

# THE EXTENDED ALUTHGE TRANSFORM

(JOINT WORK WITH CHAFIQ BENHIDA)

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# ALUTHGE TRANSFORM

Let  $T$  be a Hilbert space operator, let  $P := |T|$  be its positive part, and let  $T = VP$  denote the canonical polar decomposition of  $T$ , with  $V$  a partial isometry and  $\ker V = \ker T = \ker P$ .

We define the Aluthge transform of  $T$  as

$$A(T) \equiv \hat{T} := \sqrt{P}V\sqrt{P}.$$

The iterates are

$$A^{(n+1)}(T) := A(A^{(n)}(T)) \quad (n \geq 1).$$

The Aluthge transform has been extensively studied, in terms of algebraic, structural and spectral properties.

For instance,

- (i)  $T = \hat{T} \Leftrightarrow T$  is **quasinormal**;
- (ii) (Aluthge, 1990) If  $0 < p < \frac{1}{2}$  and  $T$  is  $p$ -hyponormal, then  $\hat{T}$  is  $(p + \frac{1}{2})$ -hyponormal;
- (iii) (Jung, Ko & Pearcy, 2000) If  $\hat{T}$  has a n.i.s., then  $T$  has a n.i.s.
- (iv) (Kim-Ko, 2005; Kimura, 2004)  $T$  **has property  $(\beta)$**  if and only if  $\hat{T}$  **has property  $(\beta)$** ; and
- (v) (Ando, 2005)  $\|(T - \lambda)^{-1}\| \geq \|(\hat{T} - \lambda)^{-1}\|$  ( $\lambda \notin \sigma(T)$ ).
- (vi) Observe that if  $A := \sqrt{P}$  and  $B := V\sqrt{P}$ , then  $\hat{T} = AB$  and  $T = BA$ , and therefore

$$\sigma(\hat{T}) \setminus \{0\} = \sigma(AB) \setminus \{0\} = \sigma(BA) \setminus \{0\} = \sigma(T) \setminus \{0\}.$$

# UNILATERAL WEIGHTED SHIFTS

- $\alpha \equiv \{\alpha_k\}_{k=0}^{\infty} \in \ell^{\infty}(\mathbb{Z}_+)$ ,  $\alpha_k > 0$  (all  $k \geq 0$ )
- $W_{\alpha} : \ell^2(\mathbb{Z}_+) \rightarrow \ell^2(\mathbb{Z}_+)$

$$W_{\alpha} e_k := \alpha_k e_{k+1} \quad (k \geq 0)$$

- When  $\alpha_k = 1$  (all  $k \geq 0$ ),  $W_{\alpha} = U_+$ , the (unweighted) unilateral shift
- In general,  $W_{\alpha} = U_+ D_{\alpha}$  (polar decomposition)
- G. Exner (IWOTA 2006 Lecture): subnormality is **not preserved** under the Aluthge transform.
- (S.H. Lee, W.Y. Lee and J. Yoon, 2012) For  $k \geq 2$ , the Aluthge transform, when acting on weighted shifts, need not preserve  **$k$ -hyponormality**.

Note that the Aluthge transform of a weighted shift is again a weighted shift.

Concretely, the weights of  $\widehat{W}_\alpha$  are

$$\sqrt{\alpha_0\alpha_1}, \sqrt{\alpha_1\alpha_2}, \sqrt{\alpha_2\alpha_3}, \sqrt{\alpha_3\alpha_4}, \dots$$

Define

$$W_{\sqrt{\alpha}} := \text{shift} (\sqrt{\alpha_0}, \sqrt{\alpha_1}, \sqrt{\alpha_2}, \dots).$$

Then  $\widehat{W}_\alpha$  is the **Schur product** of  $W_{\sqrt{\alpha}}$  and its restriction to the subspace  $\vee\{e_1, e_2, \dots\}$ . Thus, a **sufficient condition** for the subnormality of  $\widehat{W}_\alpha$  is the subnormality of  $W_{\sqrt{\alpha}}$ .

# ITERATES OF THE ALUTHGE TRANSFORM

Observe that if  $W_\alpha = \text{shift}(\alpha_0, \alpha_1, \dots)$ , then

$$A(W_\alpha) = \text{shift}(\sqrt{\alpha_0\alpha_1}, \sqrt{\alpha_1\alpha_2}, \dots),$$

$$A^{(2)}(W_\alpha) = \text{shift}(\sqrt{\sqrt{\alpha_0\alpha_1}\sqrt{\alpha_1\alpha_2}}, \sqrt{\sqrt{\alpha_1\alpha_2}\sqrt{\alpha_2\alpha_3}}, \dots),$$

$$A^{(3)}(W_\alpha) = \text{shift}((\alpha_0\alpha_1^3\alpha_2^3\alpha_3)^{\frac{1}{8}}, (\alpha_1\alpha_2^3\alpha_3^3\alpha_4)^{\frac{1}{8}}, \dots)$$

$$A^{(4)}(W_\alpha) = \text{shift}((\alpha_0\alpha_1^4\alpha_2^6\alpha_3^4\alpha_4)^{\frac{1}{16}}, (\alpha_1\alpha_2^4\alpha_3^6\alpha_4^4\alpha_5)^{\frac{1}{16}}, \dots).$$

# ITERATES OF THE ALUTHGE TRANSFORM

Let  $W_\omega$  be a unilateral weighted shift, and let  $\omega^{(n)}$  be the weight sequence of  $A^{(n)}(W_\omega)$ . Then

$$\omega_k^{(n+1)} = \sqrt{\omega_k^{(n)} \omega_{k+1}^{(n)}}.$$

Therefore,

$$\omega_k^{(n)} = \left( \prod_{j=0}^{n-1} \omega_{k+j}^{(j)} \right)^{\frac{1}{2^n}}.$$



# THE SPHERICAL ALUTHGE TRANSFORM

Consider a (joint) polar decomposition of the form

$$(T_1, T_2) \equiv (V_1 P, V_2 P),$$

or equivalently,

$$\begin{pmatrix} T_1 \\ T_2 \end{pmatrix} = \begin{pmatrix} V_1 \\ V_2 \end{pmatrix} P,$$

as an operator from  $\mathcal{H}$  to  $\mathcal{H} \oplus \mathcal{H}$ , with

$$P := \sqrt{T_1^* T_1 + T_2^* T_2}.$$

Assume this is the unique canonical polar decomposition of  $\begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ .

Then  $\begin{pmatrix} V_1 \\ V_2 \end{pmatrix}$  is a partial isometry from  $(\ker P)^\perp$  onto  $\text{Ran} \begin{pmatrix} T_1 \\ T_2 \end{pmatrix}$ .

# MULTIVARIABLE WEIGHTED SHIFTS

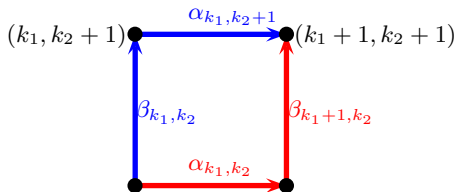
$$\alpha_{\mathbf{k}}, \beta_{\mathbf{k}} \in \ell^\infty(\mathbb{Z}_+^2), \quad \mathbf{k} \equiv (k_1, k_2) \in \mathbb{Z}_+^2 := \mathbb{Z}_+ \times \mathbb{Z}_+$$
$$\ell^2(\mathbb{Z}_+^2) \cong \ell^2(\mathbb{Z}_+) \otimes \ell^2(\mathbb{Z}_+).$$

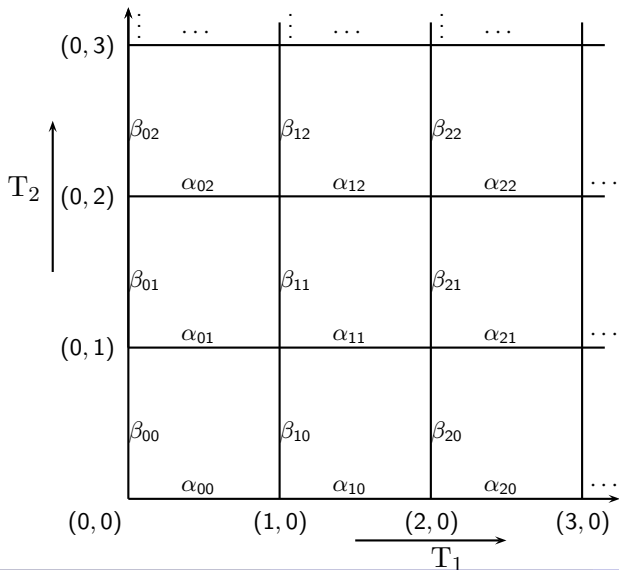
We define the **2-variable weighted shift**  $\mathbf{T} \equiv (T_1, T_2)$  by

$$T_1 e_{\mathbf{k}} := \alpha_{\mathbf{k}} e_{\mathbf{k} + \varepsilon_1} \quad T_2 e_{\mathbf{k}} := \beta_{\mathbf{k}} e_{\mathbf{k} + \varepsilon_2},$$

where  $\varepsilon_1 := (1, 0)$  and  $\varepsilon_2 := (0, 1)$ . Clearly,

$$T_1 T_2 = T_2 T_1 \iff \beta_{\mathbf{k} + \varepsilon_1} \alpha_{\mathbf{k}} = \alpha_{\mathbf{k} + \varepsilon_2} \beta_{\mathbf{k}} \quad (\text{all } \mathbf{k}).$$





# SPHERICALLY QUASINORMAL PAIRS

We say that a commuting pair of Hilbert space operators  $\mathbf{T} \equiv (T_1, T_2)$  is **spherically quasinormal** if  $T_i$  commutes with  $P$ , for  $i = 1, 2$ .

Equivalently,  $\mathbf{T}$  is spherically quasinormal if  $\widehat{\mathbf{T}} = \mathbf{T}$ .

## PROPOSITION

(RC-J. Yoon; 2015) A 2-variable weighted shift  $\mathbf{T}$  is spherically quasinormal if and only if there exists  $C > 0$  such that  $\frac{1}{C}\mathbf{T}$  is a **spherical isometry**  $(S_1, S_2)$ , that is,

$$S_1^*S_1 + S_2^*S_2 = I.$$

## THEOREM

(Athavale; JOT, 1990) *A spherical isometry is always subnormal.*

## COROLLARY

(RC-J. Yoon; 2016) *A spherically quasinormal 2-variable weighted shift is subnormal.*

## THEOREM

(A. Athavale - S. Poddar; 2015 and S. Chavan - V. Sholapurkar; 2013)  
Let  $\mathbf{T}$  be a spherically quasinormal pair. Then  $\mathbf{T}$  is subnormal.

## THEOREM

(V. Müller - M. Ptak; 1999) *Spherical isometries are hyperreflexive.*

## THEOREM

(J. Eschmeier - M. Putinar; 2000) For every  $n \geq 3$  there exists a non-normal spherical isometry  $\mathbf{T}$  such that the polynomially convex hull of  $\sigma_{\mathbf{T}}(\mathbf{T})$  is contained in the unit sphere.

## THEOREM

(C. Benhida - RC, 2018) Let  $T \equiv (T_1, \dots, T_n)$  be a commuting  $n$ -tuple of Hilbert space operators, and let  $\hat{T}$  be its spherical Aluthge transform. Then

$$\sigma_T(\hat{T}) = \sigma_T(T).$$

# THE EXTENDED ALUTHGE TRANSFORM

**We propose a unifying approach that brings the classical Aluthge transform and the spherical Aluthge transform as special cases of a new transform.**

Starting with the canonical polar decomposition  $T \equiv V|T|$ , we consider the class of positive operators  $P$  such that

$$VP = V|T|.$$

For each such  $P$  we then define the *extended* Aluthge transform as

$$\Delta_P(T) := \sqrt{P}V\sqrt{P}.$$

Naturally, the classical Aluthge transform is simply  $\Delta_{|T|}(T)$ .

## LEMMA

For  $P$  as above,

- $|T| \leq P$ .
- $\ker P \subseteq \ker |T|$ .
- $\overline{\text{Ran } |T|} \subseteq \overline{\text{Ran } P}$ .
- $|T|$  commutes with  $P$ .
- $P|_{\text{Ran } |T|} = |T|_{\text{Ran } |T|}$ .



## LEMMA

Write  $\mathcal{H} = \overline{\text{Ran } |T|} \oplus \ker T$ . Then

$$|T| = \begin{pmatrix} A & 0 \\ 0 & 0 \end{pmatrix}$$

and

$$P = \begin{pmatrix} A & 0 \\ 0 & B \end{pmatrix},$$

where  $A := |T|_{\overline{\text{Ran } |T|}}$  and  $B := P|_{\ker T}$ .

Consider now the orthogonal decomposition

$$\mathcal{H} = \overline{\text{Ran } |T|} \oplus (\overline{\text{Ran } B} \oplus \ker P),$$

where the orthogonal sum in parentheses equals  $\ker |T|$ . Then

$$|T| = \begin{pmatrix} A & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

and

$$P = \begin{pmatrix} A & 0 & 0 \\ 0 & C & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Observe that  $P = |T|$  if and only if  $C = 0$ .

**We wish to find the matrix for  $V$ .**

Recall that  $\ker V = \ker |T|$ . Therefore,

$$V = \begin{pmatrix} X & 0 & 0 \\ Y & 0 & 0 \\ Z & 0 & 0 \end{pmatrix}.$$

Since  $V^*V$  is the projection onto  $(\ker V)^\perp = \overline{\text{Ran } |T|}$ , we must have

$$X^*X + Y^*Y + Z^*Z = I_{\overline{\text{Ran } |T|}}.$$

Since  $T = VP = V|T|$ , it follows that

$$T = \begin{pmatrix} XA & 0 & 0 \\ YA & 0 & 0 \\ ZA & 0 & 0 \end{pmatrix}.$$

Then

$$\Delta(T) = |T|^{\frac{1}{2}} V |T|^{\frac{1}{2}} = \begin{pmatrix} A^{\frac{1}{2}} X A^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

while

$$\Delta_P(T) = P^{\frac{1}{2}} V P^{\frac{1}{2}} = \begin{pmatrix} A^{\frac{1}{2}} X A^{\frac{1}{2}} & 0 & 0 \\ C^{\frac{1}{2}} Y A^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Therefore,

$$\Delta(T)^* \Delta(T) = \begin{pmatrix} A^{\frac{1}{2}} X^* A X A^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

and

$$\Delta_P(T)^* \Delta_P(T) = \begin{pmatrix} A^{\frac{1}{2}} X^* A X A^{\frac{1}{2}} + A^{\frac{1}{2}} Y^* C Y A^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

As a consequence,

$$|\Delta_P(T)| \geq |\Delta(T)|$$

and

$$\|\Delta_P(T)\| \geq \|\Delta(T)\|.$$

## PROPOSITION

Let  $T \equiv V|T|$  be the canonical polar decomposition of  $T$ , and let  $P$  be a positive operator such that  $T = VP$ . Let  $U$  be a unitary operator on  $\mathcal{H}$ . Then

$$\Delta_{UPU^*}(UTU^*) = U\Delta_P(T)U^*.$$

## PROPOSITION

With  $T$ ,  $V$  and  $P$  as above, we have

$$\Delta_{VPV^*}(T^*) = V\Delta_P(T)^*V^*.$$

## COROLLARY

*In the case when  $P = |T|$  we have*

$$\Delta(T^*)^* = V\Delta(T)V^*.$$

## PROPOSITION

*Let  $T_i \equiv V_i |T_i|$  be the canonical polar decomposition of  $T_i$  ( $i = 1, 2$ ), and let  $P_i$  be a positive operator such that  $T_i = V_i P_i$  ( $i = 1, 2$ ). Then*

$$\Delta_{P_1 \oplus P_2}(T_1 \oplus T_2) = \Delta_{P_1}(T_1) \oplus \Delta_{P_2}(T_2).$$

# FIXED POINTS OF THE EXT'D ALUTHGE TRANSFORM

It is well known that the fixed points of the classical Aluthge transform are the quasinormal operators, that is, those  $T \equiv V|T|$  such that  $V$  and  $|T|$  commute. Here's the analogous result for the extended Aluthge transform.

## THEOREM

*Let  $P \in \mathcal{B}(\mathcal{H})$  be a positive operator such that  $VP = T$ , and assume that  $\Delta_P(T) = T$ . Then  $T$  commutes with  $P$ ,  $V$  commutes with  $P$ , and  $\ker P$  reduces  $T$  and  $V$ . If  $P = |T|$  then  $T$  is quasinormal.*



# THE CASE OF $T$ IDEMPOTENT; I.E., $T^2 = T$

$$T^2 = T \implies \begin{cases} XAXA = XA \\ YAXA = YA \\ ZAXA = ZA. \end{cases}$$

Since  $\text{Ran } A$  is dense in  $\overline{\text{Ran } |T|}$ , we have

$$\begin{cases} XAX = X \\ YAX = Y \\ ZAX = Z, \end{cases}$$

and therefore

$$\begin{cases} X^*XAX = X^*X \\ Y^*YAX = Y^*Y \\ Z^*ZAX = Z^*Z. \end{cases}$$

Since  $X^*X + Y^*Y + Z^*Z = I_{\overline{\text{Ran}|T|}}$ , we readily obtain

$$AX = I_{\overline{\text{Ran}|T|}}.$$

As a result,

$$A^{\frac{1}{2}}XA^{\frac{1}{2}} = I_{\overline{\text{Ran}|T|}}.$$

We now see that  $\Delta(T)$  is the projection from  $\mathcal{H}$  onto  $\overline{\text{Ran}|T|}$ , and therefore

$$\Delta_P(T) = \begin{pmatrix} I & 0 & 0 \\ C^{\frac{1}{2}}YA^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

Since  $AX = I_{\overline{\text{Ran}|T|}}$ , we know that  $A$  is right invertible on  $\overline{\text{Ran}|T|}$ , therefore invertible (as an operator on  $\overline{\text{Ran}|T|}$ ).

## THEOREM

Let  $T$  be an idempotent. Then

$$\Delta(T) = \begin{pmatrix} I & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

and

$$\Delta_P(T) = \begin{pmatrix} I & 0 & 0 \\ C^{\frac{1}{2}} Y A^{\frac{1}{2}} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

with  $A$  invertible.

This result is, in some ways, optimal.

# SOME USEFUL IDENTITIES

First, a direct matrix calculation shows that

$$\Delta(T)P = \Delta(T)|T|.$$

More is true, however.

## PROPOSITION

(Intertwining Property) For  $T$ ,  $P$ ,  $\Delta(T)$  and  $\Delta_P(T)$  be as before, we have:

$$|T|^{\frac{1}{2}} \Delta_P(T) P^{\frac{1}{2}} = P^{\frac{1}{2}} \Delta(T) |T|^{\frac{1}{2}}.$$

## THEOREM

Let  $T$  be a Hilbert-Schmidt operator. Then

$$\|\Delta_P(T)\|_2^2 = \|\Delta(T)\|_2^2 + \left\| C^{\frac{1}{2}} Y A^{\frac{1}{2}} \right\|_2^2.$$

# APPLICATION: THE SPHERICAL ALUTHGE TRANSF.

Recall that

$$\begin{pmatrix} T_1 \\ T_2 \end{pmatrix} = \begin{pmatrix} V_1 \\ V_2 \end{pmatrix} Q = \begin{pmatrix} V_1 Q \\ V_2 Q \end{pmatrix},$$

as operators from  $\mathcal{H}$  to  $\mathcal{H} \oplus \mathcal{H}$ , with  $Q := \sqrt{T_1^* T_1 + T_2^* T_2}$  and

$\begin{pmatrix} V_1 Q \\ V_2 Q \end{pmatrix}$  a joint partial isometry.

The spherical Aluthge transform of  $\mathbf{T} \equiv (T_1, T_2)$  is  $\widehat{\mathbf{T}} \equiv (\widehat{T}_1, \widehat{T}_2)$ , where

$$\widehat{T}_i := Q^{\frac{1}{2}} V_i Q^{\frac{1}{2}} \quad (i = 1, 2).$$

## LEMMA

$\hat{\mathbf{T}}$  is commutative.

We now let

$$\Phi(\mathbf{T}) := \begin{pmatrix} T_1 & 0 \\ T_2 & 0 \end{pmatrix} \in \mathbf{B}(\mathcal{H} \oplus \mathcal{H}).$$

It is clear that

$$|\Phi(\mathbf{T})| = \begin{pmatrix} Q & 0 \\ 0 & 0 \end{pmatrix}.$$

We also let  $\mathbf{V} := (V_1, V_2)$ . (Notice that  $\mathbf{V}$  is not necessarily commuting.)

Finally, let

$$\Phi(\mathbf{V}) := \begin{pmatrix} V_1 & 0 \\ V_2 & 0 \end{pmatrix}.$$

## LEMMA

With  $\mathbf{T}$  and  $\mathbf{V}$  as above,  $\Phi(\mathbf{T}) = \Phi(\mathbf{V}) |\Phi(\mathbf{T})|$  is the canonical polar decomposition of  $\Phi(\mathbf{T})$ .

Consider now the positive operator

$$P \equiv P(Q) := \begin{pmatrix} Q & 0 \\ 0 & Q \end{pmatrix}.$$

Then

$$\Phi(\mathbf{V})P = \Phi(\mathbf{V}) |\Phi(\mathbf{T})| = \Phi(\mathbf{T}).$$

We wish to study the extended Aluthge transform  $\Delta_P(\Phi(\mathbf{T}))$ .

## THEOREM

With  $\mathbf{T}$  and  $P$  as above,

$$\Delta_P(\Phi(\mathbf{T})) = \Phi(\hat{\mathbf{T}}).$$

## REMARK

For the spherical Aluthge transform, the operator  $P$  is uniquely determined by the commuting pair  $\mathbf{T}$ ; that is, the pair  $\mathbf{T}$  determines  $Q$ , which in turn determines  $P$ . □

**In view of this Remark, we believe that the Extended Aluthge Transform will be particularly useful in the study of the iterates of the spherical Aluthge transform.**



# THE NUMERICAL RANGE AND THE EXTENDED ALUTHGE TRANSFORM

For an operator  $A \in \mathcal{B}(\mathcal{H})$ , recall that the numerical range  $W(A)$  of  $A$  is defined as

$$W(A) := \{ \langle Ax, x \rangle : x \in \mathcal{H} \text{ with } \|x\| = 1 \}$$

## THEOREM

*(P.Y. Wu, 2002) Let  $T$  be an operator on  $\mathcal{H}$ . Then*

$$\overline{W(\Delta(T))} \subseteq \overline{W(T)}$$

We now turn our attention to the extended Aluthge transform.

We begin with a natural question.

### QUESTION

*Is the previous Theorem still true for the extended Aluthge transform?*

We'll show here that this Theorem is **not true** for all extended Aluthge transforms.

However, there is nice relationship connecting the numerical ranges.

Recall the following decompositions

$$T = \begin{pmatrix} XA & 0 & 0 \\ YA & 0 & 0 \\ ZA & 0 & 0 \end{pmatrix} \quad \Delta(T) = \begin{pmatrix} \sqrt{A}X\sqrt{A} & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\Delta_P(T) = \begin{pmatrix} \sqrt{A}X\sqrt{A} & 0 & 0 \\ \sqrt{C}Y\sqrt{A} & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

Then, we have

$$\overline{W(\Delta(T))} \subseteq \overline{W(\Delta_P(T))} \quad \text{and} \quad \overline{W(\Delta(T))} \subseteq \overline{W(T)}.$$

D.S. Keeler, L. Rodman and I.M. Spitkovsky proved in 1997 that the numerical range of an upper triangular matrix of the form

$$A = \begin{pmatrix} 0 & 0 & 0 \\ x & p & 0 \\ y & z & p \end{pmatrix},$$

when  $xyz = 0$ , is the closed disc centered at  $p$  and with radius  $\frac{1}{2}\sqrt{|x|^2 + |y|^2 + |z|^2}$ .

So, if

$$T = \begin{pmatrix} 0 & 0 & 0 \\ \alpha & 0 & 0 \\ 0 & \beta & 0 \end{pmatrix},$$

and we recall that

$$P_\gamma = \begin{pmatrix} |\alpha| & 0 & 0 \\ 0 & |\beta| & 0 \\ 0 & 0 & \gamma \end{pmatrix},$$

it follows that

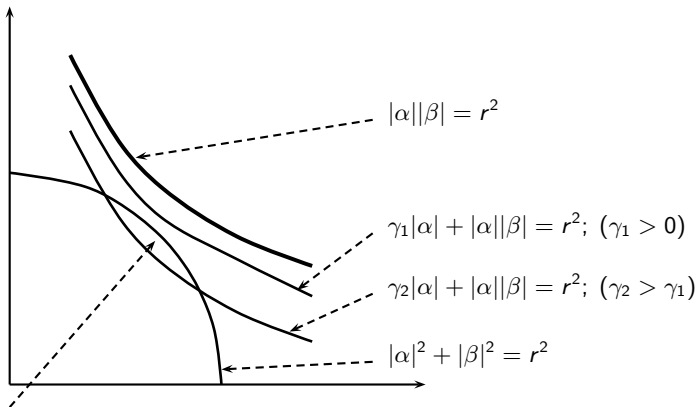
- 1  $W(T) = \bar{\mathbf{D}}\left(0, \frac{1}{2}\sqrt{|\alpha|^2 + |\beta|^2}\right).$
- 2  $W(\Delta(T)) = \bar{\mathbf{D}}\left(0, \frac{1}{2}\sqrt{|\alpha||\beta|}\right).$
- 3  $W(\Delta_{P_\gamma}(T)) = \bar{\mathbf{D}}\left(0, \frac{1}{2}\sqrt{\gamma|\alpha| + |\alpha||\beta|}\right).$

As expected, we have

$$\begin{cases} W(\Delta(T)) \subseteq W(T) \\ W(\Delta(T)) \subseteq W(\Delta_{P_\gamma}(T)). \end{cases}$$

## REMARK

- 1 We may choose  $\alpha$ ,  $\beta$  and  $\gamma$  in the previous example such that the inclusions above are strict, as we will see on the next slide.
- 2  $\overline{W(\Delta_{P_\gamma}(T))}$  and  $\overline{W(T)}$  are not comparable in general, unless we impose restrictions on  $\gamma$ . For example, observe that if  $\gamma \leq |\beta|$  in the previous discussion, then  $W(\Delta_{P_\gamma}(T)) \subseteq W(T)$ , with equality holding if and only if  $\gamma = |\alpha| = |\beta|$ . □



In this region there exist  $\alpha, \beta, \gamma$  such that  $|\alpha|^2 + |\beta|^2 < r^2$  and  $\gamma|\alpha| + |\alpha||\beta| > r^2$ ; as a consequence,  $W(\Delta(T)) \subseteq W(\Delta_{P_\gamma}(T))$ .

FIGURE: Graphs of radii in previous Remark

**Muito obrigado pela sua atenção!**