

CVC4SY: Smart and Fast Term Enumeration for Syntax-Guided Synthesis

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Abstract. This paper presents *CVC4SY*, a syntax-guided synthesis (SyGuS) solver based on several bounded term enumeration strategies. It exploits two paradigms for enumerating terms. The first encodes the term enumeration problem into an extension of the quantifier-free theory of algebraic datatypes (DT). The second is based on a highly optimized brute-force algorithm. Combining the two strategies in a tight loop within the SMT solver *CVC4* and a heuristic to choose between the strategies leads to significant improvements over state-of-the-art SyGuS solvers.

1 Introduction

Syntax-guided synthesis (SyGuS) [3] is a recent paradigm for program synthesis, successfully used for a number of applications in formal verification and programming languages. Most SyGuS solvers employ the CEGIS [16] approach: a refinement loop in which a learner proposes solutions, and a verifier, generally an SMT solver [8, 9], checks them, providing counterexamples for failures. Generally, the learner enumerates some set of terms, pruning those that it recognizes as spurious [17]. Despite its scalability issues, the simplicity and efficacy of enumerative SyGuS have made it the de facto approach for SyGuS, although alternatives exist for restricted fragments [4, 14].

In previous work [14], we have shown how the SMT solver *CVC4* [5] can itself act as an efficient synthesizer. This tool paper focuses on recent advances in enumerative synthesis in *CVC4*, culminating in the current SyGuS solver *CVC4SY*. Figure 1 shows its main components. The term enumerator is parameterized by an enumeration strategy chosen before solving. Each strategy is implemented by a subsolver: *CVC4SY_S*, whose constraint-based (smart) enumeration allows numerous optimizations (Section 2); *CVC4SY_F*, based on a new approach for (fast) enumerative synthesis (Section 3) which has significant advantages with respect to the enumerative solver *CVC4SY_S* and other state-of-the-art approaches; and *CVC4SY_H*, based on a hybrid approach combining smart and fast enumeration (Section 4). All strategies are fully integrated in *CVC4*, meaning they are capable of handling conjectures in many background theories, including arithmetic, bit-vectors, strings and floating points. We contrast and evaluate these approaches extensively on a large set of synthesis benchmarks (Section 5).

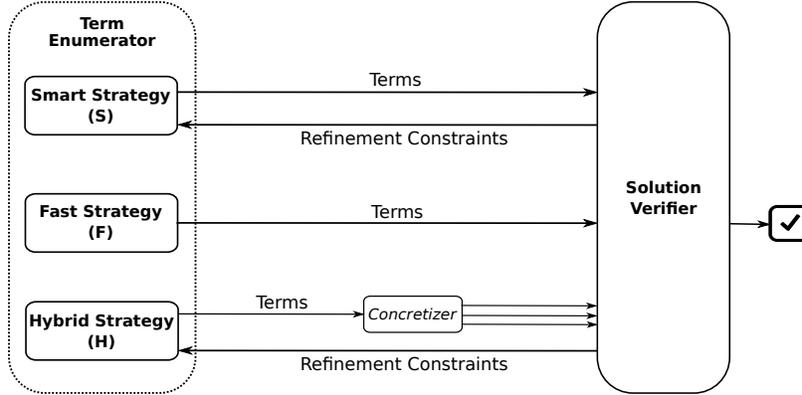


Fig. 1. Architecture of CVC4SY.

The problem A syntax-guided synthesis problem for a function f in a background theory T consists of a set of semantic restrictions, or specification, for f given by a (second-order) T -formula of the form $\exists f. \varphi[f]$, and a set of syntactic restrictions on the solutions for f , typically expressed as a context-free grammar. An *enumerative* approach to this problem combines a *term enumerator* and a *solution verifier* for solving synthesis conjectures. The role of the term enumerator is to output a stream of terms t_1, t_2, \dots over some tuple \bar{x} of variables representing the inputs of f , where each $t_i[\bar{x}]$ is a candidate solution. The role of the solution verifier is to check whether each t_i is a solution for f by determining if the negated conjecture $\neg\varphi[\lambda\bar{x}.t_i]$ is unsatisfiable.

In *bounded* term generation, terms are considered based on an ordering such as term size, defined as the number of non-nullary symbols in a term. For each $k = 0, 1, 2, \dots$, the term enumerator outputs a *finite* set T_k of terms, each of size at most k . Our implementation of bounded term generation in CVC4SY is *complete* in the sense that, for any k , if f has a solution of size at most k , then at least one of the terms in T_k is a solution for f . The effectiveness of an approach for (complete) bounded term generation can be evaluated based on two criteria: (i) the number n of terms it generates and (ii) the rate at which it generates them.

We follow two approaches for enumerative SyGuS in CVC4SY, each optimized for one of the criteria above: a *smart* approach and a *fast* one. The first aims to generate reasonably quickly the smallest set of terms while maintaining completeness, while the second aims to generate terms as quickly as possible for some reasonably small n .

Technical preliminaries As we showed in previous work [14], syntactic restrictions can be conveniently represented as a set of (*algebraic*) *datatypes*, for which some SMT solvers have dedicated decision procedures [7, 13]. For instance, given a function $f : (x : \text{Int}) \times (y : \text{Int}) \rightarrow \text{Int}$ and the context-free grammar R below specifying respectively what integer and Boolean terms can appear in candidate solutions for f :

$$I ::= 0 \mid 1 \mid x \mid y \mid I + I \mid I - I \mid \text{ite}(B, I, I) \quad (1)$$

$$B ::= B \geq B \mid I \approx I \mid \neg B \mid B \wedge B \quad (2)$$

our SyGuS solver generates the following mutually recursive datatypes:

$$\mathcal{I} = 0 \mid 1 \mid x \mid y \mid \text{plus}(\mathcal{I}, \mathcal{I}) \mid \text{minus}(\mathcal{I}, \mathcal{I}) \mid \text{ite}(\mathcal{B}, \mathcal{I}, \mathcal{I}) \quad (3)$$

$$\mathcal{B} = \text{geq}(\mathcal{I}, \mathcal{I}) \mid \text{eq}(\mathcal{I}, \mathcal{I}) \mid \text{not}(\mathcal{B}) \mid \text{and}(\mathcal{B}, \mathcal{B}) \quad (4)$$

Each datatype constructor corresponds to a production rule of R , e.g. `plus` corresponds to the rule $I ::= I + I$. A datatype term such as `plus(x, y)` represents the arithmetic term $x + y$. We will use these datatypes as a running example.

For a datatype term t , we write $\text{is}_C(t)$ to denote the *discriminator* predicate that is satisfied exactly when t is interpreted as a datatype whose top constructor is C . We write $\text{sel}_n^\tau(t)$ to denote a *shared selector* [15] applied to t , interpreted as the n^{th} child of t with type τ if one exists, and interpreted as an arbitrary element of τ otherwise. A term consisting of zero or more consecutive nested applications of shared selectors applied to a term t is a *shared selector chain* (for t).

2 Smart Enumerative SyGuS

Our *smart enumerative SyGuS* approach in CVC4 is based on finding solutions for an evolving set of constraints in an extension of the quantifier-free fragment of algebraic datatypes. The way these constraints are constructed to rule out many redundant solutions, while not overconstraining the problem so much that it becomes infeasible, potentially missing actual solutions.

In detail, candidate solutions for the function $f : \tau_1 \rightarrow \tau_2$ to be synthesized are constructed by maintaining a set of constraints F , initially empty, for a first-order variable d ranging over the datatype representing τ_2 . For example, consider again the function f with the syntactic restrictions expressed by the datatypes in Equations 3 and 4. If the term generator finds a model for F , it provides to the solution verifier the integer term which corresponds to the value of d in the model; for example, it provides $x + 1$ when d is interpreted as `plus(x, 1)`. In turn, if the solution verifier finds that $x + 1$ is not a solution, it provides the *blocking constraint* $\neg \text{is}_{\text{plus}}(d) \vee \neg \text{is}_x(\text{sel}_1^x(d)) \vee \neg \text{is}_1(\text{sel}_2^1(d))$, i.e., the datatype constraint that rules out the current value for d , which is then added to F . This is a *syntactic* constraint on future candidate solutions from the term generator. Its atoms are discriminators applied to shared selector chains.

CVC4SY_S uses a number of optimization techniques in addition to the basic loop above, which we describe in the remainder of this section. These techniques produce blocking constraints via the lemmas-on-demand paradigm [6] that eagerly rule out spurious candidates, *prior* to the solution verification step. Additionally, whenever possible, it *strengthens* blocking constraints via novel generalization techniques, with the effect of ruling out larger classes of candidates.

Blocking via Theory Rewriting with Structural Generalization As we describe in previous work [14], the enumerative solver of CVC4 uses its rewriter as an oracle for discovering when candidate solutions are redundant. The motivation is that, for any two equivalent terms t and s , it is only worthwhile for the solution verifier to check one of these terms, since either both t and s are solutions to the synthesis conjecture or

neither is. Given a term t , we write $t\downarrow$ to denote its *rewritten form*. Note it is possible for equivalent terms not to have the same rewritten form. This is a consequence of the tradeoffs in the implementation of CVC4’s rewriter, which must balance efficiency and completeness.

As an example, suppose that the term enumerator previously generated $x+y$ and that d ’s current value is the datatype term representing $y+x$ where, however, $(x+y)\downarrow = (y+x)\downarrow$. We first generate a blocking constraint template $R[z]$ of the form $\neg\text{is}_{\text{plus}}(z) \vee \neg\text{is}_y(\text{sel}_1^T(z)) \vee \neg\text{is}_x(\text{sel}_2^T(z))$, where z is a fresh variable. The template is subsequently instantiated with $z \mapsto t$ for shared selector chains t for d whose type is \mathcal{I} that currently or later appears in F , starting with d itself. This has the effect of ruling out all candidate solutions that have $y+x$ as a subterm, which is justified by the fact that each such term is equivalent to one in which all occurrences of $y+x$ are replaced by $x+y$.

We employ a refinement of this technique, which we call *theory rewriting with structural generalization*, which searches for and then blocks only the minimal skeleton of the term under test that is sufficient for determining its rewritten form. For example, consider the if-then-else term $t = \text{ite}(x \approx 0 \wedge y \geq 0, 0, x)$. This term is equivalent to x , regardless of the value of predicate $y \geq 0$. This can be confirmed by the rewriter by computing that $\text{ite}(x \approx 0 \wedge w, 0, x)\downarrow = x$ where w is a fresh Boolean variable. Then, instead of generating a constraint $R[z]$ that blocks only (the datatype value corresponding to) t , we generate a stronger constraint $R^g[z]$ that ignores the subterm $y \geq 0$. The blocking constraint $R^g[z]$ rules out all candidate solutions that contain the subterm $\text{ite}(x \approx 0 \wedge w, 0, x)$, for *any* term w . We compute these generalizations using a recursive algorithm that iteratively replaces *each* subterm of the current candidate with a fresh variable, and checks whether its rewritten form remains the same.

Blocking via CEGIS with Structural Generalization Synthesis solvers based on CEGIS maintain a list of *refinement points* that witness the infeasibility of previous candidate solutions. That is, given a synthesis conjecture $\exists f. \forall \bar{x}. \varphi[f, \bar{x}]$, the solver maintains a growing list $\bar{p}_1, \dots, \bar{p}_n$ of values for \bar{x} that witness the infeasibility of previous candidates u_1, \dots, u_n for f . Then, when a new candidate u is generated, we first check whether $\varphi[u, \bar{p}_i]$ is false for some $i \leq n$. Furthermore, when a candidate u fails to satisfy $\varphi[u, \bar{p}_i]$, solver CVC4SY_S further applies a form of generalization analogous to the structural generalization described above. We call this *CEGIS with structural generalization*, where the goal is to find the minimal skeleton of u that also fails to satisfy some refinement point.

For example, suppose f is the function to synthesize, ϕ includes the constraint $f(x, y) \leq x - 1$, and $p_1 = (3, 3)$ is a refinement point. Then, the candidate term $u[x, y] = \text{ite}(x \geq 0, x, y + 1)$ will be discarded, because $\text{ite}(3 \geq 0, 3, 4) \not\leq 2$. Notice, however, that *any* candidate $u' = \text{ite}(x \geq 0, x, t)$ is falsified by p_1 , regardless of what t is, since $u'[3, 3] \leq 2$ is equivalent to $3 \leq 2$. This indicates that we can block *all* *ite* candidate terms with condition $x \geq 0$ and true branch x . We can express this constraint in CVC4SY_S by dropping the disjuncts that relate to the false branch of the *ite* term. This form of blocking is particularly useful when synthesizing multiple functions (f_1, \dots, f_n) , since it is often the case that a candidate for a single f_i is already sufficient to falsify the specification, regardless of what the candidates for the other functions are.

Evaluation Unfolding Finally, we use a technique we call *evaluation unfolding*, which uses *evaluation functions* to encode the relationship between the datatype terms assigned to d and their analogs in the theory T . For example, the evaluation function for the datatype \mathcal{I} defined in (3) is a function $E_{\mathcal{I}} : \mathcal{I} \times \text{Int} \times \text{Int} \mapsto \text{Int}$ defined axiomatically so that $E_{\mathcal{I}}(d, m, n)$ denotes the result of evaluating d by interpreting any occurrences of x and y in d respectively as m and n and interpreting the other constructors as the corresponding arithmetic/Boolean operators, e.g. $E_{\mathcal{I}}(\text{minus}(x, y), 5, 3)$ is interpreted as 2. When a refinement point \bar{c} is generated, we add a constraint requiring that the evaluation of d at \bar{c} must satisfy the specification. For example, for conjecture $\exists f. \forall x. f(x + 1, x) \leq 0$, and refinement point $x \mapsto 1$, we add the constraint $E_{\mathcal{I}}(d, 2, 1) \leq 0$. Then, when a literal $\text{is}_{\mathcal{C}}(t)$ is asserted for a term t of type \mathcal{I} , we can add a constraint corresponding to the one-step unfolding of the evaluation of t . Specifically, when $\text{is}_{\text{ite}}(d)$ is asserted, we generate the constraint

$$\text{is}_{\text{ite}}(d) \Rightarrow E_{\mathcal{I}}(t, 2, 1) \approx \text{ite}(E_{\mathcal{B}}(\text{sel}_1^{\mathcal{B}}(d), 2, 1), E_{\mathcal{I}}(\text{sel}_1^{\mathcal{I}}(td), 2, 1), E_{\mathcal{I}}(\text{sel}_2^{\mathcal{I}}(d), 2, 1))$$

indicating that the evaluation of d on point $(2, 1)$ indeed behaves like an *ite* term when d has top symbol *ite*. Our implementation adds these constraints for all terms t whose top symbols correspond to *ite* or Boolean connectives. For terms t whose top symbol is any of the other operators, we add constraints corresponding to their total evaluation of t when the value of t is fully determined, for example, $t \approx \text{plus}(x, y) \Rightarrow E_{\mathcal{I}}(t, 2, 1) \approx 3$. Notice this constraint with $t = d$ along with the refinement constraint $E_{\mathcal{I}}(d, 2, 1) \leq 0$ suffices to show that d cannot be $\text{plus}(x, y)$.

3 Fast Enumerative SyGuS

The techniques in the previous section prune the search space so that often only a small subset of the entire possible set of terms is considered for a given term size bound. Their main bottleneck, however, is managing the large number of blocking constraints generated. Moreover, the benefits of this approach are limited when the grammar or specification does not admit opportunities for generalization.

For this reason, we have also developed `CVC4SY_F`, which, in the spirit of other SyGuS solvers (notably `ESOLVER` [17]), relies on a principled brute-force approach for term generation. In contrast to other solvers, however, which are built as layers on top of the core SMT reasoner, `CVC4SY_F` is fully integrated as a subsolver of `CVC4`, meaning that its communication with other components has almost no overhead. This technique, which we refer to as *fast enumerative synthesis*, abandons constraint solving as the core technique for generating new terms. As a result, the majority of optimizations from Section 2 are incompatible with it.

Algorithm To generate terms up to a given size k , we maintain a set S_{τ}^k of terms of type τ and size k for each datatype τ corresponding to a non-terminal symbol of our input grammar R . First, we compute for each such τ the set \mathcal{C}_{τ} of its *constructor classes*, an equivalence relation over the constructors of τ that groups them by their type. For example, the constructor classes for \mathcal{I} are $\{x, y, 0, 1\}$, $\{\text{plus}, \text{minus}\}$ and $\{\text{ite}\}$. Then, we use the following procedure for generating all terms of size k for type τ :

FASTENUM(τ, k):

For all:

- Constructor classes $C \in \mathcal{C}_\tau$, whose elements have type $\tau_1 \times \dots \times \tau_n \rightarrow \tau$,
- Tuple of naturals (k_1, \dots, k_n) such that $k_1 + \dots + k_n + ite(n > 0, 1, 0) = k$,
- (a) Run FASTENUM(τ_i, k_i) for each $i = 1, \dots, n$,
- (b) Add $C(t_1, \dots, t_n)$ to S_τ^k for all tuples (t_1, \dots, t_n) with $t_i \in S_{\tau_i}^{k_i}$ and all constructors $C \in \mathcal{C}$.

The recursive procedure FASTENUM(τ, k) populates the set S_τ^k of all terms of type τ with size k . These sets are cached globally. We incorporate an optimization that only adds terms $C(t_1, \dots, t_n)$ to S_τ^k whose corresponding terms in the theory T are unique up to rewriting. This mimics the effect of blocking via theory rewriting as described in Section 2. For example, $\text{plus}(y, x)$ is not added to S_τ^1 if that set already contains $\text{plus}(x, y)$, noting that $(x + y)\downarrow = (y + x)\downarrow$. By construction of S_τ^k for $k \geq 1$, this has the cascading effect of excluding all terms having $y + x$ as a subterm.

We observe that theory rewriting with structural generalization cannot be easily incorporated into this scheme since it requires the use of a constraint solver, something that the above algorithm seeks to avoid.

4 Hybrid Approach: Variable-Agnostic Enumerative SyGuS

We follow a third approach, in solver CVC4SY_H, that combines elements of the previous approaches. The idea is to use the (smart) approach from Section 2 to generate terms, but then generate *multiple* candidate solutions from each term using a fast subprocedure we call a *concretizer*. We implement an instance of this scheme, which we call *variable-agnostic* term generation, that produces only terms that are unique modulo alpha-equivalence. In our running example, when a term t such as $x + 1$ is produced, the concretizer produces all terms generated by the grammar R that are alpha-equivalent to t , namely, $\{x + 1, y + 1\}$ in this case. The advantage of this approach is that CVC4SY_H can block any term whose variables are not canonically ordered; that is, assuming for instance that $x \leq y$, it may block terms like $1 - y$ and $y + y$, noting they are alpha-equivalent to $1 - x$ and $x + x$. To implement this blocking scheme, we introduce unary Boolean predicates pre_x and $post_x$ for each variable x in our grammar, where pre_x (resp., $post_x$) holds for t if and only if variable x occurs in a depth-first left-to-right traversal of our candidate term before (resp., after) traversing to the position indicated by the selector chain t . We encode the semantics of these predicates based on the arguments of constructors in our signature, e.g. $\text{is}_{\text{plus}}(z) \Rightarrow (pre_x(z) \approx pre_x(\text{sel}_1^T(z)) \wedge post_x(\text{sel}_2^T(z)) \approx post_x(z))$. We then assert that pre_x and pre_y are false for our top-level variable d , and require $\text{is}_y(z) \Rightarrow pre_x(z)$ for all z , stating that x must come before y in the traversal of any generated term.

This technique is useful for grammars with many variables, such as grammars in invariant synthesis problems, where the number of terms of small size is prohibitively high. Blocking based on theory rewriting (with generalization) from Section 2 is compatible with this technique and is used in CVC4SY_H. However, the other optimizations are disabled, since they prune solutions in a way that is not agnostic to variables.

Set	#	a+si	a	s	s-cg	s-eu	s-rg	s-r	f	f-r	h	h-rg	h-r	EUS
IC-BV	160	135	135	135	132	130	130	133	138	132	128	126	127	
CegisT	79	56	43	43	43	43	42	41	42	42	42	42	41	
Lustre	485	255	255	255	255	218	211	221	231	213	248	244	234	
General	413	293	237	228	229	232	230	220	237	226	221	225	213	290
Gen-CrCi	214	159	159	159	159	143	159	159	155	132	130	137	125	152
CLIA	88	86	20	20	19	19	19	18	20	16	16	16	16	85
INV	127	109	109	109	109	109	109	109	110	109	109	109	109	68
PBE-BV	753	751	751	721	721	721	721	628	751	717	721	721	628	745
PBE-Str	109	105	105	104	104	104	87	75	105	103	102	87	75	74
Total	2428	1949	1814	1774	1771	1719	1708	1604	1789	1690	1717	1707	1568	1414

Table 1. Summary of number of problems solved per benchmark set. Best results are in **bold**.

5 Evaluation

We evaluated the above techniques in CVC4SY on four benchmark sets: invariant synthesis benchmarks from the verification of Lustre [11] models; a set from work on synthesizing invertibility conditions for bit-vector operators [12] (IC-BV); a set of bit-vector invariant synthesis problems [2] (CegisT); and the SyGuS-COMP 2018 [1] benchmarks from five tracks: assorted problems (General), conditional linear arithmetic problems (CLIA), invariant synthesis problems (INV), and programming-by-examples problems [10] with a set over bit-vectors (PBE-BV) and another over strings (PBE-Str). We also considered separately the CrCi subset from General, which corresponds to cryptographic circuit synthesis. We ran our experiments on a cluster equipped with Intel E5-2637 v4 CPUs running Ubuntu 16.04, providing one core, 1800 seconds, and 8GB RAM for each job. Results are summarized in Table 1 and Figure 2. We denote the strategies from Sections 2, 3, and 4 by **s**, **f** and **h**, respectively (smart, fast, and hybrid); disabling the optimizations from Section 2 is marked by “-” and the suffixes **r** (rewriting), **rg** (rewriting with structural generalization), **cg** (CEGIS with structural generalization), and **eu** (evaluation unfolding). We also evaluated two meta-strategies of CVC4SY: **a** and **a+si**. The auto strategy **a** picks a strategy based on the properties of the problem: **f** for PBE problems and for problems without the Boolean type or the *ite* operator in their grammar and **s** otherwise. Strategy **a+si** uses the single-invocation solver [14] on problems that are amenable to quantifier elimination and **a** otherwise. We use the state-of-the-art SyGuS solver EUSOLVER [4] (**EUS**) as a baseline, but only for SyGuS-COMP benchmarks due to limitations in its parser.

Overall, strategy **s** excels on more challenging benchmark sets such as Lustre and Gen-CrCi, while strategy **f** excels on the majority of the others. The gains for **f** are especially significant on PBE problems, where it outperforms both **s** and **EUS** by several orders of magnitude. Such gains are significant given that CVC4 won this track at SyGuS-COMP 2018 by employing **s** alone, and a variant of **EUS** won it in 2017. This result can be explained as a consequence of two factors. First, the string and bit-vector grammars contain many operators with the same type, making the constructor class op-

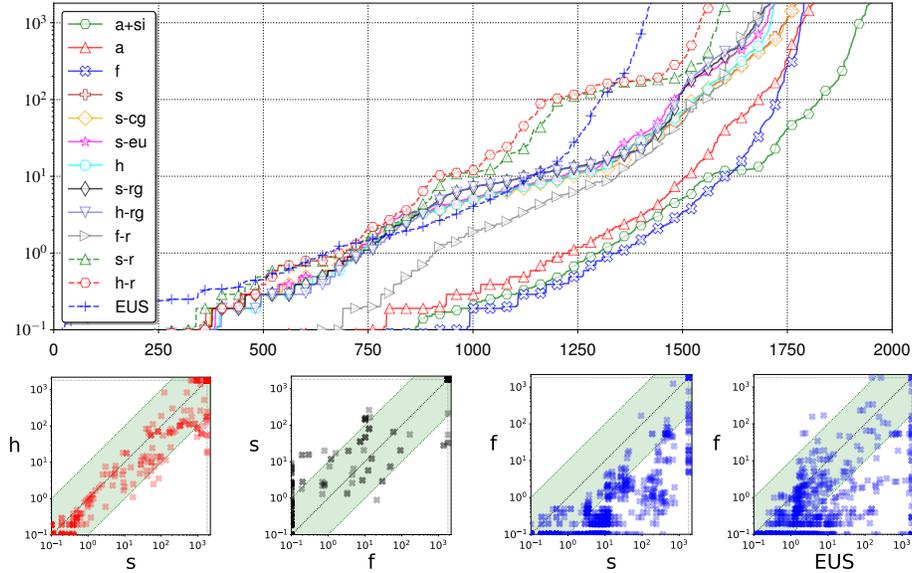


Fig. 2. Cactus plot on all benchmark sets. The first scatter plot is for benchmarks from the Lustre set, the second for the Gen-Crci set, and the latter two for the 862 benchmarks from the PBE sets.

timization of the **f** algorithm very effective. Second, although not described in this paper, all solvers in our evaluation use divide-and-conquer algorithms for PBE problems [4] which are not compatible with the optimizations **cg** and **eu**. The most important optimization for all CVC4SY strategies and with all benchmark sets is **r**. The optimization **eu** is especially effective when grammars contain *ite* and Boolean connectives, such as those in the Lustre set and in some subsets of General, on which we can see the biggest gains of **s** with respect to **s-eu**; **cg** is more helpful for IC-BV, with a few harder benchmarks only solved due to this technique.

The first scatter plot in Figure 2 shows the advantage of **h** over **s** on Lustre, a benchmark set containing invariant synthesis problems with dozens of variables. We remark this configuration excels at quickly finding small solutions for problems with many variables, although solves fewer problems overall. The second scatter plot shows that while **s** takes significantly longer on easy problems, it outperforms **f** in the long run. The last two plots show that **f** significantly outperforms the state of the art on PBE benchmarks.

For all benchmark sets, the auto strategy **a** consistently chooses the best enumerative strategy of CVC4SY with only a few exceptions, and hence is now used as the default configuration of CVC4SY. For the General and CLIA classes of benchmarks of SyGuS-COMP and for the CegisT set, the single invocation techniques of CVC4SY complement its enumerative strategies (**a+si**) so that the performance of CVC4SY is comparable or exceeds that of **EUS**. Since single invocation techniques are able to solve only 393 (16%) of the overall benchmarks, we conclude that both smart and fast enumerative strategies are critical subcomponents in our approach to syntax-guided synthesis.

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