CS:4350 Logic in Computer Science

Propositional Satisfiability

Cesare Tinelli

Spring 2021



Credits

These slides are largely based on slides originally developed by **Andrei Voronkov** at the University of Manchester. Adapted by permission.

Outline

Satisfiability Checking

Satisfiability. Examples

Truth Tables

Splitting

Positions and subformulas

Isaac and Albert were excitedly describing the result of the Third Annual International Science Fair Extravaganza in Sweden.

There were three contestants: Louis, Rene, and Johannes.

Isaac reported that Louis won the fair, while Rene came in second. Albert, on the other hand, reported that Johannes won the fair, while Louis came in second.

In fact, neither Isaac nor Albert had given a correct report of the results of the science fair. Each of them had given one true statement and one false statement

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Given a propositional formula A, check if it is satisfiable or not.

If it is, also find a satisfying assignment for A (a model of A)

One of the most famous combinatorial problems in CS

It is a very hard problem computationally, with a surprisingly large number of practical applications.

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There are three people: Stirlitz, Müller, and Eismann. It is known that exactly one of them is Russian, while the other two are Germans. Is is also know that every Russian is a spy.

When Stirlitz meets Müller in a hallway, he makes the following joke: "you know, Müller, you are as German as I am Russian". It is known that Stirlitz always tells the truth when he is joking.

We have to show that Eismann is not a Russian spy

How can we solve problems of this kind?



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How can we solve problems of this kind?

Introduce nine propositional variables as in the following table:

	Stirlitz	Müller	Eismann
Russian	RS	RM	RE
German	GS	GM	GE
Spy	SS	SM	SE

Example

SE: Eismann is a Spy

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Example

SE: Eismann is a Spy RS: Stirlitz is Russian

There are three people: Stirlitz, Müller, and Eismann. It is known that exactly one of them is Russian, while the other two are Germans.

$$(RS \wedge GM \wedge GE) \vee (GS \wedge RM \wedge GE) \vee (GS \wedge GM \wedge RE)$$

It is also known that every Russian is a spy.

$$(\mathit{RS} o \mathit{SS}) \land (\mathit{RM} o \mathit{SM}) \land (\mathit{RE} o \mathit{SE})$$



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It is also known that every Russian is a spy.

$$(\mathit{RS} \to \mathit{SS}) \land (\mathit{RM} \to \mathit{SM}) \land (\mathit{RE} \to \mathit{SE})$$

$$RS \leftrightarrow GM$$

Implicit knowledge: Russians are not Germans.

$$(RS \leftrightarrow \neg GS) \land (RM \leftrightarrow \neg GM) \land (RE \leftrightarrow \neg GE)$$

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Then we check whether the full set of formulas is satisfiable or not.

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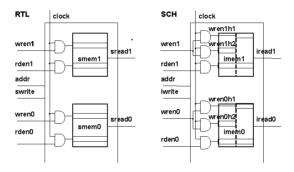
$$RE \wedge SE$$

Then we check whether the full set of formulas is satisfiable or not.

If the set is unsatisfiable, then Eismann cannot be a Russian spy

Circuit Equivalence

Given two circuits, check if they are equivalent. For example:

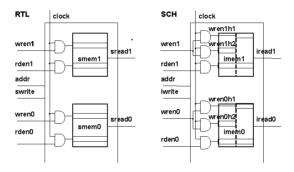


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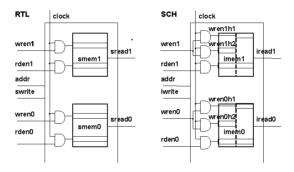


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Idea: use formula evaluation methods

$$A = \neg((p \to q) \land (p \land q \to r) \to (p \to r))$$

We can evaluate A in any interpretation, e.g., $\mathcal{I}_1 = \{ p \mapsto 0, q \mapsto 0, r \mapsto 0 \}$:

	subformula	\mathcal{I}_{1}
1	$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0
2	$(p o q) \wedge (p \wedge q o r) o (p o r)$	1
3	ho ightarrow r	1
4	$(p ightarrow q) \wedge (p \wedge q ightarrow r)$	1
5	$p \wedge q \rightarrow r$	1
6	ho o q	1
7	$p \wedge q$	0
8	р р р	0
9	q q	0
10	rr	0

Truth tables

$$A = \neg((p \to q) \land (p \land q \to r) \to (p \to r))$$

Similarly, we can evaluate *A* in all interpretations:

	subformula	\mathcal{I}_1	\mathcal{I}_{2}	\mathcal{I}_3	\mathcal{I}_{4}	\mathcal{I}_5	\mathcal{I}_6	\mathcal{I}_7	\mathcal{I}_8
1	$\neg ((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0	0	0	0	0
2	$(p ightarrow q) \wedge (p \wedge q ightarrow r) ightarrow (p ightarrow r)$	1	1	1	1	1	1	1	1
3	p ightarrow r	1	1	1	1	0	1	0	1
4	$(p ightarrow q) \wedge (p \wedge q ightarrow r)$	1	1	1	1	0	0	0	1
5	$p \wedge q o r$	1	1	1	1	1	1	0	1
6	ho o q	1	1	1	1	0	0	1	1
7	$p \wedge q$	0	0	0	0	0	0	1	1
8	р р р	0	0	0	0	1	1	1	1
9	q q	0	0	1	1	0	0	1	1
10	r r	0	1	0	1	0	1	0	1

Truth tables

$$A = \neg((p \to q) \land (p \land q \to r) \to (p \to r))$$

Formula *A* is unsatisfiable since it is false in every interpretation.

So we have a fully automated method to check the satisfiability propositional formulas

Problem: A propositional formula with n variables has 2^n different interpretations!

Generating and checking each interpretation in 1 ms for a formula with 50 variables would take 2^{50} $ms \approx 257$ centuries ...

With current automated reasoning technology, we can check formulas with 10K variables in seconds.

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subformula	\mathcal{J}_2			
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			
p ightarrow r	1			
$(p o q) \wedge (p \wedge q o r)$				
$p \wedge q \rightarrow r$				
ho o q				
$p \wedge q$				
р р р	0	1	1	
q q				
r r	0			

subformula	\mathcal{J}_2	\mathcal{J}_3	\mathcal{J}_4	\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	- 1			
ho ightarrow r	- 1			
$(p o q) \wedge (p \wedge q o r)$				
$p \wedge q ightarrow r$				
ho o q				
$p \wedge q$		0	1	
р р	0			
q q				
rr	0			1

subformula	\mathcal{J}_2			\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			1
ho ightarrow r	1			1
$(p ightarrow q) \wedge (p \wedge q ightarrow r)$				
$p \wedge q \rightarrow r$				1
p o q				
$p \wedge q$				
р р	0	1	1	
q q				
rr	0			1

subformula	\mathcal{J}_2			\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	- 1			1
ho ightarrow r	- 1			1
$(p o q) \wedge (p \wedge q o r)$				
$p \wedge q \rightarrow r$				1
p o q				
$p \wedge q$				
р р	0	1	1	
q q				
rr	0			1

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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	- 1			1
p ightarrow r	- 1			1
$(p ightarrow q) \wedge (p \wedge q ightarrow r)$				
$p \wedge q \rightarrow r$				1
p o q				
$p \wedge q$				
р р	0	1	1	
q q				
rr	0			1

subformula	\mathcal{J}_2			\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			1
ho ightarrow r	1			1
$(p ightarrow q) \wedge (p \wedge q ightarrow r)$				
$p \wedge q \rightarrow r$				1
p o q				
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р р	0	1	1	
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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			1
ho ightarrow r	1			1
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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			1
ho ightarrow r	1	0		1
$(p \rightarrow q) \land (p \land q \rightarrow r)$				
$p \wedge q \rightarrow r$				1
ho o q				
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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1			1
ho ightarrow r	1	0		1
$(p ightarrow q) \wedge (p \wedge q ightarrow r)$				
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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1		1
p ightarrow r	1	0		1
$(p o q) \wedge (p \wedge q o r)$		0		
$p \wedge q \rightarrow r$		1		1
ho o q		0		
$p \wedge q$		0		
ррр	0	1	1	
q q		0		
r r	0	0		1

subformula	\mathcal{J}_2	\mathcal{J}_3	\mathcal{J}_4	\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1		1
ho ightarrow r	1	0		1
$(p ightarrow q) \wedge (p \wedge q ightarrow r)$		0		
$p \wedge q \rightarrow r$		1		1
p o q		0		
$p \wedge q$		0		
р р	0	1	1	
q q		0	1	
r r	0	0	0	1

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p ightarrow r	1	0	0	1
$(p o q) \wedge (p \wedge q o r)$		0	0	
$p \wedge q \rightarrow r$		1	0	1
ho o q		0	1	
$p \wedge q$		0	1	
ррр	0	1	1	
q q		0	1	
r r	0	0	0	1

Idea: Sometimes we can evaluate a formula based only on partial interpretations

subformula	\mathcal{J}_2	\mathcal{J}_3	\mathcal{J}_4	\mathcal{J}_1
$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1	1	1
ho ightarrow r	1	0	0	1
$(p o q) \wedge (p \wedge q o r)$		0	0	
$p \wedge q \rightarrow r$		1	0	1
ho o q		0	1	
$p \wedge q$		0	1	
p p p	0	1	1	
q q		0	1	
rr	0	0	0	1

 \mathcal{J}_2 stands for 2 (total) interpretations \mathcal{J}_1 stands for 4 interpretations

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$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1	1	1
ho ightarrow r	1	0	0	1
$(p o q) \wedge (p \wedge q o r)$		0	0	
$p \wedge q \rightarrow r$		1	0	1
ho o q		0	1	
$p \wedge q$		0	1	
р р р	0	1	1	
q q		0	1	
rr	0	0	0	1

Note: The size of the compact table (but not the result) depends on the order of variables!

Idea: Sometimes we can evaluate a formula based only on *partial interpretations*

subformula	\mathcal{J}_2	\mathcal{J}_3	\mathcal{J}_4	\mathcal{J}_1
	0	0	0	0
$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	1	1	1	1
p ightarrow r	1	0	0	1
$(p o q) \wedge (p \wedge q o r)$		0	0	
$n \wedge \alpha \wedge r$		1	0	1

Guessing variable values (i.e., case analysis) and propagation are the key ideas in nearly all propositional satisfiability algorithms

Note: The size of the compact table (but not the result) depends on the order of variables!

Notation: A_p^{\perp} and A_p^{\perp} denote the formulas obtained by replacing in A all occurrences of p by \perp and \perp , respectively.

Lemma

Let p be an atom, A be a formula, and $\mathcal I$ be an interpretation.

- 1. If $\mathcal{I} \models p$, then A has the same value as A_p^{\top} in \mathcal{I} .
- 2. If $\mathcal{I} \not\models p$, then A has the same value as A_p^{\perp} in \mathcal{I} .

- Pick a variable p of A and perform case analysis on it: Case 1 replace p by ⊥ (for false)
 Case 2 replace p by ⊤ (for true)
- 2. Simplify formula as much as possible

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Notation: A_p^{\perp} and A_p^{\perp} denote the formulas obtained by replacing in A all occurrences of p by \perp and \perp , respectively.

Lemma

Let p be an atom, A be a formula, and $\mathcal I$ be an interpretation.

- 1. If $\mathcal{I} \models p$, then A has the same value as A_p^{\top} in \mathcal{I} .
- 2. If $\mathcal{I} \not\models p$, then A has the same value as A_p^{\perp} in \mathcal{I} .

- Pick a variable p of A and perform case analysis on it: Case 1 replace p by ⊥ (for false)
 Case 2 replace p by ⊤ (for true)
- 2. Simplify formula as much as possible

Simplification rules for \top and \bot

Note: we need new simplification rules since formulas we simplify may contain propositional variables.

Simplification rules for $ op$
$\neg \top \Rightarrow \bot$
$\top \wedge A_1 \wedge \cdots \wedge A_n \Rightarrow A_1 \wedge \cdots \wedge A_n$
$\top \vee A_1 \vee \cdots \vee A_n \Rightarrow \top$
$A \to T \Rightarrow T \qquad T \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A \qquad \top \leftrightarrow A \Rightarrow A$

Simplification rules for
$$\bot$$

$$\neg\bot \Rightarrow \top$$

$$\bot \land A_1 \land \cdots \land A_n \Rightarrow \bot$$

$$\bot \lor A_1 \lor \cdots \lor A_n \Rightarrow A_1 \lor \cdots \lor A_n$$

$$A \to \bot \Rightarrow \neg A \qquad \bot \to A \Rightarrow \top$$

$$A \leftrightarrow \bot \Rightarrow \neg A \qquad \bot \leftrightarrow A \Rightarrow \neg A$$

Claim: If we apply these rules to a formula until they are no more applicable, we get either \bot , or \top , or a formula with no occurrences of \bot or \top .

Simplification rules for \top and \bot

Note: we need new simplification rules since formulas we simplify may contain propositional variables.

Simplification rules for
$$\bot$$

$$\neg\bot \Rightarrow \top$$

$$\bot \land A_1 \land \cdots \land A_n \Rightarrow \bot$$

$$\bot \lor A_1 \lor \cdots \lor A_n \Rightarrow A_1 \lor \cdots \lor A_n$$

$$A \to \bot \Rightarrow \neg A \qquad \bot \to A \Rightarrow \top$$

$$A \leftrightarrow \bot \Rightarrow \neg A \qquad \bot \leftrightarrow A \Rightarrow \neg A$$

Claim: If we apply these rules to a formula until they are no more applicable, we get either \bot , or \top , or a formula with no occurrences of \bot or \top .

Simplification rules for \top and \bot

Note: we need new simplification rules since formulas we simplify may contain propositional variables.

Simplification rules for ⊤
$\neg \top \Rightarrow \bot$
$\top \wedge A_1 \wedge \cdots \wedge A_n \Rightarrow A_1 \wedge \cdots \wedge A_n$
$\top \vee A_1 \vee \cdots \vee A_n \Rightarrow \top$
$A \to T \Rightarrow T \qquad T \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A \qquad \top \leftrightarrow A \Rightarrow A$

Simplification rules for
$$\bot$$

$$\neg\bot \Rightarrow \top$$

$$\bot \land A_1 \land \cdots \land A_n \Rightarrow \bot$$

$$\bot \lor A_1 \lor \cdots \lor A_n \Rightarrow A_1 \lor \cdots \lor A_n$$

$$A \to \bot \Rightarrow \neg A \qquad \bot \to A \Rightarrow \top$$

$$A \leftrightarrow \bot \Rightarrow \neg A \qquad \bot \leftrightarrow A \Rightarrow \neg A$$

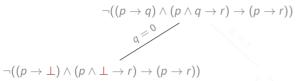
Claim: If we apply these rules to a formula until they are no more applicable, we get either \bot , or \top , or a formula with no occurrences of \bot or \top .

Splitting algorithm

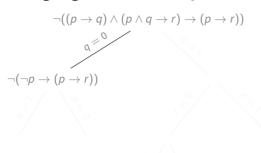
```
procedure split(G)
parameters: function select
input: formula G
output: "satisfiable" or "unsatisfiable"
begin
                                               // apply simplification rules to completion
 G := simplify(G)
 if G = T then return "satisfiable"
 if G = \bot then return "unsatisfiable"
 (p,b) := select(G)
                                              // pick a variable p of G and a value b for it
 case b of
 1 \Rightarrow
  if split(G_n^\top) = "satisfiable"
   then return "satisfiable"
   else return split(G_n^{\perp})
 0 \Rightarrow
  if split(G_n^{\perp}) = "satisfiable"
   then return "satisfiable"
   else return split(G_n^\top)
end
```

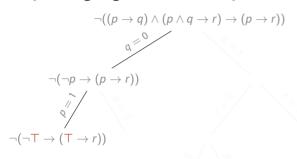
$$\neg((p \to q) \land (p \land q \to r) \to (p \to r))$$



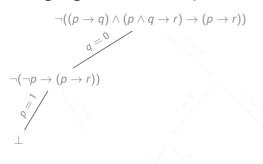




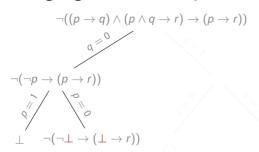




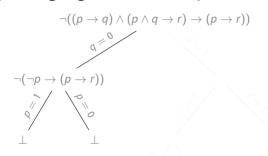
$\neg \top \Rightarrow \bot$
$\top \land A \Rightarrow A$
$\top \lor A \Rightarrow \top$
$A \to \top \Rightarrow \top$
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$\top \leftrightarrow A \Rightarrow A$
$\neg \bot \Rightarrow \top$
$ \begin{array}{c} \neg \bot \Rightarrow \top \\ \bot \land A \Rightarrow \bot \end{array} $
$\bot \land A \Rightarrow \bot$
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \\ \bot \to A \Rightarrow \top \end{array} $



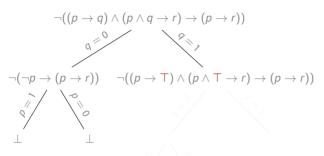
$\neg \top \Rightarrow \bot$
$\top \land A \Rightarrow A$
$\top \lor A \Rightarrow \top$
$A \to \top \Rightarrow \top$
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$\top \leftrightarrow A \Rightarrow A$
$\neg \bot \Rightarrow \top$
$\bot \land A \Rightarrow \bot$
$\bot \lor A \Rightarrow A$
$A \rightarrow \bot \Rightarrow \neg A$
$\perp \rightarrow A \Rightarrow \top$
$A \leftrightarrow \bot \Rightarrow \neg A$
$\perp \leftrightarrow A \Rightarrow \neg A$



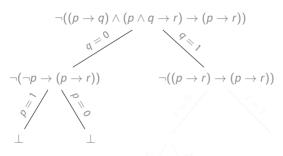




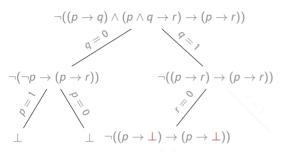




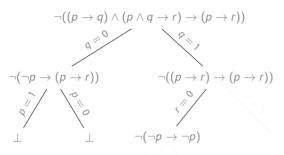
$\neg \top \Rightarrow \bot$
$\top \land A \Rightarrow A$
$\top \lor A \Rightarrow \top$
$A \to \top \Rightarrow \top$
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$ op A \Rightarrow A$
$\neg \bot \Rightarrow \top$
, ,
$\bot \land A \Rightarrow \bot$
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \\ \bot \to A \Rightarrow \top \end{array} $



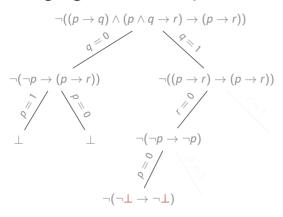
$\neg \top \Rightarrow \bot$	
$\top \land A \Rightarrow A$	
$\top \lor A \Rightarrow \top$	
$A \to T \Rightarrow T$	
$\top \to A \Rightarrow A$	
$A \leftrightarrow \top \Rightarrow A$	
$\top \leftrightarrow A \Rightarrow A$	
$\neg \bot \Rightarrow \top$	
$\bot \land A \Rightarrow \bot$	
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $	
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $	
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \\ \bot \to A \Rightarrow \top \end{array} $	



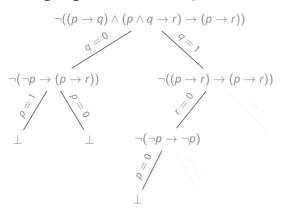
	$\neg \top \Rightarrow \bot$
	$\top \wedge A \Rightarrow A$
	$\top \lor A \Rightarrow \top$
1	$A \rightarrow T \Rightarrow T$
'	$\top \to A \Rightarrow A$
	$A \leftrightarrow \top \Rightarrow A$
	$\top \leftrightarrow A \Rightarrow A$
	$\neg \bot \Rightarrow \top$
	$ \neg \bot \Rightarrow \top \\ \bot \land A \Rightarrow \bot $
	, ,
	$\bot \land A \Rightarrow \bot$
A	$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
A	$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ \rightarrow \bot \Rightarrow \neg A \end{array} $
A	$ \begin{array}{ccc} $



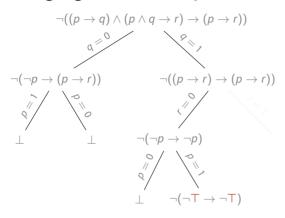




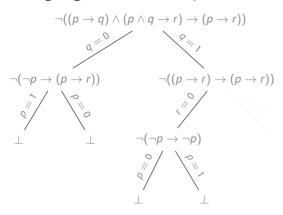
$\neg \top \Rightarrow \bot$
$\top \land A \Rightarrow A$
$\top \lor A \Rightarrow \top$
$A \to \top \Rightarrow \top$
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$\top \leftrightarrow A \Rightarrow A$
$\neg \bot \Rightarrow \top$
$ \begin{array}{c} \neg \bot \Rightarrow \top \\ \bot \land A \Rightarrow \bot \end{array} $
$\bot \land A \Rightarrow \bot$
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \\ \bot \to A \Rightarrow \top \end{array} $



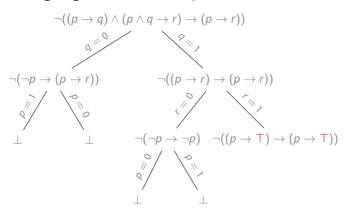




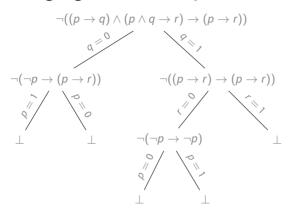
$\neg \top \Rightarrow \bot$
$\top \land A \Rightarrow A$
$\top \lor A \Rightarrow \top$
$A \to T \Rightarrow T$
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$\top \leftrightarrow A \Rightarrow A$
$\neg \bot \Rightarrow \top$
$\begin{array}{c c} \neg\bot \Rightarrow \bot \\ \bot \land A \Rightarrow \bot \end{array}$
, , ,
$\bot \land A \Rightarrow \bot$
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $
$ \begin{array}{ccc} $



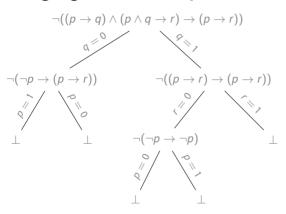




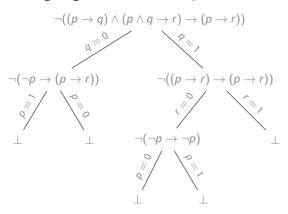




$\neg \top \Rightarrow \bot$
$\top \wedge A \Rightarrow A$
$\top \lor A \Rightarrow \top$
A o o o
$\top \to A \Rightarrow A$
$A \leftrightarrow \top \Rightarrow A$
$\top \leftrightarrow A \Rightarrow A$
$\neg \bot \Rightarrow \top$
$\neg\bot \Rightarrow \top$ $\bot \land A \Rightarrow \bot$
, ,
$\bot \land A \Rightarrow \bot$
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \end{array} $
$ \begin{array}{ccc} \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \to \bot \Rightarrow \neg A \\ \bot \to A \Rightarrow \top \end{array} $



The formula is unsatisfiable



 $\begin{array}{cccc}
\neg \top \Rightarrow \bot \\
\top \land A \Rightarrow A \\
\top \lor A \Rightarrow \top \\
A \rightarrow \top \Rightarrow T \\
T \rightarrow A \Rightarrow A \\
A \leftrightarrow T \Rightarrow A \\
\hline
T \leftrightarrow A \Rightarrow A \\
\hline
\bot \land A \Rightarrow \bot \\
\bot \lor A \Rightarrow A \\
A \rightarrow \bot \Rightarrow \neg A \\
\bot \rightarrow A \Rightarrow \neg A \\
\bot \leftrightarrow A \Rightarrow \neg A
\end{array}$

The formula is unsatisfiable

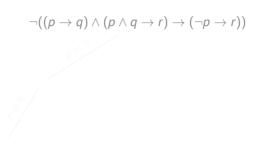
What is happening here is very similar to using compact truth tables, but on the syntactic level.

Exercise

For each unsimplified node of the tree in the previous slide, simplify the formula one step at a time by applying in each step one of the simplification rules in the slide.

Verify that the formula you obtain in each case corresponds to the simplified formula provided in the previous slide.

Apply the rules modulo commutativity of \land , \lor and \leftrightarrow . For instance, consider the rule $\top \land A \Rightarrow A$ as also standing for the rule $A \land \top \Rightarrow A$.



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \wedge A \Rightarrow A \\ \top \vee A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ A \leftrightarrow \top \Rightarrow A \\ \hline \top \leftrightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow \bot \\ \bot \vee A \Rightarrow \bot \\ \bot \vee A \Rightarrow \top \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \top \\ A \leftrightarrow \bot \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

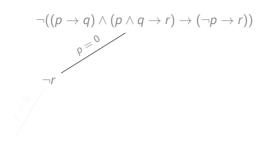
To find a model of this formula, we simply collect choices made on the branch terminating at \top

$$\neg((p \to q) \land (p \land q \to r) \to (\neg p \to r))$$

$$\neg((\bot \to q) \land (\bot \land \neg q \to r) \to (\neg \bot \to r))$$

The formula is satisfiable

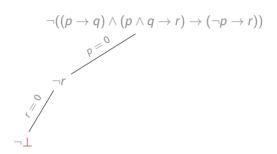
To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \land A \Rightarrow A \\ \top \lor A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ \hline \top \rightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow \bot \\ \bot \lor A \Rightarrow \bot \\ \bot \lor A \Rightarrow \top \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

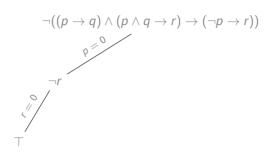
To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \land A \Rightarrow A \\ \top \lor A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ A \rightarrow \top \Rightarrow A \\ A \leftrightarrow \top \Rightarrow A \\ \hline \top \leftrightarrow A \Rightarrow A \\ \hline \neg \bot \Rightarrow \top \\ \bot \lor A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \rightarrow A \Rightarrow \top \\ A \leftrightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

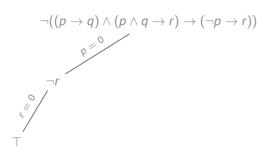
To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \land A \Rightarrow A \\ \top \lor A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ A \leftrightarrow \top \Rightarrow A \\ \hline \top \leftrightarrow A \Rightarrow A \\ \hline \neg \bot \Rightarrow \top \\ \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \rightarrow A \Rightarrow \top \\ A \leftrightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

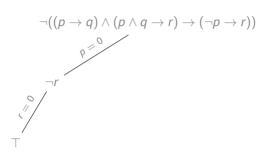
To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \land A \Rightarrow A \\ \top \lor A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ A \leftrightarrow \top \Rightarrow A \\ \hline \top \leftrightarrow A \Rightarrow A \\ \hline \neg \bot \Rightarrow \top \\ \bot \land A \Rightarrow \bot \\ \bot \lor A \Rightarrow A \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

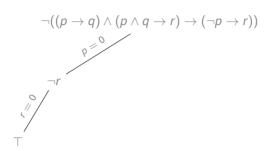
To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \land A \Rightarrow A \\ \top \lor A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ \hline \top \rightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow \bot \\ \bot \lor A \Rightarrow \bot \\ \bot \lor A \Rightarrow \top \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

To find a model of this formula, we simply collect choices made on the branch terminating at \top



$$\begin{array}{c|c} \neg \top \Rightarrow \bot \\ \top \wedge A \Rightarrow A \\ \top \vee A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ \hline \top \rightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow A \\ \hline \bot \leftrightarrow A \Rightarrow \bot \\ \bot \lor A \Rightarrow \bot \\ \bot \rightarrow A \Rightarrow \top \\ A \leftrightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \hline \end{array}$$

The formula is satisfiable

To find a model of this formula, we simply collect choices made on the branch terminating at \top

Any interpretation
$$\mathcal I$$
 such that $\mathcal I(p)=\mathcal I(r)=0$ satisfies the formula, e.g., $\mathcal I=\{\,p\mapsto 0, q\mapsto 0, r\mapsto 0\,\}$

Improving the search for satisfying assignments

The order in which one chooses

- 1. the variable to replace and
- 2. the truth value for the chosen variable

is essential for the efficiency of the splitting algorithm

In certain cases, Choice (2) can be done *deterministically* (without having to try the other alternative)

We will see the case of pure literals

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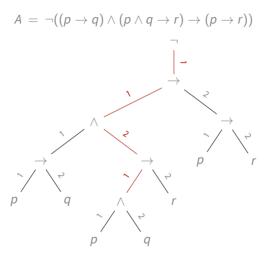
In certain cases, Choice (2) can be done *deterministically* (without having to try the other alternative)

We will see the case of pure literals

Parse tree

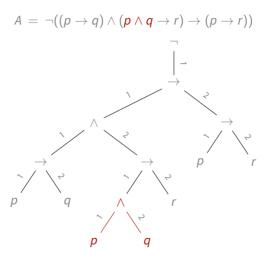
Position in formula A: 1.1.2.1 Subformula of A at this position: $p \land q$

Parse tree



Position in formula A: 1.1.2.1 Subformula of A at this position: p A of

Parse tree



Position in formula A: 1.1.2.1 Subformula of A at this position: $p \land q$

Positions and Subformulas

- *Position* is any sequence of positive integers a_1, \ldots, a_n , where $n \ge 0$, written as $a_1.a_2. \cdots .a_n$
- *Empty position*, denoted by ϵ : when n=0
- Position π in a formula A, subformula at a position, denoted by $A|_{\pi}$
- 1. For every formula A, ϵ is a position in A and $A \mid_{\epsilon} \stackrel{\text{def}}{=} A$
- 2. Let $A|_{\pi}=B$
 - 2.1 If *B* has the form $B_1 \wedge \cdots \wedge B_n$ or $B_1 \vee \cdots \vee B_n$, then for all $i \in \{1, \dots, n\}$ the position $\pi.i$ is a position in *A* and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$
 - 2.2 If B has the form $\neg B_1$, then $\pi.1$ is a position in A and $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$
 - 2.3 If B has the form $B_1 \to B_2$, then π .1 and π .2 are positions in A and $A|_{\pi,1} \stackrel{\text{def}}{=} B_1$ and $A|_{\pi,2} \stackrel{\text{def}}{=} B_2$
 - 2.4 If B has the form $B_1 \leftrightarrow B_2$, then π .1 and π .2 are positions in A and $A|_{\pi,i} \stackrel{\text{def}}{=} B_i$

If $A|_\pi=B$, we also say that B occurs in A at position π

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 - 2.1 If *B* has the form $B_1 \wedge \cdots \wedge B_n$ or $B_1 \vee \cdots \vee B_n$, then for all $i \in \{1, \dots, n\}$ the position $\pi.i$ is a position in *A* and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$
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 - 2.3 If *B* has the form $B_1 \to B_2$, then $\pi.1$ and $\pi.2$ are positions in *A* and $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$ and $A|_{\pi.2} \stackrel{\text{def}}{=} B_2$
 - 2.4 If B has the form $B_1 \leftrightarrow B_2$, then π .1 and π .2 are positions in A and $A|_{\pi,i} \stackrel{\text{def}}{=} B_i$

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Positions and Subformulas

- *Position* is any sequence of positive integers a_1, \ldots, a_n , where $n \ge 0$, written as $a_1.a_2. \cdots .a_n$
- *Empty position*, denoted by ϵ : when n=0
- Position π in a formula A, subformula at a position, denoted by $A|_{\pi}$
- 1. For every formula A, ϵ is a position in A and $A|_{\epsilon} \stackrel{\text{def}}{=} A$
- **2.** Let $A|_{\pi} = B$
 - 2.1 If *B* has the form $B_1 \wedge \cdots \wedge B_n$ or $B_1 \vee \cdots \vee B_n$, then for all $i \in \{1, \dots, n\}$ the position $\pi.i$ is a position in *A* and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$
 - 2.2 If B has the form $\neg B_1$, then $\pi.1$ is a position in A and $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$
 - 2.3 If *B* has the form $B_1 \to B_2$, then $\pi.1$ and $\pi.2$ are positions in *A* and $A|_{\pi.1} \stackrel{\text{def}}{=} B_1$ and $A|_{\pi.2} \stackrel{\text{def}}{=} B_2$
 - 2.4 If B has the form $B_1 \leftrightarrow B_2$, then π .1 and π .2 are positions in A and $A|_{\pi,i} \stackrel{\text{def}}{=} B_i$

If $A|_{\pi}=B$, we also say that B occurs in A at position π

- Polarity of subformula at a position Notation: $pol(A, \pi)$ Values: $\{-1, 0, 1\}$
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 - 2.4 If *B* has the form $B_1 \leftrightarrow B_2$, then $\pi.1$ and $\pi.2$ are positions in *A* and $A|_{\pi.i} \stackrel{\text{def}}{=} B_i$ and $pol(A_1, \pi.i) \stackrel{\text{def}}{=} 0$ for i = 1, 2
 - If $pol(A, \pi) = 1$ and $A|_{\pi} = B$, the occurrence of B at position π in A is positive
- If $pol(A, \pi) = -1$ and $A|_{\pi} = B$, the occurrence of B at position π in A is negative

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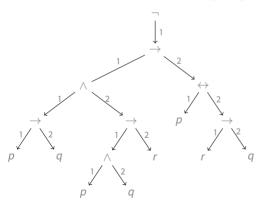
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$$A = \neg((p \to q) \land (p \land q \to r) \to (p \leftrightarrow (r \to q)))$$

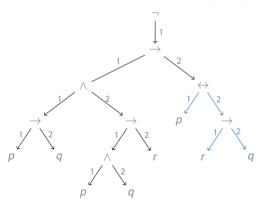
- Color in blue all arcs below an equivalence
- Color in red all uncolored arcs exiting a negation or left-hand side of an implication



- 0 if it has at least one blue arc above it
- —1 if it has no blue arc and an odd number of red arcs above it
- 1 otherwise

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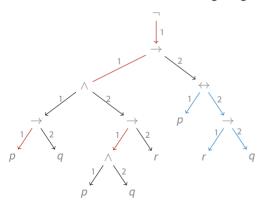
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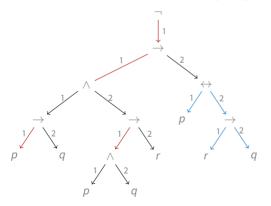
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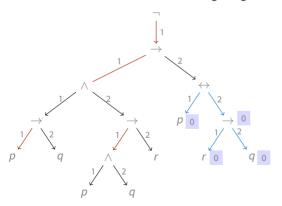
The polarity of a position is

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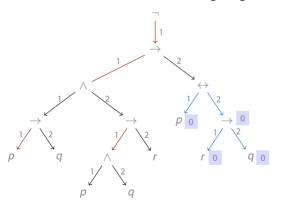
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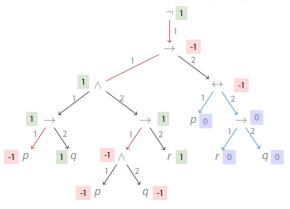
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Position and polarity, again

position	subformula	polarity
ϵ	$\neg((p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r))$	1
1	$(p \rightarrow q) \land (p \land q \rightarrow r) \rightarrow (p \rightarrow r)$	-1
1.1	$(p \rightarrow q) \land (p \land q \rightarrow r)$	1
1.1.1	p o q	1
1.1.1.1	p	-1
1.1.1.2	g	1
1.1.2	$p \wedge q \rightarrow r$	1
1.1.2.1	$p \wedge q$	-1
1.1.2.1.1	p	-1
1.1.2.1.2	g	-1
1.1.2.2	r	1
1.2	ho ightarrow r	-1
1.2.1	p	1
1.2.2	r	-1

Monotonic replacement

Notation: $A[B]_{\pi}$:

- formula A with subformula B at position π
- formula A with the subformula at position π replaced by B

Lemma (Monotonic Replacement)

Let A,B,B' be formulas, \mathcal{I} be an interpretation, and $\mathcal{I} \models B \rightarrow B'$ If $pol(A,\pi)=1$, then $\mathcal{I} \models A[B]_{\pi} \rightarrow A[B']_{\pi}$. Dually, if $pol(A,\pi)=-1$, then $\mathcal{I} \models A[B']_{\pi} \rightarrow A[B]_{\pi}$.

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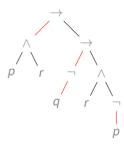
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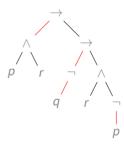




$$p \wedge r \rightarrow (\neg q \rightarrow (r \wedge \neg p))$$

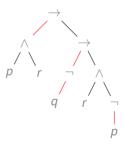


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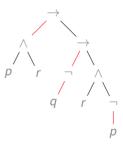
- Both occurrences of *p* are negative, so *p* is pure
- The only occurrence of q is positive, so q is pure
- r is not pure, since it has both negative and positive occurrences

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Properties of Pure Atoms

Lemma (Pure Atom)

Suppose p has only positive occurrences in A and $\mathcal{I} \models A$. Define

$$\mathcal{I}'\stackrel{\mathrm{def}}{=}\mathcal{I}+(p\mapsto 1)$$
 (maps p to 1 and is otherwise identical to \mathcal{I})

Then $\mathcal{I}' \models A$.

Dually, Suppose p has only negative occurrences in A and $\mathcal{I} \models$ A. Define

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Theorem (Pure Atom)

Let an atom p has only positive (respectively, only negative) occurrences in A Then A is satisfiable iff so is A_p^{\top} (respectively, A_p^{\perp}).

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Pure atom, example

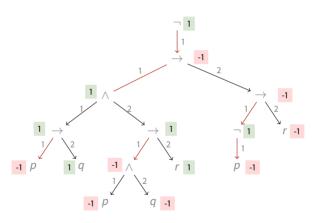
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All occurrences of p are negative, so to check for satisfiability we can replace p by \Box

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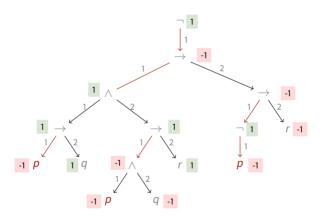
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$$\neg((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r))$$

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```
\begin{array}{cccc}
\neg \top \Rightarrow \bot \\
\top \land A \Rightarrow A \\
\top \lor A \Rightarrow \top \\
A \rightarrow \top \Rightarrow \top \\
T \rightarrow A \Rightarrow A \\
A \leftrightarrow T \Rightarrow A \\
T \leftrightarrow A \Rightarrow A \\
\hline
\neg \bot \Rightarrow \top \\
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All occurrences of p are negative, so, for the purpose of checking satisfiability we can replace p by \bot

$$\neg((p \to q) \land (p \land q \to r) \to (\neg p \to r)) \Rightarrow \\
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\begin{array}{c} \neg \top \Rightarrow \bot \\ \top \wedge A \Rightarrow A \\ \top \vee A \Rightarrow \top \\ A \rightarrow \top \Rightarrow \top \\ T \rightarrow A \Rightarrow A \\ A \leftrightarrow \top \Rightarrow A \\ T \leftrightarrow A \Rightarrow A \\ \hline \bot \wedge A \Rightarrow \bot \\ \bot \vee A \Rightarrow A \\ A \rightarrow \bot \Rightarrow \neg A \\ \bot \rightarrow A \Rightarrow \top \\ A \leftrightarrow \bot \Rightarrow \neg A \\ \bot \leftrightarrow A \Rightarrow \neg A \\ \end{array}
```

$$\neg((\cancel{p} \to q) \land (\cancel{p} \land q \to r) \to (\neg \cancel{p} \to r)) \Rightarrow \\
\neg(((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\bot \land (\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg(((\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg(((\bot \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\top \to (\neg \bot \to r))$$

$$\neg((\mathbf{p} \to q) \land (\mathbf{p} \land q \to r) \to (\neg \mathbf{p} \to r)) \quad \Rightarrow \\
\neg((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\top \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\top \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\neg \bot \to r)$$

$$\neg((\mathbf{p} \to q) \land (\mathbf{p} \land q \to r) \to (\neg \mathbf{p} \to r)) \quad \Rightarrow \\
\neg((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\top \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\neg \bot \to r) \quad \Rightarrow \\
\neg(\top \to r)$$

```
\begin{array}{cccc}
\neg \top \Rightarrow \bot \\
\top \land A \Rightarrow A \\
\top \lor A \Rightarrow \top \\
A \rightarrow \top \Rightarrow T
\end{array}

\begin{array}{cccc}
T \rightarrow A \Rightarrow A \\
A \leftrightarrow T \Rightarrow A \\
T \leftrightarrow A \Rightarrow A
\end{array}

\begin{array}{cccc}
\top \leftrightarrow A \Rightarrow A \\
T \leftrightarrow A \Rightarrow A
\end{array}

\begin{array}{cccc}
\bot \land A \Rightarrow \bot \\
\bot \lor A \Rightarrow \bot

\begin{array}{cccc}
\bot \lor A \Rightarrow \bot
\end{array}

\begin{array}{cccc}
\bot \lor A \Rightarrow \bot

\begin{array}{cccc}
\bot \lor A \Rightarrow \bot
\end{array}

\begin{array}{cccc}
\bot \lor A \Rightarrow \bot

\begin{array}{ccccc}
\bot \lor A \Rightarrow \bot
\end{array}

\begin{array}{ccccc}
\bot \lor A \Rightarrow \bot

\begin{array}{ccccc}
\bot \lor A \Rightarrow \top
\end{array}

\begin{array}{ccccc}
A \leftrightarrow \bot \Rightarrow \neg A

\begin{array}{ccccc}
\bot \leftrightarrow A \Rightarrow \neg A

\begin{array}{ccccc}
\bot \leftrightarrow A \Rightarrow \neg A
```

$$\neg((p \to q) \land (p \land q \to r) \to (\neg p \to r)) \Rightarrow \\
\neg((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\bot \land q \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\bot \land r) \to (\neg \bot \to r)) \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \Rightarrow \\
\neg(\neg \bot \to r) \Rightarrow \\
\neg(\top \to r) \Rightarrow \\
\neg r$$

All occurrences of r are negative, so, for the purpose of checking satisfiability we can replace r by \bot

$$\neg((\mathbf{p} \to \mathbf{q}) \land (\mathbf{p} \land \mathbf{q} \to r) \to (\neg \mathbf{p} \to r)) \quad \Rightarrow \\
\neg((\bot \to \mathbf{q}) \land (\bot \land \mathbf{q} \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land \mathbf{q} \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land \mathbf{q} \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\top \to r) \quad \Rightarrow \\
\neg(\top \to r) \quad \Rightarrow \\
\neg \mathbf{r} \quad \Rightarrow \\
\neg\bot$$

All occurrences of r are negative, so, for the purpose of checking satisfiability we can replace r by \bot

$$\neg((\mathbf{p} \to q) \land (\mathbf{p} \land q \to r) \to (\neg \mathbf{p} \to r)) \quad \Rightarrow \\
\neg((\bot \to q) \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land (\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \land q \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg((\bot \to r) \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\top \to (\neg \bot \to r)) \quad \Rightarrow \\
\neg(\top \to r) \quad \Rightarrow \\
\neg(\top \to r) \quad \Rightarrow \\
\neg \bot \quad \Rightarrow \\
\neg\bot$$

We have shown the satisfiability of this formula deterministically (no guesses), using only the pure atom rule