# CS:4350 Logic in Computer Science <br> Quantified Boolean Formulas 

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## Credits

These slides are largely based on slides originally developed by Andrei Voronkov at the University of Manchester. Adapted by permission.

## Outline

Quantified Boolean Formulas
Syntax and Semantics
Free and Bound Variables
Prenex Form
Satisfiability Checking
Splitting
Conjunctive Normal Form
DPLL
QBF and BDDs

## Two-Player Games



Does she have a winning strategy?

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Player $P$ wins if after $n$ steps the chosen values satisfy formula $G$

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| 5. | $p_{1} \wedge \neg p_{1}$ | $G$ is unsatisfiable, $Q$ always wins! |
| 6. | $p_{1} \leftrightarrow q_{1}$ | each move by $P$ can be beaten by $Q$ |

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The existence of a winning strategy can be expressed by the quantified Boolean formula

$$
\exists p_{1} \forall q_{1} \exists p_{2} \forall q_{2} \ldots \exists p_{n} \forall q_{n} G
$$

## Quantified Boolean Formulas

Propositional Formula:

- Every Boolean variable is a (propositional) formula
- T and $\perp$ are formulas
- If $F$ is a PF, then $\neg F$ is a formula
- If $F_{1}, \ldots, F_{n}$ are formulas, where $n \geq 2$, then $\left(F_{1} \wedge \cdots \wedge F_{n}\right)$ and $\left(F_{1} \vee \cdots \vee F_{n}\right)$ are formulas
- If $F$ and $G$ are formulas, then $(F \rightarrow G)$ and $(F \leftrightarrow G)$ are formulas


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- If $F$ and $G$ are formulas, then $(F \rightarrow G)$ and $(F \leftrightarrow G)$ are formulas

Quantified Boolean Formulas (QBFs):

- Every propositional formula is a QBF
- If $p$ is a Boolean variable and $F$ is a QBF, then $\forall p F$ and $\exists p F$ are QBFs


## Quantifiers

- $\forall$ is called the universal quantifier (symbol)
- $\exists$ is called the existential quantifier (symbol)
- $\forall p F$ is read as "for all $p, F$ "
- $\exists p F$ is read as "there exists $p$ such that $F$ " or "for some $p, F$ "


## Changing interpretations pointwise

Let $\mathcal{I}$ be an interpretation
Notation:

$$
\mathcal{I}[p \mapsto b](q) \stackrel{\text { def }}{=} \begin{cases}\mathcal{I}(q), & \text { if } p \neq q \\ b, & \text { if } p=q\end{cases}
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Example: $\mathcal{I}=\{p \mapsto 1, q \mapsto 0, r \mapsto 1\}$

$$
\begin{aligned}
& \mathcal{I}[q \mapsto 1]=\{p \mapsto 1, q \mapsto 1, r \mapsto 1\} \\
& \mathcal{I}[q \mapsto 0]=\{p \mapsto 1, q \mapsto 0, r \mapsto 1\}=\mathcal{I} \\
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## QBF Semantics

1. $\mathcal{I}(T)=1$ and $\mathcal{I}(\perp)=0$
2. $\mathcal{I}\left(F_{1} \wedge \cdots \wedge F_{n}\right)=1$ iff $\mathcal{I}\left(F_{i}\right)=1$ for all $i$
3. $\mathcal{I}\left(F_{1} \vee \cdots \vee F_{n}\right)=1$ iff $\mathcal{I}\left(F_{i}\right)=1$ for some $i$
4. $\mathcal{I}(\neg F)=1$ iff $\mathcal{I}(F)=0$
5. $\mathcal{I}(F \rightarrow G)=1$ iff $\mathcal{I}(F)=0 \operatorname{or} \mathcal{I}(G)=1$
6. $\mathcal{I}(F \leftrightarrow G)=1$ iff $\mathcal{I}(F)=\mathcal{I}(G)$

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6. $\mathcal{I}(F \leftrightarrow G)=1$ iff $\mathcal{I}(F)=\mathcal{I}(G)$
7. $\mathcal{I}(\forall p F)=1$ iff $\mathcal{I}[p \mapsto 0](F)=1$ and $\mathcal{I}[p \mapsto 1](F)=1$
8. $\mathcal{I}(\exists p F)=1$ iff $\mathcal{I}[p \mapsto 0](F)=1 \operatorname{or} \mathcal{I}[p \mapsto 1](F)=1$

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The variables $p$ and $q$ are bound by the quantifiers $\forall p$ and $\exists q$, so the value of the formula does not depend on the values $p$ and $q$

## Subformula

Propositional formulas:

- $F$ is the immediate subformula of $\neg F$
- $F_{1}, \ldots, F_{n}$ are the immediate subformulas of $F_{1} \wedge \cdots \wedge F_{n}$
- $F_{1}, \ldots, F_{n}$ are the immediate subformulas of $F_{1} \vee \cdots \vee F_{n}$
- $F_{1}$ and $F_{2}$ are the immediate subformulas of $F_{1} \rightarrow F_{2}$
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Quantified Boolean formulas:

- $F$ is the immediate subformula of $\forall p F$ and of $\exists p F$


## Positions and polarity by example



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## Positions and Polarity

Let $\left.F\right|_{\pi}=A$
Propositional formulas:

- If $A$ has the form $\neg A_{1}$, then $\pi .1$ is a position in $F,\left.F\right|_{\pi .1} \stackrel{\text { def }}{=} A_{1}$ and $\operatorname{pol}(F, \pi .1) \stackrel{\text { def }}{=}-\operatorname{pol}(F, \pi)$
- If $A$ has the form $A_{1} \wedge \cdots \wedge A_{n}$ or $A_{1} \vee \cdots \vee A_{n}$ and $i \in\{1, \ldots, n\}$, then $\pi . i$ is a position in $F$ and $p o l(F, \pi, i) \stackrel{\text { def }}{=} \operatorname{pol}(F, \pi)$


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- ...

Quantified Boolean formulas:

- If $A$ has the form $\forall p B$ or $\exists p B$, then $\pi .1$ is a position in $F,\left.F\right|_{\pi .1} \stackrel{\text { def }}{=} B$ and $p o l(F, \pi .1) \stackrel{\text { def }}{=} \operatorname{pol}(F, \pi)$

Free and bound variables by example


## Free and bound occurrences in programs

- Free variables in formulas are analogous to global variables in programs
- Bound variables in formulas are analogous to local variables in programs

```
int offset_sym_diff(int i, int j)
{
    int k = i > j ? i - j : j - i;
    return a + k
}
sum = i + offset_sym_diff(3,4);
```


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## Free and bound occurrences of variables

Let $F$ be a QBF and $p$ be atom of at position $\pi$
The occurrence of $p$ at position $\pi$ in $F$ is bound if $\pi$ can be represented as a concatenation of two strings $\pi_{1} \pi_{2}$ such that $\left.F\right|_{\pi_{1}}$ has the form $\forall p G$ or $\exists p G$

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Free occurrence: not bound
Free (bound) variable of a formula: a variable with at least one free (bound) occurrence

Closed formula: formula with no free variables

## Only free variables matter for truth

The truth value of a QBF formula $F$ depends only on the values of its free variables:

Lemma 1
Suppose $\mathcal{I}_{1}(p)=\mathcal{I}_{2}(p)$ for all free variables $p$ of $F$. Then

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I_{1} \models F \text { iff } I_{2} \models F
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## Only free variables matter for truth

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Theorem 2
Let F be a closed formula and let $\mathcal{I}_{1}, \mathcal{I}_{2}$ be two interpretations. Then

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I_{1} \models F \text { iff } I_{2} \models F
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## Truth, Validity and Satisfiability

Validity and satisfiability are defined as for propositional formulas

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For every interpretation I and closed formula F the following statements are equivalent: (i) $\mathcal{I} \models$ F; (ii) F is satisfiable; and (iii) F is valid.

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Satisfiability can be expressed through satisfiability/validity of closed formulas:
Lemma 4
Let $F$ be a formula with free variables $p_{1}, \ldots, p_{n}$.

- $F$ is satisfiable iff $\exists p_{1} \ldots \exists p_{n} F$ is satisfiable/valid
- $F$ is valid iff the formula $\forall p_{1} \ldots \forall p_{n} F$ is satisfiable/valid


## Substitutions for propositional formulas

Substitution: $F_{p}^{G}$ : denotes the formula obtained from $F$ by replacing all occurrences of the variable $p$ by $G$

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Property: Applying any substitution to a valid formula results in a valid formula

## Substitution for quantified formulas

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Consider $\exists q(\neg p \leftrightarrow q)$

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Some problems ...
Consider $\exists q(\neg p \leftrightarrow q)$
We cannot simply replace variables by formulas any more:
$\exists(r \rightarrow r)(\neg p \leftrightarrow r \rightarrow r)$ ???
Free variables are parameters: we can only substitute for parameters. But a variable can have both free and bound occurrences in a formula, e.g.,

$$
\forall p((p \rightarrow q) \vee \neg p) \wedge(q \vee(q \rightarrow p))
$$

## Renaming bound variables

Notation: $\exists \forall$ : any of $\exists, \forall$

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Renaming bound variables in $F[\exists \forall p G]$ :

1. Take a fresh variable $q$ (i.e., a variable not occurring in $F$ )
2. Replace all free occurrences of $p$ in $G$ (not in $F$ !) by $q$, obtaining $G^{\prime}$
3. Consider $F\left[\exists \forall q G^{\prime}\right]$

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Example:

$$
\begin{aligned}
& \exists r(\forall p((p \rightarrow r) \wedge p)) \vee p \quad \text { rename } p \text { to } q \text { obtaining } \\
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\end{aligned}
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Lemma 5
$F[\exists \forall p G] \equiv F\left[\exists \forall q G^{\prime}\right]$

Free and bound variables by example


## Rectified formulas

Rectified formula F:

1. no variable appears both free and bound in $F$
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## Rectified formula F:

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Any formula can be rectified by renaming its bound variables
We can use the usual notation $F_{p}^{G}$ for substitutions into a rectified formula $F$, assuming $p$ occurs only free in $F$

## Rectification: Example

$$
p \rightarrow \exists p(p \wedge \forall p(p \vee r \rightarrow \neg p))
$$

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& p \rightarrow \exists p(p \wedge \forall p(p \vee r \rightarrow \neg p)) \Rightarrow \\
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$$

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& p \rightarrow \exists p_{2}\left(p_{2} \wedge \forall p_{1}\left(p_{1} \vee r \rightarrow \neg p_{1}\right)\right)
\end{aligned}
$$

## Another problem

$\exists q(\neg p \leftrightarrow q) \quad$ This formula is valid (whatever $p$ is, choose the opposite for $q$ )

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$\exists q(\neg q \leftrightarrow q) \quad$ This formula is unsatisfiable! Substitutions below a quantifier should not lead to variable capturing

## Another restriction

Suppose we want to substitute $G$ for $p$ in $F[p]$
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(In previous example, $(\exists q(\neg p \leftrightarrow q))_{p}^{q}$ does not satisfy this requirement)
Uniform solution: renaming of bound variables

Example:
Since $\exists q(\neg p \leftrightarrow q) \equiv \exists r(\neg p \leftrightarrow r)$
we can use $(\exists r(\neg p \leftrightarrow r))_{p}^{q}$ instead of $(\exists q(\neg p \leftrightarrow q))_{p}^{q}$

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## Equivalent replacement

Lemma 6
Let $\mathcal{I}$ be an interpretation and $\mathcal{I} \models F_{1} \leftrightarrow F_{2}$. Then $\mathcal{I} \models G\left[F_{1}\right] \leftrightarrow G\left[F_{2}\right]$.

## Equivalent replacement

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Let $\mathcal{I}$ be an interpretation and $\mathcal{I} \models F_{1} \leftrightarrow F_{2}$. Then $\mathcal{I} \models G\left[F_{1}\right] \leftrightarrow G\left[F_{2}\right]$.

Theorem 7 (Equivalent Replacement)
Let $F_{1} \equiv F_{2}$. Then $G\left[F_{1}\right] \equiv G\left[F_{2}\right]$.

## More equivalences

Theorem 8
The following holds for all QBFs F:

1. $\forall p_{1} \forall p_{2} F \equiv \forall p_{2} \forall p_{1} F$
2. $\exists p_{1} \exists p_{2} F \equiv \exists p_{2} \exists p_{1} F$
3. $\exists \nexists p F \equiv F$ if $p$ does not occur free in $F$

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Example:

- $\forall p \exists q(p \leftrightarrow q) \equiv \top$


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Note: In general, $\exists p_{1} \forall p_{2} F \not \equiv \forall p_{2} \exists p_{1} F$
Example:

- $\forall p \exists q(p \leftrightarrow q) \equiv T$
- $\exists q \forall p(p \leftrightarrow q) \equiv \perp$


## Prenex form

Quantifier-free formula: no quantifiers (that is, propositional)

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Prenex formula: formula of the form

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Outermost prefix of $\exists \forall_{1} p_{1} \cdots \exists \forall_{n} p_{n} G$ : the longest subsequence $\exists \exists_{1} p_{1} \cdots \exists \exists_{k} p_{k}$ of $\exists \forall_{1} p_{1} \cdots \exists \forall_{n} p_{n}$ such that $\exists \exists_{1}=\cdots=\exists \forall_{k}$

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## Example

- outermost prefix of $\forall p \forall q \exists r(r \wedge p \rightarrow q)$ : $\forall p \forall q$
- outermost prefix of $\exists p \forall q \exists r(r \wedge p \rightarrow q): \exists p$


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A formula $F$ is a prenex form of a formula $G$ if $F$ is prenex and $F \equiv G$

## Conversion to prenex form, Example I



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$$
\begin{array}{ll}
\exists q(q \rightarrow p) \rightarrow \neg \forall r(r \rightarrow p) \vee p & \Rightarrow \\
\forall q((q \rightarrow p) \rightarrow \neg \forall r(r \rightarrow p) \vee p) & \Rightarrow \\
\forall q((q \rightarrow p) \rightarrow \exists r \neg(r \rightarrow p) \vee p) & \Rightarrow \\
\forall q((q \rightarrow p) \rightarrow \exists r(\neg(r \rightarrow p) \vee p)) & \Rightarrow \\
\forall q \exists r((q \rightarrow p) \rightarrow \neg(r \rightarrow p) \vee p) &
\end{array}
$$

## Prenexing rules

$$
\begin{aligned}
\left(\exists \forall p F_{1}\right) \wedge \cdots \wedge F_{n} \Rightarrow & \exists \forall p\left(F_{1} \wedge \cdots \wedge F_{n}\right) \\
\left(\exists \forall p F_{1}\right) \vee \cdots \vee F_{n} \Rightarrow & \exists p p\left(F_{1} \vee \cdots \vee F_{n}\right) \\
\left(\forall p F_{1}\right) \rightarrow F_{2} \Rightarrow \exists p\left(F_{1} \rightarrow F_{2}\right) & F_{1} \rightarrow\left(\exists p F_{2}\right) \Rightarrow \exists p\left(F_{1} \rightarrow F_{2}\right) \\
\left(\exists p F_{1}\right) \rightarrow F_{2} \Rightarrow \forall p\left(F_{1} \rightarrow F_{2}\right) & F_{1} \rightarrow\left(\forall p F_{2}\right) \Rightarrow \forall p\left(F_{1} \rightarrow F_{2}\right) \\
\neg \forall p F \Rightarrow \exists p \neg F & \neg \exists p F \Rightarrow \forall p \neg F
\end{aligned}
$$

## Conversion to prenex form, Example II

$$
\begin{array}{ll}
\exists q(q \rightarrow p) \rightarrow \neg \forall r(r \rightarrow p) \vee p & \Rightarrow \\
\exists q(q \rightarrow p) \rightarrow \exists r \neg(r \rightarrow p) \vee p & \Rightarrow \\
\exists q(q \rightarrow p) \rightarrow \exists r(\neg(r \rightarrow p) \vee p) & \Rightarrow \\
\exists r(\exists q(q \rightarrow p) \rightarrow \neg(r \rightarrow p) \vee p) & \Rightarrow \\
\exists r \forall q((q \rightarrow p) \rightarrow \neg(r \rightarrow p) \vee p) &
\end{array}
$$

## Checking the satisfiability of QBFs

The algorithms for propositional satisfiability or validity can be adapted to QBF We will see:

- Splitting
- DPLL


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Recall:

1. $F\left(p_{1}, \ldots, p_{n}\right)$ is satisfiable iff $\exists p_{1} \cdots \exists p_{n} F\left(p_{1}, \ldots, p_{n}\right)$ is satisfiable
2. $F\left(p_{1}, \ldots, p_{n}\right)$ is valid iff $\forall p_{1} \cdots \forall p_{n} F\left(p_{1}, \ldots, p_{n}\right)$ is satisfiable
3. A closed QBF is either always true (valid) or false (unsatisfiable)

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3. A closed QBF is either always true (valid) or false (unsatisfiable)

The algorithms will check whether a closed formula is valid or unsatisfiable

## Splitting: foundations

Lemma 9

- A closed formula $\forall p F$ evaluates to true iff both $F_{p}^{\perp}$ and $F_{p}^{\top}$ evaluate to true.
- A closed formula $\exists p F$ evaluates to true iff either $F_{p}^{\perp}$ or $F_{p}^{\top}$ evaluates to true.


## Splitting

Simplification rules for $T$ :

$$
\begin{gathered}
\neg \top \Rightarrow \perp \\
\top \wedge F_{1} \wedge \cdots \wedge F_{n} \Rightarrow F_{1} \wedge \cdots \wedge F_{n} \\
\top \vee F_{1} \vee \cdots \vee F_{n} \Rightarrow \top \\
F \rightarrow \top \Rightarrow \top \quad \top \rightarrow F \Rightarrow F \\
F \leftrightarrow \top\rceil \Rightarrow F \quad \top \leftrightarrow F \Rightarrow F
\end{gathered}
$$

Simplification rules for $\perp$ :

$$
\begin{gathered}
\neg \perp \Rightarrow \top \\
\perp \wedge F_{1} \wedge \cdots \wedge F_{n} \Rightarrow \perp \\
\perp \vee F_{1} \vee \cdots \vee F_{n} \Rightarrow F_{1} \vee \cdots \vee F_{n} \\
F \rightarrow \perp \Rightarrow \neg F \quad \perp \rightarrow F \Rightarrow \top \\
F \leftrightarrow \perp \Rightarrow \neg F \quad \perp \leftrightarrow F \Rightarrow \neg F
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F \leftrightarrow \top\rceil \Rightarrow F \quad \top \leftrightarrow F \Rightarrow F \\
\forall p \top \Rightarrow \top \\
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\perp \vee F_{1} \vee \cdots \vee F_{n} \Rightarrow F_{1} \vee \cdots \vee F_{n} \\
F \rightarrow \perp \Rightarrow \neg F \quad \perp \rightarrow F \Rightarrow \top \\
F \leftrightarrow \perp \Rightarrow \neg F \quad \perp \leftrightarrow F \Rightarrow \neg F \\
\forall p \perp \Rightarrow \perp \\
\exists p \perp \Rightarrow \perp
\end{gathered}
$$

## Splitting, Example

$$
\forall p \exists q(p \leftrightarrow q)
$$

## Splitting, Example

$$
\begin{aligned}
& \quad \forall p \exists q(p \leftrightarrow q) \\
& p=0 \\
& \exists q(\neg q)
\end{aligned}
$$

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\end{aligned} \quad \vee \mathrm{l}
$$

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## Splitting, Example




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## Splitting, Example




## Splitting, Example



To minimize search the selection of variable values is best seen as a two-player game:
by selecting a value for $\exists q$ one is trying to make the formula true, by selecting a value for $\forall p$ one is trying to make the formula false

## Splitting algorithm

Notation: if $\boldsymbol{p}=\left(p_{1}, \ldots, p_{k}\right)$ then $\exists \forall p F$ denotes $\exists \forall p_{1} \cdots \exists \forall p_{k} F$

## Splitting algorithm

```
procedure splitting(F)
input: closed rectified prenex formula F
output: 0 or 1
parameters: function select_variable_value // selects a variable from the outermost prefix
begin // of F as well as a Boolean value for it
    F := simplify (F) // apply extended simplification rules to completion
    if F}=\perp\mathrm{ then return 0
    if F=T then return 1
    // else F has the form }\exists\forallp\mp@subsup{F}{}{\prime}\mathrm{ where p is F's outermost prefix
    (p,b) := select_variable_value(F)
    Let G be obtained from F by deleting p from p
    if }b=0\mathrm{ then }A:=\perp;B:= T else A := T; B:= 
    b := splitting(GG
    case (b, \exists})\mathrm{ ) of
    (0,\forall)=> return 0
    (0,\exists)=> return splitting(G}\mp@subsup{G}{p}{B}
    (1,\forall)=> return splitting ( G G
    (1,\exists)=> return 1
end
```


## Conjunctive Normal Form

For more efficient algorithms we need QBFs to be in a convenient normal form

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A quantified Boolean formula $F$ is in Conjunctive Normal Form (CNF), if

- it is either $\perp$, or $\top$, or
- it has the form

$$
\exists \forall_{1} p_{1} \cdots \exists \forall_{n} p_{n}\left(C_{1} \wedge \cdots \wedge C_{m}\right)
$$

where $C_{1}, \ldots, C_{m}$ are clauses

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where $C_{1}, \ldots, C_{m}$ are clauses

Example:

$$
\forall p \exists q \exists s((\neg p \vee s \vee q) \wedge(s \vee \neg q) \wedge \neg s))
$$

## CNF rules

Prenexing rules
+
propositional CNF rules:

$$
\begin{aligned}
F \leftrightarrow G & \Rightarrow(\neg F \vee G) \wedge(\neg G \vee F) \\
F \rightarrow G & \Rightarrow \neg F \vee G \\
\neg(F \wedge G) & \Rightarrow \neg F \vee \neg G \\
\neg(F \vee G) & \Rightarrow \neg F \wedge \neg G \\
\neg \neg F & \Rightarrow F \\
\left(F_{1} \wedge \cdots \wedge F_{m}\right) \vee G_{1} \vee \cdots \vee G_{n} \Rightarrow & \left(F_{1} \vee G_{1} \vee \cdots \vee G_{n}\right) \\
& \\
& \left(F_{m} \vee G_{1} \vee \cdots \vee G_{n}\right)
\end{aligned}
$$

## DPLL for quantified boolean formulas

Input:
Q: quantifier sequence $\exists \forall_{1} p_{1} \cdots \exists \exists_{n} \boldsymbol{p}_{n}$
$S$ : set of clauses with variables from $p_{1}, \ldots, \boldsymbol{p}_{n}$

Main components:
Unit propagation
Splitting on literals

## Unit Propagation

Q: quantifier sequence $S$ : current clause set

Propositional formulas:
For each unit clause $L$ in $S$

1. remove all clauses containing literal $\angle$ from $S$
2. remove every literal $\bar{L}$ from remaining clauses

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For each unit clause $L$ in $S$ of the form $p$ or $\neg p$

- If $Q$ does not contain $p$ or contains $\exists p$,

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- If $Q$ does not contain $p$ or contains $\exists p$,

1. remove all clauses containing literal $\angle$ from $S$
2. remove every literal $\bar{L}$ from remaining clauses

- otherwise ( $Q$ contains $\forall p$ ), add $\square$ to $S$


## DPLL algorithm

Why do we add $\square$ to $S$ when $Q$ is $\forall p \exists \exists_{1} p_{1} \ldots \exists \exists_{m} p_{m}$ and

$$
S \text { is }\left\{p, C_{1}, \ldots, C_{n}\right\}\left(\operatorname{or}\left\{\neg p, C_{1}, \ldots, C_{n}\right\}\right) ?
$$

## DPLL algorithm

Why do we add $\square$ to $S$ when $Q$ is $\forall p \exists \forall_{1} p_{1} \cdots \exists \forall_{m} p_{m}$ and

$$
S \text { is }\left\{p, C_{1}, \ldots, C_{n}\right\}\left(\operatorname{or}\left\{\neg p, C_{1}, \ldots, C_{n}\right\}\right) ?
$$

Because

1. The intended input formula is

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G=\forall p \exists \exists_{1} q_{1} \cdots \exists \exists_{m} q_{m}\left(p \wedge C_{1} \wedge \cdots \wedge C_{m}\right)
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& \equiv \exists \forall_{1} q_{1} \cdots \exists \forall_{m} q_{m} \perp \\
& \equiv \perp
\end{aligned}
$$

## DPLL algorithm

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& \equiv \perp
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$$

Alternatively, using the game metaphor, because
the $\forall$-player wants to falsify the formula

## DPLL algorithm

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& \left.\qquad S \text { is }\left\{p, C_{1}, \ldots, C_{n}\right\} \text { (or }\left\{\neg p, C_{1}, \ldots, C_{n}\right\}\right) \text { ? }
\end{aligned}
$$

Alternatively, using the game metaphor, because

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$$

Winning move for the $\forall$-player:
select the value for $p$ that falsifies the unit clause $p$, and hence the whole CNF

## DPLL algorithm

Why do we add $\square$ to $S$ when $Q$ is $\forall p \exists \forall_{1} p_{1} \ldots \exists \forall_{m} p_{m}$ and $S$ is $\left\{p, C_{1}, \ldots, C_{n}\right\}\left(\operatorname{or}\left\{\neg p, C_{1}, \ldots, C_{n}\right\}\right)$ ?

Alternatively, using the game metaphor, because
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Winning move for the $\forall$-player:
select the value for $p$ that falsifies the unit clause $p$, and hence the whole CNF
(argument is similar for $\left\{\neg p, C_{1}, \ldots, C_{n}\right\}$ )

## DPLL, Example

$$
\begin{gathered}
\exists p \forall q \exists r \\
p \vee q \vee \neg r \\
p \vee \neg q \vee r \\
\neg p \vee q \vee r \\
\neg p \vee q \vee \neg r
\end{gathered}
$$

## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL, Example



## DPLL algorithm

```
procedure DPLL(Q,S)
input: quantifier sequence Q = \exists\mp@subsup{\forall}{1}{}\mp@subsup{p}{1}{}\cdots\exists\mp@subsup{\forall}{n}{}\mp@subsup{p}{n}{}\mathrm{ ,}
    clause set S with vars from Q
output: 0 or 1
parameters: function select_variable_value
begin
    S := unit_propagate(Q,S)
    if S is empty then return 1
    if S contains }\square\mathrm{ then return 0
    (p,b) := select_variable_value( }\mp@subsup{\boldsymbol{p}}{1}{},S
    Let Q' be obtained from Q by deleting }\exists\mp@subsup{\exists}{1}{}p\mathrm{ from }\mp@subsup{\exists}{1}{}\mp@subsup{p}{1}{
    if b=0 then L := \negp
        else L := p
    case (DPLL(Q',S\cup{L}),\exists})\mathrm{ of
    (0,\forall)=> return 0
    (0,\exists)=>return DPLL(Q',S\cup{位})
    (1,\forall)=> return DPLL(Q', S\cup{位})
    (1,\exists)=> return 1
end
```


## Improving DPLL with further simplifications

$$
\exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s))
$$

# Improving DPLL with further simplifications 

$$
\exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s))
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- We can treat $\neg r$ in $p \vee \neg r$ as 0 without loss of generality


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- We can treat $\neg r$ in $p \vee \neg r$ as 0 without loss of generality
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\end{aligned}
$$

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- We can treat $r$ as 0 everywhere without loss of generality


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## Pure literal rule

$Q$ : quantifier sequence
$S$ : current clause set
$L$ : literal of the form $p$ or $\neg p$

Suppose $L$ is pure in $S$ (i.e., $\bar{L}$ does not occur in $S$ ). Then:

- If $p$ is existentially quantified in $Q$, we can remove all clauses containing $L$


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Why?

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Why?

- The $\exists$-player will make $L$ true (satisfying all clauses containing $L$ )
- The $\forall$-player will make $L$ false (so it can be removed from those clauses that contain L)


## Universal literal deletion

Q: quantifier sequence
$S$ : clause set
$p, q$ : variables

- $p$ is existential in $Q$ if $Q$ contains $\exists p$
- $q$ is universal in $Q$ if $Q$ contains $\forall q$


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Example: $\operatorname{In} Q=\forall q \exists p \forall r$
$q$ is quantified before both $p$ and $r$; and $p$ is quantified before $r$

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## Theorem 10

Suppose that

1. C is a clause in S;
2. a variable $q$ occurring in $C$ is universal in $Q$;
3. all existential variables of $Q$ in $C$ are quantified before $q$.

Then deleting the literal containing q from $C$ does not change the truth value of $Q S$.

## Universal literal deletion

Intuition behind Theorem 10
Consider a clause $C$ from $S$ of the form

$$
L_{1} \vee \cdots \vee L_{n} \vee(\neg) q_{1} \vee \cdots \vee(\neg) q_{m}
$$

where all existential variables of $Q$ in $C$ are quantified before $q_{1}, \ldots, q_{m}$

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Consider the position before the $q_{1}, \ldots, q_{m}$-moves of the $\forall$-player

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$$

where all existential variables of $Q$ in $C$ are quantified before $q_{1}, \ldots, q_{m}$
Consider the position before the $q_{1}, \ldots, q_{m}$-moves of the $\forall$-player

- If at least one of $L_{1}, \ldots, L_{n}$ is true, then $C$ is true regardless of the truth value of of $(\neg) q_{1}, \ldots,(\neg) q_{m}$


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- If at least one of $L_{1}, \ldots, L_{n}$ is true, then $C$ is true regardless of the truth value of of $(\neg) q_{1}, \ldots,(\neg) q_{m}$
- If all of $L_{1}, \ldots, L_{n}$ are false, the $\forall$-player will make all $(\neg) q_{1}, \ldots,(\neg) q_{m}$ false and win the game


## Universal literal deletion

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$$

where all existential variables of $Q$ in $C$ are quantified before $q_{1}, \ldots, q_{m}$
Consider the position before the $q_{1}, \ldots, q_{m}$-moves of the $\forall$-player

- If at least one of $L_{1}, \ldots, L_{n}$ is true, then $C$ is true regardless of the truth value of of $(\neg) q_{1}, \ldots,(\neg) q_{m}$
- If all of $L_{1}, \ldots, L_{n}$ are false, the $\forall$-player will make all $(\neg) q_{1}, \ldots,(\neg) q_{m}$ false and win the game
In either case, the deletion of $(\neg) q_{1}, \ldots,(\neg) q_{m}$ will not change the final outcome

Example revisited

$$
\exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s))
$$

## Example revisited

$$
\exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s))
$$

- Apply universal literal deletion to $p \vee \neg r$


## Example revisited

$$
\begin{aligned}
& \exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
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\end{aligned}
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\end{aligned}
$$

- Apply universal literal deletion to $p \vee \neg r$
- Apply unit propagation


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& \exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
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$$

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\end{aligned}
$$

- Apply universal literal deletion to $p \vee \neg r$
- Apply unit propagation
- Apply the pure literal rule to $r$


## Example revisited

$$
\begin{aligned}
& \exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
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\end{aligned}
$$

- Apply universal literal deletion to $p \vee \neg r$
- Apply unit propagation
- Apply the pure literal rule to $r$


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\begin{aligned}
& \exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
& \exists p \exists q \forall r \exists s(p \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
& \exists q \forall r \exists s((\neg q \vee r) \wedge(q \vee s) \wedge(q \vee r \vee \neg s)) \Rightarrow \\
& \exists q \exists s(\neg q \wedge(q \vee s) \wedge(q \vee \neg s))
\end{aligned}
$$

- Apply universal literal deletion to $p \vee \neg r$
- Apply unit propagation
- Apply the pure literal rule to $r$
- Apply unit propagation


## Example revisited

$$
\begin{aligned}
& \exists p \exists q \forall r \exists s((p \vee \neg r) \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
& \exists p \exists q \forall r \exists s(p \wedge(\neg q \vee r) \wedge(\neg p \vee q \vee s) \wedge(\neg p \vee q \vee r \vee \neg s)) \Rightarrow \\
& \exists q \forall r \exists s((\neg q \vee r) \wedge(q \vee s) \wedge(q \vee r \vee \neg s)) \Rightarrow \\
& \exists q \exists s(\neg q \wedge(q \vee s) \wedge(q \vee \neg s)) \Rightarrow \\
& \exists s(s \wedge \neg s)
\end{aligned}
$$

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& \exists q \forall r \exists s((\neg q \vee r) \wedge(q \vee s) \wedge(q \vee r \vee \neg s)) \Rightarrow \\
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## Quantified Boolean Formulas and BDDs

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There is no simple algorithm for quantification over OBDDs in general, but there is one when $\exists \forall_{1} \cdots \exists \forall_{n}$ are the same quantifier

## Quantification for OBDDs

We can rely on the following properties of QBFs:

- $\exists p$ (if $p$ then $F$ else $G) \equiv F \vee G$


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- $\exists p$ (if $p$ then $F$ else $G) \equiv F \vee G$
- $\forall p$ (if $p$ then $F$ else $G) \equiv F \wedge G$
- $\exists \forall p$ (if $q$ then $F$ else $G) \equiv$ if $q$ then $\exists \forall p F$ else $\exists \forall p G$ when $p \neq q$


## $\exists$-quantification algorithm for OBDDs

```
procedure \existsquant({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}})
parameters: global dag D
input: nodes }\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}\mathrm{ representing }\mp@subsup{F}{1}{},\ldots,\mp@subsup{F}{m}{}\mathrm{ in }
output: a node n representing \exists\mp@subsup{p}{1}{}\cdots\exists\mp@subsup{p}{k}{}(\mp@subsup{F}{1}{}\vee\cdots\vee 㳖) in (modified) D
begin
    if m=0 then return 0
    if some ni is 1 then return 1
    if some n}\mp@subsup{n}{i}{}\mathrm{ is 0 then return }\exists\mathrm{ quant ({ pp, ,., pk}},{\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{i-1}{},\mp@subsup{n}{i+1}{},\ldots,\mp@subsup{n}{m}{}}
p := max_atom ( }\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}
forall i=1...m
    if }\mp@subsup{n}{i}{}\mathrm{ is labelled by p
    then (li, ri ) := (lo(ni), hi (ni))
    else (li, ri) := (n},\mp@subsup{n}{i}{},\mp@subsup{n}{i}{}
if p}\in{\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}
    then return \existsquant ({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}}-{p},{\mp@subsup{l}{1}{},\ldots,\mp@subsup{l}{m}{},\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}})
    else
    k
    k}\mp@subsup{k}{2}{}:=\exists\operatorname{quant}({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}}
    return integrate( }\mp@subsup{k}{1}{},p,\mp@subsup{k}{2}{},D
end
```

Example

Variable order: $p>q>r$
Formula: $\exists p \exists r(p \leftrightarrow((p \rightarrow r) \leftrightarrow q))$

OBDD for $p \leftrightarrow((p \rightarrow r) \leftrightarrow q$ :


Example

$$
\exists q u a n t(\{p, r\},\{a\})
$$



Example


Example


Example


Example


Example


Example


Example


## $\exists$-quantification algorithm for OBDDs

```
procedure \existsquant ({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}})
parameters: global dag D
input: nodes }\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}\mathrm{ representing }\mp@subsup{F}{1}{},\ldots,\mp@subsup{F}{m}{}\mathrm{ in }
output: a node n representing \exists\mp@subsup{p}{1}{}\cdots\exists\mp@subsup{p}{k}{}(\mp@subsup{F}{1}{}\vee\cdots\vee 淐) in (modified) D
begin
    if m=0 then return 0
    if some n}\mp@subsup{n}{i}{}\mathrm{ is }1\mathrm{ then return 1
    if some n}\mp@subsup{n}{i}{}\mathrm{ is 0}\mathrm{ then return ヨquant ({ pp, ,., pk}},{\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{i-1}{},\mp@subsup{n}{i+1}{},\ldots,\mp@subsup{n}{m}{}}
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forall i=1...m
    if }\mp@subsup{n}{i}{}\mathrm{ is labelled by p
    then (li, ri ) := (lo(ni), hi (ni))
    else (li, ri) := (n},\mp@subsup{n}{i}{},\mp@subsup{n}{i}{}
if p}\in{\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}
    then return \existsquant ({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}}-{p},{\mp@subsup{l}{1}{},\ldots,\mp@subsup{l}{m}{},\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}})
    else
    k
    k}\mp@subsup{k}{2}{}:=\existsquant({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}}
    return integrate( }\mp@subsup{k}{1}{},p,\mp@subsup{k}{2}{},D
end
```


## $\forall$-quantification algorithm for OBDDs

```
procedure }\forall\mathrm{ quant ({ p
parameters: global dag D
input: nodes }\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}\mathrm{ representing F}\mp@subsup{F}{1}{},\ldots,\mp@subsup{F}{m}{}\mathrm{ in }
output: a node n representing }\forall\mp@subsup{p}{1}{}\cdots\forall\mp@subsup{p}{k}{}(\mp@subsup{F}{1}{}\wedge\cdots\wedge \cdots Fm) in (modified) D
begin
    if m=0 then return 1
    if some n}\mp@subsup{n}{i}{}\mathrm{ is 0 then return 0
    if some n}\mp@subsup{n}{i}{}\mathrm{ is 1 then return }\forall\mathrm{ quant ({}\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{i-1}{},\mp@subsup{n}{i+1}{},\ldots,\mp@subsup{n}{m}{}}
p := max_atom ( }\mp@subsup{n}{1}{},\ldots,\mp@subsup{n}{m}{}
forall i=1...m
    if }\mp@subsup{n}{i}{}\mathrm{ is labelled by p
    then (li, ri}):=(lo(\mp@subsup{n}{i}{}),hi(\mp@subsup{n}{i}{})
    else (li, ri) := (n},\mp@subsup{n}{i}{},\mp@subsup{n}{i}{}
if }p\in{\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}
    then return \forallquant ({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}}-{p},{\mp@subsup{l}{1}{},\ldots,\mp@subsup{l}{m}{},\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}})
    else
    k
    k}\mp@subsup{k}{2}{}:=\forall\operatorname{quant}({\mp@subsup{p}{1}{},\ldots,\mp@subsup{p}{k}{}},{\mp@subsup{r}{1}{},\ldots,\mp@subsup{r}{m}{}}
    return integrate(k, (k, k
end
```

