

CONTINUITY and CONNECTEDNESS

①

\*\*\*\*\* Theorem 4.22

Let  $f: (X, d_X) \rightarrow (Y, d_Y)$  be continuous.  
 If  $E$  is a connected subset of  $X$ ,  
 then  $f(E)$  is a connected subset of  $Y$ .

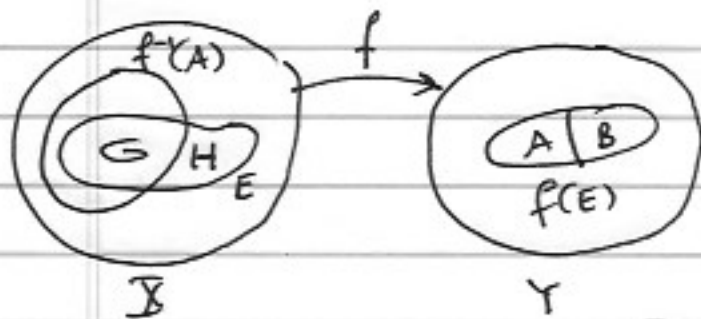
Recall 1)  $A, B \subseteq \bar{X}$ ,  $A$  and  $B$  are called separated if  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$ .

2) A set  $E \subseteq X$  is called connected if there exists no  $A, B \subseteq \bar{X}$  s.t.  
 (i)  $A \neq \emptyset, B \neq \emptyset$ ,  
 (ii)  $E = A \cup B$ , and  
 (iii)  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$ .

Proof of Thm 4.22

Proof by Contrapositive

We start with a non-trivial separation of  $f(E)$  to find a non-trivial separation of  $E$ .



Suppose  $\exists A, B \subseteq Y$  s.t.  
 (i)  $A \neq \emptyset, B \neq \emptyset$   
 (ii)  $f(E) = A \cup B$   
 (iii)  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$ .

Define  $G = f^{-1}(A) \cap E$   
 $H = f^{-1}(B) \cap E$ .

We want to establish (i)  $G \neq \emptyset, H \neq \emptyset$

(ii)  $E = G \cup H$

(iii)  $\bar{G} \cap H = G \cap \bar{H} = \emptyset$ .

(i)  $A \neq \emptyset$  and  $A \subseteq f(E)$

$\exists a \in A, a = f(c)$  for some  $c \in E$ .

Hence  $\exists c \in f^{-1}(A) \cap E = G$ .

$G \neq \emptyset$ . Similarly  $H \neq \emptyset$ .

$$\begin{aligned}
 \text{(ii)} \quad G \cup H &= (f^{-1}(A) \cap E) \cup (f^{-1}(B) \cap E) \\
 &= (f^{-1}(A) \cup f^{-1}(B)) \cap E \\
 &= f^{-1}(A \cup B) \cap E \\
 &= f^{-1}(f(E)) \cap E = E
 \end{aligned}$$

Obs.

$x \in E \Rightarrow f(x) \in f(E)$

$\Rightarrow x \in f^{-1}(f(E))$

Hence  $E \subseteq f^{-1}(f(E))$

(Caution: this is not always =)

(iii)  $A \subseteq \bar{A}$

$G = f^{-1}(A) \cap E \subseteq f^{-1}(A) \subseteq f^{-1}(\bar{A})$

$\bar{G} \subseteq f^{-1}(\bar{A})$  (prop. 2.27c) closed since  $\bar{A}$  is closed,  $f$  continuous.

$\bar{G} \cap H \subseteq f^{-1}(\bar{A}) \cap f^{-1}(B) = f^{-1}(\bar{A} \cap B) = f^{-1}(\emptyset) = \emptyset$ .

Similarly  $G \cap \bar{H} = \emptyset$ .

Hence  $G, H$  provide a non-trivial separation of  $E$ .

Recall Thm 2.47 Let  $E \subseteq \mathbb{R}$   $E$  is an interval

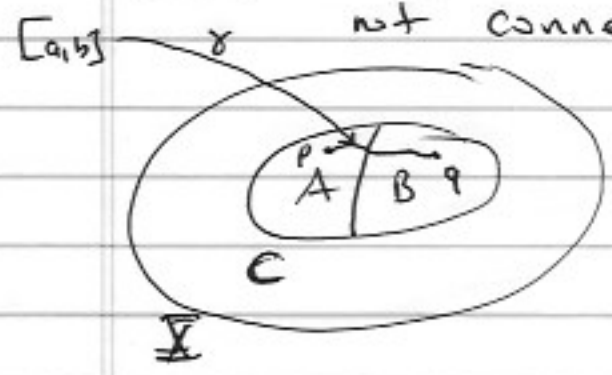
$E$  is connected  $\iff \forall x, y \in E \forall z \in \mathbb{R} (x < z < y \implies z \in E)$

Not in the book  
↓

Defn A subset  $C \subseteq (X, d)$  is called path-connected if  $\forall p, q \in C, \exists \gamma: [a, b] \rightarrow C$  s.t.  $\gamma$  is continuous and  $\gamma(a) = p, \gamma(b) = q$ .

Prop 1 ( $C$  is path-connected  $\implies C$  is connected) for  $C \subseteq (X, d)$  any metric space.

Proof Suppose  $C$  is path-connected but not connected.



- Suppose  $\exists A, B \subseteq X$  s.t.
- (i)  $A \neq \emptyset, B \neq \emptyset$
  - (ii)  $A \cup B = C$
  - (iii)  $\bar{A} \cap B = A \cap \bar{B} = \emptyset$

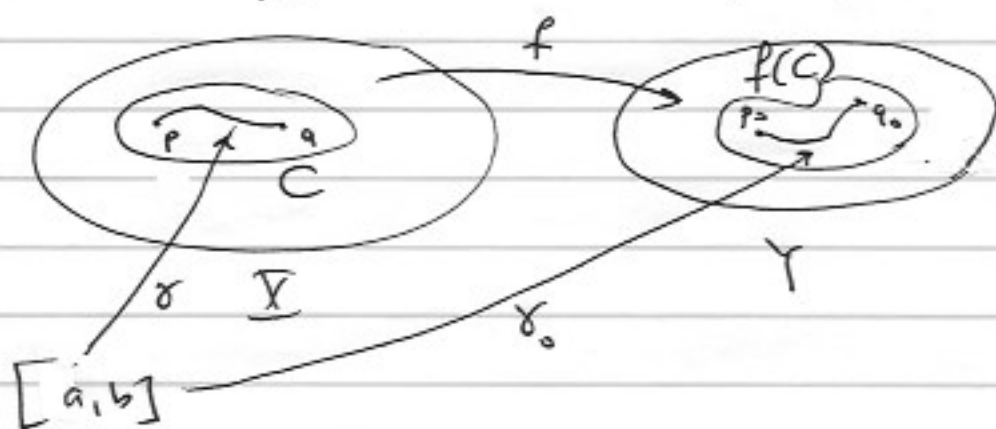
$\exists p \in A, \exists q \in B$ . Path-connected  $C \implies \exists \gamma: [a, b] \rightarrow C$  s.t.  $\gamma$  is continuous,  $\gamma(a) = p, \gamma(b) = q$ .

The same way we proved Thm. 4.22:

Take  $G = \gamma^{-1}(A)$  (in  $[a, b]$ )  
 $H = \gamma^{-1}(B)$ , and show that  $G \times H$  provide a non-trivial separation of  $[a, b]$ . But  $[a, b]$  is connected.  
Contradiction.

Prop 2 Let  $f: (X, d_X) \rightarrow (Y, d_Y)$  be continuous.  
 For all  $C \subseteq X$ , if  $C$  is path-connected,  
 then  $f(C)$  is path-connected.

Proof: Given  $p_0, q_0 \in f(C)$ .  
 $\exists p, q \in C$  s.t.  $f(p) = p_0$  &  $f(q) = q_0$



$C$  is path-connected:  $\exists \gamma: [a, b] \rightarrow C$  s.t.  
 $\gamma$  is continuous,  $\gamma(a) = p$ ,  $\gamma(b) = q$ .

Let

$$\gamma_0 = f \circ \gamma: [a, b] \rightarrow f(C),$$

$$\gamma_0(a) = f(\gamma(a)) = f(p) = p_0$$

$$\gamma_0(b) = f(\gamma(b)) = f(q) = q_0$$

$\gamma_0 = f \circ \gamma$  is continuous. Hence  $\forall p_0, q_0 \in f(C)$   
 can be connected with a path in  $f(C)$ .

Without Proof: (Not in the test)

Thm:  $A \subseteq \mathbb{R}^k$ ,  $A$  open, connected

(Standard metric)  $\Rightarrow A$  is path-connected.

Ex:  $C = \{(0, y) \mid -1 \leq y \leq 1\} \cup \{(x, \sin \frac{1}{x}) \mid x > 0\} \subseteq \mathbb{R}^2$

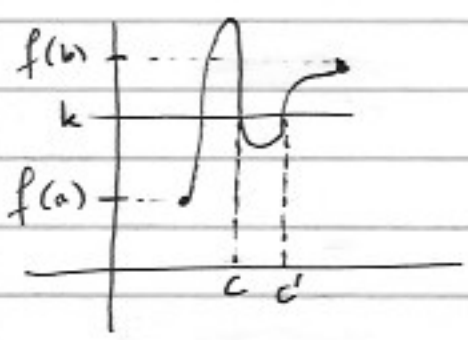
(standard metric)

$C$  is connected but not path connected.

↑  
 not in the book.

### 4.23 Theorem: Intermediate Value Thm

Let  $f: [a, b] \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be continuous. (Standard metric)  
 If  $k \in \mathbb{R}$  s.t. either  $f(a) < k < f(b)$   
 or  $f(b) < k < f(a)$ ,  
 then  $\exists c \in (a, b)$  s.t.  $f(c) = k$ .



Proof:  $[a, b]$  is connected.  
 Thm 2.47  
 $J = f([a, b])$  is connected  
 Thm 4.22

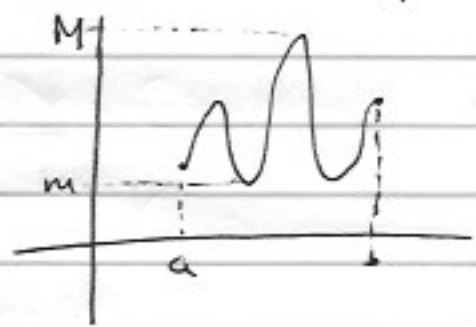
$f(a), f(b) \in J$ .

If  $k$  is between  $f(a)$  and  $f(b)$ , then  $k \in J = f([a, b])$   
 (Thm 2.47)

$k = f(c) \in f([a, b])$ , for some  $c \in [a, b]$ .  
 $c \neq a, b$  since  $k \neq f(a), f(b)$ .  
 $c \in (a, b)$ .

Corollary: Let  $f: I \subseteq \mathbb{R} \rightarrow \mathbb{R}$  be continuous.

- (i)  $I$  is an interval  $\Rightarrow f(I)$  is an interval
- (ii) If  $I = [a, b]$ , then  $f(I) = f([a, b]) = [m, M]$ .



Using Extreme Value Thm.

Remark: IVT (and EVT)  $\Rightarrow$  (i) and (ii). We prove here.  
Also (i)  $\Rightarrow$  IVT, and (ii)  $\Rightarrow$  IVT. (Hw)

Proof of Corollary\* (By IVT & EVT)

(i) Let  $k_1, k_2 \in f(I)$  be given, s.t.  $k_1 < k_2$ .

Assume  $k_1 < k < k_2$ , for an arbitrary  $k \in \mathbb{R}$

$$\exists a_1, a_2 \in I \quad \begin{aligned} f(a_1) &= k_1 \\ f(a_2) &= k_2 \end{aligned}$$

By IVT  $\Rightarrow \exists c$  between  $a_1$  and  $a_2$  s.t.  
 $f(c) = k$ .

$a_1, a_2 \in I$ ;  $I$  interval:  $c \in I$ .

$$k = f(c) \in f(I).$$

Hence  $\forall k_1, k_2 \in f(I) \quad \forall k \in \mathbb{R}$

$$k_1 < k < k_2 \Rightarrow k \in f(I).$$

$f(I)$  is an interval.

(ii)  $I = [a, b] \quad f: I \rightarrow \mathbb{R}$  continuous.

$[a, b]$  compact  $\Rightarrow f$  attains its max and min values on  $[a, b]$ ;  $\exists p, q \in [a, b]$  s.t.

$$\forall x \in [a, b] \quad f(p) = m \leq f(x) \leq M = f(q)$$

EVT (By Extreme Value Thm.)

$$\Rightarrow f([a, b]) \subseteq [m, M]. \quad \textcircled{1}$$

$$\forall k \in [m, M], \quad f(p) \leq k \leq f(q)$$

$\Rightarrow \exists c$  either between  $p$  and  $q$

or  $p=c$  or  $q=c$  s.t.

$$f(c) = k \in f([a, b]) \quad \text{by IVT.}$$

$$\forall k \in [m, M], \quad k \in f([a, b])$$

$$[m, M] \subseteq f([a, b]) \textcircled{2} \text{ Hence "="}$$

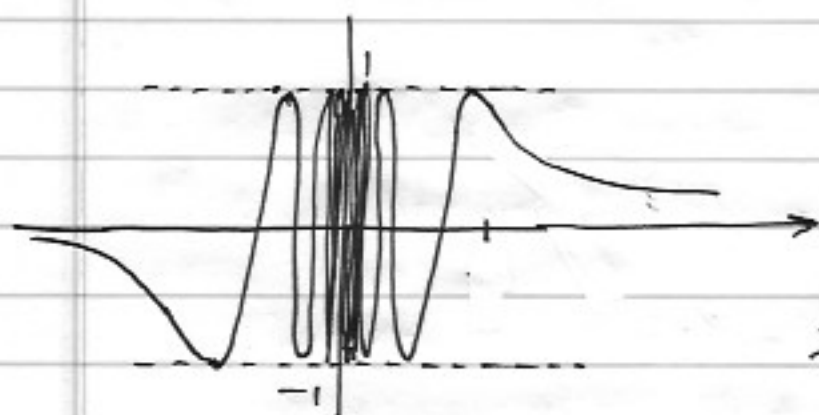
Caution: Other than compact intervals  $[a, b]$ ,  $I$  and  $f(I)$  may be different type of intervals.

Ex  $f(x) \equiv 0$

$$f([1, \infty)) = [0, 0].$$

$$f((-2, 5)) = [0, 0].$$

Ex  $f(x) = \begin{cases} \sin \frac{1}{x} & \text{if } x \neq 0 \\ 0 & \text{if } x = 0. \end{cases}$



$$\lim_{x \rightarrow 0} \left( \sin \frac{1}{x} \right) = \text{DNE}$$

$$f(0) = 0$$

$f$  is not continuous at 0,

but  $f$  satisfies Intermediate Value Property:

$$\forall a, b \in \mathbb{R}, \text{ if } f(a) < k < f(b), \text{ then } \exists c \in (a, b) \text{ s.t. } f(c) = k.$$

Why?  $\forall \varepsilon > 0 \quad f([- \varepsilon, \varepsilon]) = [-1, 1].$

For  $a, b > 0$   $f$  is continuous between  $a$  &  $b$ .

$a, b < 0$  " " " " "  $a$  &  $b$ .