# CS:4350 Logic in Computer Science <br> Propositional Satisfiability 

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## Credits

These slides are largely based on slides originally developed by Andrei Voronkov at the University of Manchester. Adapted by permission.

## Outline

Satisfiability Checking
Satisfiability. Examples
Truth Tables
Splitting
Positions and subformulas

## Propositional Satisfiability

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## Satisfiability in PL is a very general problem

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In fact, even entailment in PL can be reduced to satisfiability. Recall:
$A_{1}, \ldots, A_{n} \models B \quad$ iff $\quad\left\{A_{1}, \ldots, A_{n}, \neg B\right\}$ is unsatisfiable

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Great news: satisfiability in PL, aka the SAT problem, is decidable
Bad news: no fast (polynomial-time) and general algorithms for SAT in general are known

Reality: there are automated reasoning techniques that work extremely well for SAT in practice

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## How can we solve this kind of puzzle?

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It was also the first ever problem to be proved NP-complete.

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How can we solve problems of this kind?

## Formalization in propositional logic

Introduce nine propositional variables as in the following table:

|  | Stirlitz | Müller | Eismann |
| :--- | :---: | :---: | :---: |
| Russian | RS | RM | RE |
| German | GS | GM | GE |
| Spy | SS | SM | SE |

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Example
SE: Eismann is a Spy
$R S$ : Stirlitz is Russian

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R S \leftrightarrow G M
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To this end, we add the following constraint, stating the opposite.

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Then we verify that the full set of constraints is unsatisfiable.

If the set is unsatisfiable, then Eismann cannot be a Russian spy

## Circuit Equivalence

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equivalence checking for propositional formulas can be reduced to unsatisfiability checking

## Circuit Equivalence

Given two circuits, check if they are equivalent. For example:

$C_{1}$

$C_{2}$
$C_{1} \equiv C_{2} \quad$ iff $\quad \neg\left(C_{1} \leftrightarrow C_{2}\right)$ is unsatisfiable

## Idea for SAT: use formula evaluation methods

$$
A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
$$

We can evaluate $A$ in any interpretation, e.g., $\mathcal{I}_{1}=\{p \mapsto 0, q \mapsto 0, r \mapsto 0\}$ :


## Truth tables

$$
A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
$$

Similarly, we can evaluate $A$ in all interpretations:


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A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
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Generating and checking each interpretation in 1 ms for a formula with 50 variables would take $2^{50} \mathrm{~ms} \approx 257$ centuries ...

With current automated reasoning technology, we can check formulas with 10K variables in seconds

## Compact truth table

Idea: Sometimes we can evaluate a formula based only on partial interpretations


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| subformula | $\mathcal{J}_{1}$ |
| :---: | :---: |
| $\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))$ | 0 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r)$ | 1 |
| $p \rightarrow r$ | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  |
| $p \wedge q \rightarrow r$ | 1 |
| $p \rightarrow q$ |  |
| $p \wedge q$ |  |
|  |  |
| $q \quad q$ |  |
| $r$ r | 1 |

## Compact truth table

Idea: Sometimes we can evaluate a formula based only on partial interpretations

| subformula | $\mathcal{J}_{2}$ | $\mathcal{J}_{1}$ |
| :---: | :---: | :---: |
| $\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))$ |  | 0 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r)$ |  | 1 |
| $p \rightarrow r$ |  | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  |  |
| $p \wedge q \rightarrow r$ |  | 1 |
| $p \rightarrow q$ |  |  |
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| :---: | :---: | :---: | :---: |
| $\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))$ | 0 |  | 0 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r)$ | 1 |  | 1 |
| $p \rightarrow r$ | 1 |  | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  |  |  |
| $p \wedge q \rightarrow r$ |  |  | 1 |
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| p $\rightarrow r$ | 1 | 0 | 1 |
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| subformula | $\mathcal{J}_{2}$ | $\mathcal{J}_{3}$ | $\mathcal{J}_{4}$ | $\mathcal{J}_{1}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))$ | 0 | 0 |  | 0 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r)$ | 1 | 1 |  | 1 |
| $p \rightarrow r$ | 1 | 0 |  | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  | 0 |  |  |
| $p \wedge q \rightarrow r$ |  | 1 |  | 1 |
| $p \rightarrow q$ |  | 0 |  |  |
| $p \wedge q$ |  | 0 |  |  |
| $p r p$ | 0 | 1 | 1 |  |
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| $p \rightarrow r$ | 1 | 0 | 0 | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  | 0 | 0 |  |
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| $p$ p p | 0 | 1 | 1 |  |
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$\mathcal{J}_{2}$ stands for 2 (total) interpretations
$\mathcal{J}_{1}$ stands for 4 interpretations

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| $p \rightarrow r$ | 1 | 0 | 0 | 1 |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  | 0 | 0 |  |
| $p \wedge q \rightarrow r$ |  | 1 | 0 | 1 |
| $p \rightarrow q$ |  | 0 | 1 |  |
| $p \wedge q$ |  | 0 | 1 |  |
| $p$ p p | 0 | 1 | 1 |  |
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Note: The size of the compact table (but not the result) depends on the order of variables!

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| $\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))$ | 0 | 0 | 0 | 0 |
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|  | $p \rightarrow r$ | 1 | 0 | 0 |
|  |  | 1 |  |  |
| $(p \rightarrow q) \wedge(p \wedge q \rightarrow r)$ |  | 0 | 0 |  |
| $n \wedge \sim \sim r$ |  |  |  |  |

Guessing variable values (i.e., case analysis) and propagation are the key ideas in nearly all propositional satisfiability algorithms

| $r$ | $r$ | 0 | 0 | 0 | 1 |
| :--- | :--- | :--- | :--- | :--- | :--- |

Note: The size of the compact table (but not the result) depends on the order of variables!

## Case splitting: idea

Notation: $A_{p}^{\perp}$ and $A_{p}^{\top}$ denote the formulas obtained by replacing all occurrences of $p$ in $A$ by $\perp$ and $T$, respectively

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## Lemma 1

Let $p$ be an atom, $A$ be a formula, and I be an interpretation.

1. If $\mathcal{I} \equiv p$, then $A$ has the same value as $A_{p}^{\top}$ in $\mathcal{I}$.
2. If $\mathcal{I} \not \vDash p$, then $A$ has the same value as $A_{p}^{\perp}$ in $I$.

## Case splitting: idea

Notation: $A_{p}^{\perp}$ and $A_{p}^{\top}$ denote the formulas obtained by replacing all occurrences of $p$ in $A$ by $\perp$ and $T$, respectively

## Lemma 1

Let $p$ be an atom, $A$ be a formula, and I be an interpretation.

1. If $\mathcal{I} \models p$, then $A$ has the same value as $A_{p}^{\top}$ in $I$.
2. If $\mathcal{I} \not \vDash p$, then $A$ has the same value as $A_{p}^{\perp}$ in $I$.

## Satisfiability checking by case analysis

1. Pick a variable $p$ of $A$ and perform case analysis on it:

Case 1) replace $p$ by $\perp$ (for false)
Case 2) replace $p$ by $\top$ (for true)

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## Lemma 1

Let $p$ be an atom, $A$ be a formula, and I be an interpretation.

1. If $\mathcal{I} \models p$, then $A$ has the same value as $A_{p}^{\top}$ in $I$.
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## Satisfiability checking by case analysis

1. Pick a variable $p$ of $A$ and perform case analysis on it:

Case 1) replace $p$ by $\perp$ (for false)
Case 2) replace $p$ by $\top$ (for true)
2. Simplify formula as much as possible
3. Repeat until $A$ is $T$ or $\perp$

## Simplification rules for $\top$ and



| Simplification rules for $\perp$ |
| :---: |
| $\neg \perp \Rightarrow T$ |
| $A_{1} \wedge \cdots \wedge \perp \wedge \cdots \wedge A_{n} \Rightarrow \perp$ |
| $A_{1} \vee \cdots \vee \perp \vee \cdots \vee A_{n} \Rightarrow A_{1} \vee \cdots \vee A_{n}$ |
| $A \rightarrow \perp \Rightarrow \neg A \quad \perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A \quad \perp \leftrightarrow A \Rightarrow \neg A$ |

## Simplification rules for $\top$ and

Note: we need new simplification rules to account for propositional variables

| Simplification rules for T |  |
| :---: | :---: |
|  | $\neg \top \Rightarrow \perp$ |
| $\begin{aligned} A_{1} \wedge \cdots \wedge \top & \wedge \\ A_{1} & \vee \cdots \end{aligned}$ | $\begin{aligned} & \wedge \cdots \wedge A_{n} \Rightarrow A_{1} \wedge \cdots \wedge A_{n} \\ & \vee \top \vee \cdots \vee A_{n} \Rightarrow \top \end{aligned}$ |
| $A \rightarrow \top \Rightarrow \top$ | $\top \rightarrow A \Rightarrow A$ |
| $A \leftrightarrow T \Rightarrow A$ | $\top \leftrightarrow A \Rightarrow A$ |


| Simplification rules for $\perp$ |
| :---: |
| $\neg \perp \Rightarrow \top$ |
| $A_{1} \wedge \cdots \wedge \perp \wedge \cdots \wedge A_{n} \Rightarrow \perp$ |
| $A_{1} \vee \cdots \vee \perp \vee \cdots \vee A_{n} \Rightarrow A_{1} \vee \cdots \vee A_{n}$ |
| $A \rightarrow \perp \Rightarrow \neg A \quad \perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A \quad \perp \leftrightarrow A \Rightarrow \neg A$ |

## Simplification rules for $\top$ and

Simplification rules for $T$
$\neg T \Rightarrow \perp$
$A_{1} \wedge \cdots \wedge T \wedge \cdots \wedge A_{n} \Rightarrow A_{1} \wedge \cdots \wedge A_{n}$
$A_{1} \vee \cdots \vee \top \vee \cdots \vee A_{n} \Rightarrow T$
$A \rightarrow T \Rightarrow T$
$A \leftrightarrow T \Rightarrow A \quad T \rightarrow A \Rightarrow A$

| Simplification rules for $\perp$ |
| :---: |
| $\neg \perp \Rightarrow T$ |
| $A_{1} \wedge \cdots \wedge \perp \wedge \cdots \wedge A_{n} \Rightarrow \perp$ |
| $A_{1} \vee \cdots \vee \perp \vee \cdots \vee A_{n} \Rightarrow A_{1} \vee \cdots \vee A_{n}$ |
| $A \rightarrow \perp \Rightarrow \neg A \quad \perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A \quad \perp \leftrightarrow A \Rightarrow \neg A$ |

Claim: If we apply these rules to a formula to completion (i.e., until no more rules apply), we get either

- $\perp$,
- T, or
- a formula with no occurrences of $\perp$ and $\top$


## Splitting algorithm

```
procedure split(G)
parameters: function select
input: formula G
output: "satisfiable" or "unsatisfiable"
begin
    G := simplify(G) // apply simplification rules to completion
    if G=T then return "satisfiable"
    if G = 咕en return "unsatisfiable"
(p,b) := select(G)
// pick a variable p of G and a value b for it
case b of
    1=>
    if split (Gp}\mp@subsup{G}{P}{\top})=\mathrm{ "satisfiable"
        then return "satisfiable"
        else return split( }\mp@subsup{G}{p}{\perp}
    0=>
    if split (Gp
    then return "satisfiable"
    else return split( (Gp
end
```


## Splitting algorithm, example

$$
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
$$

$$
\begin{gathered}
\neg \top \Rightarrow \perp \\
\top \wedge A \Rightarrow A \\
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A \rightarrow \top \Rightarrow \top \\
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\end{gathered}
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## Splitting algorithm, example

$$
\begin{aligned}
& \neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r)) \\
& \neg((p \rightarrow \perp) \wedge(p \wedge \perp \rightarrow r) \rightarrow(p \rightarrow r))
\end{aligned}
$$

## Splitting algorithm, example



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\end{gathered}
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The formula is unsatisfiable

## Splitting algorithm, example



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\end{gathered}
$$

The formula is unsatisfiable
What is happening here is very similar to using compact truth tables, but on the syntactic level

## Exercise

1. For each unsimplified node of the tree in the previous slide, simplify the formula one step at a time by applying in each step one of the simplification rules in the slide.

Apply the rules modulo commutativity of $\wedge, \vee$ and $\leftrightarrow$. For instance, consider the rule $T \wedge A \Rightarrow A$ as also standing for the rule $A \wedge T \Rightarrow A$.
2. Verify that the formula you obtain in each case corresponds to the simplified formula provided in the previous slide.

Splitting algorithm, example 2

$$
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r))
$$

$$
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\top \wedge A \Rightarrow \top \\
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\end{gathered}
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The formula is satisfiable

## Splitting algorithm, example 2



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The formula is satisfiable
To find a model of this formula, we simply collect choices made on the branch terminating at $T$

## Splitting algorithm, example 2



The formula is satisfiable
To find a model of this formula, we simply collect choices made on the branch terminating at $T$

Any interpretation $\mathcal{I}$ such that $\mathcal{I}(p)=\mathcal{I}(r)=0$ satisfies the formula, e.g., $\mathcal{I}=\{p \mapsto 0, q \mapsto 0, r \mapsto 0\}$

## Improving the search for satisfying assignments

The order in which one chooses

1. the variable to replace and
2. the truth value for the chosen variable
is essential for the efficiency of the splitting algorithm

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In certain cases, Choice (2) can be done deterministically (without having to try the other alternative)

## Improving the search for satisfying assignments

The order in which one chooses

1. the variable to replace and
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In certain cases, Choice (2) can be done deterministically (without having to try the other alternative)

We will see the case of pure literals

Parse tree

$$
A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
$$



## Parse tree

$$
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Position in formula A: 1.1.2.1

## Parse tree

$$
A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \rightarrow r))
$$



Position in formula A: 1.1.2.1
Subformula of $A$ at this position: $p \wedge q$

## Positions and Subformulas

- Position is any sequence of positive integers $a_{1}, \ldots, a_{n}$, where $n \geq 0$, written as $a_{1} \cdot a_{2} . \cdots . a_{n}$
- Empty position, denoted by $\epsilon$ : when $n=0$
- Position $\pi$ in a formula $A$, subformula at a position, denoted by $\left.A\right|_{\pi}$


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- Position is any sequence of positive integers $a_{1}, \ldots, a_{n}$, where $n \geq 0$, written as $a_{1} . a_{2} . \cdots . a_{n}$
- Empty position, denoted by $\epsilon$ : when $n=0$
- Position $\pi$ in a formula $A$, subformula at a position, denoted by $\left.A\right|_{\pi}$

1. For every formula $A, \epsilon$ is a position in $A$ and $A \mid \epsilon \stackrel{\text { def }}{=} A$
2. Let $\left.A\right|_{\pi}=B$
2.1 If $B$ has the form $B_{1} \wedge \cdots \wedge B_{n}$ or $B_{1} \vee \cdots \vee B_{n}$, then for all $i \in\{1, \ldots, n\}$ the position $\pi . i$ is a position in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$
2.2 If $B$ has the form $\neg B_{1}$, then $\pi .1$ is a position in $A$ and $\left.A\right|_{\pi .1} \stackrel{\text { def }}{=} B_{1}$
2.3 If $B$ has the form $B_{1} \rightarrow B_{2}$, then $\pi .1$ and $\pi .2$ are positions in $A$ and $\left.A\right|_{\pi .1} \stackrel{\text { def }}{=} B_{1}$ and $\left.A\right|_{\pi .2} \stackrel{\text { def }}{=} B_{2}$
2.4 If $B$ has the form $B_{1} \leftrightarrow B_{2}$, then $\pi .1$ and $\pi .2$ are positions in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$

## Positions and Subformulas

- Position is any sequence of positive integers $a_{1}, \ldots, a_{n}$, where $n \geq 0$, written as $a_{1} . a_{2} . \cdots . a_{n}$
- Empty position, denoted by $\epsilon$ : when $n=0$
- Position $\pi$ in a formula $A$, subformula at a position, denoted by $\left.A\right|_{\pi}$

1. For every formula $A, \epsilon$ is a position in $A$ and $A \mid \epsilon \stackrel{\text { def }}{=} A$
2. Let $\left.A\right|_{\pi}=B$
2.1 If $B$ has the form $B_{1} \wedge \cdots \wedge B_{n}$ or $B_{1} \vee \cdots \vee B_{n}$, then for all $i \in\{1, \ldots, n\}$ the position $\pi . i$ is a position in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$
2.2 If $B$ has the form $\neg B_{1}$, then $\pi .1$ is a position in $A$ and $\left.A\right|_{\pi .1} \stackrel{\text { def }}{=} B_{1}$
2.3 If $B$ has the form $B_{1} \rightarrow B_{2}$, then $\pi .1$ and $\pi .2$ are positions in $A$ and $\left.A\right|_{\pi .1} \stackrel{\text { def }}{=} B_{1}$ and $\left.A\right|_{\pi .2} \stackrel{\text { def }}{=} B_{2}$
2.4 If $B$ has the form $B_{1} \leftrightarrow B_{2}$, then $\pi .1$ and $\pi .2$ are positions in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$

If $\left.A\right|_{\pi}=B$, we also say that $B$ occurs in $A$ at position $\pi$

## Polarity

1. For every formula $A, \epsilon$ is a position in $A$ and $A \mid \epsilon \stackrel{\text { def }}{=} A$
2. Let $\left.A\right|_{\pi}=B$
2.1 If $B$ has the form $B_{1} \wedge \cdots \wedge B_{n}$ or $B_{1} \vee \cdots \vee B_{n}$, then for all $i \in\{1, \ldots, n\}$ the position $\pi . i$ is a position in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$
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$$
\text { for } i=1,2
$$

## Polarity

Polarity of subformula at a position Notation: $\operatorname{pol}(A, \pi) \quad$ Values: $\{-1,0,1\}$

1. For every formula $A, \epsilon$ is a position in $A$ and $\left.A\right|_{\epsilon} \stackrel{\text { def }}{=} A$
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1. For every formula $A, \epsilon$ is a position in $A$ and $\left.A\right|_{\epsilon} \stackrel{\text { def }}{=} A$ and $p o l(A, \epsilon) \stackrel{\text { def }}{=} 1$
2. Let $\left.A\right|_{\pi}=B$
2.1 If $B$ has the form $B_{1} \wedge \cdots \wedge B_{n}$ or $B_{1} \vee \cdots \vee B_{n}$, then for all $i \in\{1, \ldots, n\}$ the position $\pi . i$ is a position in $A$ and $\left.A\right|_{\pi . i} \stackrel{\text { def }}{=} B_{i}$, and pol $(A, \pi . i) \stackrel{\text { def }}{=} \operatorname{pol}(A, \pi)$
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2.3 If $B$ has the form $B_{1} \rightarrow B_{2}$, then $\pi .1$ and $\pi .2$ are positions in $A$ and we have $\left.A\right|_{\pi .1} \stackrel{\text { def }}{=} B_{1}$ and $\left.A\right|_{\pi .2} \stackrel{\text { def }}{=} B_{2}, \operatorname{pol}(A, \pi .1) \stackrel{\text { def }}{=}-\operatorname{pol}(A, \pi), \operatorname{pol}(A, \pi .2) \stackrel{\text { def }}{=} \operatorname{pol}(A, \pi)$
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## Polarity

Polarity of subformula at a position $\quad$ Notation: $\operatorname{pol}(A, \pi) \quad$ Values: $\{-1,0,1\}$

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- If $\operatorname{pol}(A, \pi)=1$ and $\left.A\right|_{\pi}=B$, the occurrence of $B$ at position $\pi$ in $A$ is positive
- If $\operatorname{pol}(A, \pi)=-1$ and $\left.A\right|_{\pi}=B$, the occurrence of $B$ at position $\pi$ in $A$ is negative


## The coloring algorithm for determining polarity

$$
A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \leftrightarrow(r \rightarrow q)))
$$



## The coloring algorithm for determining polarity

$A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \leftrightarrow(r \rightarrow q)))$

- Color in blue all arcs below an equivalence



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$A=\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(p \leftrightarrow(r \rightarrow q)))$

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The polarity of a position is

- 0 if it has at least one blue arc above it


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- -1 if it has no blue arc and an odd number of red arcs above it
- 1 otherwise


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The polarity of a position is

- 0 if it has at least one blue arc above it
- -1 if it has no blue arc and an odd number of red arcs above it
- 1 otherwise


## Position and polarity, again

| position | subformula |  |  | polarity |
| :---: | :---: | :---: | :---: | :---: |
| $\epsilon$ | $\neg((p \rightarrow q)$ | $\wedge(p \wedge q \rightarrow r)$ | $) \rightarrow(p \rightarrow r))$ | 1 |
| 1 | $(p \rightarrow q)$ | $\wedge(p \wedge q \rightarrow r)$ | $) \rightarrow(p \rightarrow r)$ | -1 |
| 1.1 | $(p \rightarrow q)$ | $) \wedge(p \wedge q \rightarrow r)$ |  | 1 |
| 1.1.1 | $p \rightarrow q$ |  |  | 1 |
| 1.1.1.1 | $p$ |  |  | -1 |
| 1.1.1.2 | $q$ |  |  | 1 |
| 1.1.2 |  | $p \wedge q \rightarrow r$ |  | 1 |
| 1.1.2.1 |  | $p \wedge q$ |  | -1 |
| 1.1.2.1.1 |  | $p$ |  | -1 |
| 1.1.2.1.2 |  | q |  | -1 |
| 1.1.2.2 |  | $r$ |  | 1 |
| 1.2 |  |  | $p \rightarrow r$ | -1 |
| 1.2.1 |  |  | $p$ | 1 |
| 1.2.2 |  |  | r | -1 |

## Monotonic replacement

Notation $A[B]_{\pi}$ denotes, indifferently:

- A formula $A$ having subformula $B$ at position $\pi$
- The result of replacing the subformula of $A$ at position $\pi$ by $B$


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```
Lemma 2 (Monotonic Replacement)
Let }A,B,\mp@subsup{B}{}{\prime}\mathrm{ be formulas, I be an interpretation such that I }=B->\mp@subsup{B}{}{\prime}
    1. If pol( }A,\pi)=1\mathrm{ , then I }=A[B\mp@subsup{]}{\pi}{}->A[\mp@subsup{B}{}{\prime}\mp@subsup{]}{\pi}{}\mathrm{ .
    2. If pol(A,\pi)=-1, then I }\modelsA[\mp@subsup{B}{}{\prime}\mp@subsup{]}{\pi}{}->A[B\mp@subsup{]}{\pi}{}\mathrm{ .
```


## Monotonic replacement

## Theorem 3 (Monotonic Replacement)

Let $A, B, B^{\prime}$ be formulas such that $=B \rightarrow B^{\prime}$. Let $A^{-}$, resp. $A^{+}$, be the formula obtained from $A$ by replacing one or more negative, resp. positive, occurrences of $B$ by $B^{\prime}$. Then,

$$
\models A^{-} \rightarrow A \quad \text { and } \quad \models A \rightarrow A^{+} .
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$$
\models A^{-} \rightarrow A \quad \text { and } \quad \models A \rightarrow A^{+} .
$$

## Corollary 4

Let $A, B, B^{\prime}, A^{-}, A^{+}$be as above. Then, the following holds.

1. If $A^{-}$is satisfiable, so is $A$.
2. If $A^{+}$is unsatisfiable, so is $A$.

## Pure atom

Atom $p$ is pure in a formula $A$, if either all occurrences of $p$ in $A$ are positive or all occurrences of $p$ in $A$ are negative

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p \wedge r \rightarrow(\neg q \rightarrow(r \wedge \neg p))
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$$
p \wedge r \rightarrow(\neg q \rightarrow(r \wedge \neg p))
$$



- Both occurrences of $p$ are negative, so $p$ is pure
- The only occurrence of $q$ is positive, so $q$ is pure
- $r$ is not pure, since it has both negative and positive occurrences


## Properties of pure atoms

Lemma 5 (Pure Atom)
Suppose variable p has only positive occurrences in A and $\mathcal{I} \models A$. Define

$$
\left.\mathcal{I}^{\prime} \stackrel{\text { def }}{=} \mathcal{I}+(p \mapsto 1) \quad \text { (maps } p \text { to } 1 \text { and is otherwise identical to } \mathcal{I}\right)
$$

Then $\mathcal{I}^{\prime} \models A$.

## Properties of pure atoms

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$$

Then $\mathcal{I}^{\prime} \models A$.
Dually, Suppose p has only negative occurrences in A and $\mathcal{I} \models$. Define

$$
\left.\mathcal{I}^{\prime} \stackrel{\text { def }}{=} \mathcal{I}+(p \mapsto 0) \quad \text { (maps } p \text { to } 0 \text { and is otherwise identical to } \mathcal{I}\right)
$$

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## Properties of pure atoms

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\mathcal{I}^{\prime} \stackrel{\text { def }}{=} \mathcal{I}+(p \mapsto 0) \quad(\text { maps } p \text { to } 0 \text { and is otherwise identical to } \mathcal{I})
$$

Then $\mathcal{I}^{\prime} \models A$.

## Theorem 6 (Pure Atom)

Suppose variable p has only positive (respectively, only negative) occurrences in A. Then A is satisfiable iff so is $A_{p}^{\top}$ (respectively, $A_{p}^{\perp}$ ).

## Pure atom, example

$$
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r))
$$

Pure atom, example

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## Pure atom, example

$$
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$$



All occurrences of $p$ are negative, so to check for satisfiability we can replace $p$ by $\perp$

Example, continued

$$
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r))
$$

$$
\begin{gathered}
\neg \top \Rightarrow \perp \\
\top \wedge A \Rightarrow A \\
\top \vee A \Rightarrow \top \\
A \rightarrow \top \Rightarrow \top \\
\top \rightarrow A \Rightarrow A \\
A \leftrightarrow \top \Rightarrow A \\
\top \leftrightarrow A \Rightarrow A \\
\hline \neg \perp \Rightarrow \top \\
\perp \wedge A \Rightarrow \perp \\
\perp \vee A \Rightarrow A \\
A \rightarrow \perp \Rightarrow \neg A \\
\perp \rightarrow A \Rightarrow \top \\
A \leftrightarrow \perp \Rightarrow \neg A \\
\perp \leftrightarrow A \Rightarrow \neg A \\
\hline
\end{gathered}
$$

All occurrences of $p$ are negative

## Example, continued

$$
\begin{gathered}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) \quad \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r))
\end{gathered}
$$

| $\neg \top \Rightarrow \perp$ |
| :---: |
| $\top \wedge A \Rightarrow A$ |
| $\top \vee A \Rightarrow \top$ |
| $A \rightarrow \top \Rightarrow \top$ |
| $\top \rightarrow A \Rightarrow A$ |
| $A \leftrightarrow \top \Rightarrow A$ |
| $\top \leftrightarrow A \Rightarrow A$ |
| $\neg \perp \Rightarrow \top$ |
| $\perp \wedge A \Rightarrow \perp$ |
| $\perp \vee A \Rightarrow A$ |
| $A \rightarrow \perp \Rightarrow \neg A$ |
| $\perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A$ |
| $\perp \leftrightarrow A \Rightarrow \neg A$ |

All occurrences of $p$ are negative; so, for the purpose of checking satisfiability we can replace $p$ by $\perp$

Example, continued

$$
\begin{array}{cl}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\quad \neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) &
\end{array}
$$

Example, continued

$$
\begin{array}{cll}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & & \Rightarrow \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & &
\end{array}
$$

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\neg \top \Rightarrow \perp \\
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\top \leftrightarrow A \Rightarrow A \\
\neg \perp \Rightarrow \top \\
\perp \wedge A \Rightarrow \perp \\
\perp \vee A \Rightarrow A \\
A \rightarrow \perp \Rightarrow \neg A \\
\perp \rightarrow A \Rightarrow \top \\
A \leftrightarrow \perp \Rightarrow \neg A \\
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\end{gathered}
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Example, continued

$$
\begin{array}{cll}
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\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & & \Rightarrow \\
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\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & &
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$$

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| $A \leftrightarrow \top \Rightarrow A$ |
| $\top \leftrightarrow A \Rightarrow A$ |
| $\neg \perp \Rightarrow \top$ |
| $\perp \wedge A \Rightarrow \perp$ |
| $\perp \vee A \Rightarrow A$ |
| $A \rightarrow \perp \Rightarrow \neg A$ |
| $\perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A$ |
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Example, continued

$$
\begin{array}{cl}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg(\top \rightarrow(\neg \perp \rightarrow r)) &
\end{array}
$$

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| $\neg \perp \Rightarrow \top$ |
| $\perp \wedge A \Rightarrow \perp$ |
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Example, continued

$$
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\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\Rightarrow \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg(\top \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\neg \perp \rightarrow r) &
\end{array}
$$

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| :---: |
| $\top \wedge A \Rightarrow A$ |
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| $\neg \perp \Rightarrow \top$ |
| $\perp \wedge A \Rightarrow \perp$ |
| $\perp \vee A \Rightarrow A$ |
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Example, continued

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\begin{array}{cl}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \\
\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\neg \perp \rightarrow r) & \Rightarrow \\
\neg(\top \rightarrow r) &
\end{array}
$$

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| $\neg \perp \Rightarrow \top$ |
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## Example, continued

$$
\begin{array}{cl}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\neg \perp \rightarrow r) & \Rightarrow \\
\neg(\top \rightarrow r) & \Rightarrow
\end{array}
$$

$$
\begin{gathered}
\neg \top \Rightarrow \perp \\
\top \wedge A \Rightarrow A \\
\top \vee A \Rightarrow \top \\
A \rightarrow \top \Rightarrow \top \\
\top \rightarrow A \Rightarrow A \\
A \leftrightarrow \top \Rightarrow A \\
\top \leftrightarrow A \Rightarrow A \\
\hline \neg \perp \Rightarrow \top \\
\perp \wedge A \Rightarrow \perp \\
\perp \vee A \Rightarrow A \\
A \rightarrow \perp \Rightarrow \neg A \\
\perp \rightarrow A \Rightarrow \top \\
A \leftrightarrow \perp \Rightarrow \neg A \\
\perp \leftrightarrow A \Rightarrow \neg A \\
\hline
\end{gathered}
$$

All occurrences of $r$ are negative

## Example, continued

$$
\begin{array}{cl}
\neg((p \rightarrow q) \wedge(p \wedge q \rightarrow r) \rightarrow(\neg p \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow q) \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \wedge(\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg((\perp \wedge q \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg((\perp \rightarrow r) \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\top \rightarrow(\neg \perp \rightarrow r)) & \Rightarrow \\
\neg(\neg \perp \rightarrow r) & \Rightarrow \\
\neg(\top \rightarrow r) & \Rightarrow \\
\neg r & \Rightarrow
\end{array}
$$

| $\neg \top \Rightarrow \perp$ |
| :---: |
| $\top \wedge A \Rightarrow A$ |
| $\top \vee A \Rightarrow \top$ |
| $A \rightarrow \top \Rightarrow \top$ |
| $\top \rightarrow A \Rightarrow A$ |
| $A \leftrightarrow \top \Rightarrow A$ |
| $\top \leftrightarrow A \Rightarrow A$ |
| $\neg \perp \Rightarrow \top$ |
| $\perp \wedge A \Rightarrow \perp$ |
| $\perp \vee A \Rightarrow A$ |
| $A \rightarrow \perp \Rightarrow \neg A$ |
| $\perp \rightarrow A \Rightarrow \top$ |
| $A \leftrightarrow \perp \Rightarrow \neg A$ |
| $\perp \leftrightarrow A \Rightarrow \neg A$ |

All occurrences of $r$ are negative; so, for the purpose of checking satisfiability we can replace $r$ by $\perp$

## Example, continued



$$
\begin{gathered}
\neg \top \Rightarrow \perp \\
\top \wedge A \Rightarrow A \\
\top \vee A \Rightarrow \top \\
A \rightarrow \top \Rightarrow \top \\
\top \rightarrow A \Rightarrow A \\
A \leftrightarrow \top \Rightarrow A \\
\top \leftrightarrow A \Rightarrow A \\
\hline \neg \perp \Rightarrow \top \\
\perp \wedge A \Rightarrow \perp \\
\perp \vee A \Rightarrow A \\
A \rightarrow \perp \Rightarrow \neg A \\
\perp \rightarrow A \Rightarrow \top \\
A \leftrightarrow \perp \Rightarrow \neg A \\
\perp \leftrightarrow A \Rightarrow \neg A \\
\hline
\end{gathered}
$$

We have shown the satisfiability of this formula deterministically (no guesses), using only the pure atom rule

