

# CS:5810

## Formal Methods in Software Engineering

### Introduction to Alloy

### Part 1

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# Outline

- Introduction to basic Alloy constructs using a simple example of a static model
  - How to define **sets**, **subsets**, **relations with multiplicity constraints**
  - How to use Alloy's **quantifiers** and **predicate** forms
- Basic use of the Alloy Analyzer 4 (AA)
  - **Loading**, **compiling**, and **analyzing** a simple Alloy specification
  - Adjusting basic **tool parameters**
  - Using the **visualization** tool to view instances of models

# Roadmap

- Alloy: Rationale and Use Strategies
  - What types of systems have been modeled with Alloy
  - What types of questions can AA answer
  - What is the purpose of each of the sections in an Alloy specification
- Alloy Specifications
  - Parameterized conditionals
  - Indexed relations
  - Graphical representations of Alloy models
  - More complex examples

# Alloy --- Why was it created?

- **Lightweight**
  - small and easy to use, and capable of expressing common properties tersely and naturally
- **Precise**
  - having a simple and uniform mathematical semantics
- **Tractable**
  - amenable to efficient and fully automated semantic analysis (within scope limits)

# Alloy --- Comparison

- UML
  - Has similarities (graphical notation, OCL constraints) but it is neither lightweight, nor precise
  - UML includes many modeling notions omitted from Alloy (use-cases, state-charts, code architecture specs)
  - Alloy's diagrams and relational navigation are inspired by UML
- Z
  - Precise, but intractable. Stylized typography makes it harder to work with.
  - Z is more expressive than Alloy, but more complicated
  - Alloy's set-based semantics is inspired by Z

# Alloy --- What is it used for?

- Alloy is a model language for software design
- It is not meant for modeling code architecture (*a la* class diagrams in UML)
- But there might be a close relationship between the Alloy specification and an implementation in an OO language

# Alloy --- Example Applications

- The Alloy 4 distribution comes with over a dozen of example models that together illustrate the use of Alloy's constructs.
  - Examples
    - Specification of a distributed spanning tree
    - Model of a generic file system
    - Model of a generic file synchronizer
    - Tower of Hanoi model
    - ...

# Alloy in General

- Alloy is general enough that it can model
  - any domain of individuals and
  - relations between them
- We will then start with a few simple examples that are not necessarily about software



# Example: Family Structure

We want to...

- Model **parent/child relationships** as primitive relations
- Model **spousal relationships** as primitive relations
- Model relationships such as “**siblings**” as *derived* relations
- Enforce certain **biological constraints** via 1<sup>st</sup>-order predicates (e.g., only one mother)
- Enforce certain **social constraints** via 1<sup>st</sup>-order predicates (e.g., a wife isn't a sibling)
- Confirm or refute the existence of certain **derived relationships** (e.g., no one has a wife with whom he shares a parent)

# Example: addressBook

- An **address book** for an email client that maintains a mapping from **names** to **addresses**.

FriendBook
Ted -> ted@gmail.com Ryan -> ryan@hotmail.com

WorkBook
Pilard -> lpilard@uiowa.edu Ryan -> ryan@uiowa.edu

# Atoms and Relations

- In Alloy, everything is built from **atoms** and **relations**
- An **atom** is a primitive entity that is:
  - *Indivisible*: it can't be broken down into smaller parts
  - *Immutable*: its properties don't change over time
  - *Uninterpreted*: it does not have any built in property  
(the way numbers do for example)
- A **relation** is a structure that **relates atoms**. It is a set of **tuples**, each tuple being a sequence of atoms

# Atoms and Relations: Examples

- **Unary relations:** a set of names, a set of addresses and a set of books

Name = {(N0),(N1),(N2)} ← Atoms

Addr = {(D0),(D1)} ← Atoms

Book = {(B0),(B1)} ← Tuples

- A **binary relation** from names to addresses

address = {(N0,D0),(N1,D1)} ← Tuples

- A **ternary relation** from books to name to addresses

addr = {(B0,N0,D0),(B0,N1,D1),(B1,N1,D2)} ← Tuples

# Relations

- **Size of a relation**: the number of tuples in the relation
- **Arity of a relation**: the number of atoms in each tuple of the relation
  - relations with arity 1, 2, and 3 are said to be *unary*, *binary*, and *ternary* relations
- **Examples**:
  - relation of arity 1 and size 1:  $\text{myName} = \{(N0)\}$
  - relation of arity 2 and size 3:  $\text{address} = \{(N0,D0),(N1,D1), (N2,D1)\}$

# Alloy Specifications

- Signatures and Fields
- Predicates and Functions
- Facts
- Assertions
- Commands and scopes

# Signatures and Fields

- **Signatures**
  - Describe classes of entities we want to reason about
  - Sets defined in signatures are fixed (dynamic aspects can be modeled by time-dependent relations)
- **Fields**
  - Define relations between signatures
- **Simple constraints**
  - Multiplicities on signatures
  - Multiplicities on relations

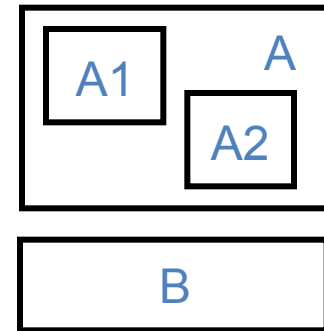
# Signatures

- A **signature** introduces a set of **atoms**
- The declaration  
`sig A {}`  
introduces a set named **A**.
- A set can be introduced as an **extension** of another;  
thus  
`sig A1 extends A {}`  
introduces a set **A1** that is a **subset** of **A**



# Signatures

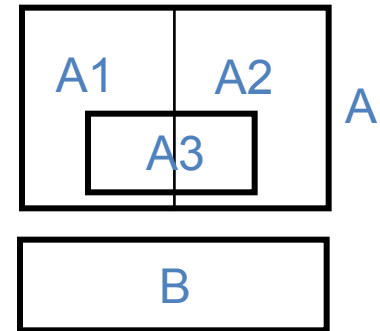
```
sig A {}  
sig B {}  
sig A1 extends A {}  
sig A2 extends A {}
```



- **A1** and **A2** are **extensions** of **A**
- A signature declared independently of any other one is a **top-level signature**, e.g., **A** and **B**
- Extensions of the same signature are **mutually disjoint**, as are top-level signatures

# Signatures

```
abstract sig A {}  
sig B {}  
sig A1 extends A {}  
sig A2 extends A {}
```



- A signature can be introduced as a **subset** of another  

```
sig A3 in A {}
```
- An **abstract signature** has no elements except those belonging to its extensions or subsets
- All extensions of an abstract signature **A** form a **partition** of **A**

# Fields

- **Relations** are declared as **fields** of signatures

- Writing

`sig A {f: e}`

introduces a relation `f` of type `A x e`, where `e` is an expression denoting a product of signatures)

- Examples: (with signatures `A`, `B`, `C`)

- Binary Relation:

`sig A { f1: B }` // `f1` is a subset of `A x B`

- Ternary Relation:

`sig A { f2: B -> C }` // `f2` is a subset of `A x B x C`

# Example Signatures and Fields

## Family Structure:

```
abstract sig Person {  
    children: Person,  
    siblings: Person  
}
```

```
sig Man, Woman extends Person {}
```

```
sig Married in Person {  
    spouse: Married  
}
```

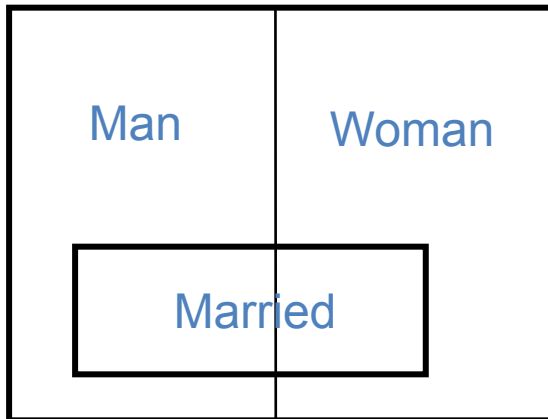
Fields



# Example: Family Structure

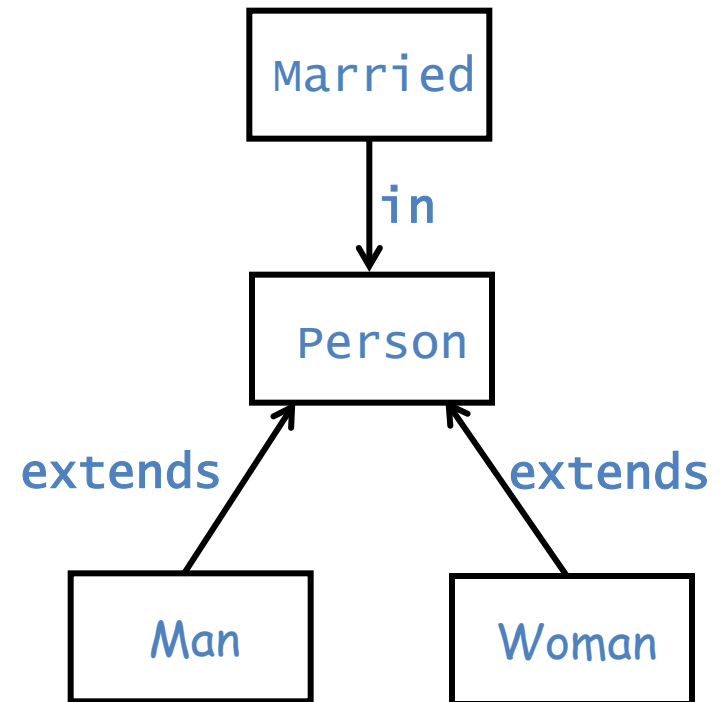
## Alloy Model

```
abstract sig Person {}  
sig Man extends Person {}  
sig Woman extends Person {}  
sig Married in Person {}
```



Person

## Graphical Representation





# Model Instances


The Alloy Analyzer will generate *instances* of models so that we can see if they match our intentions.


Which of the following are instances of our current model?


```
abstract sig Person {}
sig Man extends Person {}
sig Woman extends Person {}
sig Married in Person {}
```

 Person = {(P0),(P1),(P2)}  
Man = {(P1),(P2)}  
Married = {}  
Woman = {(P0),(P1)}

 Person = {(P0),(P1)}  
Man = {(P0)}  
Married = {(P1)}  
Woman = {}

 Person = {(P0),(P1),(P2)}  
Man = {(P1),(P2)}  
Married = {}  
Woman = {(P0)}

 Person = {(P0),(P1),(P2),(P3)}  
Man = {(P0),(P1),(P2),(P3)}  
Married = {(P2),(P3)}  
Woman = {}

 Person = {(P0),(P1)}  
Man = {(P0)}  
Married = {(P1),(P0)}  
Woman = {(P1)}

# Example: Family Structure

## Alloy Model with *siblings*

```
abstract sig Person
  siblings: Person
}
sig Man extends Person {}
sig Woman extends Person {}
sig Married in Person {}
```

*siblings is a binary relation  
it is a subset of Person x Person*

## Example instance

```
Person = {(P0), (P1)}
Man = {(P0), (P1)}
Married = {}
Woman = {}
```

```
siblings = {(P0,P1), (P1,P0)}
```

*Intuition: P0 and P1 are siblings*

# Multiplicities

- Allow us to constrain the sizes of sets
  - A multiplicity keyword placed before a signature declaration constrains the number of element in the signature's set

```
m sig A {}
```

- We can also make multiplicities constraints on fields:

```
sig A {f: m e}
```

```
sig A {f: e1 m -> n e2}
```

- There are four multiplicities
  - **set** : any number
  - **some** : one or more
  - **lone** : zero or one
  - **one** : exactly one



# Multiplicities: examples

- Without multiplicity:
  - A set of colors, each of which is red, yellow or green

```
abstract sig Color {}
```

```
sig Red, Yellow, Green extends Color {}
```

- With multiplicity:
  - An enumeration of colors

```
abstract sig Color {}
```

```
one sig Red, Yellow, Green extends Color {}
```

# Multiplicities: examples

- A file system in which each directory contains any number of objects, and each alias points to exactly one object

```
abstract sig Object {}  
sig Directory extends Object {contents: set Object}  
sig File extends Object {}  
sig Alias in File {to: one Object}
```

- The default keyword, if omitted, is **one**, so:

```
sig A {f: e}    and    sig A {f: one e}
```

are equivalent.

redundant

# Multiplicities: examples

- A book maps names to addresses
  - There is at most one address per Name
  - An address is associated to at least one name

```
sig Name, Addr {}
```

```
sig Book {
```

```
  addr: Name some -> 1one Addr
```

```
}
```

# Multiplicities: examples

- A collection of weather forecasts, each of which has a field weather associating every city with exactly one weather condition

```
sig Forecast {weather: City -> one weather}
```

```
sig City {}
```

```
abstract sig weather {}
```

```
one sig Rainy, Sunny, Cloudy extends weather {}
```

- Instance:

```
City = {(Iowa City), (Chicago)}
```

```
Rainy = {(rainy)}
```

```
Sunny = {(sunny)}
```

```
Cloudy = {(cloudy)}
```

```
Forecast = {(f1), (f2)}
```

```
weather = { (f1, Iowa City, rainy), (f1, Chicago, rainy),  
            (f2, Iowa City, rainy), (f2, Chicago, sunny) }
```

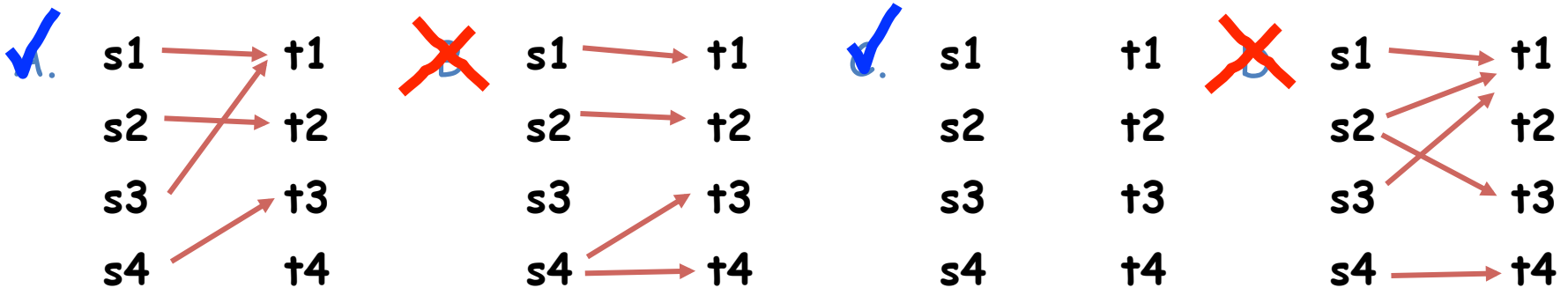
# Multiplicities and Binary Relations

- $\text{sig } S \{f: \text{!one } T\}$

- says that, for each element  $s$  of  $S$ ,  $f$  maps  $s$  to **at most** a single value in  $T$

*Conventional name:* partial function

- Potential instances:



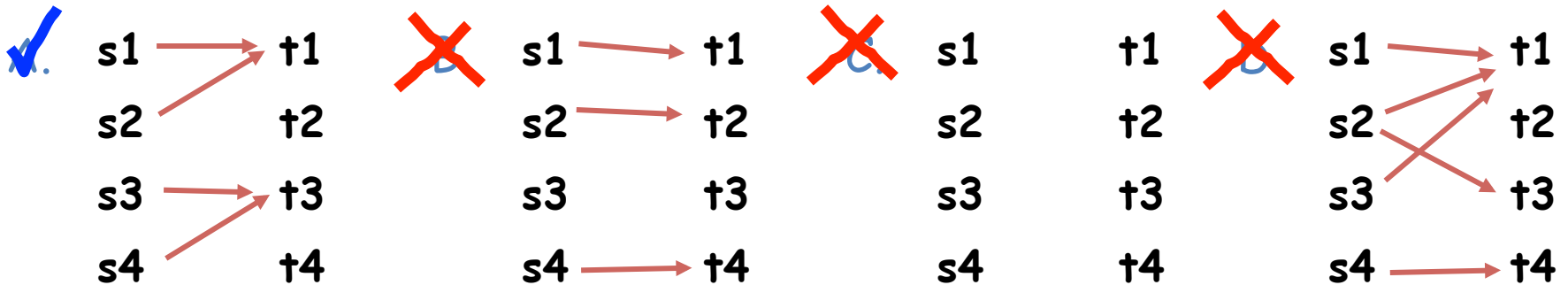
# Multiplicities and Binary Relations

- $\text{sig } S \{f: \text{one } T\}$

- says that, for each element  $s$  of  $S$ ,  $f$  maps  $s$  to **exactly one** value in  $T$

*Conventional name:* total function

- Potential instances:

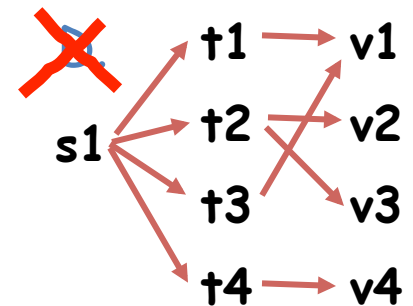
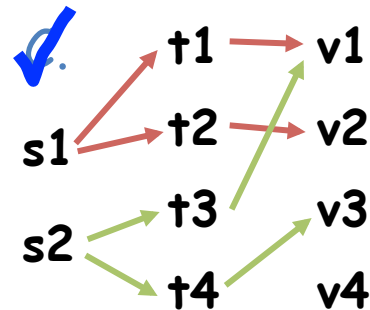
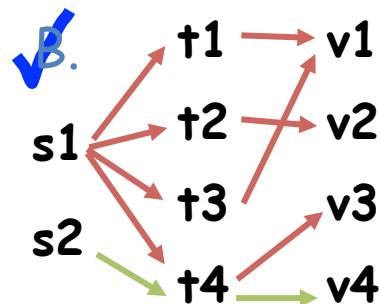
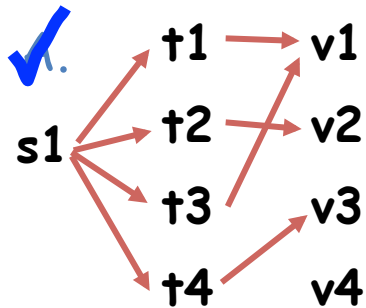


# Multiplicities and Ternary Relations

- $\text{sig } S \{f: T \rightarrow \text{one } V\}$

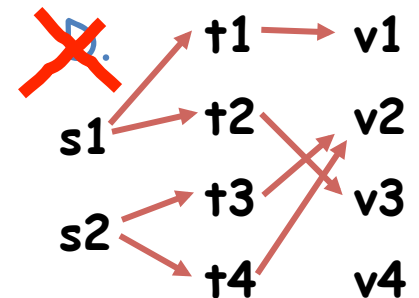
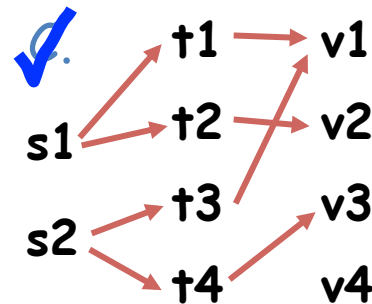
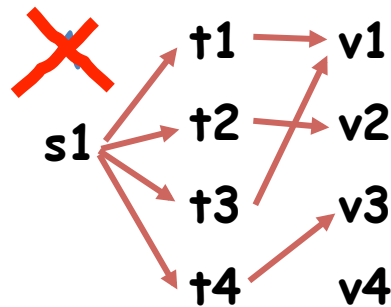
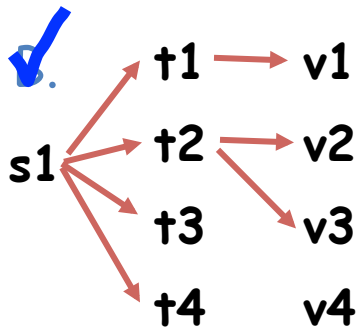
- For **each element**  $s$  of  $S$ , over the triples that start with  $s$ :  
 $f$  maps each  $T$ -element to **exactly one**  $V$ -element

- Potential instances:



# Multiplicities and Ternary Relations

- $\text{sig } S \{f: T \text{ } \perp \text{ one } \rightarrow V\}$ 
  - For **each element**  $s$  of  $S$ , over the triples that start with  $s$ :  
 $f$  maps **at most one**  $T$ -element to the same  $V$ -element
- Potential instances:





# Multiplicities and Relations

- Other kinds of relational structures can be specified using multiplicities
- Examples:
  - `sig S {f: some T} ... total relation`
  - `sig S {f: set T} ... partial relation`
  - `sig S {f: T set -> set V}`
  - `sig S {f: T one -> V}`
  - ...

# Cardinality Constraints

- Multiplicities can also be applied to whole expressions denoting relations
  - **some**  $e$        $e$  is non-empty
  - **no**  $e$              $e$  is empty
  - **1one**  $e$            $e$  has at most one tuple
  - **one**  $e$              $e$  has exactly one tuple

# Example: Family Structure

- How would you use multiplicities to define the **children** relation?

```
sig Person {children: set Person}
```

– Intuition: each person has zero or more children

- How would you use multiplicities to define the **spouse** relation?

```
sig Married {spouse: one Married}
```

– Intuition: each married person has exactly one spouse

# Summarizing

## Alloy Model

```
abstract sig Person {  
    children: set Person,  
    siblings: set Person  
}
```

```
sig Man, Woman extends Person {}
```

```
sig Married in Person {  
    spouse: one Married  
}
```

# Exercises

- Start the Alloy Analyzer:
- Load file `family-1.a1s` from the **Resources** section of the course website
- Execute it
- Analyze the model instance
- Look at the generated instance
- Does it look correct?
- What, if anything, would you change about it?

# Model Instance

Instance found:

Person = {Man0, Man1, Man2}

Man = {Man0, Man1, Man2}

Woman = {}

Married = {Man0, Man1, Man2}

children = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0),  
              (Man2, Man1), (Man2, Man2)

}

siblings = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0), (Man1, Man2),  
              (Man2, Man2)

}

spouse = { (Man1, Man0), (Man0, Man2), (Man2, Man0) }

# Person can be their own child ?

Instance found:

Person = {Man0, Man1, Man2}

Man = {Man0, Man1, Man2}

Woman = {}

Married = {Man0, Man1, Man2}

children = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0),  
              (Man2, Man1), (Man2, Man2)

}

siblings = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0), (Man1, Man2),  
              (Man2, Man2)

}

spouse = { (Man1, Man0), (Man0, Man2), (Man2, Man0) }

# Multiple Fathers?

Instance found:

```
Person = {Man0,Man1,Man2}
```

```
Man = {Man0,Man1,Man2}
```

```
Woman = {}
```

```
Married = {Man0,Man1,Man2}
```

```
children = { (Man0,Man0), (Man0,Man1),  
             (Man1,Man0),  
             (Man2,Man1), (Man2,Man2)
```

```
}
```

```
siblings = { (Man0,Man0), (Man0,Man1),  
            (Man1,Man0), (Man1,Man2),  
            (Man2,Man2)
```

```
}
```

```
spouse = { (Man1,Man0), (Man0,Man2), (Man2,Man0) }
```



# Self-Siblings ?

Instance found:

```
Person = {Man0,Man1,Man2}
```

```
Man = {Man0,Man1,Man2}
```

```
Woman = {}
```

```
Married = {Man0,Man1,Man2}
```

```
children = { (Man0,Man0), (Man0,Man1),  
             (Man1,Man0),  
             (Man2,Man1), (Man2,Man2)
```

```
}
```

```
siblings = { (Man0,Man0), (Man0,Man1),  
            (Man1,Man0), (Man1,Man2),  
            (Man2,Man2)
```

```
}
```

```
spouse = { (Man1,Man0), (Man0,Man2), (Man2,Man0) }
```

# Asymmetric Siblings ?

Instance found:

Person = {Man0,Man1,Man2}

Man = {Man0,Man1,Man2}

Woman = {}

Married = {Man0,Man1,Man2}

children = { (Man0,Man0), (Man0,Man1),  
              (Man1,Man0),  
              (Man2,Man1), (Man2,Man2)

}

siblings = { (Man0,Man0), (Man0,Man1),  
              (Man1,Man0), (Man1,Man2),  
              (Man2,Man2)

where is (Man2,Man1) ?

}

spouse = { (Man1,Man0), (Man0,Man2), (Man2,Man0) }

# Child-Siblings?

Instance found:

```
Person = {Man0,Man1,Man2}
```

```
Man = {Man0,Man1,Man2}
```

```
Woman = {}
```

```
Married = {Man0,Man1,Man2}
```

```
children = { (Man0,Man0), (Man0,Man1),  
             (Man1,Man0),  
             (Man2,Man1), (Man2,Man2)
```

```
}
```

```
siblings = { (Man0,Man0), (Man0,Man1),  
            (Man1,Man0), (Man1,Man2),  
            (Man2,Man2)
```

```
}
```

```
spouse = { (Man1,Man0), (Man0,Man2), (Man2,Man0) }
```

# Asymmetric marriage?

Instance found:

Person = {Man0, Man1, Man2}

Man = {Man0, Man1, Man2}

Woman = {}

Married = {Man0, Man1, Man2}

children = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0),  
              (Man2, Man1), (Man2, Man2)

}

siblings = { (Man0, Man0), (Man0, Man1),  
              (Man1, Man0), (Man1, Man2),  
              (Man2, Man2)

}

spouse = { (**Man1**, **Man0**), (Man0, Man2), (Man2, Man0) }

where is (Man0, Man1) ?

# Model Weaknesses

- The model is **underconstrained**
  - It doesn't match our domain knowledge
  - We can **add constraints** to enrich the model
- Underconstrained models are common early in the development process
  - AA gives us quick feedback on weaknesses in our model
  - We can incrementally add constraints until we are satisfied with it

# Adding Constraints

- We'd like to enforce the following constraints which are simply matters of **biology**
  - No person can be their own parent (or more generally, their own ancestor)
  - No person can have more than one father or mother
  - A person's siblings are those with the same parents

# Adding Constraints

- We'd like to enforce the following **social** constraints
  - The spouse relation is symmetric
  - A man's wife cannot be one of his siblings