

# CS:4420 Artificial Intelligence

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## Constraint Satisfaction Problems

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# Readings

- Chap. 6 of [Russell and Norvig, 3rd Edition]

# Constraint Satisfaction Problems (CSPs)

Standard search problem:

**state** is a “black box”—any old data structure that supports goal test, eval, successor

CSP:

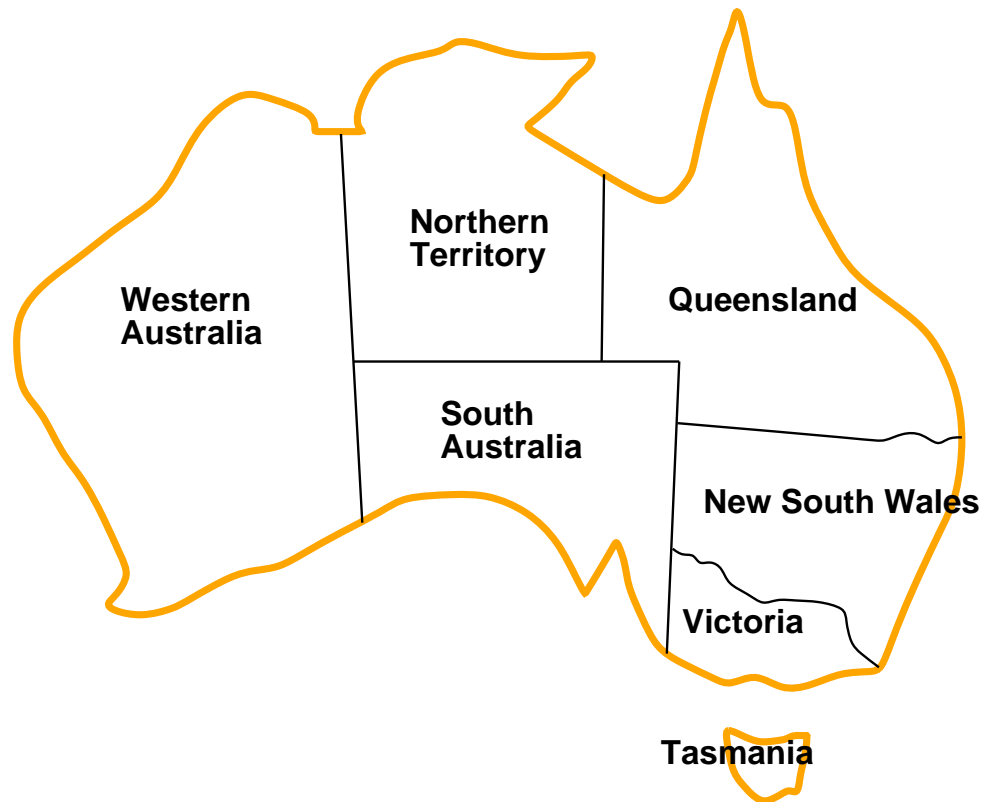
**state** is defined by **variables**  $X_i$  with **values** from **domain**  $D_i$

**goal test** is a set of **constraints** specifying allowable combinations of values for subsets of variables

Simple example of a **formal representation language**

Allows useful **general-purpose** algorithms with more power than standard search algorithms

# Example: Map coloring



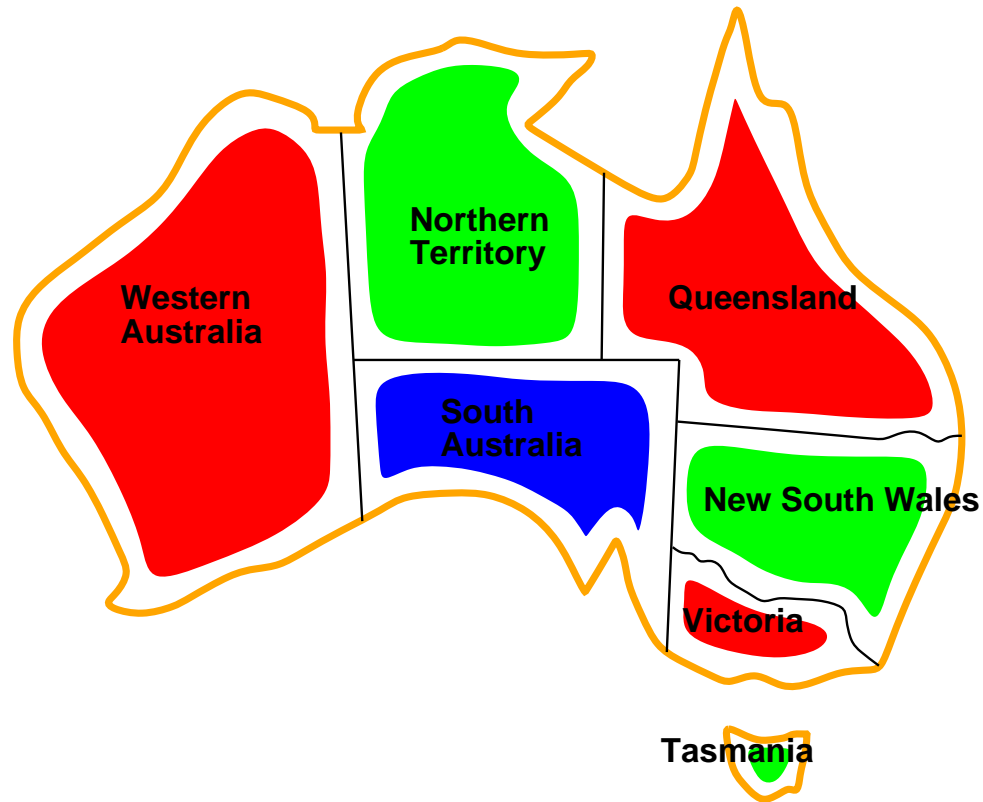
Variables:  $MA, NT, Q, NSW, V, SA, T$

Domains:  $D_i = \{r(ed), g(reen), b(lue)\}$

Constraints: adjacent regions must have different colors

e.g.,  $WA \neq NT$  (if the language allows this), or  
 $(WA, NT) \in \{(r, g), (r, b), (g, r), (g, b), \dots\}$

# Example: Map coloring contd.



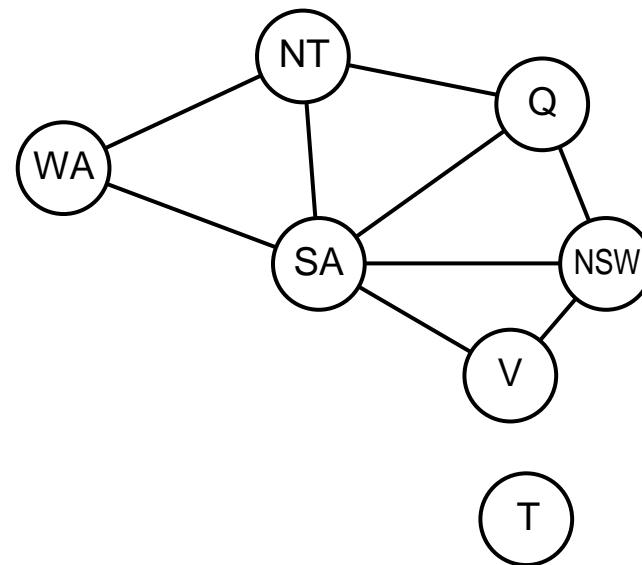
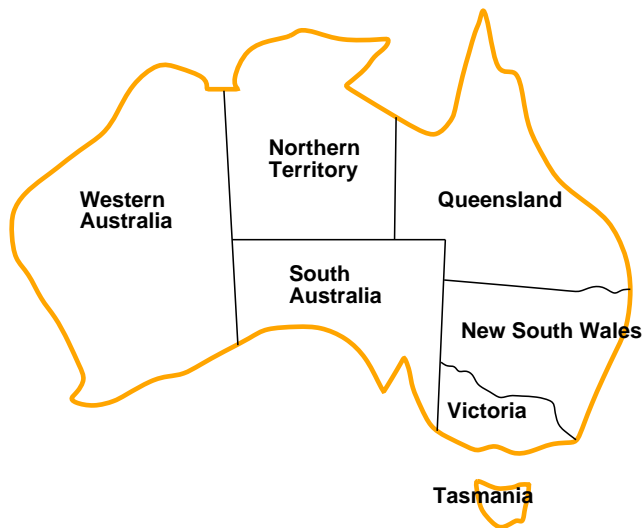
**Solutions** are assignments satisfying all constraints,

e.g.,  $\{WA = r, NT = g, Q = r, NSW = g, V = r, SA = b, T = g\}$

# Constraint graph

Binary CSP: each constraint relates at most two variables

Constraint graph: nodes are variables, arcs show constraints



General-purpose CSP methods use the graph structure to speed up search

e.g., Tasmania is an independent subproblem!

# Varieties of CSPs

## Discrete variables

finite domains (size  $d$ )

- e.g., Boolean CSPs, incl. Boolean SAT (NP-complete)
- $O(d^n)$  complete assignments

infinite domains (integers, strings, etc.)

- e.g., job scheduling, variables are start/end days for each job
- need a **constraint language**,  
e.g.,  $startJob_1 + 5 \leq startJob_3$
- **linear** constraints solvable, **nonlinear** undecidable

## Continuous variables

- e.g., start/end times for Hubble Telescope observations
- linear constraints solvable in polynomial time by linear programming methods

# Varieties of constraints

Unary constraints involve a single variable

e.g.,  $SA \neq g$

Binary constraints involve pairs of variables

e.g.,  $SA \neq WA$

Higher-order constraints involve 3 or more variables

e.g., cryptarithmic column constraints

Preferences are soft constraints

e.g., *red* is better than *green*

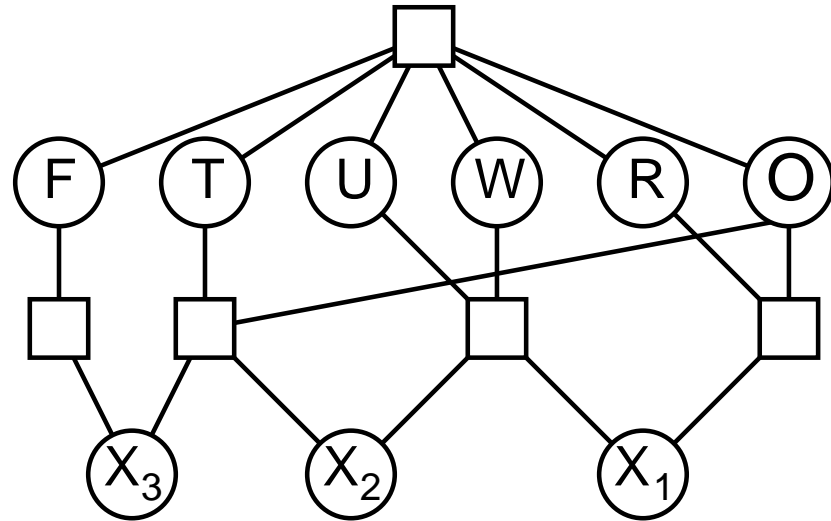
often representable by a cost for each variable assignment

→ constrained optimization problems



# Example: Cryptarithmic

$$\begin{array}{r} \text{T W O} \\ + \text{T W O} \\ \hline \text{F O U R} \end{array}$$



Variables:  $F, T, U, W, R, O, X_1, X_2, X_3$

Domain:  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$

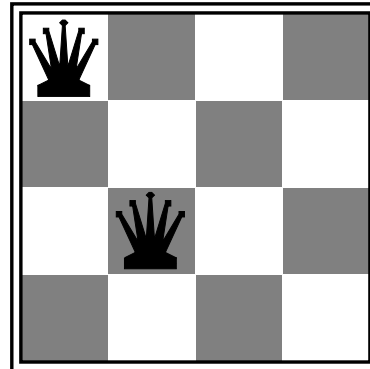
Constraints:  $alldiff(F, T, U, W, R, O)$

$$O + O = R + 10 \cdot X_1$$

...

# Example: 4-Queens as a CSP

Assume one queen in each column. Which row does each one go in?



$$Q_1 = 1 \quad Q_2 = 3$$

Variables  $Q_1, Q_2, Q_3, Q_4$

Domains  $D_i = \{1, 2, 3, 4\}$

Constraints  $Q_i \neq Q_j$  (cannot be in same row)

$|Q_i - Q_j| \neq |i - j|$  (cannot be on same diagonal)

Translate each constraint into set of allowable values for its variables

E.g., values for  $(Q_1, Q_2)$  are  $(1, 3)$   $(1, 4)$   $(2, 4)$   $(3, 1)$   $(4, 1)$   $(4, 2)$

# Real-world CSPs

Assignment problems

e.g., who teaches what class

Timetabling problems

e.g., which class is offered when and where?

Hardware configuration

Transportation scheduling

Factory scheduling

Floorplanning

Notice that many real-world problems involve real-valued variables

# Standard search formulation (incremental)

Let's start with a basic, naive approach and then improve it

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States are defined by the values assigned so far

**Initial state:** the empty assignment,  $\{\}$

**Successor function:** assign a value to an unassigned variable that does not conflict with current assignment.  
Fail if no legal assignments (not fixable!)

**Goal test:** the current assignment is complete

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Note:

1. This is the same for all CSPs!
2. Every solution appears at depth  $n$  with  $n$  variables  $\implies$  use depth-first search
3. Path is irrelevant, so can also use complete-state formulation
4. However, with domain of size  $d$ , branching factor  $b = (n - \ell)d$  at depth  $\ell$ , hence  $n!d^n$  leaves!

# Backtracking search

Order of variables in variable assignments is irrelevant

i.e.,  $(WA = r, NT = g)$  same as  $(NT = g, WA = r)$

Only need to consider assignments to a single variable at each node

$\implies b = d$  and there are  $d^n$  leaves

Depth-first search for CSPs with single-variable assignments is called **backtracking** search

Backtracking search is the basic uninformed algorithm for CSPs

Can solve  $n$ -queens for  $n \approx 25$

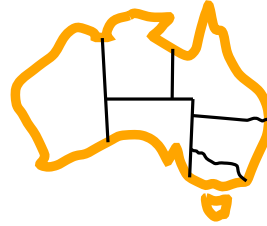
# Backtracking search

```
function BACKTRACKING-SEARCH(csp) returns a solution or failure
  return BACKTRACK({}, csp)

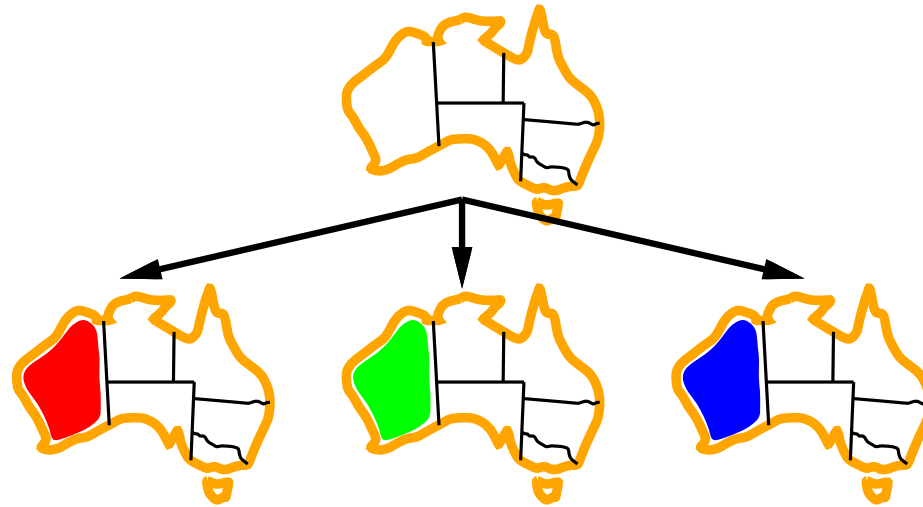
function BACKTRACK(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNMENT-VARIABLE(csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment then
      add {var = value} to assignment
      inferences ← INFERENCE(csp, var, value) then
        if inferences ≠ failure then
          add inferences to assignment
          result ← BACKTRACK(assignment, csp)
          if result ≠ failure then return result
          remove {var = value} and inferences from assignment
  return failure
```



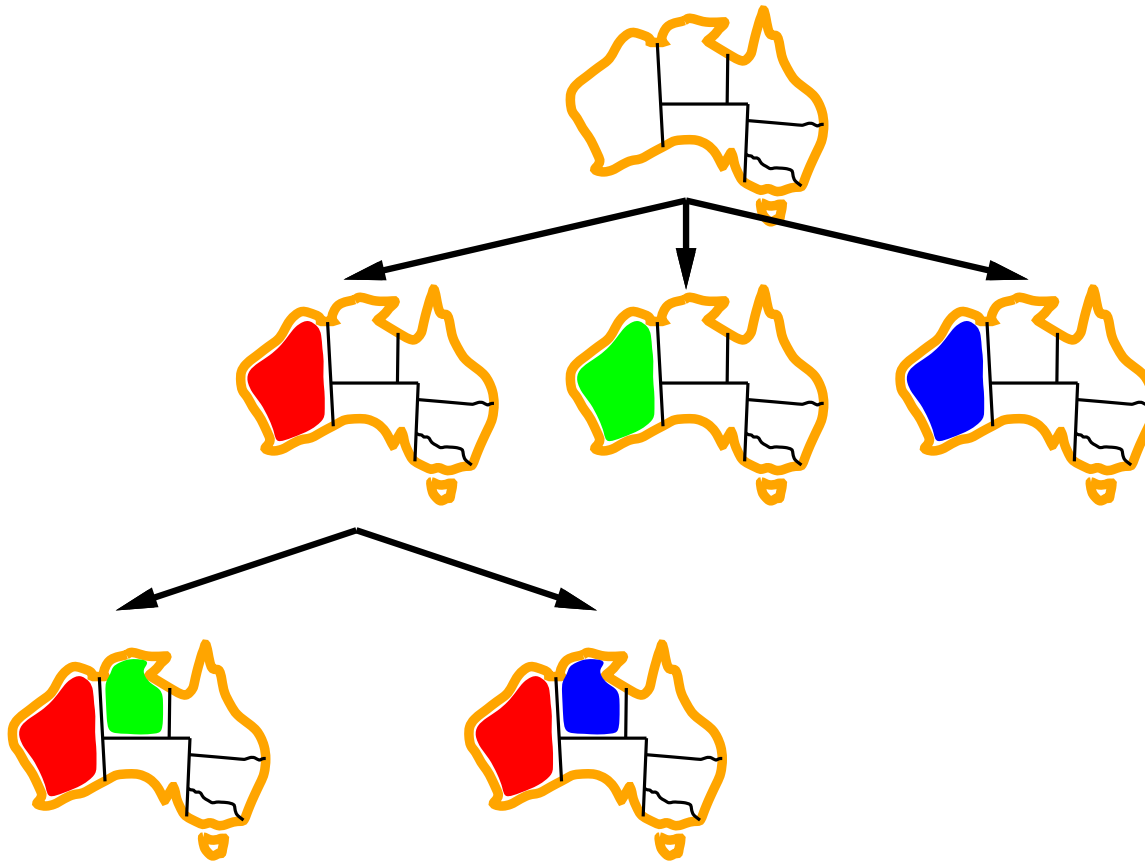
# Backtracking example



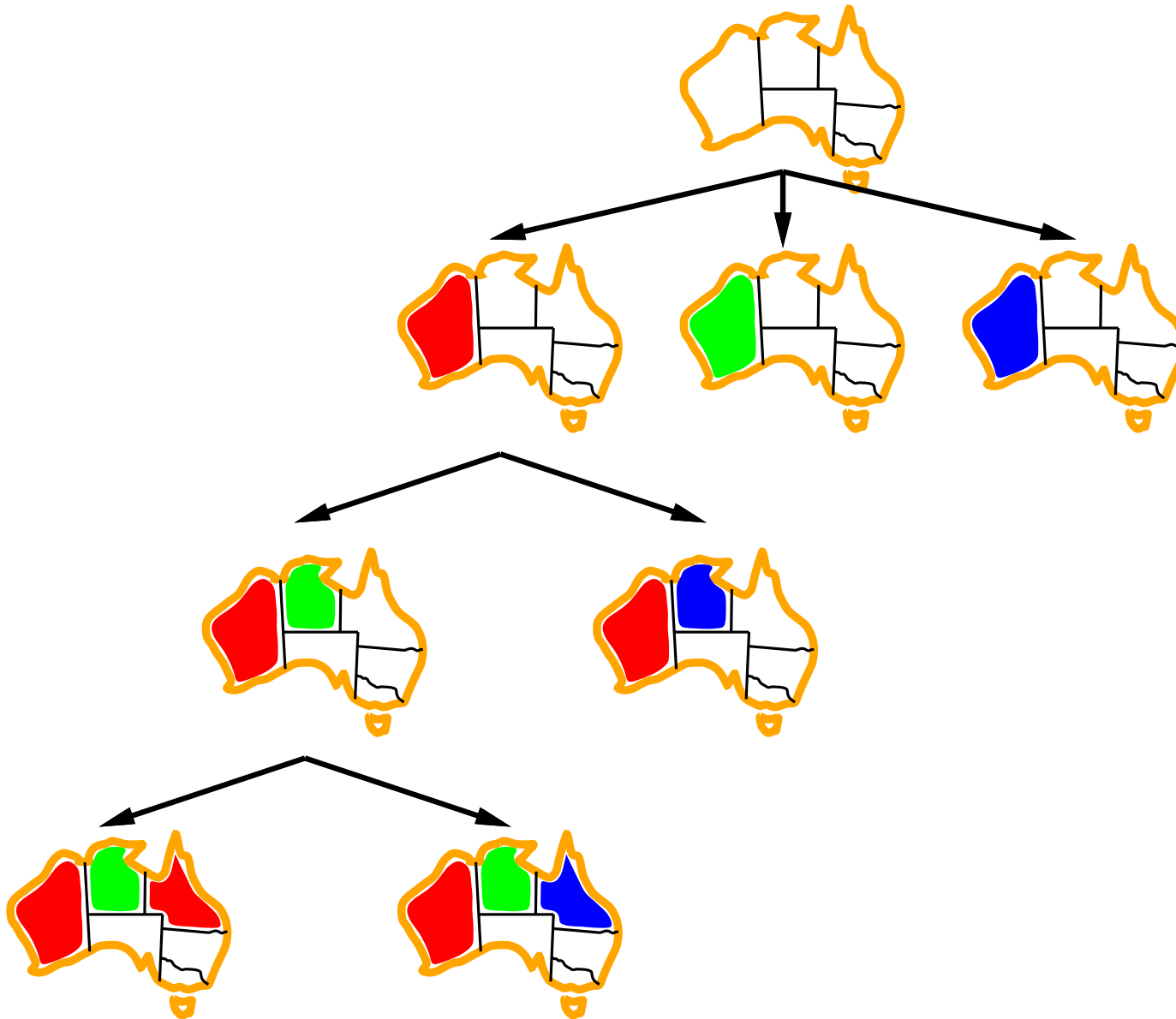
# Backtracking example



# Backtracking example



# Backtracking example



# Improving backtracking efficiency

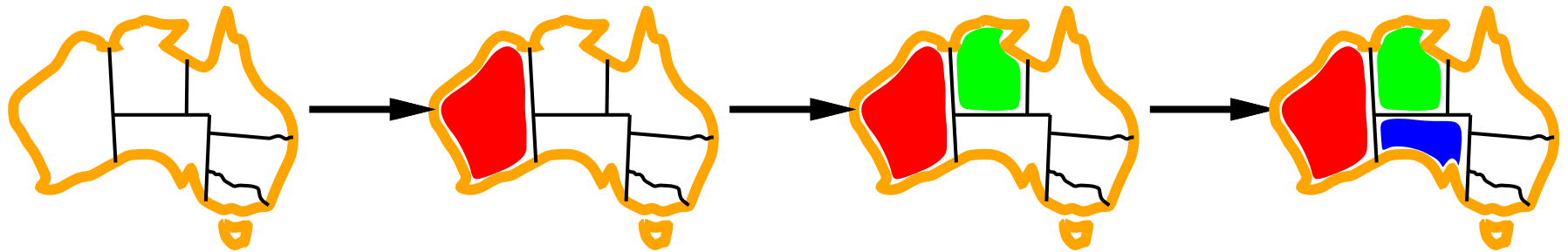
General-purpose heuristics can yield huge gains in speed:

1. Which variable should be assigned next?
2. In what order should its values be tried?
3. Can we detect inevitable failure early?
4. Can we take advantage of problem structure?

# Variable choice heuristics

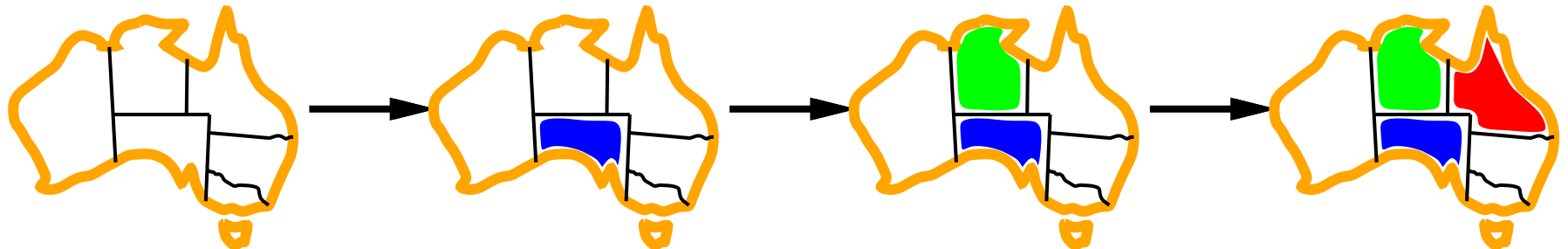
Minimum remaining values (MRV):

choose the variable with the fewest legal values



Degree heuristic:

choose the variable with the most constraints on remaining vars

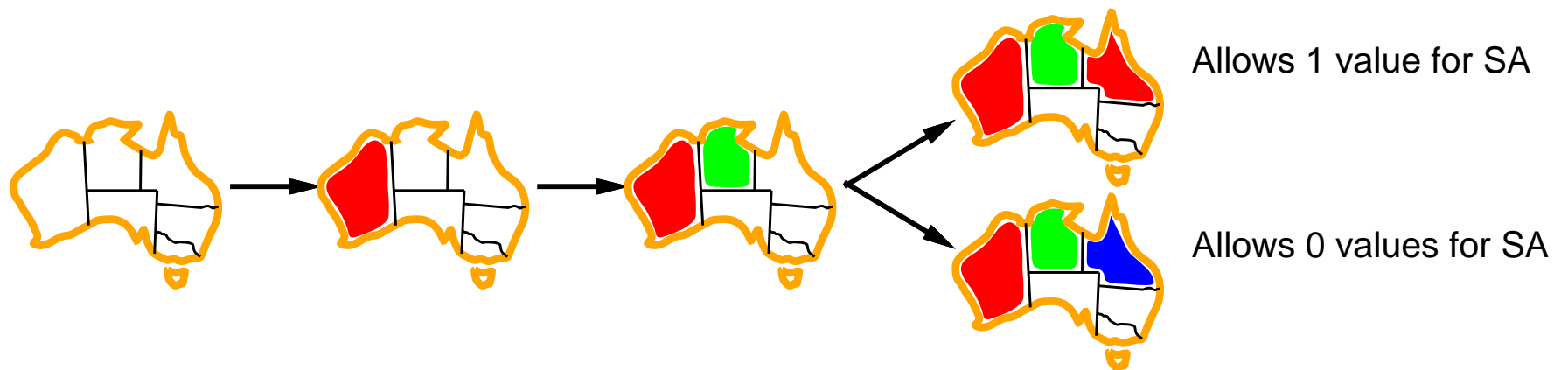


Latter often used as a tie-breaker for former

# Value choice heuristics

## Least constraining value:

For a given a variable, choose the least constraining value: the one that rules out the fewest values in the remaining variables

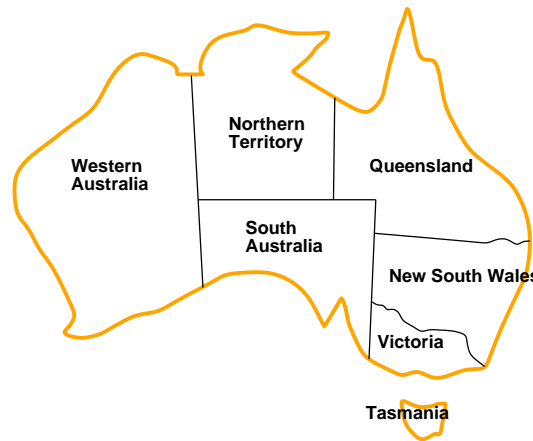


Combining these heuristics makes 1000-queens feasible



# Forward checking

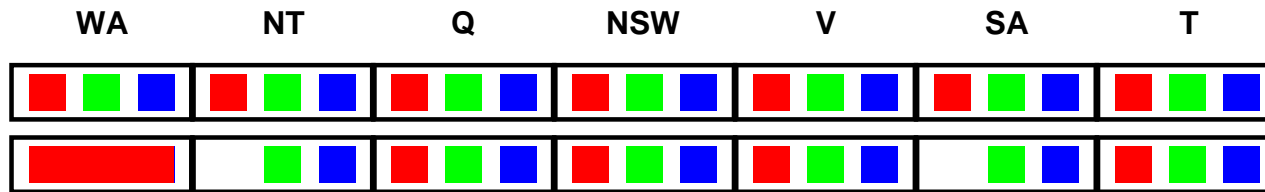
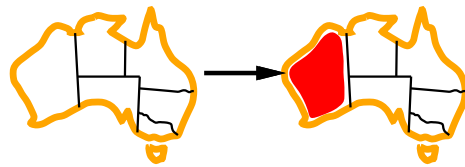
**Idea:** Keep track of remaining legal values for unassigned variables  
Terminate search when any variable has no legal values





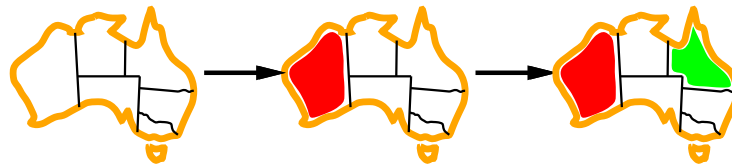
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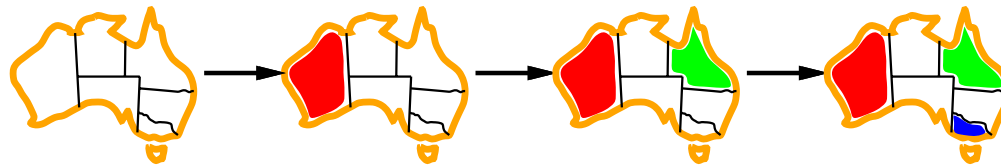


| WA               | NT               | Q                   | NSW              | V                | SA               | T                |
|------------------|------------------|---------------------|------------------|------------------|------------------|------------------|
| Red, Green, Blue | Red, Green, Blue | Red, Green, Blue    | Red, Green, Blue | Red, Green, Blue | Red, Green, Blue | Red, Green, Blue |
| Red, Red, Red    | Green, Blue      | Red, Green, Blue    | Red, Green, Blue | Red, Green, Blue | Green, Blue      | Red, Green, Blue |
| Red, Red, Red    | Blue             | Green, Green, Green | Red, Blue        | Red, Green, Blue | Blue             | Red, Green, Blue |



# Forward checking

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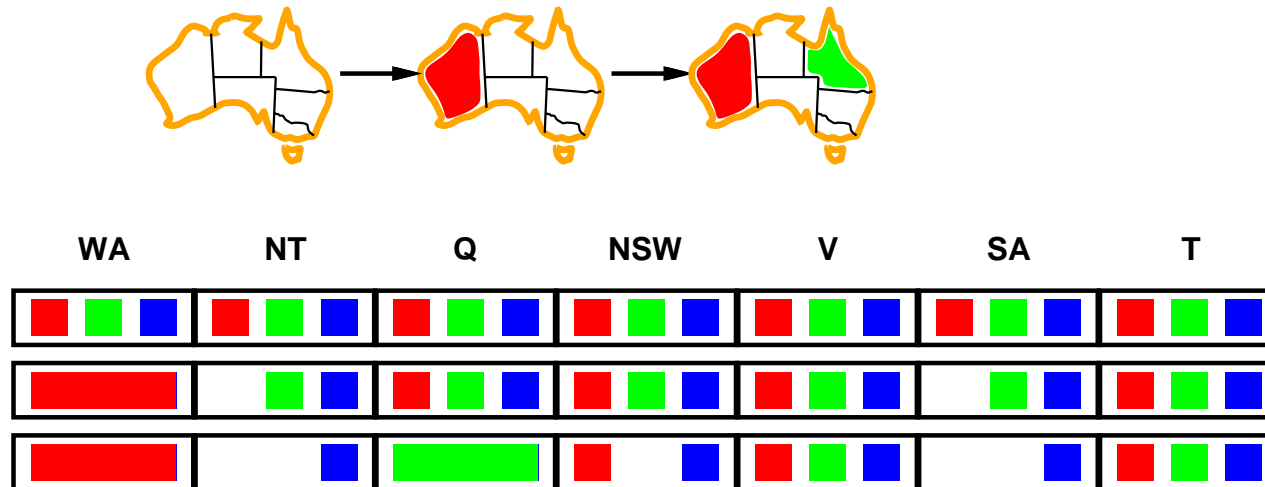


| WA               | NT               | Q                | NSW              | V                | SA               | T                |
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| Red, Green, Blue | Blue             | Green, Blue      | Red              | Blue             |                  | Red, Green, Blue |



# Constraint propagation

Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



*NT* and *SA* cannot both be blue!

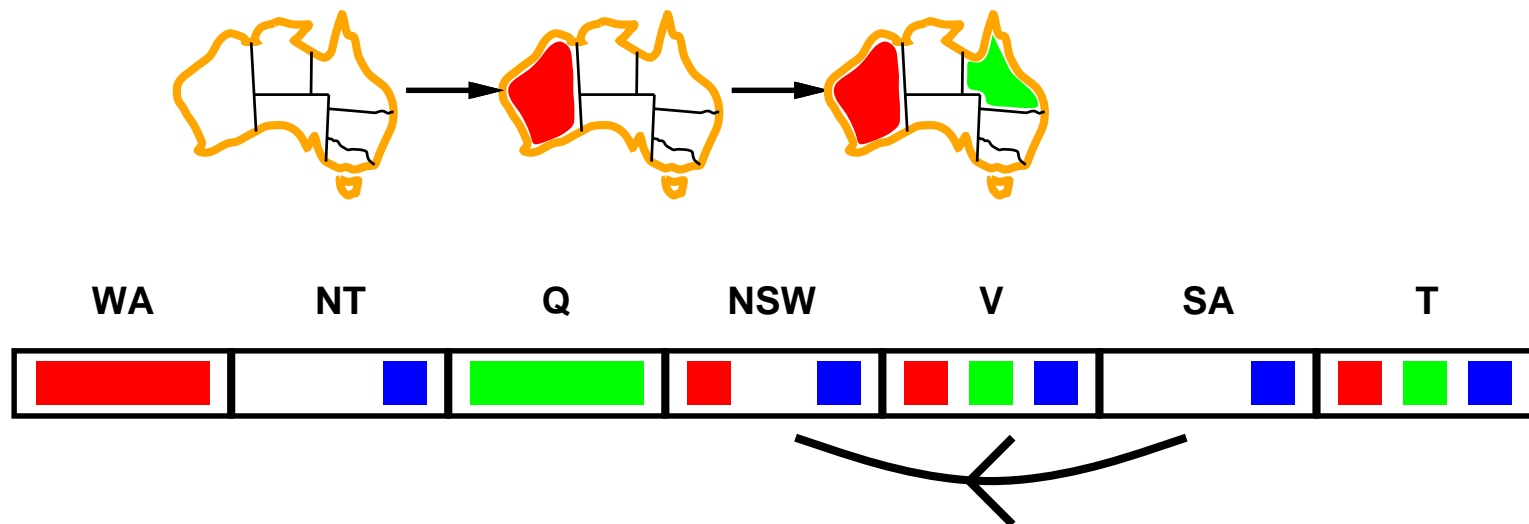
Constraint propagation repeatedly enforces constraints locally

# Arc consistency

Simplest form of propagation, makes each arc **consistent**

Arc  $X \rightarrow Y$  is **consistent** iff

for **every** value  $x$  of  $X$  there is **some** allowed value  $y$  for  $Y$

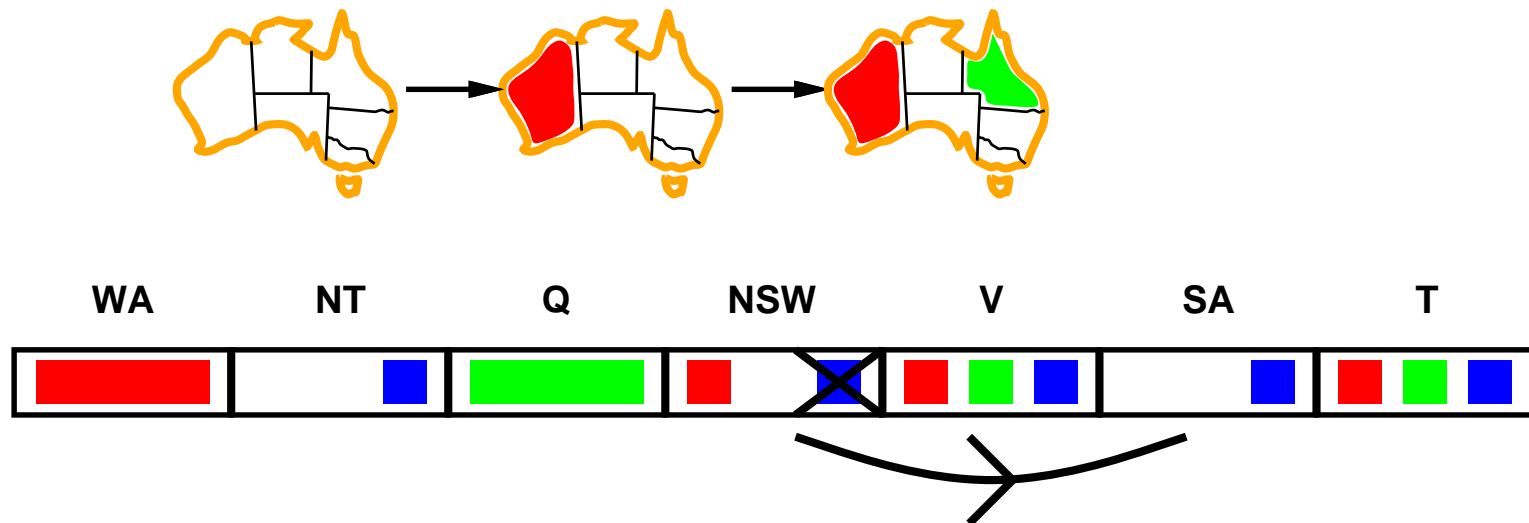


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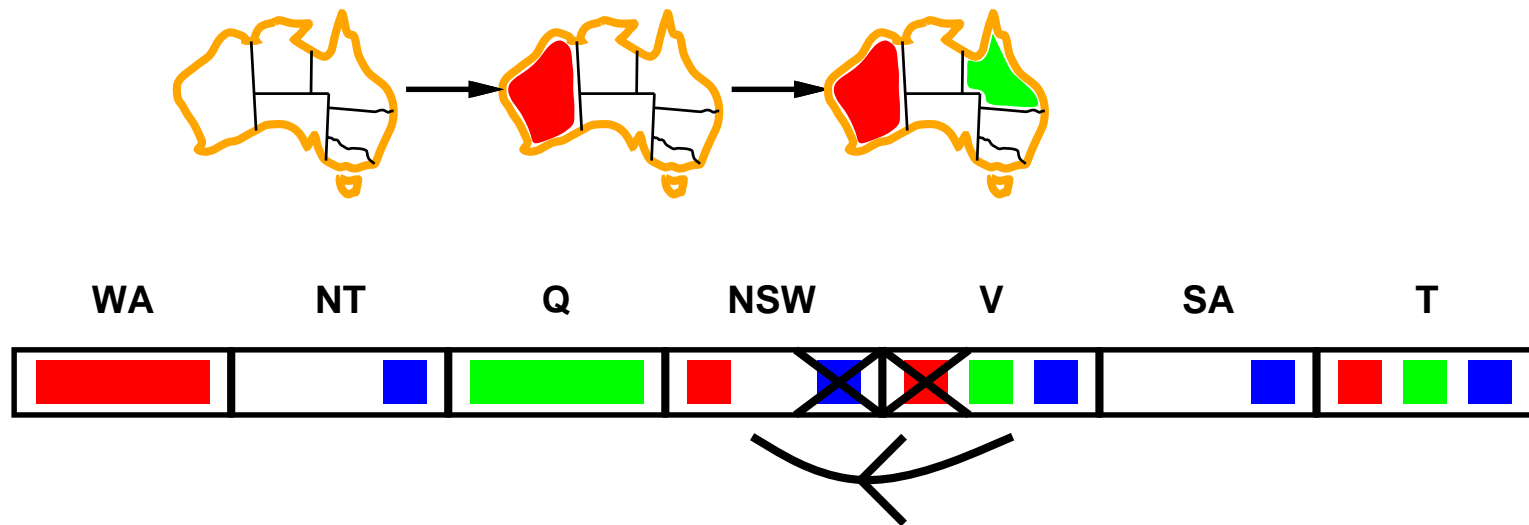


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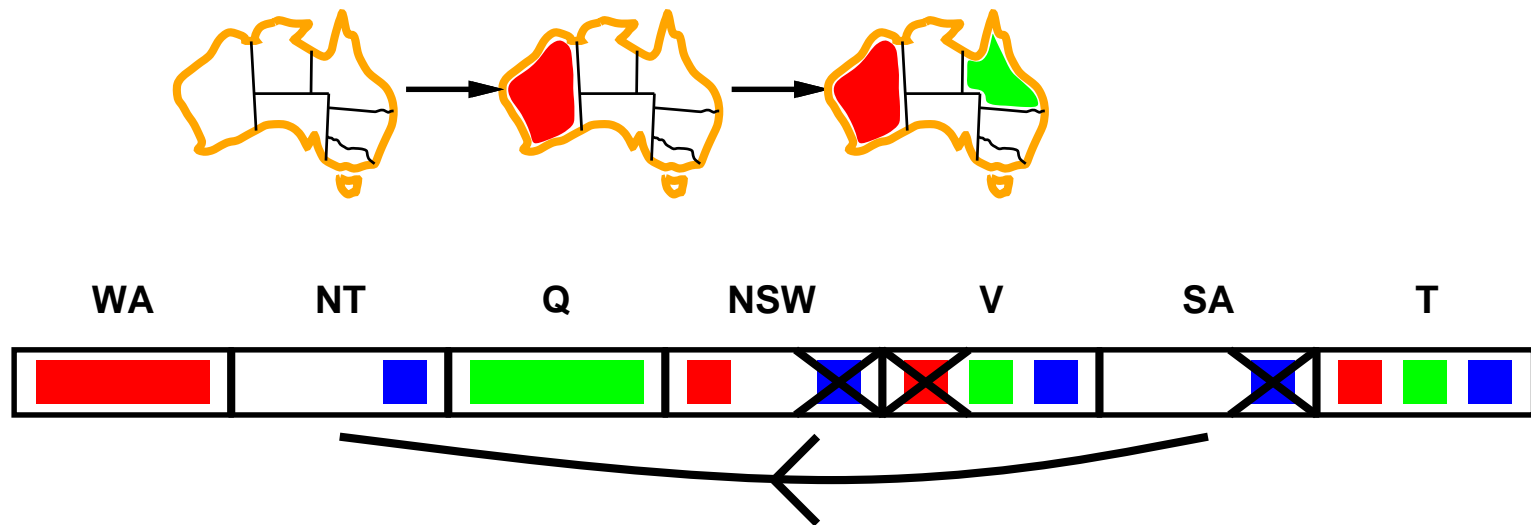
If  $X$  loses a value, neighbors of  $X$  need to be rechecked

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If  $X$  loses a value, neighbors of  $X$  need to be rechecked

Arc consistency detects failure earlier than forward checking

Can be run as a preprocessor and/or after each assignment



# Arc consistency algorithm

**function** AC-3(*csp*) **returns** *false* if inconsistency found and *true* otherwise

**inputs:** *csp*, a binary CSP with components  $(X, D, C)$  and  $|C| = c$

**local vars:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** *false*

**for each**  $X_k$  **in**  $X_i.\text{NEIGHBORS} - \{X_i\}$  **do** add  $(X_k, X_i)$  to *queue*

**function** REVISE( $X_i, X_j$ ) **returns** *true* iff  $X_i$ 's domain is revised

*revised*  $\leftarrow$  *false*

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows  $(x, y)$  to satisfy the constraint between  $X_i$  and  $X_j$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  *true*

**return** *revised*

$O(cd^3)$ , can be reduced to  $O(cd^2)$  (detecting **all** inconsistencies is NP-hard)

# Further notions of consistency

**Node consistency:** A single variable  $X$  is **node-consistent** if all the values in  $X$ 's domain  $D(X)$  satisfy the unary constraints on  $X$

Ex.

$D(X) = \{1, 2, 3\}$     $C_1 = (X > 0)$     $X$  node-consist. with  $C_1$

$D(X) = \{1, 2, 3\}$     $C_2 = (X > 5)$     $X$  **not** node-consist. with  $C_2$

# Further notions of consistency

Arc-consistency for  $n$ -constraints

**Generalized arc consistency:** A variable  $X_i$  is **generalized arc-consistent** wrt an  $n$ -ary constraint  $C(X_1, \dots, X_i, \dots, X_n)$  if, for every  $v \in D(X_i)$ , there is a  $(v_1, \dots, v, \dots, v_n) \in D(X_1) \times \dots \times D(X_i) \times \dots \times D(X_n)$  that satisfies  $C$

Ex.

$$D(X) = D(Y) = D(Z) = \{1, 2, 3\}$$

$$C_1 = (X + Y > Z) \quad Y \text{ generalized arc-consist. with } C_1$$

$$C_2 = (X + Y < Z) \quad Z \text{ not generalized arc-consist. with } C_2$$

# Further notions of consistency

*Chained arc-consistency*

**Path consistency:** A two-variable set  $\{X, Z\}$  is **path-consistent** wrt a third variable  $Y$  if, for every assignment satisfying the constraints on  $\{X, Z\}$ , there is an assignment to  $Y$  that satisfies the constraints on  $\{X, Y\}$  and  $\{Y, Z\}$

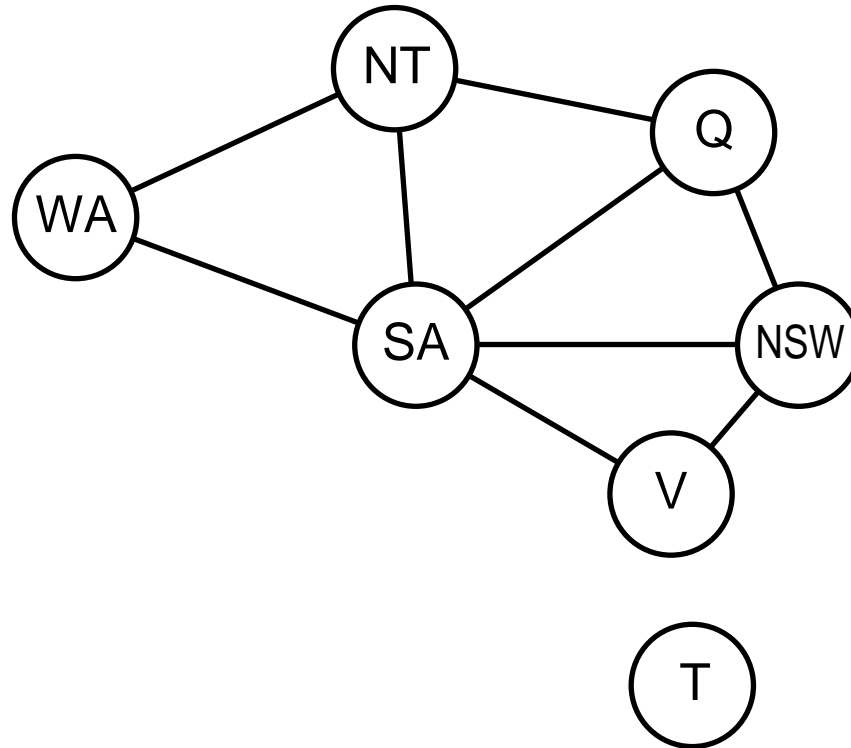
Ex.

$$D(X) = D(Y) = D(Z) = \{1, 2, 3, 4\}$$

$\{X > 2 \cdot Z, X > Y, Y = Z + 1\}$   $\{X, Z\}$  path-consistent wrt  $Y$

$\{X > 2 \cdot Z, X < Y, Y = Z + 1\}$  not  $\{X, Z\}$  path-consistent wrt  $Y$

# Problem structure



Tasmania and mainland are **independent subproblems**

Identifiable as **connected components** of constraint graph

# Problem structure

Suppose each subproblem has  $c$  variables out of  $n$  total

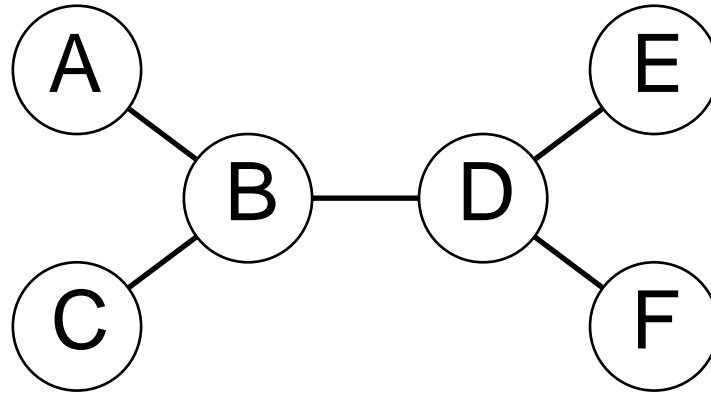
Worst-case solution cost is  $\frac{n}{c} \cdot d^c$ , which is **linear** in  $n$

E.g.,  $n = 80$ ,  $d = 2$ ,  $c = 20$

$2^{80} = 4$  billion years at 10 million nodes/sec

$4 \cdot 2^{20} = 0.4$  seconds at 10 million nodes/sec

# Tree-structured CSPs



**Theorem:** If the constraint graph has no loops, the CSP can be solved in  $O(nd^2)$  time

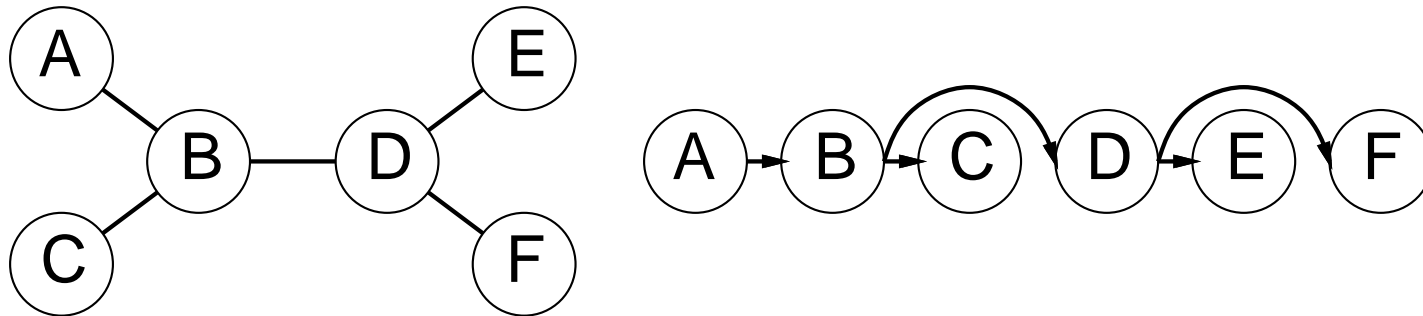
Compare to general CSPs, where worst-case time is  $O(d^n)$

This property also applies to logical and probabilistic reasoning:  
an important example of the relation between

- syntactic restrictions and
- the complexity of reasoning

# Algorithm for tree-structured CSPs

1. Choose a variable as root, order variables from root to leaves so that every node's parent precedes it in the ordering

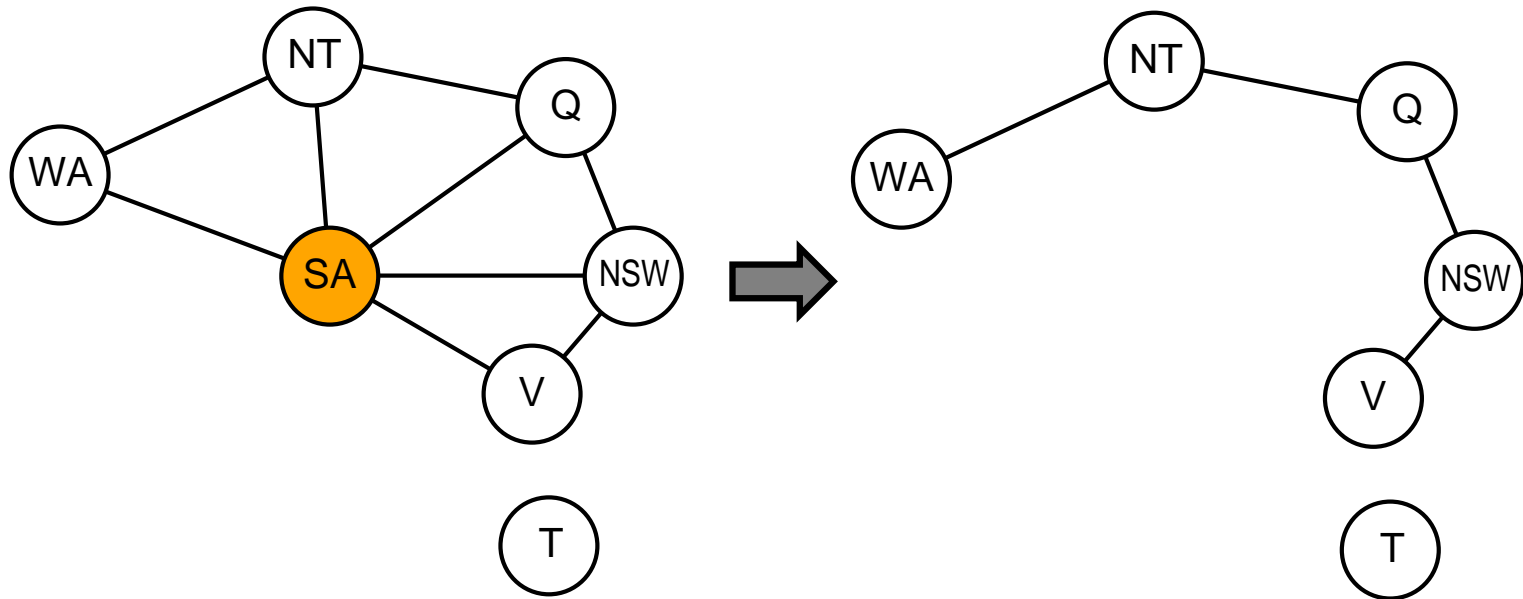


2. For  $j$  from  $n$  down to  $2$ , apply  $\text{MAKEARC CONSISTENT}(\text{Parent}(X_j), X_j)$
3. For  $j$  from  $1$  to  $n$ , assign  $X_j$  consistently with  $\text{Parent}(X_j)$



# Nearly tree-structured CSPs

**Conditioning:** instantiate a variable, prune its neighbors' domains



**Cutset conditioning:** instantiate (in all ways) a set of variables so that the remaining constraint graph is a tree

Cutset size  $c \implies$  runtime  $O(d^c \cdot (n - c)d^2)$ , very fast for small  $c$

# Further Optimizations

- Tree decomposition
- Symmetry breaking

# Iterative algorithms for CSPs

Hill-climbing, simulated annealing typically work with *complete* states, i.e., with all variables assigned

To apply to CSPs:

- allow states with unsatisfied constraints

- operators **reassign** variable values

Variable selection: randomly select any conflicted variable

Value selection by **min-conflicts** heuristic:

- choose value that violates the fewest constraints

- i.e., hillclimb with  $h(n)$  = total number of violated constraints

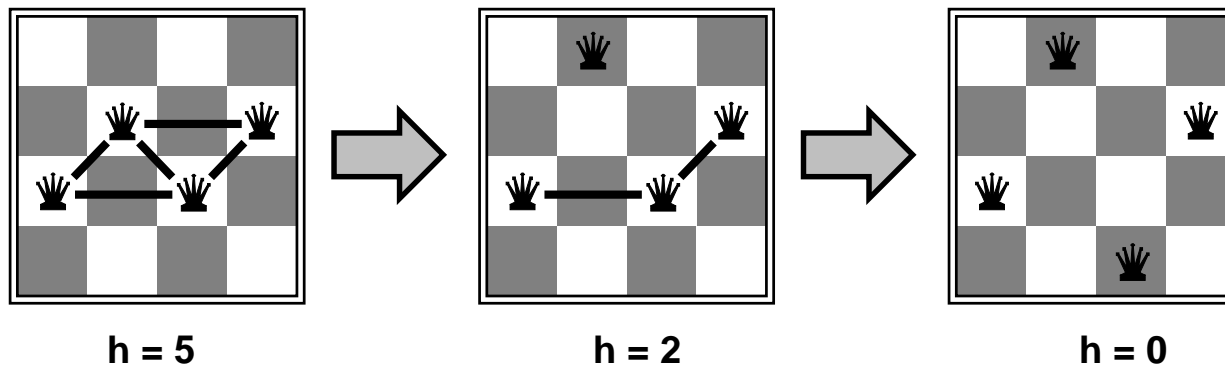
# Example: 4-Queens

States: 4 queens in 4 columns ( $4^4 = 256$  states)

Operators: move queen in column

Goal test: no attacks

Evaluation:  $h(n)$  = number of attacks

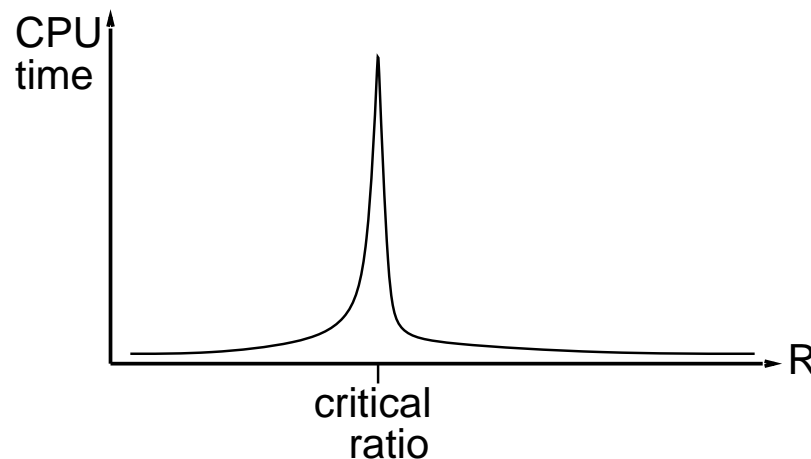


# Performance of min-conflicts

Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )

The same appears to be true for any randomly-generated CSP **except** in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



The critical ration corresponds to a **phase transition** for the problems, from **satisfiable** to **unsatisfiable**