



# On Restrictions of Representations for $GSpin$

Midwest Representation Theory Conference

---

Melissa Emory

joint with Shuichiro Takeda

March 13, 2022

University of Toronto

# Multiplicity at most one theorems

- $F$ : a non-archimedean local field of characteristic zero
- $G$ : a reductive group over  $F$
- $H \subseteq G$ : a reductive subgroup
- $\pi, \sigma$  irreducible admissible representations of  $G$  and  $H$ , resp.  
Question - "How many times  $\sigma$ " appears as a quotient of  $\pi$ , when  $\pi|_H$ ? namely, to know the dimension

$$\dim_{\mathbb{C}} \text{Hom}_H(\pi, \sigma)$$

- When is this space at most one? This can be formulated as

$$\dim_{\mathbb{C}} \text{Hom}_H(\pi, \sigma) \leq 1$$

This assertion is referred to as a "multiplicity at most one theorem".

## An Example: Spherical Harmonics

Spherical harmonics give a decomposition of the functions of the 2-sphere

$$S^2 = \{(x, y, z) \in \mathbb{R}^3 : x^2 + y^2 + z^2 = 1\}$$

as a representation of  $SO(3)$ .

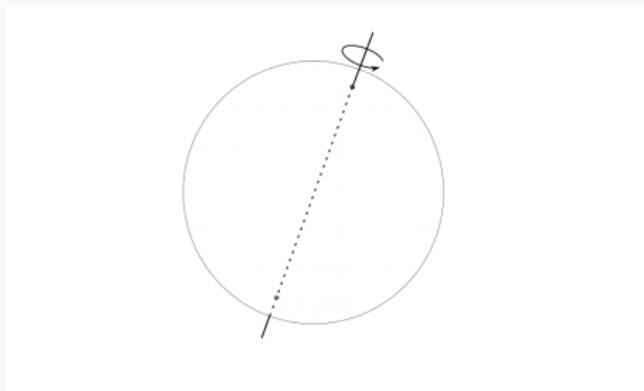
Let  $W_\ell$  be the vector space of homogeneous polynomials  $f(x, y, z)$  of degree  $\ell$  which are harmonic on  $\mathbb{R}^3$

$$\Delta(f) = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2} = 0.$$

The  $W_\ell$  is an irreducible representation of  $SO(3)$  of dimension  $2\ell + 1$  and  $\mathcal{F}(S^2) = \hat{\bigoplus}_{\ell \geq 0} W_\ell$

## An Example: Spherical Harmonics

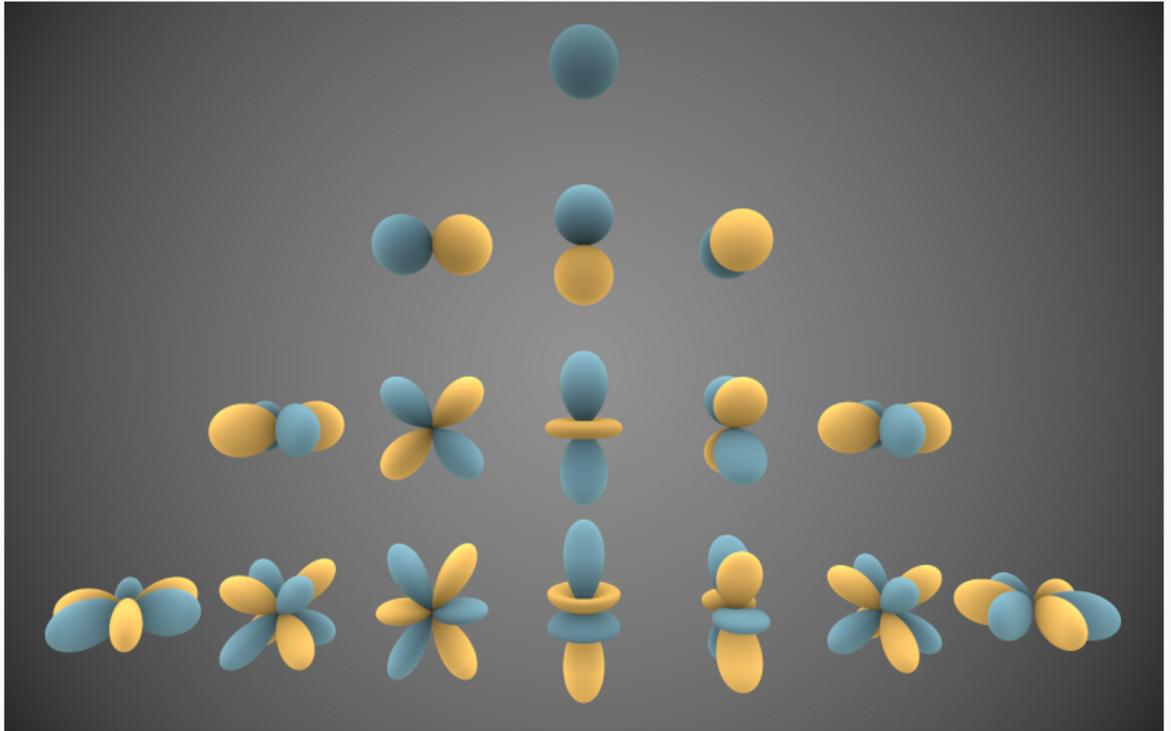
The subgroup of  $SO(3)$  which fixes a point on the 2-sphere is isomorphic to the rotation group  $SO(2)$ .



The restriction of  $W_\ell$  decomposes as a sum of one-dimensional representations

$$\text{Res}_{SO(2)} W_\ell = \bigoplus_{-l \leq m \leq l} \chi_m, \quad \chi_m(z) = z^m.$$

# An example: Spherical Harmonics



# What is GSpin?

Let  $F$  be a field with characteristic not equal to 2 and  $(V, q)$  be a quadratic space.

The **tensor algebra** is denoted

$$T(V) := \bigoplus_{k=0}^{\infty} V^{\otimes k} = F \oplus V \oplus (V \otimes V) \oplus (V \otimes V \otimes V) \oplus \dots$$

Denote

$$I(q) = \langle v \otimes v - q(v) \cdot 1 \rangle \in T(V), \quad v \in V.$$

The **Clifford algebra**:  $C(V) = T(V)/I(q)$ .

## The definition of $\text{GSpin}$

$C(V) = T(V)/I(q)$  is a graded algebra - inherited by

$$T(V)_+ = \coprod_{n \text{ even}} M^{\otimes n}, T(V)_- = \coprod_{n \text{ odd}} M^{\otimes n}$$

Then

$$C(V) = T(V)_+/I(q)_+ \oplus T(V)_-/I(q)_-$$

and

$$C(V) = C^+(V) \oplus C^-(V)$$

which we call the **even** Clifford algebra and the **odd part** of the Clifford algebra, respectively.

Note that

$$\dim C^+(V) = \dim C^-(V) = 2^{n-1}.$$

### Definition

$$\text{GSpin}(V) = \{g \in C^+(V)^\times : gVg^{-1} = V\}$$

## A group (we call) $\text{GPin}$

### Definition

$$\text{GSpin}(V) = \{g \in C^+(V)^\times : gVg^{-1} = V\}$$

Let  $\alpha$  be the automorphism  $\alpha : C(V) \rightarrow C(V)$  where

$$\alpha|_{C_+(V)} = 1 \text{ and } \alpha|_{C_-(V)} = -1$$

### Definition

$$\text{GPin}(V) = \{g \in C(V)^\times : \alpha(g)Vg^{-1} = V\}$$

$$1 \longrightarrow F^\times \longrightarrow \text{GPin}(V) \longrightarrow \text{O}(V) \longrightarrow 1$$

$$1 \longrightarrow F^\times \longrightarrow \text{GSpin}(V) \longrightarrow \text{SO}(V) \longrightarrow 1$$

# The Clifford norm

$C(V)$  is equipped with a natural involution

$$(v_1 \dots v_k)^* = v_k \dots v_1.$$

For all  $x \in C(V)$ , the **Clifford conjugation** is

$$\bar{x} := \alpha(x)^* = \alpha(x^*)$$

giving rise to the **Clifford norm**

$$N : C(V) \rightarrow C(V), \quad N(x) = x\bar{x}.$$

The Clifford norm descends to  $O(V) \rightarrow F^\times / F^{\times 2}$  because  $N(z) \in F^{\times 2}$  for  $z \in Z^0 = F^\times$ , which is called the **spinor norm**

$$\text{Pin}(V) := \ker(N : \text{GPin}(V) \rightarrow F^\times)$$

# Why we call it GPin

We hope that you like commutative diagrams of group schemes!!

$$1 \longrightarrow \mathrm{GL}_1 \longrightarrow \mathrm{GPin}(V) \longrightarrow \mathrm{O}(V) \longrightarrow 1$$

$$1 \longrightarrow \{\pm 1\} \longrightarrow \mathrm{Pin}(V) \longrightarrow \mathrm{O}(V) \longrightarrow 1$$

$$\mathrm{Spin}(V) := \mathrm{GSpin}(V) \cap \mathrm{Pin}(V)$$

$$1 \longrightarrow \mathrm{GL}_1 \longrightarrow \mathrm{GSpin}(V) \longrightarrow \mathrm{SO}(V) \longrightarrow 1$$

$$1 \longrightarrow \{\pm 1\} \longrightarrow \mathrm{Spin}(V) \longrightarrow \mathrm{SO}(V) \longrightarrow 1$$

# Why GSpin?

- A step beyond classical groups
- Representation theory of GSpin subsumes that of SO
- Shimura varieties - work around using work for SO
- Relationship with the Langlands dual group of similitude groups
  - Let  $\widehat{G}$  be the dual group of the group  $G$ .
  - The  $L$ -group of  $G$  is denoted  ${}^L G$  and  ${}^L G \cong \widehat{G} \rtimes W_F$

$G$	$\widehat{G}$	$\parallel$	$G$	$\widehat{G}$
$GL_m$	$GL_m(\mathbb{C})$	$\parallel$	$SL_m$	$PGL_m(\mathbb{C})$
$SO_{2m+1}$	$Sp_{2m}(\mathbb{C})$	$\parallel$	$GSp_{2m}$	$GSpin_{2m+1}(\mathbb{C})$
$SO_{2m}$	$SO_{2m}(\mathbb{C})$	$\parallel$	$GSO_{2m}$	$GSpin_{2m}(\mathbb{C})$

## Multiplicity at most one theorems

- (2010) -(non-archimedean) Aizenbud, Gourevitch, Rallis, Schiffman proved a multiplicity at most one theorem for the pairs

$$(G, H) = (\mathrm{GL}_{n+1}, \mathrm{GL}_n), (\mathrm{U}_{n+1}, \mathrm{U}_n), \text{ and } (\mathrm{O}_{n+1}, \mathrm{O}_n)$$

- (2012) -(non-archimedean) Waldspurger proved for

$$(G, H) = (\mathrm{SO}_{n+1}, \mathrm{SO}_n)$$

- (2012) -(archimedean) Sun and Zhu

# Applications of multiplicity at most one theorems

Multiplicity at most one theorems have many applications, some of them are

- Local and global liftings of automorphic representations
- Automorphic descent
- Determination of L-functions
- The relative trace formula
- A first step in proving the local Gan-Gross-Prasad conjecture

# Statement of the main theorem

- Let  $F$  be a nonarchimedean local field of characteristic zero
- Assume  $W \subseteq V$  is a nondegenerate subspace of dimension  $n - 1$

## Main Theorem (E., Takeda)

Let

$$(G, H) = (\mathrm{GPin}(V), \mathrm{GPin}(W)) \text{ or } (\mathrm{GSpin}(V), \mathrm{GSpin}(W))$$

. For all  $\pi \in \mathrm{Irr}(G)$  and  $\tau \in \mathrm{Irr}(H)$ , we have

$$\dim_{\mathbb{C}}(\mathrm{Hom}_H(\pi, \tau) \leq 1$$

Note that  $\omega_{\pi} \neq \omega_{\tau}$  on  $Z^0$ , then the Hom space is automatically zero.

# The involution

Suppose that we are not in the case where  $\dim_F V = 2k$  with  $k$  odd.

First, let

$$\text{sign} : \text{GPin}(V) \rightarrow \{\pm 1\}$$

be the homomorphism which sends the nonidentity component to  $-1$ , so that its kernel is  $\text{GSpin}(V)$ .

We define our involution

$$\sigma_n(g) = \begin{cases} g^* & \text{if } n = 2k \\ \text{sign}(g)^{k+1} g^* & \text{if } n = 2k - 1. \end{cases}$$

Two essential properties of  $\sigma_n$

1.  $\sigma_n$  fixes  $Z_{\text{GPin}(V)}$  pointwise
2. For each semisimple  $g \in \text{GSpin}(V)$ ,  $\sigma_n(g)$  and  $g$  are conjugate in  $\text{GPin}(V)$

# Vanishing Theorem

Recall, we have an orthogonal basis

$$e_1, \dots, e_{n-1}, e_n$$

and

$$W = \text{Span}\{e_1, \dots, e_{n-1}\}.$$

Let  $E$  be a quadratic extension over  $F$ . We define

$$\beta : V \rightarrow V$$

by sending  $a_1 e_1 + \dots + a_n e_n$  to  $\bar{a}_1 e_1 + \dots + \bar{a}_n e_n$  so that  $\beta^2 = 1$ . We define

$$\widetilde{\text{GSpin}}(V) = \langle g, e_n^k \beta \quad : \quad g \in \text{GSpin}(V) \rangle$$

with the relations  $g\beta = \beta g$  for all  $g \in \text{GSpin}(V)$  and  $\beta^2 = 1$ .

Let  $\chi$  be the character

$$\chi : \widetilde{\text{GSpin}}(V) \longrightarrow \{\pm 1\}$$

that sends  $\beta$  to  $-1$ .

# The Theorems

Recall that we want to show

## Main Theorem (E., Takeda)

For all  $\pi \in \text{Irr}(\text{GSpin}(V))$  and  $\tau \in \text{Irr}(\text{GSpin}(W))$ , we have

$$\dim_{\mathbb{C}}(\text{Hom}_{\text{GSpin}(W)}(\pi, \tau) \leq 1.$$

Let  $\mathcal{S}'(\text{GSpin}(V))^{\widetilde{\text{GSpin}}(W), \chi}$  be the space of distributions on which  $\widetilde{\text{GSpin}}(W)$  acts via  $\chi$ . The main technical part is to prove

## Vanishing Theorem (E., Takeda)

$$\mathcal{S}'(\text{GSpin}(V))^{\widetilde{\text{GSpin}}(W), \chi} = 0$$

## Vanishing Theorem implies Main Theorem

- Uses Cor 1.1 from AGRS. Let  $G$  be an lctd group and  $H \subseteq G$  a closed subgroup, both unimodular. Assume there exists an involution  $\sigma : G \rightarrow G$  such that  $\sigma(H) = H$  and every distribution on  $G$  invariant under the conjugation action of  $H$  is also fixed by  $\sigma$ ; namely if  $T \in \mathcal{S}'(G)^H$ , then  $\sigma \cdot T = T$ , where the action of  $\sigma$  on  $T$  is defined in the obvious way. Then for all  $\pi \in \text{Irr}(G)$  and  $\tau \in \text{Irr}(H)$ , we have

$$\dim_{\mathbb{C}} \text{Hom}_H(\pi, \tau^{\vee}) \cdot \dim_{\mathbb{C}} \text{Hom}_H(\pi^{\vee}, \tau) \leq 1,$$

- For  $\pi \in \text{Irr}(\text{GSpin}(V))$ , we have

$$\pi^{\vee} \simeq \begin{cases} \omega_{\pi}^{-1} \otimes \pi, & \text{if } n = 2k \text{ with } k \text{ even, or } n = 2k + 1; \\ \omega_{\pi}^{-1} \otimes \pi^{\delta}, & \text{if } n = 2k \text{ with } k \text{ odd,} \end{cases}$$

where  $\pi^{\delta}$  is the representation obtained by twisting  $\pi$  by any  $\delta \in \text{GPin}(V) \setminus \text{GSpin}(V)$ .

# Proof of Vanishing Theorem

## Vanishing Theorem (E., Takeda)

$$S'(\mathrm{GSpin}(V))^{\widetilde{\mathrm{GSpin}(W)}, \chi} = 0$$

- Classical groups (AGRS, Waldspurger) Induction argument which boils down to the centralizer of a semisimple element. For  $\mathrm{GL}(n), \mathrm{U}(n), \mathrm{O}(n), \mathrm{SO}(n)$ , it is a direct product.
- For  $\mathrm{GSpin}$  the centralizer of a semisimple element has a part which is not a direct product, i.e.

$$\{(g_1, \dots, g_m) \in \mathrm{GSpin}(V_1) \times \dots \times \mathrm{GSpin}(V_m) : N(g_1) = N(g_2) \cdots = N(g_m)\}$$

## Vanishing Theorem (E., Takeda)

$$\mathcal{S}'(\mathrm{GSpin}(V))^{\widetilde{\mathrm{GSpin}(W)}, \chi} = 0$$

- Reduces to showing

$$\mathcal{S}'(\mathrm{GSpin}(V) \times V)^{\mathrm{GSpin}(V), \chi} = 0$$

using Frobenius descent, and then Harish-Chandra's descent

- We use that the involution  $\sigma_n$  and  $g$  are conjugate in  $\mathrm{GSpin}(V)$  and prove some isomorphisms to reduce to AGRS and Waldspurger

# The local Gan-Gross-Prasad conjecture

The local Gan-Gross-Prasad conjecture asserts when

$$\dim_{\mathbb{C}} \mathrm{Hom}_H(\pi, \sigma) = 1$$

Several ingredients to the proof

- Multiplicity at most one theorem
- Endoscopic Classification of Representations
- local GGP proof

# Endoscopic Classification of Representations of $\mathrm{GSpin}$

As with Arthur, we assume our group is quasi-split. From BIRS conference, it appears there is a way to handle inner forms using a method of Kaletha or Gan using a theta correspondence.

Gee-Taibi: Arthur's multiplicity formula for  $\mathrm{GSp}_4$  and restriction to  $\mathrm{Sp}_4$

- $\mathrm{GSpin}_{2n+1}$ : Split group
- $\mathrm{GSpin}_{2n}^\alpha$ : Quasi-split group, where  $\alpha \in F^\times / (F^\times)^2$ .
- We have the spin double cover

$$0 \longrightarrow \mu_2 \longrightarrow \mathrm{Spin}_{2n}^\alpha \longrightarrow \mathrm{SO}_{2n}^\alpha \longrightarrow 0$$

- Set

$$\mathrm{GSpin}_{2n}^\alpha := (\mathrm{Spin}_{2n}^\alpha \times \mathrm{GL}_1) / \mu_2$$

# Endoscopic Classification of Representations of $\mathrm{GSpin}$

- Let  $\mu : \mathrm{GL}_1 \rightarrow Z(G)$  be dual to the similitude factor  $\hat{\mu} : \hat{G} \rightarrow \mathbb{C}^\times$ .
- Recall that  ${}^L G = \hat{G} \rtimes W_F$
- When  $\mathrm{GSpin}_{2n}^\alpha, \alpha \neq 1$ , then the action of  $W_F$  factors through  $\mathrm{Gal}(F(\sqrt{\alpha})/F) = \{1, \sigma\}$
- $\sigma$  acts by outer conjugation on  $\mathrm{GSO}_{2n}$  and  $1 \rtimes \sigma$  is the element of  $\mathrm{O}_{2n}(\mathbb{C})$  that switches  $e_n$  and  $e_{n+1}$  and fixes the other  $e_i$ .
- We have the standard representation

$$\mathrm{Std}_G : {}^L G \rightarrow \mathrm{GL}_N(\mathbb{C}) \times \mathrm{GL}_1(\mathbb{C})$$

## Endoscopic datum

Endoscopic datum for a connected reductive group  $G$  over a local field  $F$  is a tuple  $(H, \mathcal{H}, s, \xi)$

- $H$  is a quasi-split connected group over  $F$
- $\xi : \hat{H} \rightarrow \hat{G}$  is a continuous embedding
- $\mathcal{H}$  is a closed subgroup of  ${}^L G$  that surjects onto  $W_F$  with kernel  $\xi(\hat{H})$  such that the induced outer action of  $W_F$  on  $\xi(\hat{H})$  coincides with the usual one on  $\hat{H}$  transported by  $\xi$  and such that there exists a continuous splitting  $W_F \rightarrow \mathcal{H}$
- $s \in \hat{G}$  is a semisimple element whose connected centralizer in  $\hat{G}$  is  $\xi(\hat{H})$  and such that the map  $W_F \rightarrow \hat{G}$  induced by  $h \in \mathcal{H} \mapsto shs^{-1}h^{-1}$  takes values in  $Z(\hat{G})$  and is trivial in  $H^1(W_F, Z(G))$

## Endoscopic groups for $\mathrm{GSpin}$

If  $G = \mathrm{GSpin}_{2n+1}$ , then

$$H = (\mathrm{GSpin}_{2a+1} \times \mathrm{GSpin}_{2b+1}) / \mathrm{GL}_1$$

with  $a + b = n$ ,  $ab \neq 0$

If  $G = \mathrm{GSpin}_{2n}^\alpha$ , then

$$H = (\mathrm{GSpin}_{2a}^\beta \times \mathrm{GSpin}_{2b}^\gamma) / \mathrm{GL}_1$$

where  $a + b = n$ ,  $\beta\gamma = \alpha$ ,  $\beta \neq 1$  if  $a = 1$  and  $\gamma = 1$  if  $b = 1$ .

Let

$$\theta : \mathrm{GL}_N \times \mathrm{GL}_1 \rightarrow \mathrm{GL}_N \times \mathrm{GL}_1$$

$$(g, x) \mapsto (J^t g^{-1} J^{-1}, x \det g)$$

- $\theta$  fixes the pinning of  $G$
- $\mathrm{GL}_N \times \mathrm{GL}_1 \rtimes \{\theta\}$  is the connected component of  $\mathrm{GL}_N \times \mathrm{GL}_1 \rtimes \langle \theta \rangle$

Thank you!!