar{c} \bar{e} a$	F_0	Backtrack on $\bar{a} \vee e$

	M	F	Rule
5	c	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$
4	$\bullet \bar{c} \bar{e} a$	F_0	Backtrack on $\bar{a} \vee e$

	M	F	Rule
5	c	F_0	Decide on \bar{e}
6	$c \bullet \bar{e}$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$
4	$\bullet \bar{c} \bar{e} a$	F_0	Backtrack on $\bar{a} \vee e$

	M	F	Rule
5	c	F_0	Decide on \bar{e}
6	$c \bullet \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
7	$c \bullet \bar{e} \bar{a}$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule
1	ε	F_0	Decide \bar{c}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$
4	$\bullet \bar{c} \bar{e} a$	F_0	Backtrack on $\bar{a} \vee e$

	M	F	Rule
5	c	F_0	Decide on \bar{e}
6	$c \bullet \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
7	$c \bullet \bar{e} \bar{a}$	F_0	Decide on r
8	$c \bullet \bar{e} \bar{a} \bullet r$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

One execution:

	M	F	Rule		M	F	Rule
1	ϵ	F_0	Decide \bar{c}	5	c	F_0	Decide on \bar{e}
2	$\bullet \bar{c}$	F_0	Propagate on $\bar{e} \vee c$	6	$c \bullet \bar{e}$	F_0	Propagate on $\bar{a} \vee e$
3	$\bullet \bar{c} \bar{e}$	F_0	Propagate on $a \vee e \vee c$	7	$c \bullet \bar{e} \bar{a}$	F_0	Decide on r
4	$\bullet \bar{c} \bar{e} a$	F_0	Backtrack on $\bar{a} \vee e$	8	$c \bullet \bar{e} \bar{a} \bullet r$	F_0	

F_0 satisfied by $\{a \mapsto 0, c \mapsto 1, e \mapsto 0, r \mapsto 1\}$

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	Decide a
2	$\bullet a$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	Decide a
2	$\bullet a$	F_0	Propagate on $\bar{a} \vee e$
3	$\bullet a e$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	Decide a
2	$\bullet a$	F_0	Propagate on $\bar{a} \vee e$
3	$\bullet a e$	F_0	Propagate on $\bar{e} \vee c$
4	$\bullet a e c$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	Decide a
2	$\bullet a$	F_0	Propagate on $\bar{a} \vee e$
3	$\bullet a e$	F_0	Propagate on $\bar{e} \vee c$
4	$\bullet a e c$	F_0	Decide r
5	$\bullet a e c \bullet r$	F_0	

DPLL Execution, Example 2

$$\begin{array}{c}
 \frac{l_1 \vee \dots \vee l_n \vee l \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad l \notin M \quad \bar{l} \notin M}{M' = M l} \text{ Propagate} \\
 \frac{l \in \text{Lit}(F) \quad l \notin M \quad \bar{l} \notin M}{M' = M \bullet l} \text{ Decide} \qquad \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad \bullet \notin M}{\text{fail}} \text{ Fail} \\
 \frac{l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M \quad M = M \bullet l N \quad \bullet \notin N}{M' = M \bar{l}} \text{ Backtrack}
 \end{array}$$

$$F_0 = a \vee e \vee c, \quad \bar{a} \vee e, \quad a \vee \bar{c} \vee \bar{r}, \quad \bar{r} \vee a \vee \bar{e}, \quad \bar{e} \vee c, \quad \bar{a} \vee \bar{e} \vee \bar{c}$$

Another execution:

	M	F	Rule
1	ε	F_0	Decide a
2	$\bullet a$	F_0	Propagate on $\bar{a} \vee e$
3	$\bullet a e$	F_0	Propagate on $\bar{e} \vee c$
4	$\bullet a e c$	F_0	Decide r
5	$\bullet a e c \bullet r$	F_0	

F_0 satisfied by

$$\{a \mapsto 1, c \mapsto 1, e \mapsto 1, r \mapsto 1\}$$

From DPLL to CDCL Solvers

Modern SAT solvers have **more sophisticated** ways to recover from wrong decisions

They implement

- **conflict-driven (CD) backjumping**
instead of (chronological) backtracking
- selective **clause learning (CL)**
to help focus later search
- **restart strategies**
to get out of unproductive search paths

An Abstract Transition System for CDCL

States:

fail or $\langle M, C, F \rangle$

Extend DPLL state with a component C
whose value is either none or a *conflict clause*

An Abstract Transition System for CDCL

States:

fail or $\langle M, C, F \rangle$

Initial state:

$\langle \varepsilon, \text{none}, F_0 \rangle$ where F_0 is the input CNF

Expected final states:

fail if F_0 is unsatisfiable

$\langle M, \text{none}, G \rangle$ otherwise, where

- G is equivalent to F_0 and
- M satisfies G

From DPLL to CDCL rules

Replace **Backtrack** with

$$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n} \quad \text{Conflict}$$

$$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D} \quad \text{Explain}$$

$$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev } \bar{l}_1, \dots, \text{lev } \bar{l}_n \leq i < \text{lev } \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l} \quad \text{Backjump}$$

From DPLL to CDCL rules

Replace **Backtrack** with

$$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n} \quad \text{Conflict}$$

$$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D} \quad \text{Explain}$$

$$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev } \bar{l}_1, \dots, \text{lev } \bar{l}_n \leq i < \text{lev } \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l} \quad \text{Backjump}$$

Notation: $l \prec_M l'$ if l occurs before l' in M
 $\text{lev } l = i$ iff l occurs in decision level i of M

From DPLL to CDCL rules

Replace **Backtrack** with

$$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n} \text{Conflict}$$

$$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D} \text{Explain}$$

$$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev } \bar{l}_1, \dots, \text{lev } \bar{l}_n \leq i < \text{lev } \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l} \text{Backjump}$$

Maintain **invariant**: $F \models_P C$ and $M \not\models_P C$ when $C \neq \text{none}$
where \models_P denotes propositional entailment

From DPLL to CDCL rules

Modify **Fail** to

$$\frac{C \neq \text{none} \quad \bullet \notin M}{\text{fail}} \quad \text{Fail}$$

CDCL Execution Example

$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n}$	Conflict
$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D}$	Explain
$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev} \bar{l}_1, \dots, \text{lev} \bar{l}_n \leq i < \text{lev} \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l}$	Backjump
$\frac{C \neq \text{none} \quad \bullet \notin M}{\text{fail}}$	Fail

$$F_0 = p_1, \quad \bar{p}_1 \vee p_2, \quad \bar{p}_3 \vee p_4, \quad \bar{p}_5 \vee \bar{p}_6, \quad \bar{p}_1 \vee \bar{p}_5 \vee p_7, \quad \bar{p}_2 \vee \bar{p}_5 \vee p_6 \vee \bar{p}_7$$

CDCL Execution Example

$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n}$	Conflict
$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D}$	Explain
$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev } \bar{l}_1, \dots, \text{lev } \bar{l}_n \leq i < \text{lev } \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l}$	Backjump
$\frac{C \neq \text{none} \quad \bullet \notin M}{\text{fail}}$	Fail

$$F_0 = p_1, \quad \bar{p}_1 \vee p_2, \quad \bar{p}_3 \vee p_4, \quad \bar{p}_5 \vee \bar{p}_6, \quad \bar{p}_1 \vee \bar{p}_5 \vee p_7, \quad \bar{p}_2 \vee \bar{p}_5 \vee p_6 \vee \bar{p}_7$$

	M	F	C	Rule
1	ε	F_0	none	Propagate on p_1
2	p_1	F_0	none	Propagate on $\bar{p}_1 \vee p_2$
3	$p_1 p_2$	F_0	none	Decide p_3
4	$p_1 p_2 \bullet p_3$	F_0	none	Propagate on $\bar{p}_3 \vee p_4$
5	$p_1 p_2 \bullet p_3 p_4$	F_0	none	Decide p_5
6	$p_1 p_2 \bullet p_3 p_4 \bullet p_5$	F_0	none	Propagate on $\bar{p}_5 \vee \bar{p}_6$
7	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6$	F_0	none	Propagate on $\bar{p}_1 \vee \bar{p}_4 \vee p_7$

CDCL Execution Example

$\frac{C = \text{none} \quad l_1 \vee \dots \vee l_n \in F \quad \bar{l}_1, \dots, \bar{l}_n \in M}{C' = l_1 \vee \dots \vee l_n}$	Conflict
$\frac{C = l \vee D \quad l_1 \vee \dots \vee l_n \vee \bar{l} \in F \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C' = l_1 \vee \dots \vee l_n \vee D}$	Explain
$\frac{C = l_1 \vee \dots \vee l_n \vee l \quad \text{lev } \bar{l}_1, \dots, \text{lev } \bar{l}_n \leq i < \text{lev } \bar{l}}{C' = \text{none} \quad M' = M^{[i]} l}$	Backjump
$\frac{C \neq \text{none} \quad \bullet \notin M}{\text{fail}}$	Fail

$$F_0 = p_1, \bar{p}_1 \vee p_2, \bar{p}_3 \vee p_4, \bar{p}_5 \vee \bar{p}_6, \bar{p}_1 \vee \bar{p}_5 \vee p_7, \bar{p}_2 \vee \bar{p}_5 \vee p_6 \vee \bar{p}_7$$

	M	F	C	Rule
7	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6$	F_0	none	Propagate on $\bar{p}_1 \vee \bar{p}_4 \vee p_7$
8	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6 p_7$	F_0	none	Conflict on $\bar{p}_2 \vee \bar{p}_5 \vee p_6 \vee \bar{p}_7$
9	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6 p_7$	F_0	$\bar{p}_2 \vee \bar{p}_5 \vee p_6 \vee \bar{p}_7$	Explain with $\bar{p}_1 \vee \bar{p}_5 \vee p_7$
10	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6 p_7$	F_0	$\bar{p}_1 \vee \bar{p}_2 \vee \bar{p}_5 \vee p_6$	Explain with $\bar{p}_5 \vee \bar{p}_6$
11	$p_1 p_2 \bullet p_3 p_4 \bullet p_5 \bar{p}_6 p_7$	F_0	$\bar{p}_1 \vee \bar{p}_2 \vee \bar{p}_5$	Backjump
12	$p_1 p_2 \bar{p}_5$	F_0	none	Decide p_3
13	$p_1 p_2 \bar{p}_5 \bullet p_3$	F_0	none	...

CDCL rules with learning

Also add

$$\frac{F \models_p C \quad C \notin F}{F' = F \cup \{C\}} \text{ Learn}$$

$$\frac{C = \text{none} \quad F = G \cup \{C\} \quad G \models_p C}{F' = G} \text{ Forget}$$

$$\frac{}{M' = M^{[0]} \quad C' = \text{none}} \text{ Restart}$$

Note: Learn can be applied to *any* clause stored in C when $C \neq \text{none}$

Modeling Modern SAT Solvers

At their core, modern SAT solvers are implementations of the CDCL transition system with rules

Propagate, Decide, Conflict, Explain, Backjump,
Learn, Forget, Restart

Basic CDCL $\stackrel{\text{def}}{=} \{ \text{Propagate, Decide, Conflict, Explain, Backjump} \}$
CDCL $\stackrel{\text{def}}{=} \text{Basic CDCL} + \{ \text{Learn, Forget, Restart} \}$

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The Basic CDCL System – Correctness

Irreducible state: state to which no **Basic CDCL** rules apply

Execution: sequence of transitions allowed by the rules and starting with $M = \varepsilon$ and $C = \text{none}$

Exhausted execution: execution ending in an irreducible state

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Theorem 1 (Strong Termination)

Every execution in Basic CDCL is finite.

Note: This is not so immediate, because of **Backjump**

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Every exhausted execution ends with either $C = \text{none}$ or fail.

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For every exhausted execution starting with $F = F_0$ and ending with fail, the clause set F_0 is unsatisfiable.

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Theorem 1 (Soundness)

For every exhausted execution starting with $F = F_0$ and ending with fail, the clause set F_0 is unsatisfiable.

Theorem 2 (Completeness)

For every exhausted execution starting with $F = F_0$ and ending with $C = \text{none}$, the clause set F_0 is satisfied by M .

The CDCL System – Strategies

Applying

- one Basic CDCL rule between each two **Learn** applications **and**
- **Restart** less and less often

ensures termination

The CDCL System – Strategies

A **common basic strategy** applies the rules with the following priorities:

1. If $n > 0$ conflicts have been found so far, increase n and apply **Restart**
2. If a clause is falsified by M, apply **Conflict**
3. Keep applying **Explain** until **Backjump** is applicable
4. Apply **Learn**
5. Apply **Backjump**
6. Apply **Propagate** to completion
7. Apply **Decide**

The CDCL System – Correctness

Theorem 3 (Termination)

Every execution in which

- (a) **Learn/Forget** are applied only finitely many times and*
- (b) **Restart** is applied with increased periodicity is finite.*

Theorem 4 (Soundness)

As before.

Theorem 5 (Completeness)

As before.

The CDCL System – Correctness

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From SAT to SMT

Same sort of states $\langle M, C, F \rangle$ and transitions as in CDCL system

Differences:

- F contains **quantifier-free clauses** from some **theory T**
- M is a sequence of **theory literals** and decision points
- the CDCL system augmented with rules
 T -Conflict, T -Propagate, T -Explain
- maintains **invariant**: $F \models_T C$ and $M \models_P \neg C$ when $C \neq \text{none}$

Recall: $F \models_T G$ iff every model of T that satisfies F satisfies G as well

SMT-level Rules

A theory T

SMT-level Rules

A theory T

$$\frac{C = \text{none} \quad l_1, \dots, l_n \in M \quad l_1, \dots, l_n \models_T \perp}{C := \bar{l}_1 \vee \dots \vee \bar{l}_n} \quad T\text{-Conflict}$$

Note: \models_T is decided by theory solver

SMT-level Rules

A theory T

$$\frac{C = \text{none} \quad l_1, \dots, l_n \in M \quad l_1, \dots, l_n \models_T \perp}{C := \bar{l}_1 \vee \dots \vee \bar{l}_n} \quad T\text{-Conflict}$$

$$\frac{l \in \text{Lit}(F) \quad M \models_T l \quad l, \bar{l} \notin M}{M := M \cup l} \quad T\text{-Propagate}$$

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$$\frac{C = l \vee D \quad \bar{l}_1, \dots, \bar{l}_n \models_T \bar{l} \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C := l_1 \vee \dots \vee l_n \vee D} \quad T\text{-Explain}$$

Note: \models_T is decided by theory solver

Modeling the Very Lazy Theory Approach

T-Conflict is enough to model the naive integration of SAT solvers and theory solvers seen in the earlier EUF example

Modeling the Very Lazy Theory Approach

$$\underbrace{g(a) = c}_{p_1} \quad \wedge \quad \underbrace{f(g(a)) \neq f(c)}_{\bar{p}_2} \vee \underbrace{g(a) = d}_{p_3} \quad \wedge \quad \underbrace{c \neq d}_{\bar{p}_4}$$

$$F_0 = p_1, \bar{p}_2 \vee p_3, \bar{p}_4$$

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	M	F	C	rule
0	ε	F_0	none	Propagate ⁺
1	$p_1 \bar{p}_4$	F_0	none	Decide
2	$p_1 \bar{p}_4 \bullet \bar{p}_2$	F_0	none	T-Conflict
3	$p_1 \bar{p}_4 \bullet \bar{p}_2$	F_0	$\bar{p}_1 \vee p_2 \vee p_4$	Learn
4	$p_1 \bar{p}_4 \bullet \bar{p}_2$	$F_0, \bar{p}_1 \vee p_2 \vee p_4$	$\bar{p}_1 \vee p_2 \vee p_4$	Restart
5	$p_1 \bar{p}_4$	$F_0, \bar{p}_1 \vee p_2 \vee p_4$	none	Propagate ⁺
6	$p_1 \bar{p}_4 p_2 p_3$	$F_0, \bar{p}_1 \vee p_2 \vee p_4$	none	T-Conflict
7	$p_1 \bar{p}_4 p_2 p_3$	$F_0, \bar{p}_1 \vee p_2 \vee p_4$	$\bar{p}_1 \vee \bar{p}_3 \vee p_4$	Learn
8	$p_1 \bar{p}_4 p_2 p_3$	$F_0, \bar{p}_1 \vee p_2 \vee p_4, \bar{p}_1 \vee \bar{p}_3 \vee p_4$	$\bar{p}_1 \vee \bar{p}_3 \vee p_4$	Restart
9	$p_1 \bar{p}_4 p_2 p_3$	$F_0, \bar{p}_1 \vee p_2 \vee p_4, \bar{p}_1 \vee \bar{p}_3 \vee p_4$	none	Conflict
10	$p_1 \bar{p}_4 p_2 p_3$	$F_0, \bar{p}_1 \vee p_2 \vee p_4, \bar{p}_1 \vee \bar{p}_3 \vee p_4$	$\bar{p}_1 \vee \bar{p}_3 \vee p_4$	Fail
11		fail		

A Better Lazy Approach

The very lazy approach can be improved considerably with

- An *on-line* SAT engine,
which can accept new input clauses on the fly
- an *incremental and explicating* T-solver,
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$$F_0 = p_1, \bar{p}_2 \vee p_3, \bar{p}_4$$

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2	$p_1 \bar{p}_4$	F_0	none	Decide
3	$p_1 \bar{p}_4 \bullet \bar{p}_2$	F_0	none	T-Conflict
4	$p_1 \bar{p}_4 \bullet \bar{p}_2$	F_0	$\bar{p}_1 \vee p_2$	Backjump
5	$p_1 \bar{p}_4 p_2$	F_0	none	Propagate
6	$p_1 \bar{p}_4 p_2 p_3$	F_0	none	T-Conflict
7	$p_1 \bar{p}_4 p_2 p_3$	F_0	$\bar{p}_1 \vee \bar{p}_3 \vee p_4$	Fail
8		fail		

Lazy Approach – Strategies

Ignoring **Restart**, for simplicity,
a **common strategy** is to apply the rules using the following priorities:

1. If a clause is falsified by the current assignment M ,
apply **Conflict**
2. If M is T -unsatisfiable, apply **T -Conflict**
3. Apply **Fail** or **Explain+Learn+Backjump** as appropriate
4. Apply **Propagate**
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a **common strategy** is to apply the rules using the following priorities:

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4. Apply **Propagate**
5. Apply **Decide**

Note: Depending on the cost of checking the T -satisfiability of M ,
Step (2) can be applied with lower frequency or priority

Theory Propagation

With T -Conflict as the **only theory rule**, the theory solver is used just to **validate** the choices of the SAT solver

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With T -Conflict as the **only theory rule**, the theory solver is used just to **validate** the choices of the SAT solver

With T -Propagate and T -Explain, it can also be used to **guide** the solver search

$$\frac{l \in \text{Lit}(F) \quad M \models_T l \quad l, \bar{l} \notin M}{M := M \cup l} \quad T\text{-Propagate}$$

$$\frac{C = l \vee D \quad \bar{l}_1, \dots, \bar{l}_n \models_T \bar{l} \quad \bar{l}_1, \dots, \bar{l}_n \prec_M \bar{l}}{C := l_1 \vee \dots \vee l_n \vee D} \quad T\text{-Explain}$$

Theory Propagation Example

$$\underbrace{g(a) = c}_{p_1} \wedge \underbrace{f(g(a)) \neq f(c)}_{\bar{p}_2} \vee \underbrace{g(a) = d}_{p_3} \wedge \underbrace{c \neq d}_{\bar{p}_4}$$

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	M	F	C	rule
1	ε	F_0	none	Propagate
1	p_1	F_0	none	Propagate
1	$p_1 \bar{p}_4$	F_0	none	T-Propagate ($p_1 \models_T p_2$)
1	$p_1 \bar{p}_4 p_2$	F_0	none	T-Propagate ($p_1, \bar{p}_4 \models_T \bar{p}_3$)
1	$p_1 \bar{p}_4 p_2 \bar{p}_3$	F_0	none	Conflict
1	$p_1 \bar{p}_4 p_2 \bar{p}_3$	F_0	$\bar{p}_2 \vee p_3$	Fail
		fail		

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1	ε	F_0	none	Propagate
1	p_1	F_0	none	Propagate
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1	$p_1 \bar{p}_4 p_2$	F_0	none	T-Propagate ($p_1, \bar{p}_4 \models_T \bar{p}_3$)
1	$p_1 \bar{p}_4 p_2 \bar{p}_3$	F_0	none	Conflict
1	$p_1 \bar{p}_4 p_2 \bar{p}_3$	F_0	$\bar{p}_2 \vee p_3$	Fail
		fail		

Note: T-propagation eliminates search altogether in this case, no applications of Decide are needed!

Theory Propagation Example 2

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1	ε	F_0	none	Propagate
2	\bar{p}_4	F_0	none	Decide
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4	$\bar{p}_4 \bullet p_1 p_2$	F_0	none	T-Propagate ($p_1, \bar{p}_4 \models_T \bar{p}_3$)
5	$\bar{p}_4 \bullet p_1 p_2 \bar{p}_3$	F_0	none	Conflict
6	$\bar{p}_4 \bullet p_1 p_2 \bar{p}_3$	F_0	$\bar{p}_2 \vee p_3$	T-Explain
7	$\bar{p}_4 \bullet p_1 p_2 \bar{p}_3$	F_0	$\bar{p}_1 \vee p_3$	T-Explain
8	$\bar{p}_4 \bullet p_1 p_2 \bar{p}_3$	F_0	$\bar{p}_1 \vee p_4$	Backjump
9	$\bar{p}_4 \bar{p}_1$	F_0	none	...
...				

Theory Propagation Features

- With **exhaustive** theory propagation every assignment M is **T -satisfiable** (since $M \perp$ is T -unsatisfiable iff $M \models_T \bar{l}$)
- For theory propagation to be effective in practice, it needs **specialized** theory solvers
- For some theories, e.g., **difference logic**, detecting T -entailed literals is cheap and so theory propagation is **extremely effective**
- For others, e.g., the **theory of equality**, detecting all T -entailed literals is **too expensive**
- If T -Propagate is not applied exhaustively, T -Conflict is needed to repair T -unsatisfiable assignments

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Modeling Modern Lazy SMT Solvers

At their core,
modern lazy SMT solvers are implementations of the transition
system with rules

- (1) Propagate, Decide, Conflict, Explain, Backjump, Fail
- (2) T -Conflict, T -Propagate, T -Explain
- (3) Learn, Forget, Restart

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Basic CDCL Modulo Theories $\stackrel{\text{def}}{=} (1) + (2)$

CDCL Modulo Theories $\stackrel{\text{def}}{=} (1) + (2) + (3)$

Correctness of CDCL Modulo Theories

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Every execution in which

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is finite.

Lemma 7

Every exhausted execution ends with either $C = \text{none}$ or fail.

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For every exhausted execution starting with $F = F_0$ and ending with fail, the clause set F_0 is T -unsatisfiable.

Theorem 7 (Completeness)

For every exhausted execution starting with $F = F_0$ and ending with $C = \text{none}$, F_0 is T -satisfiable; specifically, M is T -satisfiable and $M \models_P F_0$.