# CS:4980 Topics in Computer Science II Introduction to Automated Reasoning

# **Propositional Logic Basics**

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## **Propositional Logic**

- Syntax
- Semantics, Satisfiability, and Validity
- Proof by deduction

#### **Automating Inference**

#### Automated Reasoning tries to automated the process of *inference*:

#### deriving consequences of a given set of statements

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#### A formal logic is

- defined by its syntax and semantics
- equipped with one or more inference/proof systems

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Basic sentences are called *atomic* 

#### **Examples:**

- 1. 0 < 1
- 2. Iowa City is in Iowa
- **3.** 1 + 1 = 10

# **Classical logics**

Formalize natural language statements that can be either true or false (but not both)

More complex sentences are built from simpler ones via a small number of constructs

#### **Examples:**

- 1. If Iowa City is in Iowa then University Height is Iowa
- **2.** 1 + 1 = 10 or  $1 + 1 \neq 10$

#### Each proposition formalizes a statement that is either true or false

The *truth value* (true or false) of an atomic proposition *P* depends on *P*'s interpretation

**Example** What is the truth value of the equality 1 + 1 = 10?

- it is false, if we interpret 1 and 10 as integers in decimal notation (and + as addition)
- it is true, if we interpret 1 and 10 as integers in binary notation (and + as addition)

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Let  $\alpha$  be a complex sentence built with a construct *c* from simpler sentences  $\alpha_1, \ldots, \alpha_n$ 

The truth value of lpha is uniquely determined by

- 1. the meaning of c
- 2. the truth value of  $\alpha_1, \ldots, \alpha_n$

More precisely, it is a function (determined by c) of the truth values of  $lpha_1,\ldots,lpha_n$ 

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is true if at least one of 1 + 1 = 5,  $1 + 1 \neq 5$  is true

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$$\underbrace{1+1=5}_{\alpha_1} \underbrace{\text{or}}_{c} \underbrace{1+1\neq 5}_{\alpha_2}$$

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  - truth constants: ⊤ (for true), ⊥ (for false)
  - propositional variables: *p*, *q*, *r*, ...
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Note: We will use the same characters: '(' and ')' at three levels of discourse:

- 1. as part of propositional logic formulas, as in  $(p \Rightarrow q)$
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Do not confuse the three!

# **Propositional Logic Syntax: expressions**

A sentence, or formula, is a finite sequence of symbols

- $(p \land q)$
- $((\neg p) \Rightarrow r)$

Not all sequences of symbols are formulas:

- $(p \land \lor q)$
- pq
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# Propositional Logic Syntax: Formula-building operations

Consider the *formula-building operators* defined as follows for all formulas  $\alpha$  and  $\beta$ :

- $\mathcal{E}_{\neg}(\alpha) = (\neg \alpha)$  (negation)
- $\mathcal{E}_{\wedge}(\alpha,\beta) = (\alpha \wedge \beta)$  (conjunction)
- $\mathcal{E}_{\vee}(\alpha,\beta) = (\alpha \lor \beta)$  (disjunction)
- $\mathcal{E}_{\Rightarrow}(\alpha,\beta) = (\alpha \Rightarrow \beta)$  (implication)
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The set  $\mathcal{W}$  of *well-formed formulas*, or simply *formulas* or *wffs*, is the set of all sentences finitely-generated by the operators above from the atoms in  $\mathcal{B}$ 

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In other words,

- every atom in  $\mathcal{B}$  is a wff
- if α and β are wffs, so are the expressions generated from them by E<sub>¬</sub>, E<sub>∧</sub>, E<sub>∨</sub>, E<sub>⇒</sub>, and E<sub>⇔</sub>
- nothing else is a wff

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#### Examples

- The set  $\mathbb N$  of all natural numbers is closed under addition and multiplication but not negation
- The set  $\mathbb Z$  of all integer numbers is closed under addition, multiplication, and negation
- The set  $\mathbb{E}$  of all even integers is closed under addition, multiplication, and negation
- The set O of all odd integers is closed under multiplication and negation but not under addition

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#### Examples

- The set  $\mathbb N$  of all natural numbers is generated from  $\{0,1\}$  by  $\{+\}$
- The set  $\mathbb Z$  of all integer numbers is generated from  $\{1\}$  by  $\{+,-\}$
- The set  $\mathbb E$  of all even integers is generated from  $\{2\}$  by  $\{+,-\}$
- The set  ${\mathbb R}$  of all real number is generated from no sets of numbers  $^1$

<sup>&</sup>lt;sup>1</sup>Generated sets are necessarily countable.

Consider a set C generated from a set B by a set F of operators

If a set S includes B and is closed under F, we say S is inductive with respect to C

Consider a set *C* generated from a set *B* by a set *F* of operators

If a set *S* includes *B* and is closed under *F*, we say *S* is *inductive with respect to C* 

**Example**  $\mathbb{Z}$  is inductive w.r.t.  $\mathbb{N}$  (which is generated from  $\{0, 1\}$  by  $\{+\}$ )

Consider a set *C* generated from a set *B* by a set *F* of operators

If a set *S* includes *B* and is closed under *F*, we say *S* is *inductive with respect to C* 

**Note:** S inductive w.r.t. C implies that  $C \subseteq S$ 

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The argument goes like this:

- 1. Consider a set *S* whose elements all have property *P*
- 2. Show that *S* is inductive with respect to *C*

This proves that  $C \subseteq S$  and thus all elements of C have property P

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We often use structural induction to prove properties about formulas

# Structural Induction: Example

Given our inductive definition of well-formed formulas, we can use the induction principle to prove things about the set  $\mathcal{W}$  of wffs

Example

Prove that every wff has the same number of left parentheses and right parentheses **Proof** 

Let  $l(\alpha)$  be the number of left parentheses and let  $r(\alpha)$  be the number of right parentheses in an expression  $\alpha$ . Let *S* be the set of all expressions  $\alpha$  such that  $l(\alpha) = r(\alpha)$ .

We wish to show that  $\mathcal{W} \subseteq S$ 

This follows from the induction principle if we can show that S is inductive w.r.t.  ${\mathcal W}$ 

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#### Base Case:

We must show that  $\mathcal{B} \subseteq S$ 

Recall that  $\mathcal{B}$  is the set of expressions consisting of a single propositional symbol It is clear that for such expressions,  $l(\alpha) = r(\alpha) = 0$ 

#### Inductive Case:

#### We must show that *S* is closed under each formula-building operator

• The arguments for  $\mathcal{E}_{\vee}, \mathcal{E}_{\rightarrow}$ , and  $\mathcal{E}_{\leftrightarrow}$  are analogous to the one for  $\mathcal{E}_{\wedge}$ .

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•  $\mathcal{E}_{\neg}$ Let  $\alpha \in S$ . We know that  $\mathcal{E}_{\neg}(\alpha) = (\neg \alpha)$ . It follows that  $l(\mathcal{E}_{\neg}(\alpha)) = 1 + l(\alpha)$  and  $r(\mathcal{E}_{\neg}(\alpha)) = 1 + r(\alpha)$ . Since  $\alpha \in S$ , we know that  $l(\alpha) = r(\alpha)$ ; it follows that  $l(\mathcal{E}_{\neg}(\alpha)) = r(\mathcal{E}_{\neg}(\alpha))$ , and thus  $\mathcal{E}_{\neg}(\alpha) \in S$ .

Let  $\alpha, \beta \in S$ . We know that  $\mathcal{E}_{\wedge}(\alpha, \beta) = (\alpha \wedge \beta)$ . Thus  $l(\mathcal{E}_{\wedge}(\alpha, \beta)) = 1 + l(\alpha) + l(\beta)$  and  $r(\mathcal{E}_{\wedge}(\alpha, \beta)) = 1 + r(\alpha) + r(\beta)$ . As before, it follows from the inductive hypothesis that  $\mathcal{E}_{\wedge}(\alpha, \beta) \in S$ .

• The arguments for  $\mathcal{E}_{v}, \mathcal{E}_{s}$ , and  $\mathcal{E}_{s}$  are analogous to the one for  $\mathcal{E}_{\lambda}$ .

#### Inductive Case:

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*E*<sub>¬</sub> Let α ∈ S. We know that *E*<sub>¬</sub>(α) = (¬α). It follows that *l*(*E*<sub>¬</sub>(α)) = 1 + *l*(α) and *r*(*E*<sub>¬</sub>(α)) = 1 + *r*(α). Since α ∈ S, we know that *l*(α) = *r*(α); it follows that *l*(*E*<sub>¬</sub>(α)) = *r*(*E*<sub>¬</sub>(α)), and thus *E*<sub>¬</sub>(α) ∈ S.

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- The arguments for  $\mathcal{E}_{\vee}$ ,  $\mathcal{E}_{\rightarrow}$ , and  $\mathcal{E}_{\leftrightarrow}$  are analogous to the one for  $\mathcal{E}_{\wedge}$ .

- We fix a countably infinite set of propositional variables We typically use *p*, *q*, *r*, *p*<sub>1</sub>, *p*<sub>2</sub>, *p*<sub>3</sub>, ... to denote them
- We may omit outermost parentheses, e.g., write  $p \wedge q$  instead of  $(p \wedge q)$
- We may further omit parentheses by defining order of operations (precedence):
  - Negation binds most strongly, with small as possible scope: ¬p ∧ q means ((¬p) ∧ q)
  - $\land$  binds more strongly than  $\lor: p_1 \land p_2 \lor p_3$  means  $(p_1 \land p_2) \lor p_3$
  - v binds more strongly than  $\Rightarrow$ ,  $\Leftrightarrow$ :  $p_1 \land p_2 \Rightarrow \neg p_3 \lor p_4$  means  $(p_1 \land p_2) \Rightarrow (\neg p_3 \lor p_4)$
  - Binary connectives are treated as right-associative:  $p_1 \wedge p_2 \wedge p_3$  means  $p_1 \wedge (p_2 \wedge p_3)$
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  - Binary connectives are treated as right-associative: p<sub>1</sub> ∧ p<sub>2</sub> ∧ p<sub>3</sub> means p<sub>1</sub> ∧ (p<sub>2</sub> ∧ p<sub>3</sub>)
- We use  $\alpha, \, \beta, \, \gamma, \, \varphi, \, \psi$  to denote arbitrary wffs

- We fix a countably infinite set of propositional variables We typically use *p*, *q*, *r*, *p*<sub>1</sub>, *p*<sub>2</sub>, *p*<sub>3</sub>, ... to denote them
- We may omit outermost parentheses, e.g., write  $p \land q$  instead of  $(p \land q)$
- We may further omit parentheses by defining *order of operations (precedence)*:
  - Negation binds most strongly, with small as possible scope:  $\neg p \land q$  means  $((\neg p) \land q)$
  - $\land$  binds more strongly than  $\lor: p_1 \land p_2 \lor p_3$  means  $(p_1 \land p_2) \lor p_3$
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### **Propositional Logic: Compositional Semantics**

### The meaning of a wff $\alpha$ is a truth value: true or false

Given a mapping v from the propositional variables in  $\alpha$  to { false, true }, the meaning of  $\alpha$  is depends on the meaning of its subformulas

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Let v be a variable assignment for all the propositional variables of  $\mathcal{B}$ 

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•  $\overline{v}(p) = v(p)$  for all propositional variables p

- $\overline{v}(\neg \alpha) =$ true iff  $\overline{v}(\alpha) =$ false
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For every  $\alpha \in \mathcal{W}$ , we will use the following statements interchangeably

- $v \models \alpha$
- $\overline{v}(\alpha) = \text{true}$
- v is a model of  $\alpha$
- v is a satisfying assignment of lpha
- v satisfies lpha

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### A wff $\alpha$ is satisfiable if $\overline{v}(\alpha)$ = true for some interpretation v

A wff  $\alpha$  is *falsifiable* if  $\overline{v}(\alpha)$  = false for some interpretation v

A wff  $\alpha$  is unsatisfiable if it is not satisfiable, i.e.,  $\overline{v}(\alpha) = false$  for all interpretations v

A set  $U \subseteq W$  is (*un*)satisfiable if there is (no) interpretation v such that  $\overline{v}(\alpha)$ 

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A set  $U \subseteq W$  entails or logically implies a wff  $\beta$ , written  $U \models \beta$ , if every satisfying assignment v for U satisfies  $\beta$  as well

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**Note:** We use  $\models$  for two different relations:

- 1. satisfaction between a variable assignment and a formula (  $\overline{v} \models \alpha$  )
- 2. entailment between a set of formulas and a formula (  $\{\alpha_1, \alpha_2, \ldots\} \models \alpha$  )

Use context to disambiguate!

## Satisfiability vs. validity

### Satisfiability and validity are dual concepts:

### a wff $\alpha$ is valid iff $\neg \alpha$ is unsatisfiable

**Consequence:** 

If we have a procedure that can check satisfiability, then we can also check validity, and vice versa

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*p*, *q* propositional variables  $\alpha$ ,  $\beta$ ,  $\gamma$  formulas

•  $p, p \Rightarrow q, p \lor \neg q, (p \Rightarrow q) \Rightarrow p$  are all satisfiable

- $p, p \Rightarrow q, p \lor \neg q, (p \Rightarrow q) \Rightarrow p$  are all falsifiable
- $\alpha \Rightarrow \alpha, \ \alpha \lor \neg \alpha, \ \alpha \Rightarrow (\beta \Rightarrow \alpha)$  are all valid
- $\alpha \models \alpha, \ \alpha \land \beta \models \beta, \ \{\alpha, \alpha \Rightarrow \beta\} \models \beta, \ \{\alpha, \beta, (\alpha \lor \beta) \Rightarrow \gamma\} \models \gamma$

- $\top$  is valid and  $\bot$  is unsatisfiable
- Every valid formula is satisfiable but not falsifiable
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**Proof:** Exercise

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Correspondingly:

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The two concepts are semantically related:

 $\alpha \models \beta$  iff  $\models \alpha \Rightarrow \beta$ 

Correspondingly:

and

 $\alpha \equiv \beta$  iff  $\models \alpha \Leftrightarrow \beta$ because  $\alpha \equiv \beta$  iff  $\alpha \models \beta$  and  $\beta \models \alpha$  $\models \alpha \Leftrightarrow \beta$  iff  $\models \alpha \Rightarrow \beta$  and  $\models \beta \Rightarrow \alpha$ 

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#### **Note:** $\alpha \models \beta$ and $\alpha \equiv \beta$ are mathematical statements, *not formulas*

A binary connective  $\circ$  over wffs is *defined from* a set of connectives *C* if for all wffs  $\alpha$  and  $\beta$ ,  $\alpha \circ \beta \equiv \gamma$ , where  $\gamma$  is constructed by applying only connectives in *C* to  $\alpha$  and  $\beta$ 

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The connectives  $\lor, \land, \Rightarrow, \Leftrightarrow$  can be defined from  $\neg$  and one of  $\lor, \land, \Rightarrow, \Leftrightarrow$ 

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**Example:** defining  $\lor$ ,  $\land$ ,  $\Leftrightarrow$  from  $\{\neg, \Rightarrow\}$ 

- $\alpha \land \beta \equiv \neg(\alpha \Rightarrow \neg \beta)$
- $\alpha \lor \beta \equiv \neg \alpha \Rightarrow \beta$
- $\alpha \Leftrightarrow \beta \equiv (\alpha \Rightarrow \beta) \land (\beta \Rightarrow \alpha) \equiv \neg((\alpha \Rightarrow \beta) \Rightarrow \neg(\beta \Rightarrow \alpha))$

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Why do we care about this?

- To simplify arguments by structural induction
- Many algorithms are defined over normal forms using a specified subset of connectives

## **Decision Procedure in Propositional Logic**

Let  $U \in \mathcal{W}$ 

A *decision procedure* for U is a terminating procedure<sup>2</sup> that takes wffs as input and for each input  $\alpha$  returns

yes if  $\alpha \in U$  no if  $\alpha \notin U$ 

**This course**: We consider decision procedures for validity/satisfiability, that is, *U* will the set of valid/satisfiable formulas

<sup>&</sup>lt;sup>2</sup>A procedure does not necessarily terminate, whereas an algorithm does, by definition

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- Search-based procedures: search the space of possible interpretations of the given wff
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**Example:** is  $\alpha \coloneqq (p \land q) \Rightarrow (p \lor \neg q)$  a valid formula?

Writing 0 for false and 1 for true, for conciseness:



- Need to evaluate a formula for each of 2<sup>n</sup> possible interpretations This can be memory efficient but is runtime inefficient
- Works because the number of interpretations of a formula is finite

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### Informally, a proof system consists of a set of proof rules

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- premises (or antecedents): facts that must hold for the rule apply
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$$\frac{P_1 \quad \cdots \quad P_n}{C_{1,1}, \ldots, C_{1,n_1} \mid \quad \cdots \quad \mid C_{m,1}, \ldots, C_{m,n_m}}$$

*Commas* indicate derivation of multiple conclusions

Pipes indicate alternative conclusions (giving rise to proof branches)

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**Examples:** 

$$\begin{array}{ccc} \alpha & \beta \\ \hline \alpha \wedge \beta \end{array} & \begin{array}{ccc} \alpha & \alpha \Rightarrow \beta \\ \hline \beta \end{array} & \begin{array}{ccc} \alpha \Leftrightarrow \beta \\ \hline \alpha, \beta \mid \neg \alpha, \neg \beta \end{array}$$

Premises and conclusions can be anything

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$\frac{v \vDash \neg \alpha}{v \not\models \alpha}$	$\frac{\mathbf{v} \vDash \alpha \lor \beta}{\mathbf{v} \vDash \alpha \mid \mathbf{v} \vDash \beta}$	$v\vDash \alpha \Leftrightarrow \beta$
$\frac{v \not\models \neg \alpha}{v \models \alpha}$	$\frac{\mathbf{v} \neq \alpha \lor \beta}{\mathbf{v} \neq \alpha,  \mathbf{v} \neq \beta}$	$\mathbf{v} \models \alpha,  \mathbf{v} \models \beta \mid \mathbf{v} \not\models \alpha,  \mathbf{v} \not\models \beta$ $\mathbf{v} \not\models \alpha \Leftrightarrow \beta$
$\frac{\mathbf{v} \vDash \alpha \land \beta}{\mathbf{v} \vDash \alpha,  \mathbf{v} \vDash \beta}$	$\frac{\mathbf{v} \vDash \alpha \Rightarrow \beta}{\mathbf{v} \notin \alpha \mid \mathbf{v} \vDash \beta}$	$\mathbf{v} \neq \alpha, \mathbf{v} \models \beta \mid \mathbf{v} \models \alpha, \mathbf{v} \neq \beta$
	$\frac{\mathbf{v} \neq \alpha \Rightarrow \beta}{\mathbf{v} \models \alpha, \mathbf{v} \neq \beta}$	$\frac{\mathbf{v}\models\boldsymbol{\alpha}\mathbf{v}\neq\boldsymbol{\alpha}}{\mathbf{v}\models\boldsymbol{\bot}}$

- Assume  $\alpha$  is not valid, i.e., there is a interpretation v such that  $v \neq \alpha$
- Apply semantic arguments in the form of previous proof rules
- In the presence of multi-conclusion rules, proof evolves as a tree
  A proof tree branch is *closed* if it ends with v = 1, and is *open* otherwise
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Prove  $\alpha = p \land \neg q$  is valid or find a falsifying interpretation



Prove  $\alpha = p \land \neg q$  is valid or find a falsifying interpretation



### 1. $v \neq p \land \neg q$ (assumption) 1.1 $v \neq p$ (by (d) on 1) 1.2 $v \neq \neg q$ (by (d) on 1) 1.2.1 $v \neq q$ (by (b) on 1.2)

Falsifying interpretations v:

- Branch 1.1:  $\{\rho \mapsto false, q \mapsto true/false\}$
- Branch 1.2:  $\{\rho \mapsto true/false, q \mapsto true\}$

Prove  $\alpha = p \land \neg q$  is valid or find a falsifying interpretation



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1.  $v \notin p \land \neg q$  (assumption) 1.1  $v \notin p$  (by (d) on 1) 1.2  $v \notin \neg q$  (by (d) on 1) 1.2.1  $v \vDash q$  (by (b) on 1.2)

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Prove  $\alpha = (p \Rightarrow q) \land (q \Rightarrow r) \Rightarrow (p \Rightarrow r)$  is valid or find a falsifying interpretation




1.	$\mathbf{v} \not\models \alpha$	(assumption)



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2.	$v \vDash (p \Rightarrow q) \land (q \Rightarrow r)$	(by (h) on 1)
3.	$v \neq p \Rightarrow r$	(by (h) on 1)



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3.	$v \neq p \Rightarrow r$	(by (h) on 1)
4.	$v \vDash p$	(by (h) on 3)
5.	$v \not\models r$	(by (h) on 3)



1. $\mathbf{v} \neq \alpha$	(assumption)
2. $v \models (p \Rightarrow q) \land (q \Rightarrow r)$	(by (h) on 1)
<b>3.</b> $v \neq p \Rightarrow r$	(by (h) on 1)
4. $v \models p$	(by (h) on 3)
5. <i>v</i> ⊭ <i>r</i>	(by (h) on 3)
6. $v \models p \Rightarrow q$	(by (c) on 2)
7. $v \models q \Rightarrow r$	(by (c) on 2)



1. $v \neq \alpha$	(assumption)
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8. $v \models q$	(by (l) on 4, 6)



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9.	$v \vDash r$	(by (l) on 7, 8)



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7.	$v \vDash q \Rightarrow r$	(by (c) on 2)
8.	$v \vDash q$	(by (l) on 4, 6)
9.	$v \vDash r$	(by (l) on 7, 8)
10.	$v \vDash \bot$	(by (i) on 5, 9)

# Some useful tautologies

- Associative and Commutative laws
  - $\land$ ,  $\lor$ , and  $\Leftrightarrow$
- Distributive laws
  - $\alpha \land (\beta \lor \gamma) \Leftrightarrow (\alpha \land \beta) \lor (\alpha \land \gamma)$
  - $\alpha \lor (\beta \land \gamma) \Leftrightarrow (\alpha \lor \beta) \land (\alpha \lor \gamma)$
- Negation
  - $\neg \neg \alpha \Leftrightarrow \alpha$
  - $\neg(\alpha \Rightarrow \beta) \Leftrightarrow (\alpha \land \neg \beta)$
  - $\neg(\alpha \Leftrightarrow \beta) \Leftrightarrow (\alpha \land \neg \beta) \lor (\neg \alpha \land \beta)$
- De Morgan's laws
  - $\neg(\alpha \land \beta) \Leftrightarrow (\neg \alpha \lor \neg \beta)$
  - $\neg(\alpha \lor \beta) \Leftrightarrow (\neg \alpha \land \neg \beta)$

- Implication
  - $(\alpha \Rightarrow \beta) \Leftrightarrow (\neg \alpha \lor \beta)$
- Excluded Middle
  - $\alpha \lor \neg \alpha$
- Contradiction
  - $\neg(\alpha \land \neg \alpha)$
- Contraposition
  - $(\alpha \Rightarrow \beta) \Leftrightarrow (\neg \beta \Rightarrow \neg \alpha)$
- Exportation
  - $((\alpha \land \beta) \Rightarrow \gamma) \Leftrightarrow (\alpha \Rightarrow (\beta \Rightarrow \gamma))$

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• Implication

• 
$$(\alpha \Rightarrow \beta) \Leftrightarrow (\neg \alpha \lor \beta)$$

- Excluded Middle
  - $\alpha \lor \neg \alpha$

• Negati These tautologies can be proven with semantic arguments

- $\neg \neg \alpha \Leftrightarrow \alpha$
- $\neg(\alpha \Rightarrow \beta) \Leftrightarrow (\alpha \land \neg \beta)$
- $\neg(\alpha \Leftrightarrow \beta) \Leftrightarrow (\alpha \land \neg \beta) \lor (\neg \alpha \land \beta)$
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  - $(\alpha \Rightarrow \beta) \Leftrightarrow (\neg \beta \Rightarrow \neg \alpha)$
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  - $((\alpha \land \beta) \Rightarrow \gamma) \Leftrightarrow (\alpha \Rightarrow (\beta \Rightarrow \gamma))$

### The previous proof system was used to prove a formula is valid

It can also be used to prove that a formula lpha is unsatisfiable:

- 1. Again by contradiction, start with the assertion  $v \models \alpha$
- 2. Try to derive a proof tree *T* whose branches are all closed

Such a tree proves that  $\alpha$  is unsatisfiable

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A *deductive system*  $\mathcal{D}$  is a proof system equipped with a distinguished set of tautologies (*axioms*)

A *proof* in  $\mathscr{D}$  for a wff  $\alpha_n$  is a sequence of formulas  $S = (\alpha_1, \ldots, \alpha_n)$  where each  $\alpha_l$  is

• either an axiom

• or the result of an application of a rule of  $\mathscr{D}$  to previous formulas in S

In that case,  $\alpha_n$  is provable or a theorem in  $\mathcal{D}$ , written as  $\vdash \alpha_i$ 

For  $U \subseteq W$ , we write  $U \vdash \alpha$  to denote that  $\alpha$  can be proved in  $\mathscr{D}$  from the axioms and the formulas in U

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A *proof* in  $\mathscr{D}$  for a wff  $\alpha_n$  is a sequence of formulas  $S = (\alpha_1, \dots, \alpha_n)$  where each  $\alpha_i$  is

- either an axiom
- or the result of an application of a rule of  $\mathscr{D}$  to previous formulas in S

In that case,  $\alpha_n$  is *provable* or a *theorem* in  $\mathcal{D}$ , written as  $\vdash \alpha_i$ 

For  $U \subseteq W$ , we write  $U \vdash \alpha$  to denote that  $\alpha$  can be proved in  $\mathscr{D}$  from the axioms and the formulas in U

We call  $U \vdash \alpha$  a sequent

- Consistency: for all  $\alpha$ , at most one of  $\alpha$  and  $\neg \alpha$  is provable
- Soundness: If  $\vdash \alpha$ , then  $\models \alpha$
- Completeness: If  $\models \alpha$ , then  $\vdash \alpha$

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## Hilbert System $\mathscr{H}_2$

### A consistent, sound and complete deductive system for propositional logic

Axiom schemas ( $\alpha, \beta, \gamma$  are arbitrary wffs):

### A1: $\vdash \alpha \Rightarrow (\beta \Rightarrow \alpha)$

A2:  $\vdash (\alpha \Rightarrow (\beta \Rightarrow \gamma)) \Rightarrow ((\alpha \Rightarrow \beta) \Rightarrow (\alpha \Rightarrow \gamma))$ 

A3:  $\vdash (\neg \beta \Rightarrow \neg \alpha) \Rightarrow (\alpha \Rightarrow \beta)$ 

Rules

$$\frac{\vdash \alpha \qquad \vdash \alpha \Rightarrow \beta}{\vdash \beta}$$
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Proofs can be complicated, even for trivial formulas (or formula schemas)

### **Example:** Prove $\varphi \Rightarrow \varphi$

<b>1.</b> $\vdash (\varphi \Rightarrow ((\varphi \Rightarrow \varphi) \Rightarrow \varphi)) \Rightarrow ((\varphi \Rightarrow (\varphi \Rightarrow \varphi)) \Rightarrow (\varphi \Rightarrow \varphi))$	(by <mark>A2</mark> )
2. $\vdash \varphi \Rightarrow ((\varphi \Rightarrow \varphi) \Rightarrow \varphi)$	(by <mark>A1</mark> )
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Proofs can be complicated, even for trivial formulas (or formula schemas)

#### Solution:

Introduce *derived* proof rules, additional rules whose conclusion can be proved from their premises using no derived proof rules

## Derived Rules in $\mathscr{H}_2$

$$U \cup \{\alpha\} \vdash \alpha$$
(assumption) $U \cup \{\alpha\} \vdash \beta$   
 $U \vdash \alpha \Rightarrow \beta$ (deduction) $U \vdash \neg \beta \Rightarrow \neg \alpha$   
 $U \vdash \alpha \Rightarrow \beta$ (contrapositive) $U \vdash \neg \neg \alpha$   
 $U \vdash \alpha$ (double negation 1) $U \vdash \alpha \Rightarrow \beta$   
 $U \vdash \alpha \Rightarrow \gamma$  $U \vdash \beta \Rightarrow \gamma$   
 $U \vdash \alpha \Rightarrow \gamma$ (double negation 2) $U \vdash \alpha \Rightarrow (\beta \Rightarrow \gamma)$   
 $U \vdash \beta \Rightarrow (\alpha \Rightarrow \gamma)$ (exchange of antecedent) $U \vdash \neg \alpha \Rightarrow 1$   
 $U \vdash \alpha$ 

# Using derived rules in $\mathscr{H}_2$

### With the deduction rule, the proof of $\alpha \Rightarrow \alpha$ becomes trivial

1.  $\{\alpha\} \vdash \alpha$ (by assumption)2.  $\vdash \alpha \Rightarrow \alpha$ (by deduction on 1)

This is because we front-load the proof burden in proving that the assumption and the deduction rule are derived rules

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## Using derived rules in $\mathscr{H}_2$

**Example 1:** prove  $\varphi \Rightarrow (\neg \varphi \Rightarrow \psi)$ 

1.  $\{\neg\varphi\} \vdash \neg\varphi \Rightarrow (\neg\psi \Rightarrow \neg\varphi)$  (A1) 2.  $\{\neg\varphi\} \vdash \neg\varphi$  (assumption) 3.  $\{\neg\varphi\} \vdash \neg\psi \Rightarrow \neg\varphi$  (MP 1, 2) 4.  $\{\neg\varphi\} \vdash (\neg\psi \Rightarrow \neg\varphi) \Rightarrow (\varphi \Rightarrow \psi)$  (A3) 5.  $\{\neg\varphi\} \vdash \varphi \Rightarrow \psi$  (MP 3, 4) 6.  $\vdash \neg\varphi \Rightarrow (\varphi \Rightarrow \psi)$  (deduction) 7.  $\vdash \varphi \Rightarrow (\neg\varphi \Rightarrow \psi)$  (exchange of antecedent)
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**Example 2:** prove  $(\varphi \Rightarrow \neg \varphi) \Rightarrow \neg \varphi$ 

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1.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \neg \neg \varphi$  (assumption) 2.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \varphi$  (double negation 1) 3.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \varphi \Rightarrow \neg \varphi$  (assumption) 4.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \neg \varphi$  (MP 2, 3) 5.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \varphi \Rightarrow (\neg \varphi \Rightarrow \bot)$  (Ex. 1) 6.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \neg \varphi \Rightarrow \bot$  (MP 2, 5) 7.  $\{\varphi \Rightarrow \neg \varphi, \neg \neg \varphi\} \vdash \bot$  (MP 4, 6) 8.  $\{\varphi \Rightarrow \neg \varphi\} \vdash \neg \neg \varphi \Rightarrow \bot$  (deduction 7) 9.  $\{\varphi \Rightarrow \neg \varphi\} \vdash \neg \varphi$  (reductio ad absurdum 8) 10.  $\vdash (\varphi \Rightarrow \neg \varphi) \Rightarrow \neg \varphi$  (deduction 9)

 $\frac{U \cup \{\alpha\} \vdash \beta}{U \vdash \alpha \Rightarrow \beta}$  (deduction)

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 $\frac{U \vdash \neg \alpha \Rightarrow \bot}{U \vdash \alpha}$  (reductio ad absurdum)

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#### A proof rule

$$\frac{U_1 \vdash \alpha_1 \quad \cdots \quad U_n \vdash \alpha_n}{V \vdash \beta}$$

#### is *sound* if $V \vDash \beta$ whenever $U_1 \vDash \alpha_1, \ldots, U_n \vDash \alpha_n$

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**Theorem:** Axioms 1–3, modus ponens, and all the derived rules of  $\mathscr{H}_2$  are sound



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**Theorem:** Axioms 1–3, modus ponens, and all the derived rules of  $\mathcal{H}_2$  are sound

# All rules of $\mathscr{H}_2$ are sound

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Another way to define a proof system is to

- include more logical connectives and
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Proofs can be simpler to (manually) carry out in such a system However, it becomes harder to prove properties about the proof system

Either way, Hilbert-style proof systems are difficult to automate

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