

# Deformation Theory of Pseudoholomorphic Curves Relative to an SNC Divisor

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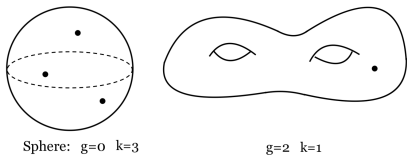
Fukaya Category and Homological Mirror Symmetry Conference

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## Notation

- $X$ : smooth complex proj variety OR symplectic manifold with an  $\omega$ -tame almost complex structure  $J$
- Genus  $g$  curves  $(\Sigma, j)$  with  $k$  marked points  $\vec{z} = (z_1, \dots, z_k)$



- $A \in H_2(X, \mathbb{Z})$
- $\mathcal{M}_{g,k}(X, A) = \left\{ (u, (\Sigma, j, \vec{z})) : \Sigma \xrightarrow{u} X, \bar{\partial}u = 0, [u(\Sigma)] = A \right\} / \sim$

## Relative case

- SNC divisor in  $X$ :  $D = \bigcup_{i=1}^N D_i$

- **Remark.** In Symp case, definition of SNC divisor is due to McLean, Zinger, and I (2014). We prove existence of  $J$  that is compatible with  $D$  and several other structures

- $\mathcal{M}_{g,k}(X, A) \supset \mathcal{M}_{g,\mathfrak{s}}(X, D, A) =$

$$\left\{ [u, (\Sigma, j, \vec{z})] : u^{-1}(D) \subset \{z_1, \dots, z_k\}, \text{ord}_{z_a}(u, D_i) = s_{ai} \right\}$$

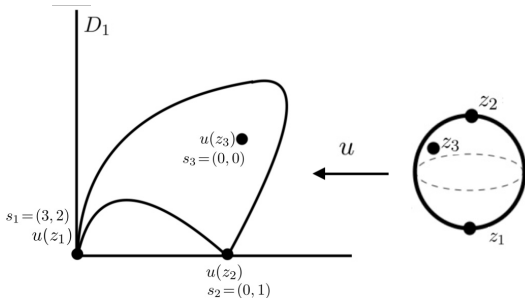
- Tangency orders:  $\mathfrak{s} = (s_1, \dots, s_k)$

$$s_a = (s_{ai})_{i=1}^N \in \mathbb{N}^N, \quad A \cdot D_i = \sum_{a=1}^k s_{ai}$$

- $\text{Exp-dim}_{\mathbb{C}} \mathcal{M}_{g,\mathfrak{s}}(X, D, A) = c_1^{TX}(A) + (n-3)(1-g) + k - A \cdot D$

## Example 1

- $X = \mathbb{P}^2$ ,  $D = D_1 \cup D_2$  union of two hyperplanes (lines)
- $A = [3] \in H_2(\mathbb{P}^2, \mathbb{Z}) \cong \mathbb{Z}$ ,  $g = 0$ ,  $k = 3$
- $\mathfrak{s} = (s_1 = (3, 2), s_2 = (0, 1), s_3 = (0, 0))$
- $u([z, w]) = [z^3, z^2w, p(z, w)]$



## Example 2

- $(X, D)$  arbitrary,  $A = 0$  (constant maps),  $\mathfrak{s} = \vec{0} = (0, \dots, 0)$
- $\mathcal{M}_{g, \vec{0}}(X, D, 0) \cong \mathcal{M}_{g, k} \times (X - D)$  has dimension  $n + 3(g - 1) + k$
- The expected dimension is  $n(1 - g) + 3(g - 1) + k$ , which is  $ng$  less than the actual dimension
- **Question:** What is the obstruction bundle?
- In the classical case,

$$\overline{\mathcal{M}}_{g, k}(X, 0) \cong \overline{\mathcal{M}}_{g, k} \times X$$

and the obstruction bundle is

$$\pi_1^* \mathcal{E}_g^* \otimes \pi_2^* TX$$

## GOAL (analytical approach)

- Compactify  $\mathcal{M}_{g,s}(X, D, A) \rightsquigarrow \overline{\mathcal{M}}_{g,s}(X, D, A)$

$$\begin{array}{ccc} \mathcal{M}_{g,s}(X, D, A) & \hookrightarrow & \mathcal{M}_{g,k}(X, A) \\ \downarrow & & \downarrow \text{stable compactification} \\ \overline{\mathcal{M}}_{g,s}(X, D, A) & \xrightarrow{?} & \overline{\mathcal{M}}_{g,k}(X, A) \end{array}$$

- Set up the deformation theory
- Construct VFC

- **Compactness Theorem** (–, 2017) For suitable choice of  $J$  (including holomorphic case), there exists a metrizable compactification  $\overline{\mathcal{M}}_{g,s}^{\log}(X, D, A)$  such the natural forgetful map

$$\overline{\mathcal{M}}_{g,s}^{\log}(X, D, A) \longrightarrow \overline{\mathcal{M}}_{g,k}(X, A)$$

is locally an embedding. It is an embedding if  $g = 0$ .

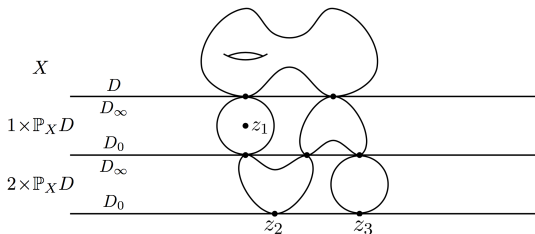
- **Proposition** (–, 2017) If  $D$  is smooth, there is a surjective map

$$\overline{\mathcal{M}}_{g,s}^{\text{rel}}(X, D, A) \longrightarrow \overline{\mathcal{M}}_{g,s}^{\log}(X, D, A)$$

## Previous works (smooth $D$ , early 2000)

Relative compactification of Jun Li, Ionel-Parker, and Li-Ruan

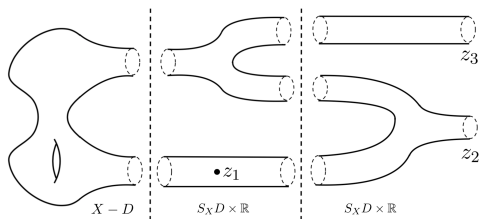
### ■ Jun Li and Ionel-Parker setup:



- Ionel-Parker's work is limited to the semi-positive setting
- It does not contain a dedicated deformation-obstruction theory or a gluing analysis



- **Li-Ruan setup** is a Morse-Bott version of the SFT setup



- SFT setup can be modified to address the analytical problems
- Working with  $X - D$  is hard if  $D$  is not smooth

## Previous works (SNC $D$ and more, mid 2000-current)

- Gross-Siebert, Abramovich-Chen, ... (2010-2012, Working with log varieties)
  - **idea:** They consider pairs of holomorphic maps and maps between certain **sheaves of monoids** on domains and a fixed sheaf of monoids on the target
  - Also, recent results by Ruddat, Ranganathan, Wise, ...
- Brett Parker (analytical, 2007-Current, working with his Exploded category)
  - **idea:** Similarly, pairs of holomorphic maps and maps of certain analytical sheaves
- Ionel (analytical, 2015, assuming certain  $J$  exists)
  - Claimed a construction of GW invariants relative to SNC divisors using expanded degenerations

## Deformation theory and transversality

- When is  $\mathcal{M}_{g,s}(X, D, A)$  an orbifold of the expected dimension?
- What are the deformation/obstruction spaces?
- How to achieve transversality?
- and, the analogue of these questions for nodal maps in the compactification

## Classical setup

- For fixed  $(\Sigma, j)$  and  $A$ , we consider the  $\infty$ -dimensional bundle

$$\mathcal{E} \longrightarrow \mathcal{B} = \text{Map}_A(\Sigma, X), \quad \mathcal{E}|_u = \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX)$$

- $\bar{\partial}: \mathcal{B} \longrightarrow \mathcal{E}$  is a smooth section; where  $\bar{\partial}u = \frac{1}{2}(du + Jdu \circ j)$
- $\bar{\partial}^{-1}(0)$  is the set of  $(J, j)$ -holomorphic maps from  $\Sigma$  into  $X$
- $D_u\bar{\partial}: \Gamma(\Sigma, u^*TX) \longrightarrow \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX)$
- $D_u\bar{\partial} = \bar{\partial}_{\text{std}} + \text{compact perturbation}$ , and

$$\text{Def}(u) = \ker(D_u\bar{\partial})$$

$$\text{Obs}(u) = \text{coker}(D_u\bar{\partial})$$

are finite dimensional

- By Riemann-Roch

$$\dim_{\mathbb{R}} \text{Def}(u) - \dim_{\mathbb{R}} \text{Obs}(u) = 2(c_1^{TX}(A) + n(1 - g))$$

- If  $D_u \bar{\partial}$  is surjective ( $\text{Obs}(u) = 0$ )  $\Rightarrow$  around  $u$ ,  $\mathcal{M}_{g,k}(X, A)$  is a smooth oriented orbifold of real dimension

$$\begin{aligned} 2(c_1^{TX}(A) + n(1 - g) + 3(g - 1) + k) = \\ 2(c_1^{TX}(A) + (n - 3)(1 - g) + k) \end{aligned}$$

- How to achieve transversality? Consider  
global (Ruan-Tian) or  
local (Li-Tian, Fukaya-Ono, etc) deformations of  $\bar{\partial}$

- For  $f = (u, C = (\Sigma, j, \vec{z}))$

$$\begin{aligned} 0 &\longrightarrow \text{Def}(u) \longrightarrow \text{Def}(f) \longrightarrow \text{Def}(C) \\ &\longrightarrow \text{Obs}(u) \longrightarrow \text{Obs}(f) \longrightarrow 0 \end{aligned}$$

- $\text{Def}(C) = H^1(T\Sigma(-\log \vec{z})) = \mathbb{E}\text{xt}^1(\Omega_\Sigma(\vec{z}))$
- We just need  $\text{Obs}(f) = 0$  for  $\mathcal{M}_{g,k}(X, A)$  to be smooth orbifold near  $f$

## Ruan-Tian perturbations

- Consider “regular” covering  $\overline{\mathfrak{M}}_{g,k} \longrightarrow \overline{\mathcal{M}}_{g,k}$  admitting a universal family  $\overline{\mathfrak{U}}_{g,k} \longrightarrow \overline{\mathfrak{M}}_{g,k}$
- If  $g = 0$ , we can simply take  $\overline{\mathfrak{U}}_{0,k} = \overline{\mathcal{M}}_{0,k+1} \longrightarrow \overline{\mathcal{M}}_{0,k}$
- $\Omega_{g,k}^{0,1} \longrightarrow \overline{\mathfrak{U}}_{g,k}$  whose restriction to each curve  $C$  is the sheaf of smooth  $(0,1)$ -forms on  $C$  supported away from the nodes
- Perturbation:  $\nu \in \Gamma(\overline{\mathfrak{U}}_{g,k} \times X, \Omega_{g,k}^{0,1} \otimes_{\mathbb{C}} TX)$
- $\overline{\mathcal{M}}_{g,k}(X, A, \nu) = \left\{ (\phi, u, C = (\Sigma, j, \vec{z})) : \right.$   
 $\left. \Sigma \xrightarrow{u} X, C \xrightarrow{\phi} \overline{\mathfrak{U}}_{g,k}, \bar{\partial}u = (\phi, u)^*\nu, [u(\Sigma)] = A \right\} / \sim$

## GW invariants

- Theorem (Ruan-Tian, 97): If  $X$  is semi-positive, for generic  $(J, \nu)$ ,

$$\text{st} \times \text{ev}: \overline{\mathcal{M}}_{g,k}(X, A, \nu) \longrightarrow \overline{\mathcal{M}}_{g,k} \times X^k$$

is a pseudo-cycle of the expected dimension

- Theorem (McDuff-Salamon, 94): Same result for generic  $J$  (no  $\nu$ ) if  $g = 0$  and  $X$  is positive
- Intersecting homology classes in  $\overline{\mathcal{M}}_{g,k} \times X^k$  with the homology class of  $\text{st} \times \text{ev}$  gives GW invariants



## Log tangnet bundle

- Observation:  $\text{Exp-dim}_{\mathbb{C}} \mathcal{M}_{g,s}(X, D, A)$ 
$$= c_1^{TX}(A) + (n-3)(1-g) + k - A \cdot D$$
$$= c_1^{TX(-\log D)}(A) + (n-3)(1-g) + k$$
- Log tangent bundle can also be defined for SNC symplectic divisors
- Construction of  $TX(-\log D)$  uses the notion of regularization defined by McLean, Zinger, and I
- Deformation equivalence class of the complex vector bundle  $TX(-\log D)$  only depends on  $\omega$
- There is a  $\mathbb{C}$ -linear homomorphism  $\iota: TX(-\log D) \rightarrow TX$  that is an isomorphism away from  $D$

## Setup

- For a fixed  $(\Sigma, j, \vec{z})$ ,  $A$ , and  $\mathfrak{s}$ , we can construct a configuration space

$$\text{Map}_{A,\mathfrak{s}}((\Sigma, \vec{z}), (X, D)) \subset \text{Map}_A(\Sigma, X)$$

such that for each map  $u$  in this space

$$T_u \text{Map}_{A,\mathfrak{s}}((\Sigma, \vec{z}), (X, D)) \cong \Gamma(\Sigma, u^*TX(-\log D))$$

and  $\bar{\partial}u$  lifts to a section  $\bar{\partial}_{\log}u \in \Gamma(\Sigma, \Omega_{\Sigma,j}^{0,1} \otimes u^*TX(-\log D))$ :

$$\begin{array}{ccc} & & u^*TX(-\log D) \\ & \nearrow \bar{\partial}_{\log}u & \downarrow \iota \\ T^{0,1}\Sigma & \xrightarrow{\bar{\partial}u} & u^*TX . \end{array}$$

## Log linearization of CR operator

- Therefore,  $\bar{\partial}_{\log}$  defines a section of  $\infty$ -dimensional bundle

$$\mathcal{E}_{\log} \longrightarrow \text{Map}_{A, \mathfrak{s}}((\Sigma, \vec{z}), (X, D))$$

$$\mathcal{E}_{\log}|_u = \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX(-\log D))$$

- $\mathcal{M}_{g, \mathfrak{s}}(X, D, A) = \bar{\partial}_{\log}^{-1}(0) / \sim$



$$\begin{array}{ccc} \Gamma(\Sigma, u^*TX(-\log D)) & \xrightarrow{D_u \bar{\partial}_{\log}} & \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX(-\log D)) \\ \downarrow \iota & & \downarrow \iota \\ \Gamma(\Sigma, u^*TX) & \xrightarrow{D_u \bar{\partial}} & \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX) \end{array}$$



$$\text{Def}_{\log}(u) = \ker(D_u \bar{\partial}_{\log})$$

$$\text{Obs}_{\log}(u) = \text{coker}(D_u \bar{\partial}_{\log})$$

## Transversality (Main stratum)

- By Riemann-Roch

$$\dim_{\mathbb{R}} \text{Def}_{\log}(u) - \dim_{\mathbb{R}} \text{Obs}_{\log}(u) = 2(c_1^{TX(-\log D)}(A) + n(1-g))$$

- If  $\text{Obs}_{\log}(u) = 0 \Rightarrow$  Around  $u$ ,  $\mathcal{M}_{g,s}(X, D, A)$  is a smooth oriented orbifold of real dimension

$$2(c_1^{TX(-\log D)}(A) + (n-3)(1-g) + k)$$

- For  $f = (u, C = (\Sigma, j, \vec{z}))$

$$\begin{aligned} 0 &\longrightarrow \text{Def}_{\log}(u) \longrightarrow \text{Def}_{\log}(f) \longrightarrow \text{Def}(C) \\ &\longrightarrow \text{Obs}_{\log}(u) \longrightarrow \text{Obs}_{\log}(f) \longrightarrow 0 \end{aligned}$$

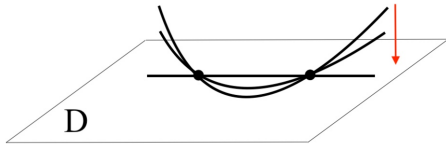
- We just need  $\text{Obs}_{\log}(f) = 0$  for  $\mathcal{M}_{g,s}(X, D, A)$  to be a smooth orbifold near  $f$

## Log maps with smooth domain and image in $D$

- A sequence of curves sinking into  $D_I$  gives us a  $J$ -holomorphic map

$$u: \Sigma \longrightarrow D_I = \bigcap_{i \in I} D_i,$$

and holomorphic sections  $\zeta_i$  of  $u^* \mathcal{N}_X D_i$ , for all  $i \in I$



- $\zeta_i$  is only well-defined up to the action of  $\mathbb{C}^*$
- The pair  $(u, \zeta = (\zeta_i)_{i \in I})$  allows us to define a tangency order vector in  $\mathbb{Z}^N$  for each  $x \in \Sigma$ :

$$\text{ord}_x(u, \zeta) = (\text{ord}_x^i(u, \zeta))_{i=1}^N, \quad \text{ord}_x^i(u, \zeta) = \begin{cases} \text{ord}_x(u, D_i) & \text{if } i \notin I \\ \text{ord}_x(\zeta_i) & \text{if } i \in I \end{cases}$$

## Log maps with smooth domain and image in $D$

- The equivalence class of a tuple  $f = (u, \zeta, (\Sigma, j, \vec{z}))$  defines a log curve in  $\overline{\mathcal{M}}_{g,s}(X, D, A)$  if

$$\text{ord}_{z_a}(u, \zeta) = s_a \quad \text{and} \quad \text{ord}_x(u, \zeta) = 0 \quad \forall x \in \Sigma - \{z_1, \dots, z_k\}$$

- Denote the stratum of such maps by  $\mathcal{M}_{g,s}(X, D, A)_I$
- There is a forgetful embedding map

$$\begin{aligned} \mathcal{M}_{g,s}(X, D, A)_I &\longrightarrow \mathcal{M}_{g,\bar{s}}(D_I, \partial D_I, A) \\ (u, \zeta, (\Sigma, j, \vec{z})) &\longrightarrow (u, (\Sigma, j, \vec{z})) \end{aligned}$$

where

$$\partial D_I = \bigcup_{j \in [N]-I} D_{I \cup j}$$

and

$$\bar{s} = (\bar{s}_1, \dots, \bar{s}_k), \quad \bar{s}_a = (s_{aj})_{j \in [N]-I}.$$

## Log maps with smooth domain and image in $D$

- **Lemma:** There exists a map

$$P: \mathcal{M}_{g,\bar{s}}(D_I, \partial D_I, A) \longrightarrow (\text{Pic}_g^0)^I$$

such that

$$\mathcal{M}_{g,\bar{s}}(X, D, A)_I = P^{-1}(\mathcal{O}^I)$$

- **Conclusion:** If  $\mathcal{M}_{g,\bar{s}}(D_I, \partial D_I, A)$  is cut transversely at  $u$  and  $\mathcal{O}^I$  is a regular value of  $P$  at  $u$  then

$$\mathcal{M}_{g,\bar{s}}(X, D, A)_I$$

is a smooth oriented orbifold of  $\mathbb{C}$ -dimension

$$c_1^{TD_I(-\log \partial D_I)}(A) + (n - |I| - 3)(1 - g) + k - |I|g = \\ c_1^{TX(-\log D)}(A) + (n - 3)(1 - g) + k - |I|$$

around  $f$

## Nodal log maps

An element of  $\overline{\mathcal{M}}_{g,s}(X, D, A)$  is the equivalence class of a tuple

$$f = (u_v, \zeta_v = (\zeta_{v,i})_{i \in I_v}, (\Sigma_v, j_v, \vec{z}_v \cup q_v))_{v \in \mathbb{V}}$$

such that

1. each tuple  $(u_v, \zeta_v, (\Sigma_v, j_v, \vec{z}_v \cup q_v))$  is as above except that  $\zeta_{v,i}$  can have poles
2. forgetting  $\zeta_v$  we get a stable map

$$\bar{f} = (u_v, (\Sigma_v, j_v, \vec{z}_v \cup q_v))_{v \in \mathbb{V}}$$

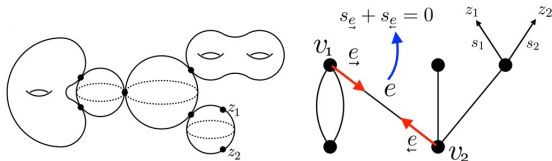
in  $\overline{\mathcal{M}}_{g,k}(X, A)$

3. tangency order vector at the marked point  $z_a$  is  $s_a$
4. tangency orders at nodal points are dual of each other
5. tangency order vector at any other point is trivial
6. plus two more conditions!



## Dual Graph and associated structures

Nodal Curve and its Dual Graph:



- $\varrho: \mathbb{Z}^{\mathbb{E}} \oplus \bigoplus_{v \in \mathbb{V}} \mathbb{Z}^{I_v} \longrightarrow \bigoplus_{e \in \mathbb{E}} \mathbb{Z}^{I_e}$
- $\text{Ker}(\varrho) = \left\{ ((\lambda_e)_{e \in \mathbb{E}}, (s_v)_{v \in \mathbb{V}}) : s_{v_2} - s_{v_1} = \lambda_e s_e \quad \forall v_1 \xrightarrow{e} v_2 \right\}$
- $\exp(\varrho): (\mathbb{C}^*)^{\mathbb{E}} \times \prod_{v \in \mathbb{V}} (\mathbb{C}^*)^{I_v} \longrightarrow \prod_{e \in \mathbb{E}} (\mathbb{C}^*)^{I_e}$
- $\mathcal{G} = \text{cokernel of } \exp(\varrho)$
- In the classical case  $\varrho$  is the trivial map  $\mathbb{Z}^{\mathbb{E}} \longrightarrow 0$  and  $\mathcal{G}$  is trivial

## Log maps

- **Lemma:** There is a map  $f \rightarrow \text{ob}(f) \in \mathcal{G}$
- A log map is a tuple  $f$  as above satisfying the additional conditions
  1. **Condition 1 (combinatorial):**  $\ker(\varrho)$  has an element in the positive quadrant
  2. **Condition 2 (non-combinatorial):**  $\text{ob}(f) = 1 \in \mathcal{G}$
- Condition 1 is equivalent to the existence of certain tropical curves in  $\mathbb{R}^N$  modeled on the dual graph  $\Gamma$  (used in works of AC-GS)
- Condition 2 has no explicit analogue in the literature (it is needed for the construction of gluing map)

## Expected dimension

- $\overline{\mathcal{M}}_{g,s}^{\log}(X, D, A)$  is coarsely stratified by  $\bigcup_{\Gamma} \mathcal{M}_{g,s}(X, D, A)_{\Gamma}$
- **Lemma:** If
  1.  $\mathcal{M}_{g_v, \bar{s}_v}(D_{I_v}, \partial D_{I_v}, A_v)$  is cut transversely at  $u_v$  and  $\mathcal{O}^{I_v}$  is a regular value of  $P$  at  $u_v$ , for each  $v \in \mathbb{V}$ ,
  2. the evaluation map at the nodal points are transverse to the diagonal,
  3. 1 is a regular value of the map ob

then  $\mathcal{M}_{g,s}(X, D, A)_{\Gamma}$  is an oriented smooth orbifold of real dimension

$$2(c_1^{TX(-\log D)}(A) + (n-3)(1-g) + k - \dim \text{Ker}(\varrho))$$

around  $f$

- If  $N > 1$ , there are configurations with arbitrary large number of nodes but  $\dim \text{Ker}(\varrho) = 1$

## How to achieve transversality?

- Genus 0 simple maps  $\longrightarrow$  generic  $J$
- Higher genus simple maps  $\longrightarrow$  generic  $(J, \nu)$

What is the right class of perturbations?

- Classical case (Ruan-Tian):  $\nu \in \Gamma(\overline{\mathcal{M}}_{g,k} \times X, \Omega_{g,k}^{0,1} \otimes_{\mathbb{C}} TX)$
- Relative case (Ionel-Parker):  $\nu$  with conditions along  $D$

$$\nu|_D \in \Gamma(\overline{\mathcal{M}}_{g,k} \times D, \Omega_{g,k}^{0,1} \otimes_{\mathbb{C}} TD),$$
$$\frac{1}{2}(J\nabla_{\nu}J + \nabla_{J\nu}J)w - (\tilde{\nabla}_w\nu + J\tilde{\nabla}_{Jw}\nu) \in \Omega_{g,k}^{0,1} \otimes_{\mathbb{C}} T_x D;$$

for all  $x \in D, w \in T_x X$

## Logarithmic Ruan-Tian perturbations

■ Recall:  $\bar{\partial}_{\log} u \in \Gamma(\Sigma, \Omega_{\Sigma, j}^{0,1} \otimes u^*TX(-\log D))$

■ **Definition:** Logarithmic Ruan-Tian perturbation

$$\nu_{\log} \in \Gamma(\bar{\mathcal{M}}_{g,k} \times X, \Omega_{g,k}^{0,1} \otimes_{\mathbb{C}} TX(-\log D))$$

■  $\bar{\mathcal{M}}_{g,s}^{\log}(X, D, A, \nu_{\log})$  can be defined for such  $\nu_{\log}$

■ **Lemma:** via  $\iota: TX(-\log D) \rightarrow TX$ , from each  $\nu_{\log}$  we obtain a classical  $\nu$  satisfying IP conditions

■ The forgetful map

$$\bar{\mathcal{M}}_{g,s}^{\log}(X, D, A, \nu_{\log}) \rightarrow \bar{\mathcal{M}}_{g,k}(X, A, \nu)$$

is still a local embedding. It is an embedding if  $g = 0$ .

## Transversality theorem

- A  $(J, \nu)$ -holomorphic map  $(\phi, u, C)$  is called **simple** if no bubble (a non-trivial contracted component of  $\phi$ ) is a multiple cover, and images of every two bubbles are different
- A log map is called simple if the underlying stable map is simple
- **Theorem (–, 2019)** For generic  $(J, \nu)$  the subspace of simple maps

$$\overline{\mathcal{M}}_{g, \mathfrak{s}}^{\log, \star}(X, D, A, \nu_{\log}) \subset \overline{\mathcal{M}}_{g, \mathfrak{s}}^{\log}(X, D, A, \nu_{\log})_{\Gamma}$$

is an oriented smooth manifold of real dimension

$$2 \left( c_1^{TX(-\log D)}(A) + (n-3)(1-g) + k - \dim \text{Ker}(\varrho) \right)$$

## Semi-positive pairs

- We say  $(X, D = \bigcup_{i=1}^N D_i, \omega)$  is **semi-positive** if

$$A \cdot D_i \geq 0, \quad \text{and} \quad c_1^{TX(-\log D)}(A) \geq 1-n \Rightarrow c_1^{TX(-\log D)}(A) \geq 0$$

for all  $A \in \pi_2(M)$  such that  $\omega(A) > 0$ .

- Other notions of semi-positivity (and positivity) can be defined
- For each  $a = 1, \dots, k$ , let

$$I_a = \{i : s_{ai} \neq 0\} \subset \{1, \dots, N\}$$

- $X^s = \prod_{a=1}^k D_{I_a}$
- Evaluation map at marked points has image in  $X^s$

**Claim.** If  $(X, D, \omega)$  is semi-positive, for generic  $(J, \nu)$ ,

1. the map

$$\text{st} \times \text{ev}: \mathcal{M}_{g,s}(X, D, A, \nu_{\log}) \longrightarrow \overline{\mathcal{M}}_{g,k} \times X^5$$

defines a pseudo-cycle of  $\mathbb{C}$ -dimension

$$c_1^{TX(-\log D)}(A) + (n-3)(1-g) + k;$$

2. the integral homology class  $\widetilde{\text{GW}}_{g,s,A}^{X,D}$  in  $\overline{\mathcal{M}}_{g,k} \times X^5$  determined by this pseudo-cycle is independent of the choice of  $(J, \nu)$ ;
3. furthermore, the rational class

$$\text{GW}_{g,s,A}^{X,D} \equiv \frac{1}{\deg p} \widetilde{\text{GW}}_{g,s,A}^{X,D} \in H_*(\overline{\mathcal{M}}_{g,k} \times X^5, \mathbb{Q}),$$

where  $\deg p$  is the degree of the regular covering used to define  $\nu$ , is an invariant of the deformation equivalence class of  $\omega$ .