

A CHARACTERIZATION OF k -HYPONORMALITY VIA WEAK SUBNORMALITY

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ABSTRACT. An operator T acting on a Hilbert space \mathcal{H} is said to be weakly subnormal if there exists an extension \hat{T} acting on $\mathcal{K} \supseteq \mathcal{H}$ such that $\hat{T}^* \hat{T} f = \hat{T} \hat{T}^* f$ for all $f \in \mathcal{H}$. When such partially normal extensions exist, we denote by $\text{m.p.n.e.}(T)$ the minimal one. On the other hand, for $k \geq 1$, T is said to be k -hyponormal if the operator matrix $([T^{*j}, T^i])_{i,j=1}^k$ is positive. We prove that a 2-hyponormal operator T always satisfies the inequality $T^* [T^*, T] T \leq \|T\|^2 [T^*, T]$, and as a result T is automatically weakly subnormal. Thus, a hyponormal operator T is 2-hyponormal if and only if there exists B such that $BA^* = A^*T$ and $\begin{pmatrix} T & A \\ \mathbf{0} & B \end{pmatrix}$ is hyponormal, where $A := [T^*, T]^{1/2}$. More generally, we prove that T is $(k+1)$ -hyponormal if and only if T is weakly subnormal and $\text{m.p.n.e.}(T)$ is k -hyponormal. As an application, we obtain a matricial representation of the minimal normal extension of a subnormal operator as a block staircase matrix.

1. INTRODUCTION AND PRELIMINARIES

Let \mathcal{H} be a separable infinite dimensional complex Hilbert spaces and let $\mathcal{L}(\mathcal{H})$ be the set of bounded linear operators on \mathcal{H} . For $S, T \in \mathcal{L}(\mathcal{H})$, we write $[S, T] := ST - TS$ for the commutator of S and T . An operator $T \in \mathcal{L}(\mathcal{H})$ is *normal* if $[T^*, T] = 0$, *hyponormal* if $[T^*, T] \geq 0$, and *subnormal* if $T = N|_{\mathcal{H}}$, where N is a normal operator on some Hilbert space $\mathcal{K} \supseteq \mathcal{H}$. Thus, T is subnormal if and only if there exist A and B such that $\hat{T} := \begin{pmatrix} T & A \\ \mathbf{0} & B \end{pmatrix}$ is normal, i.e.,

$$(1.1) \quad \begin{cases} [T^*, T] = AA^*, \\ A^*T = BA^*, \\ [B^*, B] + A^*A = 0. \end{cases}$$

In [1], the notion of weak subnormality was introduced, as follows. An operator $T \in \mathcal{L}(\mathcal{H})$ is said to be *weakly subnormal* if there exists A and B such that the first two conditions in (1.1) hold, that is, $[T^*, T] = AA^*$ and $A^*T = BA^*$; the operator \hat{T} is said to be a *partially normal extension* of T . Clearly, subnormal \Rightarrow weakly subnormal \Rightarrow hyponormal, with the converse implications not true in general [1, Examples 5.1 and 5.5]. As proved in [1], T is weakly subnormal if

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and only if $\widehat{T}^*\widehat{T}f = \widehat{T}\widehat{T}^*f$ for all $f \in \mathcal{H}$, if and only if $\mathcal{H} \subseteq \ker[\widehat{T}^*, \widehat{T}]$. Weak subnormality is invariant under unitary equivalence, translations, and restrictions to invariant subspaces.

Let T be a weakly subnormal operator on \mathcal{H} and let \widehat{T} be a partially normal extension of T acting on \mathcal{K} . \widehat{T} is said to be a *minimal* partially normal extension of T (in symbols, $\widehat{T} = \text{m.p.n.e.}(T)$) if \mathcal{K} has no proper subspace \mathcal{L} containing \mathcal{H} such that $\widehat{T}|_{\mathcal{L}}$ is also a partially normal extension of T . As shown in [1, Lemma 2.5], $\widehat{T} := \text{m.p.n.e.}(T)$ if and only if

$$(1.2) \quad \mathcal{K} = \vee \{\widehat{T}^{*n}h : h \in \mathcal{H}, n = 0, 1\}.$$

Recall that an n -tuple $\mathbf{T} = (T_1, \dots, T_n)$ of operators in $\mathcal{L}(\mathcal{H})$ is *hyponormal* if the operator matrix $([T_j^*, T_i])_{i,j=1}^n$ is positive on the direct sum $\mathcal{H} \oplus \dots \oplus \mathcal{H}$ (n copies). For a natural number $k \geq 1$ and $T \in \mathcal{L}(\mathcal{H})$, T is *k -hyponormal* if (T, T^2, \dots, T^k) is hyponormal. The k -tuple $\mathbf{T} = (T_1, \dots, T_k)$ is *weakly hyponormal* if $\lambda_1 T_1 + \dots + \lambda_k T_k$ is hyponormal for every $\lambda_i \in \mathbb{C}$, $i = 1, \dots, k$, where \mathbb{C} is the set of complex numbers. An operator T is *weakly k -hyponormal* if (T, T^2, \dots, T^k) is weakly hyponormal. (For information and basic results about these notions, the reader is referred to [2].)

It was shown in [1] that every 2-hyponormal weighted shift is weakly subnormal, but whether the same implication holds for arbitrary operators was left open. In this article we settle this, by establishing that every 2-hyponormal operator is indeed weakly subnormal (Theorem 2.7). This is special case of a more general result, in which we show that an operator T is $(k+1)$ -hyponormal if and only if T is weakly subnormal and $\text{m.p.n.e.}(T)$ is k -hyponormal (Theorem 3.2). Along the way we show that a 2-hyponormal operator always satisfies the inequality $T^*[T^*, T]T \leq \|T\|^2 [T^*, T]$ (Corollary 2.5). As an application of our results, we find a concrete matricial construction of the minimal normal extension of an arbitrary subnormal operator (cf. (4.3)); our model is especially simple when the operator is quasinormal (Corollary 4.4), and it is partially motivated by J. Stampfli's construction of the minimal normal extension of a subnormal weighted shift [3, pp. 368-373].

2. THE RELATION OF WEAK SUBNORMALITY TO 2-HYPONORMALITY

Recall from [1, Cor. 2.3] that if T is weakly subnormal, then $T(\ker[T^*, T]) \subseteq \ker[T^*, T]$. For $T \in \mathcal{L}(\mathcal{H})$ we let

$$\Delta_2 := \begin{pmatrix} I & T^* \\ T & T^*T \end{pmatrix} \quad \text{and} \quad \Delta_3 := \begin{pmatrix} I & T^* & T^{*2} \\ T & T^*T & T^{*2}T \\ T^2 & T^*T^2 & T^{*2}T^2 \end{pmatrix}.$$

Lemma 2.1. *Let T be a hyponormal operator on \mathcal{H} with $\|T\| < 1$. Assume that*

- (i) $T(\ker[T^*, T]) \subseteq \ker[T^*, T]$;
- (ii) for $y \notin \ker[T^*, T]$ and $x \in \mathcal{H}$,

$$(2.1) \quad \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) \leq \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right).$$

Then

$$(2.2) \quad (T^*[T^*, T]Ty, y) \leq ([T^*, T]y, y) \quad (\text{all } y \in \mathcal{H}).$$

Proof. For $y \in \ker[T^*, T]$, condition (i) readily implies that $Ty \in \ker[T^*, T]$, so (2.2) clearly holds. Assume now that $y \notin \ker[T^*, T]$, and recall the identities

$$(2.3) \quad \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) = \|x + T^*y\|^2 + ([T^*, T]y, y)$$

and

$$(2.4) \quad \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) = \|Tx + T^*Ty\|^2 + (T^*[T^*, T]Ty, y).$$

Substituting x by $-T^*y$ in (2.3) and (2.4), the right-hand sides become $([T^*, T]y, y)$ and $\|[T^*, T]y\|^2 + (T^*[T^*, T]Ty, y)$, respectively. From (2.1) it follows at once that

$$(T^*[T^*, T]Ty, y) \leq ([T^*, T]y, y).$$

To proof is now complete. \square

Lemma 2.2. *Let T be a hyponormal operator on \mathcal{H} . Assume that*

- (i) $T(\ker[T^*, T]) \subset \ker[T^*, T]$;
- (ii) For $y \notin \ker[T^*, T]$ and $x \in \mathcal{H}$, and all $\delta > 0$,

$$\frac{1}{(\|T\| + \delta)^2} \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) \leq \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right).$$

Then,

$$(T^*[T^*, T]Ty, y) \leq \|T\|^2 ([T^*, T]y, y) \quad \text{for all } y \in \mathcal{H}.$$

Proof. Set $T_\delta := \frac{1}{\|T\| + \delta}T$. Since T_δ satisfies properties (i) and (ii) in Lemma 2.1, we have

$$(T_\delta^*[T_\delta^*, T_\delta]T_\delta y, y) \leq ([T_\delta^*, T_\delta]y, y),$$

which implies

$$(T^*[T^*, T]Ty, y) \leq (\|T\| + \delta)^2 ([T^*, T]y, y).$$

Since $\delta > 0$ is arbitrary, we obtain

$$(T^*[T^*, T]Ty, y) \leq \|T\|^2 ([T^*, T]y, y)$$

for all $y \in \mathcal{H}$, as desired. \square

It follows from [4, Lemma 2.6] that if $\alpha, \beta \geq 0$ and $0 \neq \gamma \in \mathbb{C}$, then

$$(2.5) \quad |z|^2\alpha + \beta + 2\operatorname{Re}(z\gamma) \geq 0 \quad (\text{all } z \in \mathbb{C}) \Leftrightarrow |\gamma|^2 \leq \alpha\beta.$$

Lemma 2.3. *Let T be a 2-hyponormal operator on \mathcal{H} , and let $x_1, x_2, y_1, y_2 \in \mathcal{H}$.*

Then

$$(2.6) \quad \left| \left(\Delta_2 \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}, \begin{bmatrix} Tx_1 \\ Tx_2 \end{bmatrix} \right) \right|^2 \leq \left(\Delta_2 \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \right) \left(\Delta_2 \begin{bmatrix} Ty_1 \\ Ty_2 \end{bmatrix}, \begin{bmatrix} Ty_1 \\ Ty_2 \end{bmatrix} \right).$$

Proof. Since T is 2-hyponormal, we have $\Delta_3 \geq 0$. For $z \in \mathbb{C}$, we must then have

$$0 \leq \left(\Delta_3 \begin{bmatrix} x_1 \\ x_2 + zy_1 \\ zy_2 \end{bmatrix}, \begin{bmatrix} x_1 \\ x_2 + zy_1 \\ zy_2 \end{bmatrix} \right)$$

$$\begin{aligned}
&= \left(\Delta_2 \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, \begin{bmatrix} x_1 \\ x_2 \end{bmatrix} \right) + z \left(\Delta_2 \begin{bmatrix} y_1 \\ y_2 \end{bmatrix}, \begin{bmatrix} Tx_1 \\ Tx_2 \end{bmatrix} \right) \\
&+ \bar{z} \left(\Delta_2 \begin{bmatrix} Tx_1 \\ Tx_2 \end{bmatrix}, \begin{bmatrix} y_1 \\ y_2 \end{bmatrix} \right) + |z|^2 \left(\Delta_2 \begin{bmatrix} Ty_1 \\ Ty_2 \end{bmatrix}, \begin{bmatrix} Ty_1 \\ Ty_2 \end{bmatrix} \right).
\end{aligned}$$

The result now follows from (2.5). \square

Proposition 2.4. *Let T be a 2-hyponormal operator on \mathcal{H} . Then*

- (i) $T(\ker[T^*, T]) \subseteq \ker[T^*, T]$;
(ii) For $y \notin \ker[T^*, T]$ and $x \in \mathcal{H}$, and all $\delta > 0$,

$$\frac{1}{(\|T\| + \delta)^2} \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) \leq \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right).$$

Proof. (i) is [1, Lemma 2.2], so it is sufficient to establish (ii). Suppose there exist $y \notin \ker[T^*, T]$, $x \in \mathcal{H}$ and $\delta > 0$ such that

$$(2.7) \quad \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) < \frac{1}{(\|T\| + \delta)^2} \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right).$$

By Lemma 2.3,

$$\begin{aligned}
&\left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right)^2 \\
&\leq \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) \left(\Delta_2 \begin{bmatrix} T^2x \\ T^2y \end{bmatrix}, \begin{bmatrix} T^2x \\ T^2y \end{bmatrix} \right) \\
&< \frac{1}{(\|T\| + \delta)^2} \left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) \left(\Delta_2 \begin{bmatrix} T^2x \\ T^2y \end{bmatrix}, \begin{bmatrix} T^2x \\ T^2y \end{bmatrix} \right).
\end{aligned}$$

Thus,

$$\left(\Delta_2 \begin{bmatrix} Tx \\ Ty \end{bmatrix}, \begin{bmatrix} Tx \\ Ty \end{bmatrix} \right) < \frac{1}{(\|T\| + \delta)^2} \left(\Delta_2 \begin{bmatrix} T^2x \\ T^2y \end{bmatrix}, \begin{bmatrix} T^2x \\ T^2y \end{bmatrix} \right),$$

which implies that

$$(2.8) \quad \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) < \frac{1}{(\|T\| + \delta)^4} \left(\Delta_2 \begin{bmatrix} T^2x \\ T^2y \end{bmatrix}, \begin{bmatrix} T^2x \\ T^2y \end{bmatrix} \right).$$

Repeating this argument inductively, using (2.8) instead of (2.7), we obtain

$$\begin{aligned}
\left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) &< \frac{1}{(\|T\| + \delta)^{2n}} \left(\Delta_2 \begin{bmatrix} T^n x \\ T^n y \end{bmatrix}, \begin{bmatrix} T^n x \\ T^n y \end{bmatrix} \right) \\
&\leq \frac{\|\Delta_2\|}{(\|T\| + \delta)^{2n}} \left(\|T^n x\|^2 + \|T^n y\|^2 \right) \\
&\leq \left(\frac{\|T\|}{\|T\| + \delta} \right)^{2n} \|\Delta_2\| \left(\|x\|^2 + \|y\|^2 \right),
\end{aligned}$$

for all $n \geq 1$. Since the right-hand side converges to 0 as $n \rightarrow \infty$, and since $\Delta_2 \geq 0$, we see that

$$\|x + T^*y\|^2 + ([T^*, T]y, y) = \left(\Delta_2 \begin{bmatrix} x \\ y \end{bmatrix}, \begin{bmatrix} x \\ y \end{bmatrix} \right) = 0.$$

It follows that $y \in \ker[T^*, T]$, a contradiction. This completes the proof. \square

By combining Lemma 2.2 and Proposition 2.4, we obtain at once

Corollary 2.5. *Let T be 2-hyponormal. Then $T^*[T^*, T]T \leq \|T\|^2 [T^*, T]$.*

The theorem below is the main result of this section. Before we give its proof, we need one more ingredient. Assume $T \in \mathcal{L}(\mathcal{H})$ is hyponormal, that is, $[T^*, T] \geq 0$. Then

$$(2.9) \quad \ker[T^*, T] = \ker[T^*, T]^{\frac{1}{2}} = \ker[T^*, T]^2$$

and

$$(2.10) \quad (\text{ran}[T^*, T])^- = (\text{ran}[T^*, T]^{\frac{1}{2}})^- = (\text{ran}[T^*, T]^2)^-.$$

We write $\mathcal{R} \equiv \mathcal{R}_T = (\text{ran}[T^*, T])^-$ and define $A \equiv A_T : \mathcal{R} \rightarrow \mathcal{H}$ by $Ax := [T^*, T]^{\frac{1}{2}}x$ ($x \in \mathcal{R}$). The proof of following lemma is straightforward.

Lemma 2.6. *Let $T \in \mathcal{L}(\mathcal{H})$ be hyponormal, and let $A \in \mathcal{L}(\mathcal{R}, \mathcal{H})$ as above. Then*

- (i) $A^*x = [T^*, T]^{\frac{1}{2}}x$ (all $x \in \mathcal{H}$);
- (ii) $AA^*x = [T^*, T]x$ (all $x \in \mathcal{H}$);
- (iii) $A^*Ax = [T^*, T]x$ (all $x \in \mathcal{R}$);
- (iv) $\mathcal{R} = (\text{ran}A^*A)^- = (\text{ran}A)^- = (\text{ran}A^*)^-$.

Theorem 2.7. *Let T be 2-hyponormal. Then T is weakly subnormal.*

Proof. In the notation of Lemma 2.6, recall that $Ax = [T^*, T]^{\frac{1}{2}}x$ for all $x \in \mathcal{R}$. Let $g \in \text{ran}A^*$, say $g = A^*f$ for some $f \in \mathcal{H}$. Define a linear map $B : \text{ran}A^* \rightarrow \text{ran}A^*$ by $Bg = A^*Tf$ ($g \in \text{ran}A^*$). To see that B is well-defined, suppose $A^*f = 0$, i.e., $[T^*, T]^{\frac{1}{2}}f = 0$, by Lemma 2.6. Then $f \in \ker[T^*, T]$ (by (2.9), so Proposition 2.4(i) implies that $Tf \in \ker[T^*, T]$, so $[T^*, T]^{\frac{1}{2}}Tf = 0$, again by (2.9). Then $A^*Tf = [T^*, T]^{\frac{1}{2}}Tf = 0$, showing that B is well-defined. Next we claim that $\|Bg\| \leq \|T\| \|g\|$ for all $g \in \text{ran}A^*A \subseteq \text{ran}A^*$. Let $g = A^*Af$, with $f \in \mathcal{R}$. Then

$$\begin{aligned} \|Bg\|^2 &= \|BA^*(Af)\|^2 = \|A^*TAf\|^2 = (A^*TAf, A^*TAf) \\ &= ([T^*, T]^{\frac{1}{2}}T[T^*, T]^{\frac{1}{2}}f, [T^*, T]^{\frac{1}{2}}T[T^*, T]^{\frac{1}{2}}f) \\ &= ([T^*, T]^{\frac{1}{2}}T^*[T^*, T]T[T^*, T]^{\frac{1}{2}}f, f) \\ &\leq \|T\|^2 ([T^*, T]f, [T^*, T]f) \quad (\text{by Corollary 2.5}) \\ &= \|T\|^2 (A^*Af, A^*Af) \\ &= \|T\|^2 (g, g). \end{aligned}$$

Since $(\text{ran}A^*)^- = \mathcal{R} = (\text{ran}A^*A)^-$, B can be extended to all of \mathcal{R} . Hence B is a bounded operator on \mathcal{R} with $\|B\| \leq \|T\|$ such that $BA^* = A^*T$. If we let $\widehat{T} := \begin{pmatrix} T & A \\ 0 & B \end{pmatrix}$ relative to the decomposition $\mathcal{K} := \mathcal{H} \oplus \mathcal{R}$, then \widehat{T} is a partially normal extension of T . Since $\mathcal{H} \oplus \mathcal{R} = \mathcal{H} \oplus (\text{ran}[T^*, T])^-$, an application of Lemma 2.6 and (1.2) shows that $\widehat{T} = \text{m.p.n.e.}(T)$. \square

Corollary 2.8. *Let T be 2-hyponormal, and let $\widehat{T} = \begin{pmatrix} T & A \\ 0 & B \end{pmatrix}$ be the minimal partially normal extension of T . Then $\|B\| \leq \|T\|$.*

Proof. Straightforward from the proof of Theorem 2.7. \square

3. k -HYPONORMALITY AND WEAK SUBNORMALITY

Let T be weakly subnormal on \mathcal{H} , and let $\widehat{T} = \text{m.p.n.e.}(T)$. Given $x \in \mathcal{H}$, we know that $\widehat{T}^* \widehat{T} x = \widehat{T} \widehat{T}^* x$. More generally, since $\widehat{T}^k x \in \mathcal{H}$ for all $k \in \mathbb{N}$, $k \geq 1$, we have

$$\widehat{T}^* \widehat{T}^k x = \widehat{T}^* \widehat{T} \widehat{T}^{k-1} x = \widehat{T} \widehat{T}^* \widehat{T}^{k-1} x = \dots = \widehat{T}^k \widehat{T}^* x.$$

A straightforward computation then leads to

$$(3.1) \quad (\widehat{T}^{*j+1} \widehat{T}^{i+1} x, y) = (\widehat{T} \widehat{T}^{*j} \widehat{T}^i \widehat{T}^* x, y) \quad (\text{all } x, y \in \mathcal{H} \text{ and all } i, j \in \mathbb{N}).$$

The following result is of independent interest.

Lemma 3.1. *Let $T \in \mathcal{L}(\mathcal{H})$ be weakly subnormal, let $\{x_j\}_{j=0}^{k+1} \subseteq \mathcal{H}$, and define $z_0 := x_0 + \widehat{T}^* x_1$ and $z_j := \widehat{T}^* x_{j+1}$, $1 \leq j \leq k$. Then*

$$\sum_{i,j=0}^{k+1} (T^{*j} T^i x_j, x_i) = \sum_{i,j=0}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i).$$

Proof. We first observe that

$$\begin{aligned} \sum_{i,j=0}^{k+1} (T^{*j} T^i x_j, x_i) &= \sum_{i,j=0}^1 (T^{*j} T^i x_j, x_i) + \sum_{j=2}^{k+1} \{(T^{*j} x_j, x_0) \\ &\quad + (T^{*j} T x_j, x_1)\} + \sum_{i=2}^{k+1} \{(T^i x_0, x_i) + (T^* T^i x_1, x_i)\} \\ &\quad + \sum_{i,j=2}^{k+1} (T^{*j} T^i x_j, x_i) \\ &=: \Gamma_1 + \Gamma_2 + \Gamma_3 + \Gamma_4. \end{aligned}$$

We now express these four sums in terms of the variables z_j , as follows:

$$\Gamma_1 = \|x_0 + \widehat{T}^* x_1\|^2 = \|z_0\|^2,$$

$$\begin{aligned} \Gamma_2 &= \sum_{j=2}^{k+1} \{(\widehat{T}^{*j} x_j, x_0) + (\widehat{T}^{*j} \widehat{T} x_j, x_1)\} \\ &= \sum_{j=1}^k \{(\widehat{T}^{*j} \widehat{T}^* x_{j+1}, x_0) + (\widehat{T}^{*j} \widehat{T}^* x_{j+1}, \widehat{T}^* x_1)\} = \sum_{j=1}^k (\widehat{T}^{*j} z_j, z_0), \end{aligned}$$

$$\begin{aligned} \Gamma_3 &= \sum_{i=2}^{k+1} \{(\widehat{T}^i x_0, x_i) + (\widehat{T}^* \widehat{T}^i x_1, x_i)\} \\ &= \sum_{i=1}^k \{(\widehat{T}^i x_0, \widehat{T}^* x_{i+1}) + (\widehat{T}^i \widehat{T}^* x_1, \widehat{T}^* x_{i+1})\} = \sum_{i=1}^k (\widehat{T}^i z_0, z_i), \end{aligned}$$

$$\Gamma_4 = \sum_{i,j=1}^k (\widehat{T} \widehat{T}^{*j} \widehat{T}^i \widehat{T}^* x_{j+1}, x_{i+1}) = \sum_{i,j=1}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i) \quad (\text{using (3.1)}).$$

If we now combine the new expressions for the Γ_i 's, we obtain

$$\begin{aligned} \sum_{i,j=0}^{k+1} (T^{*j}T^i x_j, x_i) &= \|z_0\|^2 + \sum_{j=1}^k (\widehat{T}^{*j} z_j, z_0) \\ &\quad + \sum_{i=1}^k (\widehat{T}^i z_0, z_i) + \sum_{i,j=1}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i) \\ &= \sum_{i,j=0}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i), \end{aligned}$$

as desired. \square

Recall now that $T \in \mathcal{L}(\mathcal{H})$ is k -hyponormal if and only if $\sum_{i,j=0}^k (T^i x_j, T^j x_i) \geq 0$ for all $x_i, x_j \in \mathcal{H}$, $0 \leq i, j \leq k$ [2]; this is the analog, for k -hyponormality, of the Bram-Halmos condition for subnormality [5].

Theorem 3.2. *Let $T \in \mathcal{L}(\mathcal{H})$ and let $k \geq 1$. The following statements are equivalent.*

- (i) T is $(k+1)$ -hyponormal;
- (ii) T is weakly subnormal and $\widehat{T} := \text{m.p.n.e.}(T)$ is k -hyponormal.

Proof. (ii) \Rightarrow (i): Straightforward from Lemma 3.1.

(i) \Rightarrow (ii): Since T is 2-hyponormal, we know by Theorem 2.7 that T is weakly subnormal. Let $\widehat{T} := \text{m.p.n.e.}(T)$, acting on

$$\mathcal{K} = \vee \{ \widehat{T}^{*n} h : h \in \mathcal{H}, n = 0, 1 \},$$

by (1.2). Let $z_j := x_j + \widehat{T}^* y_j \in \mathcal{K}$, where $x_j, y_j \in \mathcal{H}$, $0 \leq i, j \leq k$. We shall prove that $\sum_{i,j=0}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i) \geq 0$, and this will establish the k -hyponormality of \widehat{T} . Set $w_j := y_{j-1} + x_j$, $0 \leq j \leq k+1$ with $y_{-1} = x_{k+1} = 0$. Then we have

$$\begin{aligned} \sum_{i,j=0}^k (\widehat{T}^{*j} \widehat{T}^i z_j, z_i) &= \sum_{i,j=0}^k (\widehat{T}^{*j} \widehat{T}^i (x_j + \widehat{T}^* y_j), (x_i + \widehat{T}^* y_i)) \\ &= \sum_{i,j=0}^k \{ (\widehat{T}^{*j} \widehat{T}^i x_j, x_i) + (\widehat{T}^{*j} \widehat{T}^i \widehat{T}^* y_j, x_i) \\ &\quad + (\widehat{T}^{*j} \widehat{T}^i x_j, \widehat{T}^* y_i) + (\widehat{T}^{*j} \widehat{T}^i \widehat{T}^* y_j, \widehat{T}^* y_i) \} \\ &= \sum_{i,j=0}^k \{ (\widehat{T}^{*j} \widehat{T}^i x_j, x_i) + (\widehat{T}^{*j+1} \widehat{T}^i y_j, x_i) \\ &\quad + (\widehat{T}^{*j} \widehat{T}^{i+1} x_j, y_i) + (\widehat{T}^{*j+1} \widehat{T}^{i+1} y_j, y_i) \} \end{aligned}$$

$$\begin{aligned}
&= \sum_{i,j=0}^k (T^{*j}T^i x_j, x_i) + \sum_{i,j=0}^k (T^{*j+1}T^i y_j, x_i) \\
&+ \sum_{i,j=0}^k (T^{*j}T^{i+1} x_j, y_i) + \sum_{i,j=0}^k (T^{*j+1}T^{i+1} y_j, y_i) \\
&= \sum_{i,j=0}^{k+1} (T^{*j}T^i x_j, x_i) + \sum_{i,j=0}^{k+1} (T^{*j}T^i y_{j-1}, x_i) \\
&+ \sum_{i,j=0}^{k+1} (T^{*j}T^i x_j, y_{i-1}) + \sum_{i,j=0}^{k+1} (T^{*j}T^i y_{j-1}, y_{i-1})
\end{aligned}$$

(the final equality using the convention $y_{-1} = x_{k+1} = 0$). Hence

$$\begin{aligned}
\sum_{i,j=0}^k (\widehat{T}^{*j}\widehat{T}^i z_j, z_i) &= \sum_{i,j=0}^{k+1} (T^{*j}T^i (y_{j-1} + x_j), y_{i-1} + x_i) \\
&= \sum_{i,j=0}^{k+1} (T^{*j}T^i w_j, w_i) \geq 0
\end{aligned}$$

(because T is $(k+1)$ -hyponormal), as desired. \square

We conclude this section with an extension of [1, Theorem 1.2(iii)]; for related results, see [6, Section 2]. For $T \in \mathcal{L}(\mathcal{H})$, we write $\sigma(T)$ for the spectrum of T and $r(T)$ for the spectral radius of T .

Corollary 3.3. *Let $T \in \mathcal{L}(\mathcal{H})$ be 2-hyponormal and let $\widehat{T} := \text{m.p.n.e.}(T)$. Then $\|\widehat{T}\| = \|T\|$.*

Proof. By Theorem 3.2, $\widehat{T} \equiv \begin{pmatrix} T & A \\ 0 & B \end{pmatrix}$ is hyponormal, so $r(\widehat{T}) = \|\widehat{T}\|$. Since $\sigma(\widehat{T}) \subseteq \sigma(T) \cup \sigma(B)$ and $r(B) \leq \|B\| \leq \|T\|$ (by Corollary 2.8), we see that $\|\widehat{T}\| = r(\widehat{T}) \leq \max\{r(T), r(B)\} \leq \|T\|$. \square

4. CONSTRUCTION OF THE MINIMAL NORMAL EXTENSION OF A SUBNORMAL OPERATOR

Let $k \geq 2$ and assume that $T \in \mathcal{L}(\mathcal{H})$ is $(k+1)$ -hyponormal. By Theorem 2.7, T is weakly subnormal. Let

$$\widehat{T} = \begin{pmatrix} T & A_1 \\ 0 & B_1 \end{pmatrix}$$

be the m.p.n.e. of T on $\mathcal{H}_1 := \mathcal{H} \oplus \mathcal{R}_1$, where $\mathcal{R}_1 := (\text{ran}[T^*, T])^\perp$, $A_1 := [T^*, T]^\perp|_{\mathcal{R}_1}$ and $B_1 : \mathcal{R}_1 \rightarrow \mathcal{R}_1$ is given by $B_1(A_1^* f) := A_1^* T f$. Since \widehat{T} is 2-hyponormal, it is also weakly subnormal, and we can repeat the process to obtain

$$\widehat{\widehat{T}} = \begin{pmatrix} \widehat{T} & A_2 \\ 0 & B_2 \end{pmatrix}$$

on $\mathcal{H}_2 := (\mathcal{H} \oplus \mathcal{R}_1) \oplus \mathcal{R}_2$, where $A_2 := [\widehat{T}^*, \widehat{T}]_{\frac{1}{2}}|_{\mathcal{R}_2}$, $\mathcal{R}_2 := (\text{ran}[\widehat{T}^*, \widehat{T}])^-$ and $B_2 : \mathcal{R}_2 \rightarrow \mathcal{R}_2$ satisfies $B_2(A_2^*f) = A_2^*\widehat{T}f$. For $n \geq 2$ we then write $\widehat{T}^{(n)} := \widehat{T}^{(n-1)}$. We thus have

$$\widehat{T}^{(n)} = \begin{pmatrix} \widehat{T}^{(n-1)} & A_n \\ 0 & B_n \end{pmatrix} \quad (1 \leq n \leq k)$$

relative to the decomposition $\mathcal{H}_n := (\mathcal{H} \oplus \mathcal{R}_1 \oplus \cdots \oplus \mathcal{R}_{n-1}) \oplus \mathcal{R}_n$, where $A_n := [\widehat{T}^{(n-1)*}, \widehat{T}^{(n-1)}]_{\frac{1}{2}}|_{\mathcal{R}_n}$, $\mathcal{R}_n := (\text{ran}[\widehat{T}^{(n-1)*}, \widehat{T}^{(n-1)}])^-$ and $B_n : \mathcal{R}_n \rightarrow \mathcal{R}_n$ satisfies $B_n(A_n^*f) = A_n^*\widehat{T}^{(n-1)}f$. For notational convenience we set $\mathcal{H}_0 := \mathcal{H}$.

Lemma 4.1. *Let $k \geq 2$ and assume that $T \in \mathcal{L}(\mathcal{H})$ is $(k+1)$ -hyponormal. Then $\widehat{T}^{(k)*}\mathcal{H}_{k-2} \subseteq \mathcal{H}_{k-1}$.*

Proof. Since $P_{\mathcal{H}_{k-1}}\widehat{T}^{(k)}|_{\mathcal{H}_k \ominus \mathcal{H}_{k-1}} = A_k$ and $P_{\mathcal{H}_{k-2}}[\widehat{T}^{(k-1)*}, \widehat{T}^{(k-1)}]_{\frac{1}{2}} = 0$, we have

$$P_{\mathcal{H}_{k-2}}\widehat{T}^{(k)}|_{\mathcal{H}_k \ominus \mathcal{H}_{k-1}} = P_{\mathcal{H}_{k-2}}A_k = P_{\mathcal{H}_{k-2}}[\widehat{T}^{(k-1)*}, \widehat{T}^{(k-1)}]_{\frac{1}{2}} = 0.$$

Let $f \in \mathcal{H}_{k-2}$ and $g \in \mathcal{H}_k \ominus \mathcal{H}_{k-1}$. Then

$$(\widehat{T}^{(k)*}f, g) = (f, \widehat{T}^{(k)}g) = (P_{\mathcal{H}_{k-2}}f, \widehat{T}^{(k)}g) = (f, P_{\mathcal{H}_{k-2}}\widehat{T}^{(k)}g) = 0,$$

which shows that $\widehat{T}^{(k)*}f \in \mathcal{H}_{k-1}$, as desired. \square

Recall that if T is a subnormal operator on \mathcal{H} and N is a normal extension of T on \mathcal{K} , then N is a *minimal* normal extension of T if and only if

$$(4.1) \quad \mathcal{K} = \vee \{N^{*k}h : h \in \mathcal{H}, k \geq 0\} \quad ([7, \text{Proposition 2.4}]).$$

For subnormal weighted shifts, J. Stampfli gave in [3] an explicit construction of the minimal normal extension. Motivated in part by that construction, we will now describe a block staircase operator matrix model for the minimal normal extension of an arbitrary subnormal operator. Let T be subnormal on \mathcal{H} , and recall that T is $(k+1)$ -hyponormal for all $k \geq 0$, so successive partially normal extensions exist. We recursively define $\widehat{T}^{(m)}$ as $\widehat{T}^{(0)} := T$ and $\widehat{T}^{(m+1)} := m.p.n.e.(\widehat{T}^{(m)})$. Let $\mathcal{H}_\infty := \mathcal{H} \oplus \mathcal{R}_1 \oplus \cdots \oplus \mathcal{R}_n \oplus \cdots$ with the ℓ^2 inner product, that is, if $\tilde{x} := x_0 \oplus x_1 \oplus \cdots \oplus x_m \oplus \cdots$ and $\tilde{y} := y_0 \oplus y_1 \oplus \cdots \oplus y_m \oplus \cdots$, then $\langle \tilde{x}, \tilde{y} \rangle_{\mathcal{H}_\infty} := \sum_i \langle x_i, y_i \rangle$. For $\tilde{x} \equiv x_0 \oplus \cdots \oplus x_m \oplus 0 \oplus 0 \oplus \cdots \equiv \tilde{x}_m \oplus 0 \oplus 0 \oplus \cdots$, we define $N\tilde{x} := \widehat{T}^{(m)}\tilde{x}_m$. For notational convenience, we will identify \mathcal{H}_m with the subspace of \mathcal{H}_∞ of all vectors $\tilde{x} \equiv x_0 \oplus \cdots \oplus x_m \oplus 0 \oplus 0 \oplus \cdots$, and we shall write $\tilde{x}_m \equiv P_{\mathcal{H}_m}\tilde{x}$. Also, we shall regard \mathcal{H}_m as a subspace of \mathcal{H}_{m+1} . Clearly N is linear on a dense linear submanifold of \mathcal{H}_∞ . Moreover, by Corollary 3.3,

$$(4.2) \quad \|N\tilde{x}\| = \|\widehat{T}^{(m)}\tilde{x}_m\| \leq \|\widehat{T}^{(m-1)}\| \|\tilde{x}_m\| = \cdots = \|T\| \|\tilde{x}\|$$

whenever $\tilde{x} \equiv \tilde{x}_m \oplus 0 \oplus 0 \oplus \cdots$. Therefore, N can be extended to a bounded linear operator on \mathcal{H}_∞ , which we will also denote by N . By (4.2), $\|N\| = \|T\|$.

Lemma 4.2. *$N^*\tilde{x} = \widehat{T}^{(m+1)*}\tilde{x}_m$, for all $\tilde{x} \equiv \tilde{x}_m \oplus 0 \oplus 0 \oplus \cdots \in \mathcal{H}_\infty$.*

Proof. Since $\widehat{T}^{(\ell+k)}|_{\mathcal{H}_\ell} = \widehat{T}^{(\ell)}$ for all $k \geq 1$, $\widehat{T}^{(\ell)*} = P_{\mathcal{H}_\ell}\widehat{T}^{(\ell+k)*}|_{\mathcal{H}_\ell}$. By Lemma 4.1, $\widehat{T}^{(m+2)*}\tilde{x}_m \in \mathcal{H}_{m+1}$, so

$$\begin{aligned} (\widehat{T}^{(m+1)*}\tilde{x}_m, \tilde{y}) &= (P_{\mathcal{H}_{m+1}}\widehat{T}^{(m+2)*}\tilde{x}_m, \tilde{y}) \\ &= (\widehat{T}^{(m+2)*}\tilde{x}_m, P_{\mathcal{H}_{m+1}}\tilde{y}) \\ &= (\widehat{T}^{(m+2)*}\tilde{x}_m, \tilde{y}) \end{aligned}$$

for any $\tilde{y} \in \mathcal{H}_\infty$, which implies that $\widehat{T}^{(m+1)*}\tilde{x}_m = \widehat{T}^{(m+2)*}\tilde{x}_m$ for all $\tilde{x}_m \in \mathcal{H}_m$. Also, since $\mathcal{H}_m \subseteq \mathcal{H}_{m+k}$ for any $k \geq 1$, we can continue this process to obtain $\widehat{T}^{(m+1)*}\tilde{x}_m = \widehat{T}^{(m+1+k)*}\tilde{x}_m$ for all $\tilde{x}_m \in \mathcal{H}_m$. Given $\tilde{x}_m \in \mathcal{H}_m$ and $\tilde{y}_\ell \in \mathcal{H}_\ell$, and if we let $n := \max\{m+1, \ell\}$, we obtain

$$\begin{aligned} (N^*\tilde{x}_m, \tilde{y}_\ell) &= (\tilde{x}_m, N\tilde{y}_\ell) = (\tilde{x}_m, \widehat{T}^{(n)}\tilde{y}_\ell) \\ &= (\widehat{T}^{(n)*}\tilde{x}_m, \tilde{y}_\ell) = (\widehat{T}^{(m+1)*}\tilde{x}_m, \tilde{y}_\ell), \end{aligned}$$

which readily implies that $N^*\tilde{x} \equiv N^*\tilde{x}_m = \widehat{T}^{(m+1)*}\tilde{x}_m$, as desired. \square

We are now ready to present the staircase model for the minimal normal extension of a subnormal operator.

Theorem 4.3. *Let T be a subnormal operator on \mathcal{H} and let N be the operator on $\mathcal{H}_\infty := \mathcal{H} \oplus \mathcal{R}_1 \oplus \mathcal{R}_2 \oplus \cdots$ defined as $N\tilde{x} := \widehat{T}^{(m)}\tilde{x}_m$, for $\tilde{x} \equiv \tilde{x}_m \oplus 0 \oplus 0 \oplus \cdots \in \mathcal{H}_\infty$. Then N is a minimal normal extension of T with $\|N\| = \|T\|$. In particular, N has the following block staircase operator matrix form:*

$$(4.3) \quad N = \begin{pmatrix} T & A_1 & 0 & & & & \\ 0 & B_1 & C_2 & 0 & & & \\ & 0 & B_2 & C_3 & 0 & & \\ & & 0 & B_3 & C_4 & \ddots & \\ & & & 0 & B_4 & \ddots & \\ & & & & & \ddots & \ddots \end{pmatrix},$$

where

$$\widehat{T} = \begin{pmatrix} T & A_1 \\ 0 & B_1 \end{pmatrix}, \quad \widehat{T}^{(k+1)} = \begin{pmatrix} \widehat{T}^{(k)} & A_k \\ 0 & B_k \end{pmatrix}, \quad k = 1, 2, \dots,$$

$\mathcal{R}_k := (\text{ran}[\widehat{T}^{(k-1)*}, \widehat{T}^{(k-1)}])^\perp$, $A_k := [\widehat{T}^{(k-1)*}, \widehat{T}^{(k-1)}]_{\frac{1}{2}}|_{\mathcal{R}_k}$ regarded as an operator from \mathcal{R}_k to \mathcal{H}_{k-1} , and $C_k := (A_k^*|_{\mathcal{R}_{k-1}})^* : \mathcal{R}_k \rightarrow \mathcal{R}_{k-1}$.

Proof. We first establish that N is normal. Let $\tilde{x} \equiv \tilde{x}_m \oplus 0 \oplus 0 \oplus \cdots \in \mathcal{H}_\infty$. Then

$$\begin{aligned} \|N\tilde{x}\|^2 &= \|\widehat{T}^{(m)}\tilde{x}_m\|^2 = (\widehat{T}^{(m)*}\widehat{T}^{(m)}\tilde{x}_m, \tilde{x}_m) \\ &= (\widehat{T}^{(m+1)*}\widehat{T}^{(m+1)}\tilde{x}_m, \tilde{x}_m) = (\widehat{T}^{(m+1)}\widehat{T}^{(m+1)*}\tilde{x}_m, \tilde{x}_m) \\ &= (NN^*\tilde{x}, \tilde{x}) = \|N^*\tilde{x}\|^2. \end{aligned}$$

Hence N is a normal operator on \mathcal{H}_∞ . Now we turn to the proof of the minimality of N . By (1.2) and Lemma 4.2,

$$(\{x_0 + N^*x_1 : x_i \in \mathcal{H}, i = 0, 1\})^- = (\{x_0 + \widehat{T}^*x_1 : x_i \in \mathcal{H}, i = 0, 1\})^- = \mathcal{H}_1.$$

To use mathematical induction, we assume that

$$\left(\left\{\sum_{i=0}^n N^{*i}x_i : x_i \in \mathcal{H}, i = 0, \dots, n\right\}\right)^- = \mathcal{H}_n.$$

Then

$$\begin{aligned}
 \mathcal{H}_{n+1} &= (\{\sum_{i=0}^n N^{*i} p_i + \widehat{T}^{(n+1)*} (\sum_{j=0}^n N^{*j} q_j) : p_i, q_j \in \mathcal{H}, 0 \leq i, j \leq n\})^- \\
 &= (\{\sum_{i=0}^n N^{*i} p_i + N^* (\sum_{j=0}^n N^{*j} q_j) : p_i, q_j \in \mathcal{H}, 0 \leq i, j \leq n\})^- \quad (\text{by Lemma 4.2}) \\
 &= (\{\sum_{i=0}^n N^{*i} z_i + N^{*n+1} z_{n+1} : z_i \in \mathcal{H}, 0 \leq i \leq n\})^- \\
 &= (\{\sum_{i=0}^{n+1} N^{*i} x_i : x_i \in \mathcal{H}, i = 0, \dots, n+1\})^-.
 \end{aligned}$$

Thus $\vee\{N^{*k}h : h \in \mathcal{H}, k \geq 0\} = \mathcal{H}_\infty$. By (4.1), N is a minimal normal extension of T . Finally, the block staircase matrix form in (4.3) follows easily from the construction of $\widehat{T}^{(n)}$ and [1, Lemma 2.8]. Thus, the proof is complete. \square

We conclude this section with a special but important case of Theorem 4.3. Recall that an operator T is said to be *quasinormal* if T commutes with T^*T or, equivalently, if $[T^*, T]T = 0$.

Corollary 4.4. *Let T be a weakly subnormal operator on \mathcal{H} , and let $\widehat{T} := m.p.n.e.(T)$. Then*

(i) *T is quasinormal if and only if $\widehat{T} = \begin{pmatrix} T & A_1 \\ 0 & 0 \end{pmatrix}$ (A_1 as in Theorem 4.3);*

(ii) *if T is quasinormal, then \widehat{T} is quasinormal;*

(iii) *if T is quasinormal, then the minimal normal extension N of T is unitarily equivalent to the following operator matrix*

$$\begin{pmatrix} T & A_1 & 0 & & & & \\ 0 & 0 & C_2 & 0 & & & \\ & 0 & 0 & C_3 & 0 & & \\ & & 0 & 0 & \ddots & \ddots & \\ & & & \ddots & \ddots & \ddots & \\ & & & & \ddots & \ddots & \end{pmatrix}.$$

Proof. (i) (\Rightarrow) Since $A_1^*T = B_1A_1^*$, we see that

$$0 = [T^*, T]T = A_1A_1^*T = A_1B_1A_1^*.$$

Also, since $(\text{ran}A_1^*)^- = \mathcal{R}_1$, $A_1B_1 = 0$, so $B_1^*A_1^* = 0$, and a fortiori $B_1^* = 0$, or $B_1 = 0$.

(\Leftarrow) Since $A_1^*T = 0$, we have $A_1A_1^*T = [T^*, T]T = 0$, which shows that T is quasinormal.

(ii) By a simple computation, $[\widehat{T}^*, \widehat{T}]\widehat{T} = 0$. Hence \widehat{T} is quasinormal.

(iii) This follows easily from Theorem 4.3. \square

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