

# CS:3820

## Programming Language Concepts

### **Imperative languages, environment and store, micro-C**

*Copyright 2013-18, Peter Sestoft and Cesare Tinelli.*

*Created by Cesare Tinelli at the University of Iowa from notes originally developed by Peter Sestoft at the University of Copenhagen. These notes are copyrighted materials and may not be used in other course settings outside of the University of Iowa in their current form or modified form without the express written permission of one of the copyright holders. During this course, students are prohibited from selling notes to or being paid for taking notes by any person or commercial firm without the express written permission of one of the copyright holders.*

# Overview

- A Naive imperative language
- C concepts
  - Pointers and pointer arithmetic, arrays
  - Lvalue and rvalue
  - Parameter passing by value and by reference
  - Expression statements
- Micro-C, a subset of C
  - abstract syntax
  - lexing and parsing
  - interpretation

# Imperative Programming

- Based on *stateful* computation
- A *state* is a mapping from variables to values
- (Terminating) programs start with an initial state and end with a final state
- Programs are sequences of *statements*
- Each statement modifies the current state
- Statements may contain *expressions*, which are evaluated to *values*
- Some expressions have also the *side effect* of modifying the store

# A naive-store imperative language

- **Naive** store model:
  - a variable name maps to an integer value
  - a store is just a runtime environment

```
sum = 0;  
for i = 0 to 100 do  
    sum = sum + i;
```

<b>i</b>	100
<b>sum</b>	5050

```
i = 1;  
sum = 0;  
while sum < 10000 do begin  
    sum = sum + i;  
    i = 1 + i;  
end;
```

<b>i</b>	142
<b>sum</b>	10011

# Naive-store statement execution, 1

- Executing a statement gives a new store
- Assignment  $x = e$  updates the store
- Expressions do not affect the store

```
let rec exec stmt (store : naivestore) : naivestore =  
  match stmt with  
  | Asgn(x, e) ->  
    setSto store (x, eval e store)  
  | If(e1, stmt1, stmt2) ->  
    if eval e1 store <> 0 then exec stmt1 store  
    else exec stmt2 store  
  | ...
```

Update store  
at x with  
value of e

# Naive-store statement execution, 2

- A block  $\{s_1; \dots; s_n\}$  executes  $s_1$  then  $s_2 \dots$
- Example:

```
exec (Block [s1; s2]) store // F# interpreter
= loop [s1; s2] store
= exec s2 (exec s1 store)
```

```
let rec exec stmt (store : naivestore) : naivestore =
  match stmt with
  | Block stmts ->
    let rec loop ss sto =
      match ss with
      | [] -> sto
      | s1::sr -> loop sr (exec s1 sto)
    loop stmts store
  | ...
```

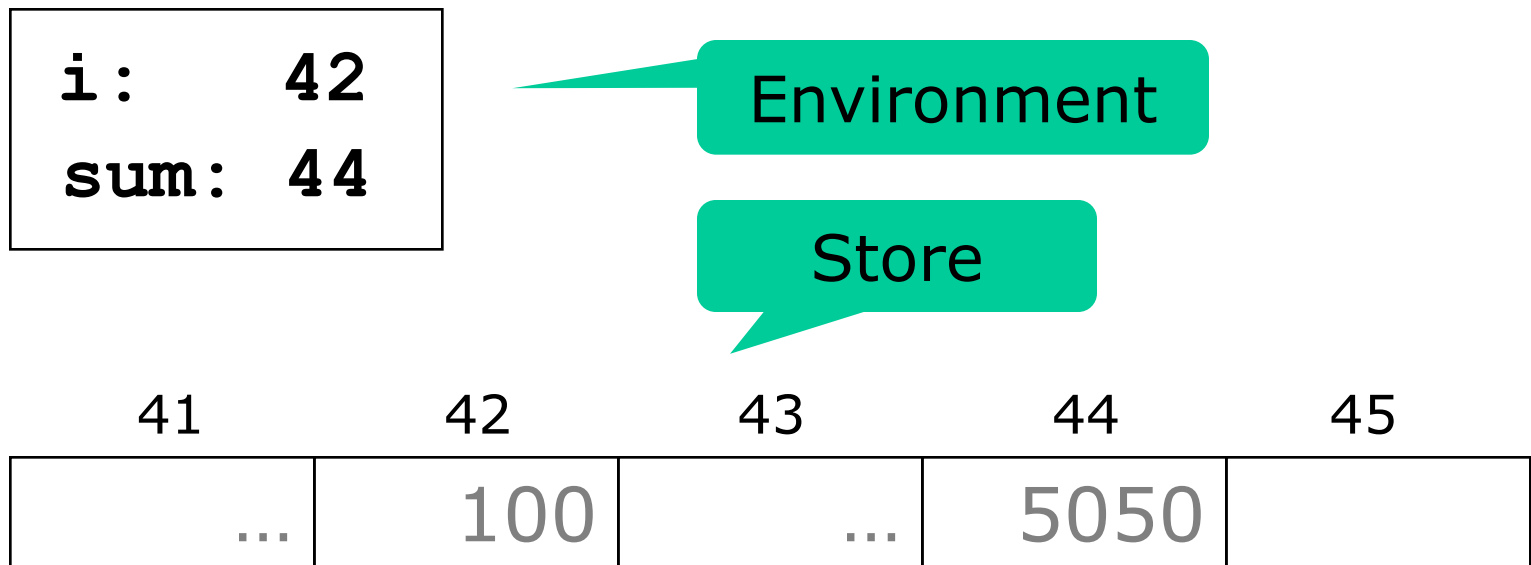
# Naive-store statement execution, 3

- **for** and **while** update the store sequentially

```
let rec exec stmt (store : naivestore) : naivestore =  
  match stmt with  
  | ...  
  | For(x, estart, estop, stmt) -> ...  
  | While(e, stmt) ->  
    let rec loop sto =  
      if eval e sto = 0 then sto  
      else loop (exec stmt sto)  
    loop store
```

# Environment and store, micro-C

- The naive model cannot describe *pointers* and *variable aliasing*
- A more realistic store model:
  - *Environment* maps a variable name to an address
  - *Store* maps address to value





# The essence of C: Pointers

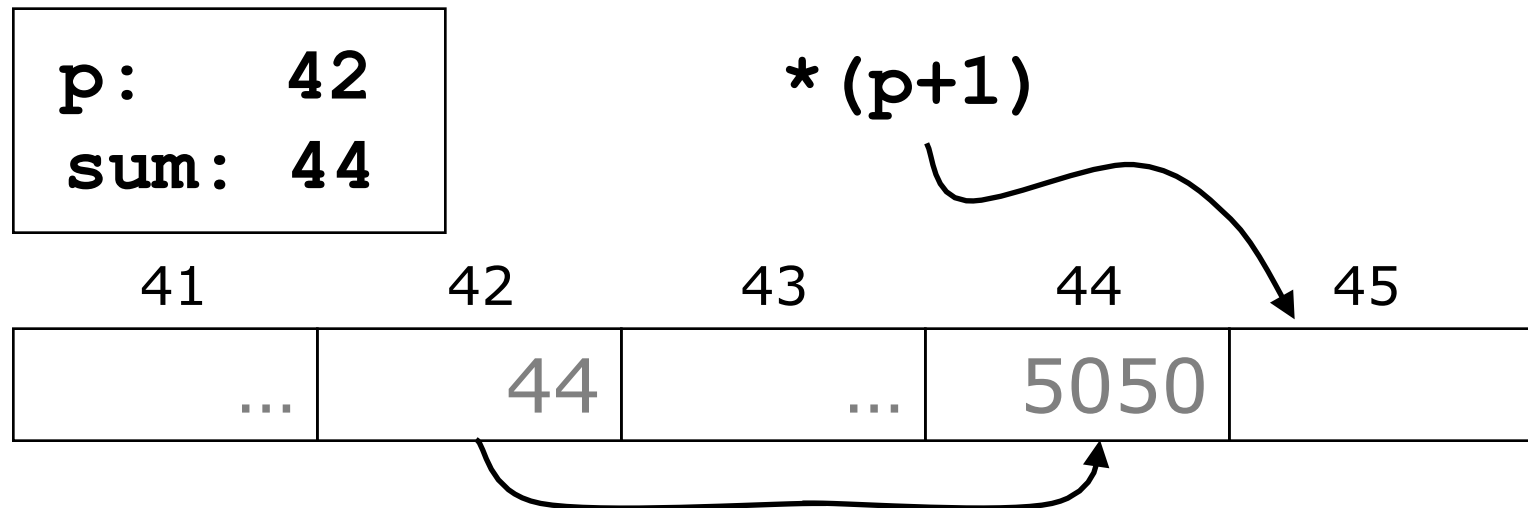
- Main innovations of C (1972) over Algol 60:
  - Structs, as in COBOL and Pascal
  - Pointers, pointer arithmetic, pointer types, array indexing as pointer indexing
  - Syntax: { } for blocks, as in C++, Java, C#
- Very different from Java and C#, which have no pointer arithmetic, but garbage collection

# Desirable language features

	C	C++	F#/ML	Smtalk	Haskell	Java	C#
Garbage collection	Red	Red	Green	Green	Green	Green	Green
Exceptions	Red	Green	Green	Green	Red/Blue Diagonal	Green	Green
Bounds checks	Red	Red	Green	Green	Green	Green	Green
Static types	Red	Green	Green	Red	Green	Green	Green
Generic types (para. polym.)	Red	Red/Blue Diagonal	Green	Red	Green	Green	Green
Pattern matching	Red	Red	Green	Red	Green	Red	Red
Reflection	Red	Red	Light Green	Green	Red	Green	Green
Refl. on type parameters	Red	Red	Light Green	Red	Red	Red	Green
Anonymous functions ( $\lambda$ )	Red	Red	Green	Green	Green	Red/Blue Diagonal	Green
Streams	Red	Red	Light Green	Red	Green	Red	Red/Blue Diagonal
Lazy eval.	Red	Red	Light Green	Red	Green	Red	Red

# C variable basics

- A variable  $x$  refers to an address (storage location)
- Addresses are mapped to values in the store
- *Pointers* are variables whose values is an address



# C variable basics

- What a variable **x** refers to depends on its position in a statement
- It can be:
  - *the content of **x** 's storage location (rvalue), as in*  
**x + 4**
  - *the storage location itself (lvalue), as in*  
**x = y + 4**

# C pointer basics

- The value of a pointer  $p$  is a storage location (address)
- Depending on context, the dereference expression  $*p$  means:
  - *the content of the location* (rvalue), as in  $*p + 4$
  - *the storage location itself* (lvalue), as in  $*p = x + 4$

# C pointer basics

- The pointer that points to `x` is `&x`
- Pointer arithmetic:  
    `*(p+1)` is the content of the loc just after `p`
- If `p` equals `&a[0]`  
    then `*(p+i)` equals `p[i]` equals `a[i]`,  
    so an array is a pointer
- `a[0]` equals `*a`

# Lvalue and rvalue of an expression

- Rvalue is *usual* value, on right-hand side of assignment: **17**, **true**
- Lvalue is *location*, on left-hand side of assignment: **x**, **a[2]**
- In assignment **e1 = e2**, expression **e1** must have lvalue

	<b>Has lvalue</b>	<b>Has rvalue</b>
<b>x</b>	yes	yes
<b>a[2]</b>	yes	yes
<b>*p</b>	yes	yes
<b>x+2</b>	no	yes
<b>&amp;x</b>	no	yes

# C variable declarations

Declaration	Meaning
<code>int n</code>	n is an integer
<code>int *p</code>	p is a pointer to integer
<code>int ia[3]</code>	ia is array of 3 integers
<code>int *ipa[4]</code>	ipa is array of 4 pointers to integers
<code>int (*iap)[3]</code>	iap is pointer to array of 3 integers
<code>int **p</code>	p is a pointer to a pointer to an integer

Unix program `cdecl` or [www.cdecl.org](http://www.cdecl.org) may help:

```
cdecl> explain int *(*ipap)[4]
declare ipap as pointer to array 4 of pointer to int
cdecl> declare n as array 7 of pointer to pointer to int
int **n[7]
```



# Using pointers for return values

Example ex5.c, computing square(x):

```
void main(int n) {  
    ...  
    int r;  
    square(n, &r);  
    print r;  
}  
  
void square(int i, int *rp) {  
    *rp = i * i;  
}
```

for input

for return value: a  
pointer to where to  
put the result

# Recursion and return values

## Computing factorial with micro-C/ex9.c

```
void main(int i) {
    int r;
    fac(i, &r);
    print r;
}

void fac(int n, int *res) {
    if (n == 0)
        *res = 1;
    else {
        int tmp;
        fac(n-1, &tmp);
        *res = tmp * n;
    }
}
```

- **n** is input parameter
- **res** is output parameter: a pointer to where to put the result
- **tmp** holds the result of the recursive call
- **&tmp** gets a pointer to **tmp**

# Possible evaluation of main(3)

main(3):

fac(3, 117):

&r is 117

fac(2, 118):

&tmp is 118

fac(1, 119):

&tmp is 119

fac(0, 120):

&tmp is 120

\*120 = 1

\*119 = 1 \* 1

n is 1

\*118 = 1 \* 2

n is 2

\*117 = 2 \* 3

n is 3

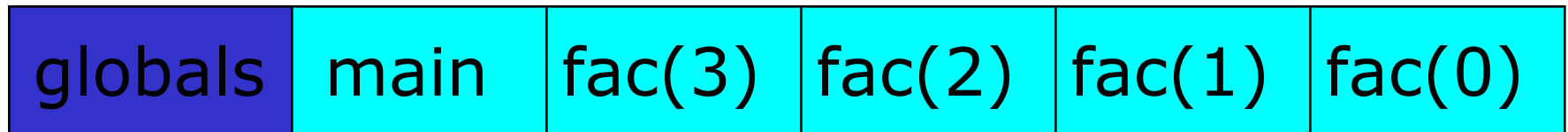
print 6

... 117 118 119 120 121

...	6	2	1	1	...
-----	---	---	---	---	-----

# Storage model for micro-C

- The store is an indexable stack
  - Bottom: global variables at fixed addresses
  - Plus, a stack of activation records



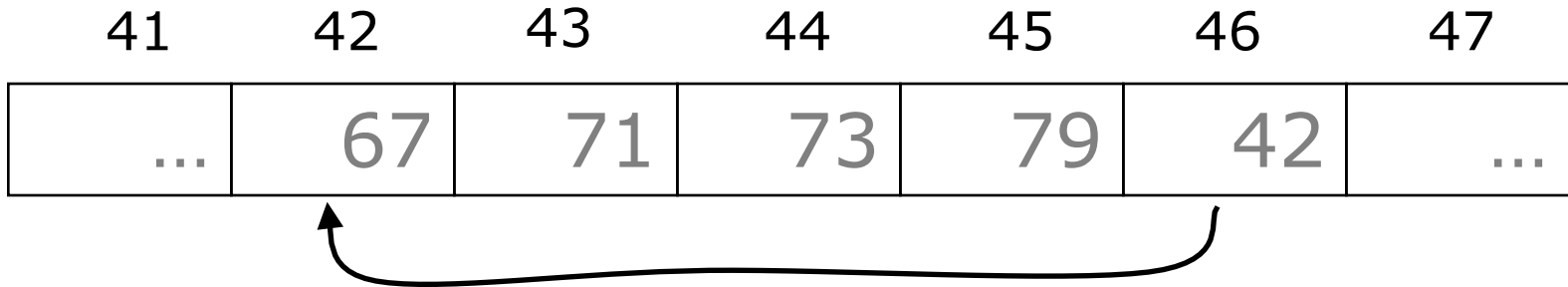
- An *activation record* is an executing function
  - return address and other administrative data
  - parameters and local variables
  - temporary results



# Micro-C array layout

- An array `int a[4]` consists of
  - its 4 `int` elements
  - a pointer to `a[0]`

<b>a: 46</b>
--------------



- This is the uniform array representation of B
- Actual C treats array parameters and local arrays differently; complicates compiler

# Operators `&x` and `*p` are inverses

<b>x:</b>	41
<b>y:</b>	45
<b>p:</b>	42

41	42	43	44	45
1	45	7	8	6

- The address-of operator `&` in `&e`
  - evaluates `e` to its lvalue (address) and returns it as an rvalueEx: `&x == 41, &p == 42`
- The dereferencing operator `*` in `*e`
  - evaluates `e` to its rvalue and returns as an lvalueEx: `*p` is effectively the same as `y`
- It follows that
  1. `&(*e)` equals `e`                      Ex: `&(*p) == &y == 45 == p`
  2. `*(&e)` equals `e`,  
provided `e` has lvalue                      Ex: `*(&y) == *45 == 6 == y`

# Modifying input parameters

```
int a = 11;  
int b = 22;  
swap1(a, b);  
swap2(&a, &b);
```

a: 41  
b: 42

addresses

incorrect

```
static void swap1(int x, int y) {  
    int tmp = x; x = y; y = tmp;  
}
```

x: 43  
y: 44  
tmp: 45

correct

```
static void swap2(int *p, int *q) {  
    int tmp = *p; *p = *q; *q = tmp;  
}
```

x: 41  
y: 42  
tmp: 43

store

41	42	43	44	45
11	22	22	11	11

# Call-by-value and call-by-reference in C#

```
int a = 11;  
int b = 22;  
swapV(a, b);  
swapR(ref a, ref b);
```

a: 41  
b: 42

addresses

by value

```
static void swapV(int x, int y) {  
    int tmp = x; x = y; y = tmp;  
}
```

x: 43  
y: 44  
tmp: 45

by reference

```
static void swapR(ref int x, ref int y) {  
    int tmp = x; x = y; y = tmp;  
}
```

x: 41  
y: 42  
tmp: 43

store

41	42	43	44	45
11	22	22	11	11



# Micro-C syntactic concepts

## Types

`int`

`int *x`

`int x[4]`

## Abstract Syntax

`TypI`

`TypP (TypI)`

`TypA (TypI, Some 4)`

## Expressions

`(*p + 1) * 12`

## Statements

`if (x != 0) y = 1/x;`

## Declarations

- of global or local variables

`int x;`

- of global functions

`void swap(int *x, int *y) { ... }`

# micro-C abstract syntax

type typ =			
TypI	(* Type int		*)
TypC	(* Type char		*)
TypA of typ * int option	(* Array type		*)
TypP of typ	(* Pointer type		*)
and expr =			
Access of access	(* x or *p or a[e]		*)
Assign of access * expr	(* x=e or *p=e or a[e]=e		*)
Addr of access	(* &x or *&p or &a[e]		*)
CstI of int	(* Constant		*)
Prim1 of string * expr	(* Unary primitive operator		*)
Prim2 of string * expr * expr	(* Binary primitive operator		*)
Andalso of expr * expr	(* Sequential and		*)
Orelse of expr * expr	(* Sequential or		*)
Call of string * expr list	(* Function call f(...)		*)
and access =			
AccVar of string	(* Variable access	x	*)
AccDeref of expr	(* Pointer dereferencing	*p	*)
AccIndex of access * expr	(* Array indexing	a[e]	*)
and stmt =			
If of expr * stmt * stmt	(* Conditional		*)
While of expr * stmt	(* While loop		*)
Expr of expr	(* Expression statement	e;	*)
Return of expr option	(* Return from method		*)
Block of stmtordec list	(* Block: grouping and scope		*)
and stmtordec =			
Dec of typ * string	(* Local variable declaration		*)
Stmt of stmt	(* A statement		*)
and topdec =			
Fundec of typ option * string * (typ * string) list * stmt			
Vardec of typ * string			
and program =			
Prog of topdec list			

Types

rvalue  
Expressions  
lvalue

Statements

Declarations

# Interpreting Micro-C in F#

## Interpreter data:

- **locEnv**, *environment* mapping local variable names to store addresses
- **gloEnv**, *environment* mapping global variable names to store addresses, and global function names to (parameter list, body statement)
- **store**, *store* mapping addresses to (integer) values

## Main interpreter functions:

```
exec: stmt -> locEnv -> gloEnv -> store -> store
```

```
eval: expr -> locEnv -> gloEnv -> store -> int * store
```

```
access: access -> locEnv -> gloEnv -> store ->  
        address * store
```

# micro-C abstract syntax

type typ =			
TypI	(* Type int		*)
TypC	(* Type char		*)
TypA of typ * int option	(* Array type		*)
TypP of typ	(* Pointer type		*)
and expr =			
Access of access	(* x or *p or a[e]		*)
Assign of access * expr	(* x=e or *p=e or a[e]=e		*)
Addr of access	(* &x or &*p or &a[e]		*)
CstI of int	(* Constant		*)
Prim1 of string * expr	(* Unary primitive operator		*)
Prim2 of string * expr * expr	(* Binary primitive operator		*)
Andalso of expr * expr	(* Sequential and		*)
Orelse of expr * expr	(* Sequential or		*)
Call of string * expr list	(* Function call f(...)		*)
and access =			
AccVar of string	(* Variable access	x	*)
AccDeref of expr	(* Pointer dereferencing	*p	*)
AccIndex of access * expr	(* Array indexing	a[e]	*)
and stmt =			
If of expr * stmt * stmt	(* Conditional		*)
While of expr * stmt	(* While loop		*)
Expr of expr	(* Expression statement	e;	*)
Return of expr option	(* Return from method		*)
Block of stmtordec list	(* Block: grouping and scope		*)
and stmtordec =			
Dec of typ * string	(* Local variable declaration		*)
Stmt of stmt	(* A statement		*)
and topdec =			
Fundec of typ option * string * (typ * string) list * stmt			
Vardec of typ * string			
and program =			
Prog of topdec list			

Types

rvalue  
Expressions  
lvalue

Statements

Declarations

# Interpreter's functions

`run: program -> int list -> store`

`(run p [a1; ...; an])`

Execute program `p` by initializing global vars and then calling `p`'s `main` function with args `a1; ..., an`, returning final store

`exec: stmt -> locEnv -> gloEnv -> store -> store`

`(exec sta lenv genv sto)`

Execute statement `sta` in local env `lenv` and global env `genv` and store `sto`, returning updated store

`stmtordec: stmtordec -> locEnv -> gloEnv -> store ->  
locEnv * store`

`(stmtordec sd lenv genv sto)`

Execute statement or declaration `sd` in local env `lenv` and global env `genv` and store `sto`, returning updated local env and store

# Interpreter's functions

`eval: expr -> locEnv -> gloEnv -> store -> int * store`  
`(eval e lenv genv st)`

Evaluate expression `e` in local env `lenv` and global env `genv` and store `sto`, returning `e`'s result and updated store

`access: access -> locEnv -> gloEnv -> store ->`  
`address * store`

`(access a lenv genv st)`

Evaluate access expression `a` in local env `lenv` and global env `genv` and store `sto`, returning an address and updated store

`allocate: typ * string -> locEnv -> store ->`  
`locEnv * store`

`(allocate t x lenv sto)`

Bind var `x` in local env `lenv` and allocate space for `x` in store `sto`, returning updated env and store

# Micro-C statement execution

As with the naive language, but two envs:

```
let rec exec stmt locEnv gloEnv store : store =
  match stmt with

  | If(e, stmt1, stmt2) ->
    let (v, store1) = eval e locEnv gloEnv store
    if v <> 0 then exec stmt1 locEnv gloEnv store1
    else exec stmt2 locEnv gloEnv store1

  | While(e, body) ->
    let rec loop store1 =
      let (v, store2) = eval e locEnv gloEnv store1
      if v<>0 then loop (exec body locEnv gloEnv store2)
      else store2
    loop store

  | ...
```

# Expression statements in C, C++, Java and C#

- The “assignment statement”

**x = 2+4;**

Value: none  
Effect: change x

is really an expression

**x = 2+4**

followed by a semicolon

Value: 6  
Effect: change x

- The semicolon means: ignore value

```
let rec exec stmt locEnv gloEnv store : store =  
  match stmt with  
  | ...  
  | Expr e ->  
    let (_, store1) = eval e locEnv gloEnv store  
    store1
```

Evaluate expression  
then ignore its value



# Micro-C expression evaluation, 1

- Evaluation of an expression
  - takes local and global env and a store
  - gives a resulting *rvalue* and a *new store*

```
and eval e locEnv gloEnv store : int * store =
  match e with
  | ...
  | CstI i -> (i, store)
  | Prim2(op, e1, e2) ->
    let (i1, store1) = eval e1 locEnv gloEnv store in
    let (i2, store2) = eval e2 locEnv gloEnv store1 in
    let res =
      match op with
      | "*" -> i1 * i2
      | "+" -> i1 + i2
      | ...
    in
    (res, store2)
```

# Micro-C expression evaluation, 2

- To evaluate access expression **x**, **\*p**, **arr[i]**
  - find its lvalue, as a location **loc**
  - look up the rvalue in the store, as **store1[loc]**
- To evaluate **&e**
  - just evaluate **e** as lvalue
  - return the lvalue

rvalue

```
eval e locEnv gloEnv store : int * store =  
  match e with  
  | Access acc ->  
    let (loc, store1) = access acc locEnv gloEnv store  
      (getSto store1 loc, store1)  
  
  | Addr acc -> access acc locEnv gloEnv store  
  | ...
```

# Micro-C access evaluation, to *lvalue*

- A variable  $x$  is looked up in environment
- A dereferencing  $*e$  just evaluates  $e$  to an address
- An array indexing  $a[e]$ 
  - evaluates  $a$  to address  $k$ , then gets  $v = \text{store}[k]$
  - evaluates  $e$  to rvalue index  $i$
  - returns address  $v+i$

**lvalue**

```
access acc locEnv gloEnv store : int * store =
  match acc with
  | AccVar x          -> (lookup (fst locEnv) x, store)
  | AccDeref e        -> eval e locEnv gloEnv store
  | AccIndex(a, e) ->
    let (k, store1) = access a locEnv gloEnv store
    let v = getSto store1 k
    let (i, store2) = eval e locEnv gloEnv store1
    (v + i, store2)
```

# Lexer specification for Micro-C

- New: endline comments `// blah blah`  
and delimited comments `if (x /* y? */)`

```
rule Token = parse
| ...
| "//"          { EndLineComment lexbuf; Token lexbuf }
| "/*"         { Comment lexbuf; Token lexbuf }
```

```
and EndLineComment = parse
| ['\n' '\r']   { () }
| (eof | '\026') { () }
| _             { EndLineComment lexbuf }
```

```
and Comment = parse
| "/*"         { Comment lexbuf; Comment lexbuf }
| "*/"         { () }
| ['\n' '\r']   { Comment lexbuf }
| (eof | '\026') { lexerError lexbuf "Unterminated" }
| _             { Comment lexbuf }
```

# Parsing C variable declarations

- Hard, declarations are *mixfix*: `int *a[4]`
- Parser trick: Parse a variable declaration as a type followed by a variable description:

`int *x[4]`

type info

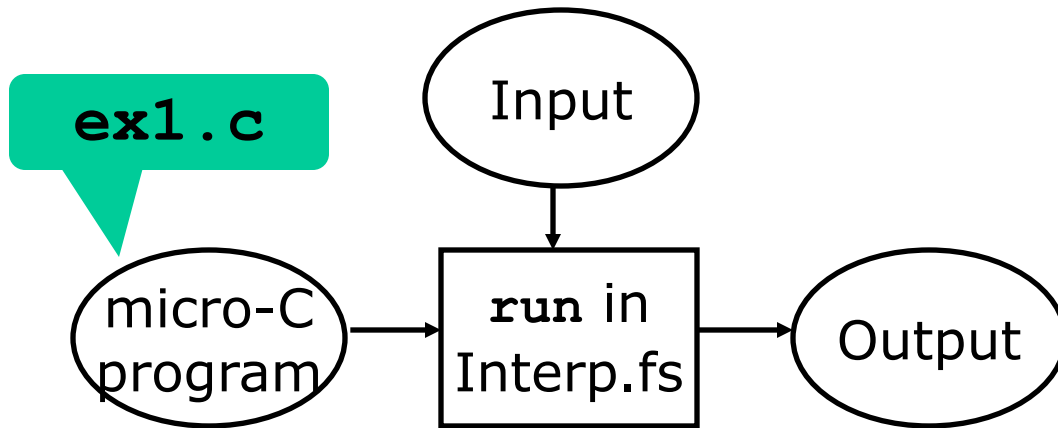
`TypI`

`((fun t -> TypA (TypP t, Some 4)), "a")`

- Parse var description to get pair  $(\mathbf{f}, \mathbf{x})$  of type function  $\mathbf{f}$ , and variable name  $\mathbf{x}$
- Apply  $\mathbf{f}$  to the declared type to get type of  $\mathbf{x}$   
`Vardec (TypA (TypP TypI, Some 4), "a")`

# Micro-C, interpreter and compiler

- So far: Interpretation of micro-C



- Next: Compilation of micro-C

