

# Towards Enumerative Invariant Synthesis in SMT Solvers

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NSV workshop

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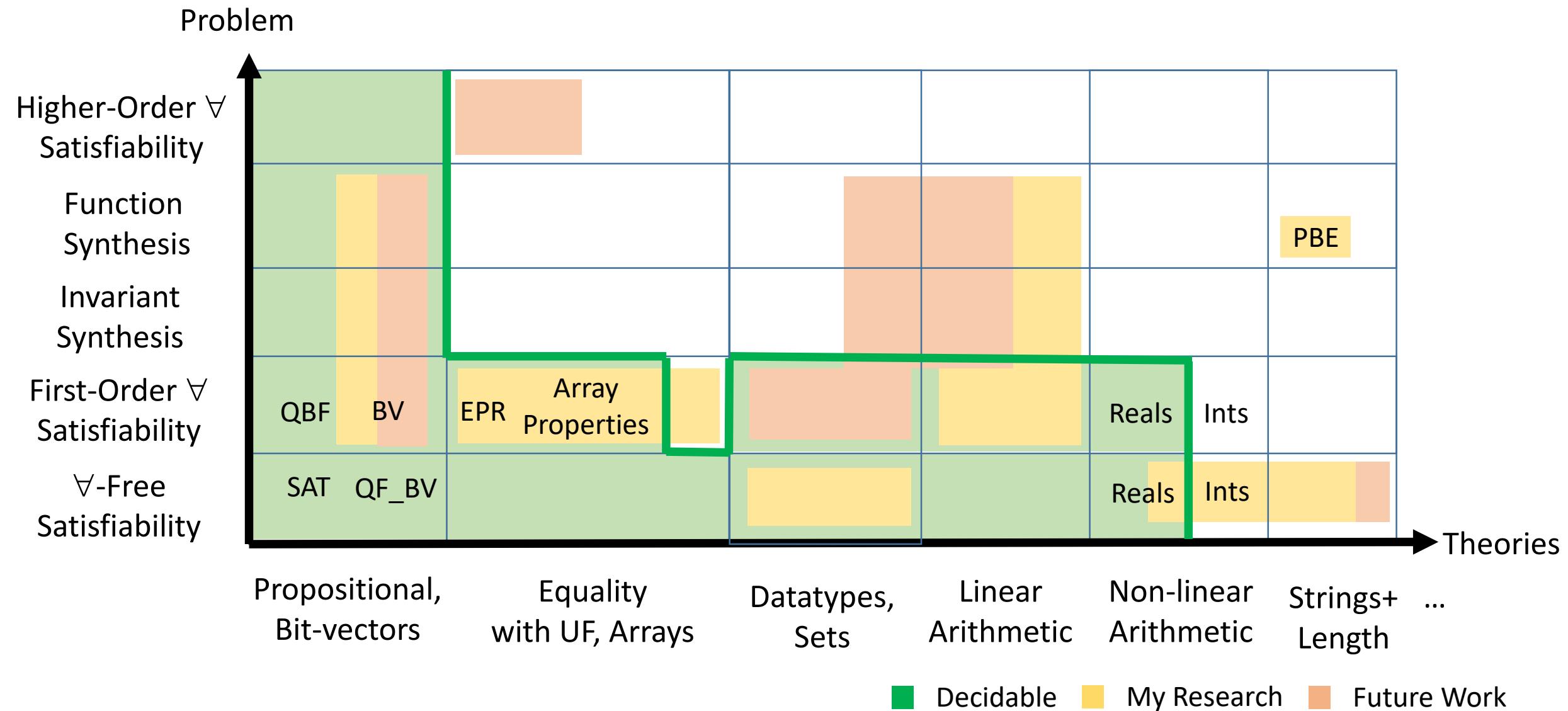


# My Research

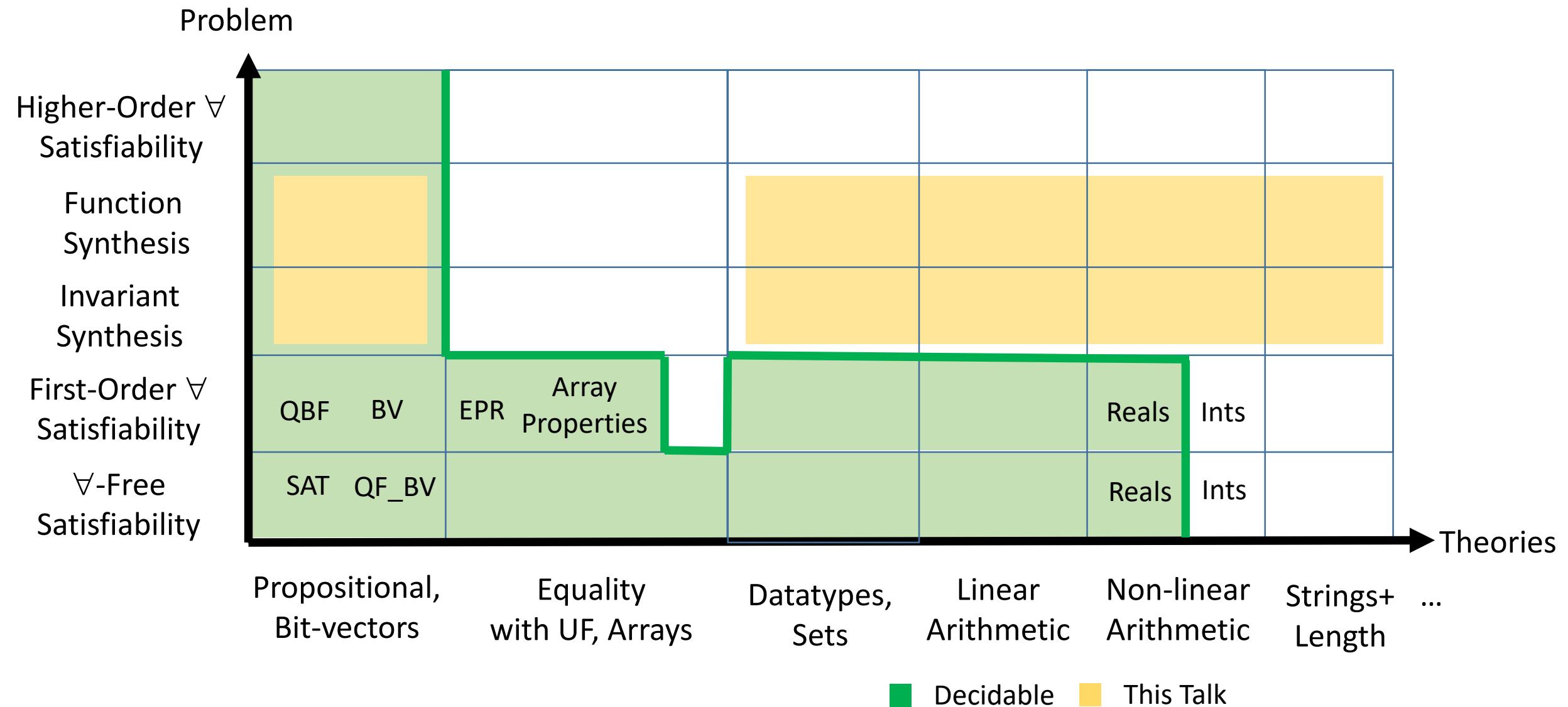
- Satisfiability Modulo Theories (SMT) Solvers
  - Fully automated reasoners with many applications
    - Verification, Synthesis, Symbolic Execution, Theorem Proving
- Development of the SMT solver CVC4
  - Open source
  - Available at : <http://cvc4.cs.stanford.edu/web/>
- Acknowledgements:
  - Cesare Tinelli, Clark Barrett, CVC4 development team (past and present)



# My Research in SMT



# This Talk: Synthesis for (Interpreted) Theories



# Synthesis Modulo Theories, inside an SMT solver

- Using a combination of:
  - Syntax-guided enumeration ...
  - Unification Algorithms ...
  - Decision Procedures

# Synthesis Conjectures

$$\exists f. \forall x. P(f, x)$$



There exists a function  $f$  for which **property**  $P$  holds for all  $x$

# Synthesis Conjectures Modulo $T$

$$\exists f. \forall x. P(f, x)$$

There exists a function  $f$  for which property  $P$  holds for all  $x$

Property  $P$  is in some **background theory  $T$** , e.g.

- Linear (or non-linear) arithmetic
- Fixed-Width BitVectors
- Strings
- ...

E.g.  $\exists f. \forall x. f(x+1) \geq f(x)$

$\Rightarrow$  Satisfiability Modulo Theories (SMT)

# Synthesis Conjectures Modulo T

$$\exists f. \forall x. P(f, x)$$



There exists a function  $f$  for which property  $P$  holds for all  $x$

# Synthesis Conjectures Modulo T

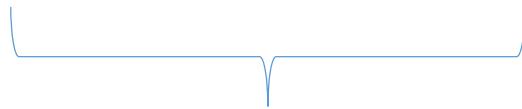
$$\exists f. \forall x. P(f, x)$$


There exists a function  $f$  for which property  $P$  holds for all  $x$

$$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$$
$$B \rightarrow B \wedge B \mid \neg B \mid A = A \mid A \geq A \mid \perp$$


The body of  $f$  is generated by the above **grammar** with start symbol  $A$

# Invariant Synthesis Conjectures Modulo T

$$\exists I. \forall xx'. (pre(x) \Rightarrow I(x)) \wedge ((I(x) \wedge Tr(x, x')) \Rightarrow I(x')) \wedge (I(x) \Rightarrow post(x))$$


There exists a predicate  $I$  that is implied by  $\text{pre}$ , implies  $\text{post}$ ,  
and is inductive wrt relation  $\text{Tr}$

$$B \rightarrow B \wedge B \mid \neg B \mid A = A \mid A \geq A \mid \perp$$
$$A \rightarrow A + A \mid \neg A \mid x \mid y \mid 0 \mid 1$$


The body of  $f$  is generated by the above grammar with start symbol  $B$

# Many approaches to Synthesis

synth(  $\exists f. \forall x. P(f, x)$  ) returns  $f := \lambda x. t$

Targeted towards specific theories  $\Leftrightarrow$

Generic

Incomplete

$\Leftrightarrow$  “Relatively” complete

# Many approaches to Synthesis

`synth(  $\exists f. \forall x. P(f, x)$  )` returns  $f := \lambda x. t$

Targeted towards specific theories  $\Leftrightarrow$

**Generic**

If theory T has a decision procedure,  
then there exists a synthesis procedure  
`synth` for second-order T-conjectures

Incomplete

$\Leftrightarrow$  **“Relatively” complete**

If the above conjecture has a (finite)  
solution, procedure `synth` will  
eventually find it.

# Counterexample-Guided Inductive Synthesis (CEGIS)

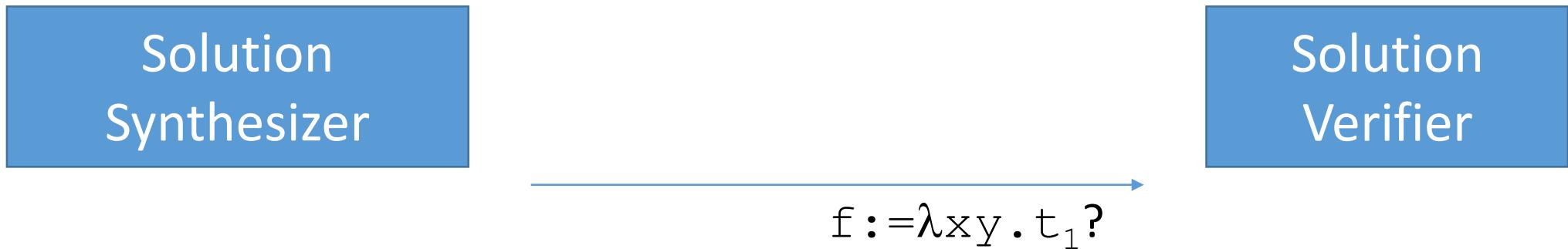
spec( $f$ ) :  
 $\forall xy. f(x, y) = f(y, x)$

Solution  
Synthesizer

Solution  
Verifier

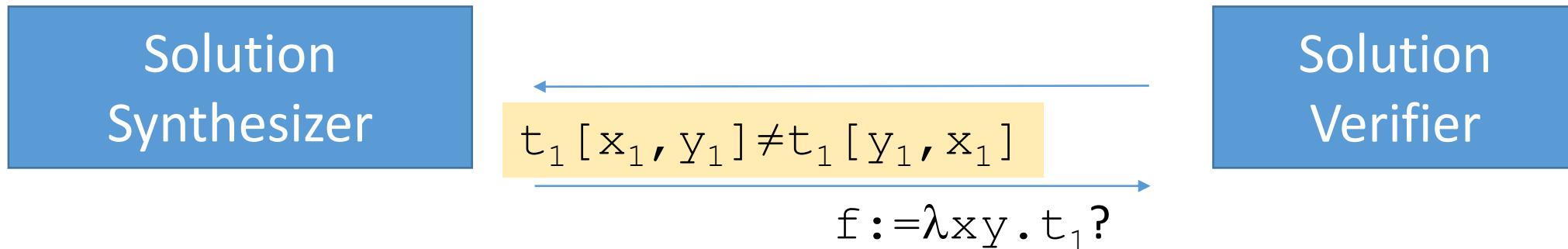
# Counterexample-Guided Inductive Synthesis (CEGIS)

spec( $f$ ) :  
 $\forall xy. f(x, y) = f(y, x)$



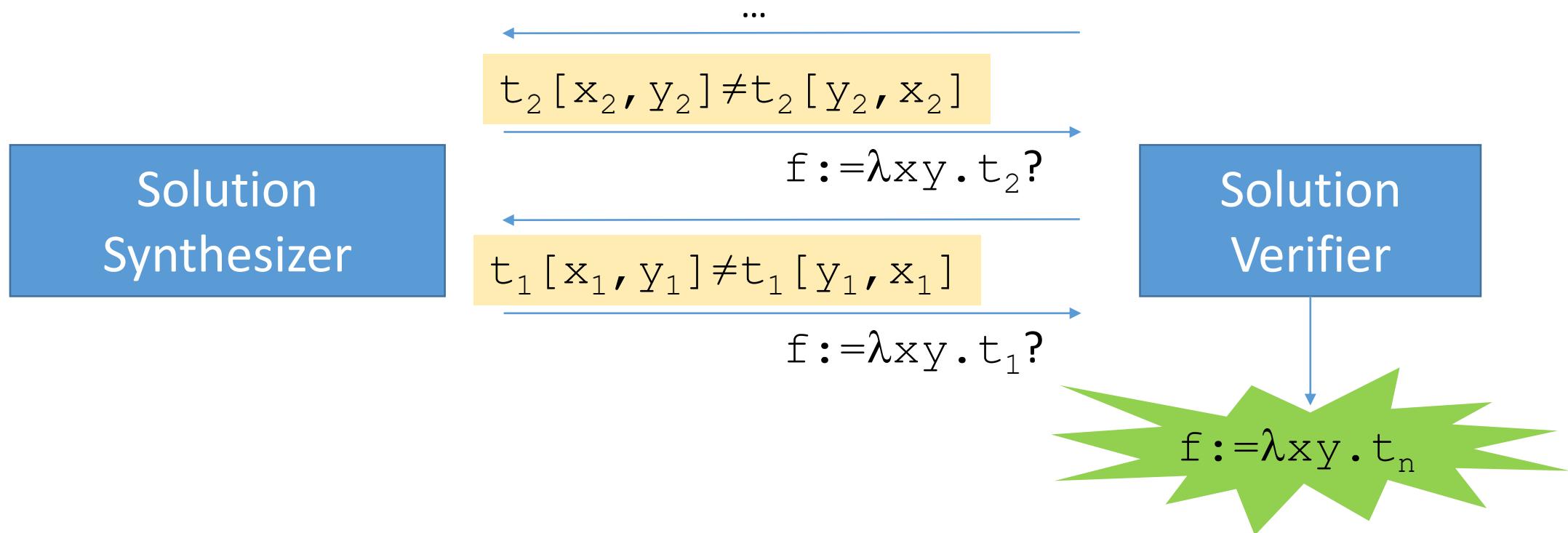
# Counterexample-Guided Inductive Synthesis (CEGIS)

$\text{spec}(f) :$   
 $\forall xy. f(x, y) = f(y, x)$



# Counterexample-Guided Inductive Synthesis (CEGIS)

spec( $f$ ) :  
 $\forall xy. f(x, y) = f(y, x)$



# Enumerative CEGIS

syntax( $f$ ) :

$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$   
 $B \rightarrow B \wedge B \mid \neg B \mid A = A \mid A \geq A \mid \perp$

spec( $f$ ) :

$\forall xy. f(x, y) = f(y, x)$

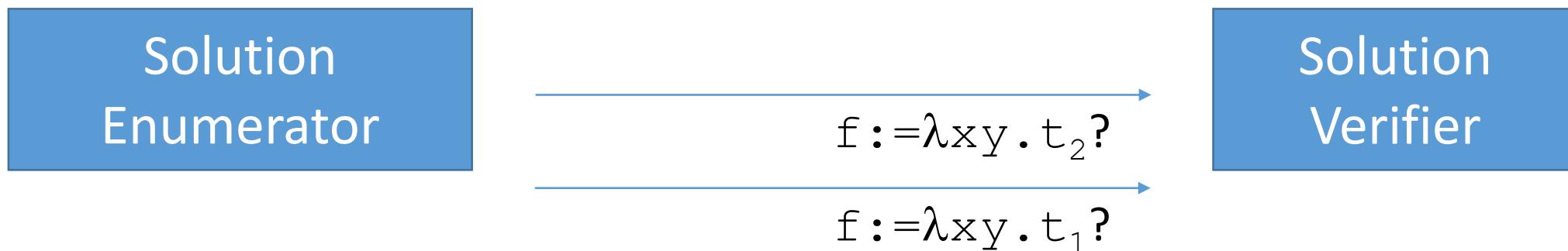
Solution  
*Enumerator*

Solution  
Verifier

# Enumerative CEGIS

```
syntax(f) :  
A -> A+A |-A | x | y | 0 | 1 | ite(B,A,A)  
B -> B&B | ¬B | A=A | A≥A | ⊥
```

```
spec(f) :  
∀xy. f(x,y) = f(y,x)
```



⇒ Terms  $t_1, t_2, t_3, \dots$  are a **(fair) enumeration** of terms generated by  $\text{syntax}(f)$

# Enumerative CEGIS + Unification

```
syntax(f) :  
A -> A+A |-A | x | y | 0 | 1 | ite(B,A,A)  
B -> B&B | ¬B | A=A | A≥A | ⊥
```

Syntax-Guided  
Enumeration

```
spec(f) :  
∀xy. f(x,y) = f(y,x)
```

Unification  
Algorithm

Solution  
Verifier

# Enumerative CEGIS + Unification

```
syntax(f) :  
A -> A+A |-A | x | y | 0 | 1 | ite(B,A,A)  
B -> B&B | ¬B | A=A | A≥A | ⊥
```

```
spec(f) :  
∀xy. f(x,y) = f(y,x)
```

Syntax-Guided  
Enumeration

$t_1, t_2, t_3, \dots, t_n$

Unification  
Algorithm

Solution  
Verifier

$f := \lambda xy. u [ \dots, t_i, \dots, t_j, \dots ] ?$

# CEGIS using SMT solvers

```
syntax(f) :  
A->A+A|-A|x|y|0|1|ite(B,A,A)  
B->B&B|¬B|A=A|A≥A|⊥
```

```
spec(f) :  
∀xy. f(x,y)=f(y,x)
```

Syntax-Guided  
Enumeration

Unification  
Algorithm

SMT Solver  
Solution  
Verifier

# CEGIS inside an SMT solver

```
syntax(f) :  
A -> A + A | - A | x | y | 0 | 1 |ite(B,A,A)  
B -> B & B | - B | A = A | A ≥ A | ⊥
```

```
spec(f) :  
∀xy. f(x,y) = f(y,x)
```

Syntax-Guided  
Enumeration

SMT Solver  
(CVC4)

[Reynolds et al CAV 2015]

Unification  
Algorithm

Solution  
Verifier

# Enumerate (Invariant) Synthesis in SMT Solvers

$\text{synth}(\exists f. \forall x. P(f, x))$  using:

## 1. Syntax-guided enumeration

- Construct a set of “interesting” terms  $\{t_1 \dots t_n\}$

## 2. Unification algorithms

- From  $\{t_1 \dots t_n\}$ , construct candidate  $u$  for  $f$

## 3. (Decision) Procedures

- Check whether  $c_f$  satisfies the specification
  - Is  $\neg \forall x. P(u, x)$  T-unsatisfiable?  $\Rightarrow \neg P(u, k)$ , quantifier-free SMT query

# Syntax-Guided Enumeration

# Syntax-Guided Enumeration for T

- Given grammar:

```
A -> A+A | -A | x | y | 0 | 1 | ite(B, A, A)  
B -> B&B | ¬B | A=A | A≥A | ⊥
```

- Goal:
  - Efficiently enumerate the set of useful/interesting terms
    - $0, 1, x, y, 1+1, x+x, y+y, 1+x, 1+y, x+y, \dots$
- Use SMT solver to explore the search space of terms in a grammar
  - Deep embedding to inductive datatypes [\[Reynolds et al CAV2015\]](#)
  - Efficient encodings using shared selectors [\[Reynolds et al IJCAR2018\]](#)

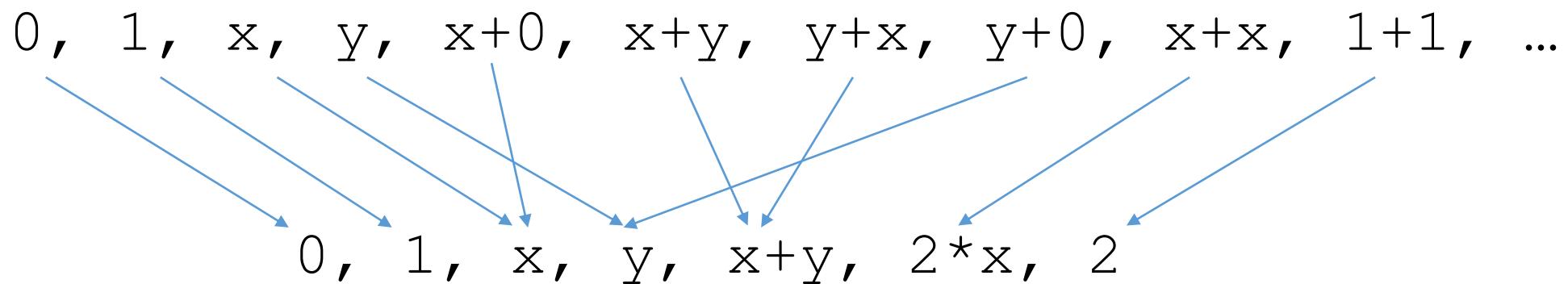
# Syntax-Guided Enumeration for T

- How do we determine which terms are (not) interesting?

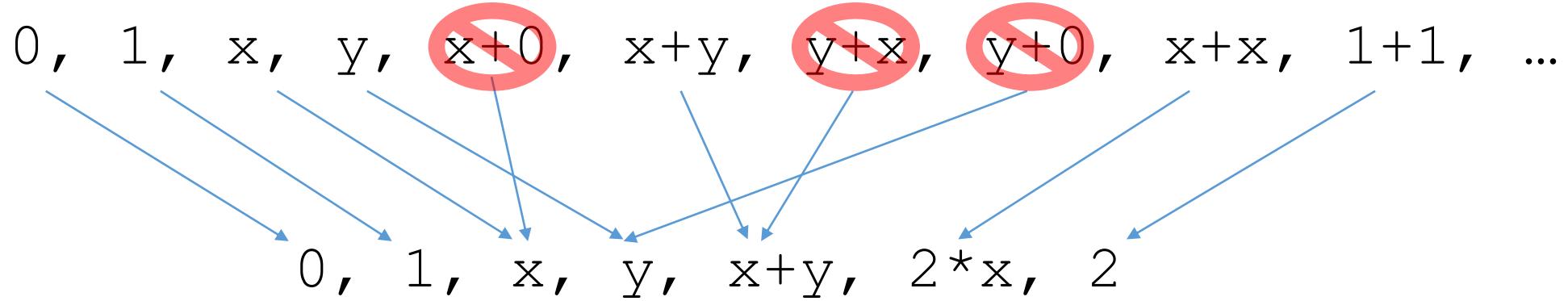
0, 1, x, y, x+0, x+y, y+x, y+0, x+x, 1+1, ...

# Syntax-Guided Enumeration for T

- How do we determine which terms are (not) interesting?
  - When enumerating, map terms to their *rewritten form* :



# Syntax-Guided Enumeration for T



- Discard all but one term for each equivalence class of terms

# Syntax-Guided Enumeration for T

- Gives us a stream of terms that are unique up theory rewriting:

```
0, 1, x, y,      x+y,      x+x, 1+1, ...
```

⇒ Requires: Highly aggressive *rewriting techniques* for theories T

- Strings, BitVectors, Floating Points

# Examples: Strings, Bit Vectors, Booleans

```
(synth-fun f
  ((x String) (y String) (z Int))
  String (
    (Start String (
      x y "A" "B" ""
      (str.++ Start Start)
      (str.replace Start Start Start)
      (str.at Start ie)
      (int.to.str ie)
      (str.substr Start ie ie)))
    (ie Int (
      0 1 z
      (+ ie ie)
      (- ie ie)
      (str.len Start)
      (str.to.int Start)
      (str.indexof Start Start ie)))))
```

```
(synth-fun f ((s (BitVec 4)) (t (BitVec 4)))
  (BitVec 4) (
    (Start (BitVec 4) (
      s t #x0
      (bvneg Start)
      (bvnot Start)
      (bvadd Start Start)
      (bvmul Start Start)
      (bvand Start Start)
      (bvlshr Start Start)
      (bvor Start Start)
      (bvshl Start Start))))))
```

```
(synth-fun f
  ((x Bool) (y Bool)
   (z Bool) (w Bool))
  Bool (
    (Start Bool (
      (and d1 d1) (not d1)
      (or d1 d1) (xor d1 d1)))
    (d1 Bool (
      x (and d2 d2) (not d2)
      (or d2 d2) (xor d2 d2)))
    (d2 Bool (
      w (and d3 d3) (not d3)
      (or d3 d3) (xor d3 d3)))
    (d3 Bool (
      y (and d4 d4) (not d4)
      (or d4 d4) (xor d4 d4)))
    (d4 Bool (z))))
```

# Aggressive Rewriting for Theories

- Bit-Vectors

$$\begin{aligned} \text{bvlshr}(x, x) &\rightarrow \#x0000 \\ x+1 &\rightarrow \sim(-x) \end{aligned}$$

$$\begin{aligned} x - (x \& y) &\rightarrow x \& \sim y \\ (x \& y) + (x \mid y) &\rightarrow x+y \end{aligned} \quad \begin{aligned} \text{concat}(\#x1, x) = \text{concat}(\#x0, y) &\rightarrow \perp \\ \text{bvxor}(x, x \& y) &\rightarrow \sim y \& x \end{aligned}$$

- Strings

$$\begin{aligned} x++"A" = "B"++x &\rightarrow \perp \\ \text{contains}(x, x++"A") &\rightarrow \perp \end{aligned} \quad \begin{aligned} \text{indexof}("ABCDE", x, 3) &\rightarrow \text{indexof}("AAADE", x, 3) \\ \text{replace}(x, x++y, y) &\rightarrow \text{replace}(x, x++y, "") \end{aligned}$$

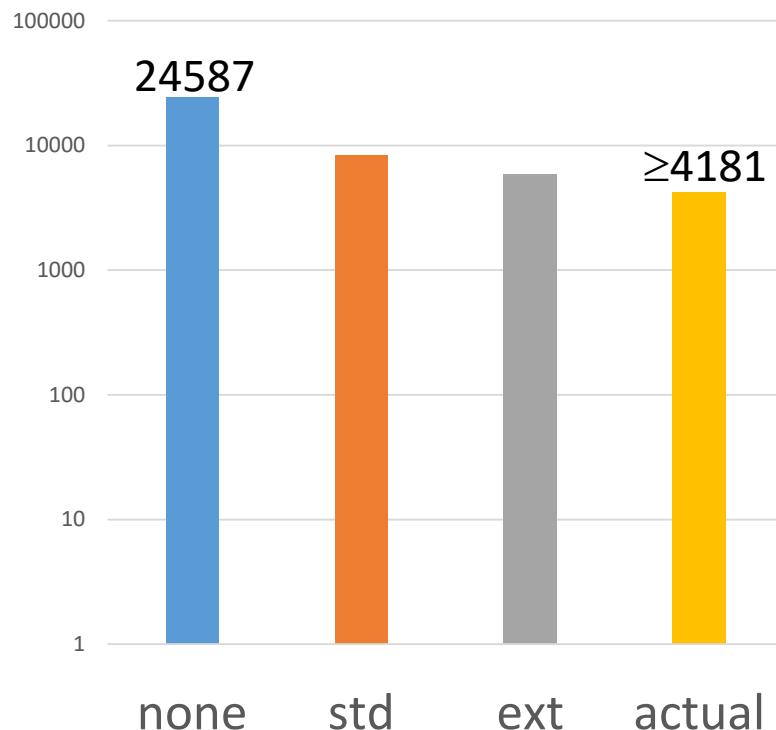
- Booleans

$$\begin{aligned} A \wedge (A \vee B) &\rightarrow A \wedge B \\ A = A \& B &\rightarrow \neg A \vee B \end{aligned}$$

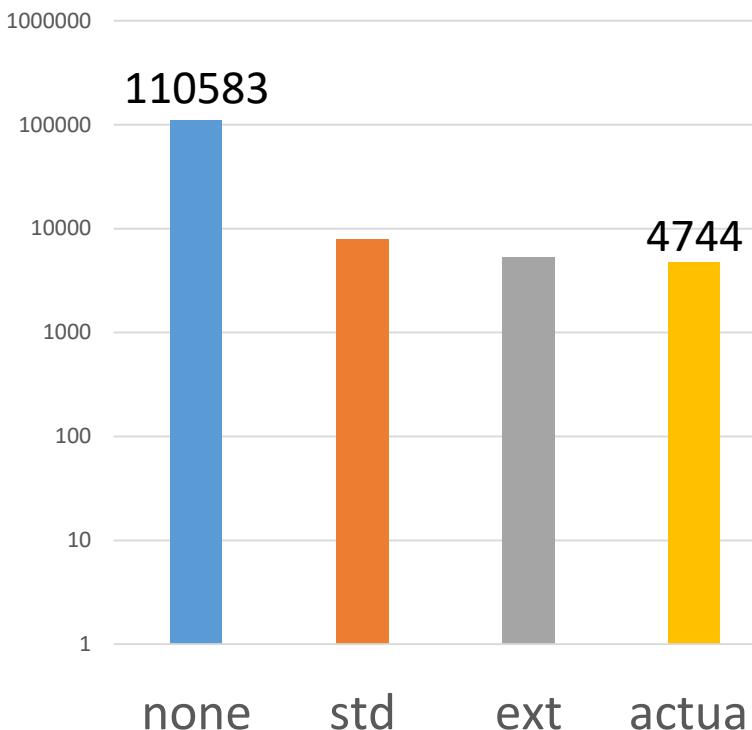
$$\begin{aligned} (A \vee C) \wedge (A \vee B) &\rightarrow A \wedge (C \vee B) \\ (A \vee B) = (A \vee B \vee C) &\rightarrow A \vee B \vee \neg C \end{aligned}$$

# Statistics: CVC4's Current Rewriter(s)

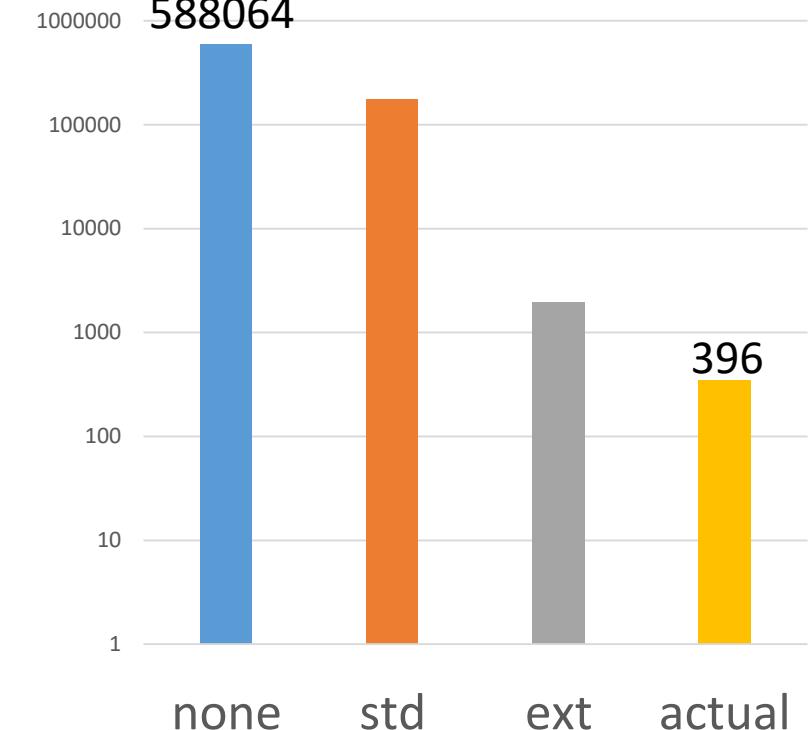
string-term, depth 2



bv-term, depth 3



bool-crci, depth 7



**none:** # terms from the grammar at given depth

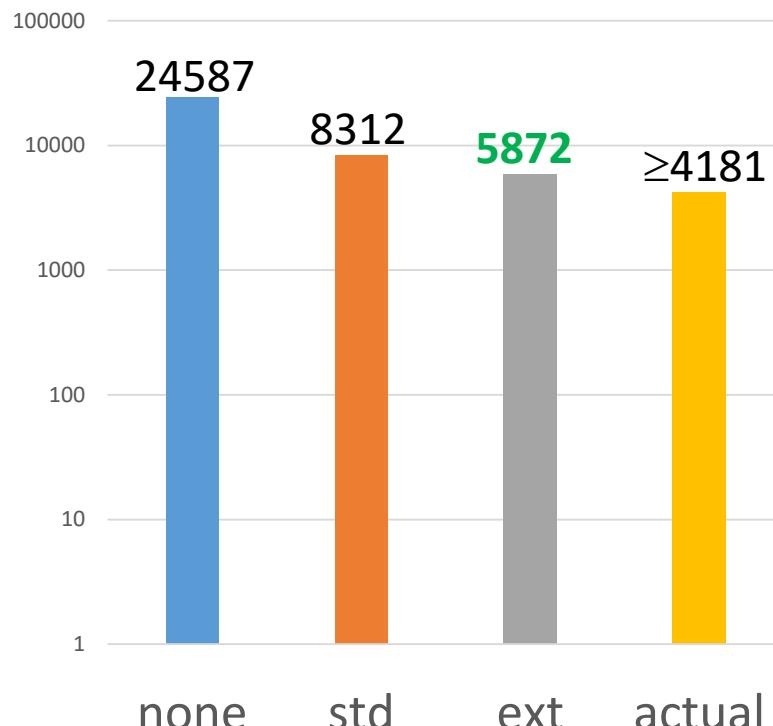
**actual:** # T-unique terms from grammar at given depth

**std:** CVC4 version 1.5's rewriter (1 year ago)

**ext:** CVC4's aggressive rewriter

# Statistics: CVC4's Current Rewriter(s)

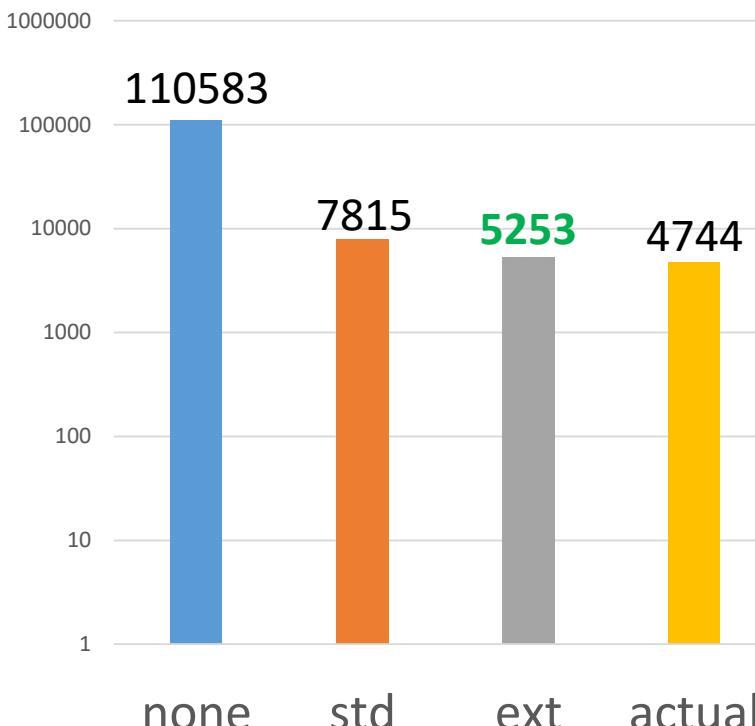
string-term, depth 2



%redundant:  
time to  
enumerate:

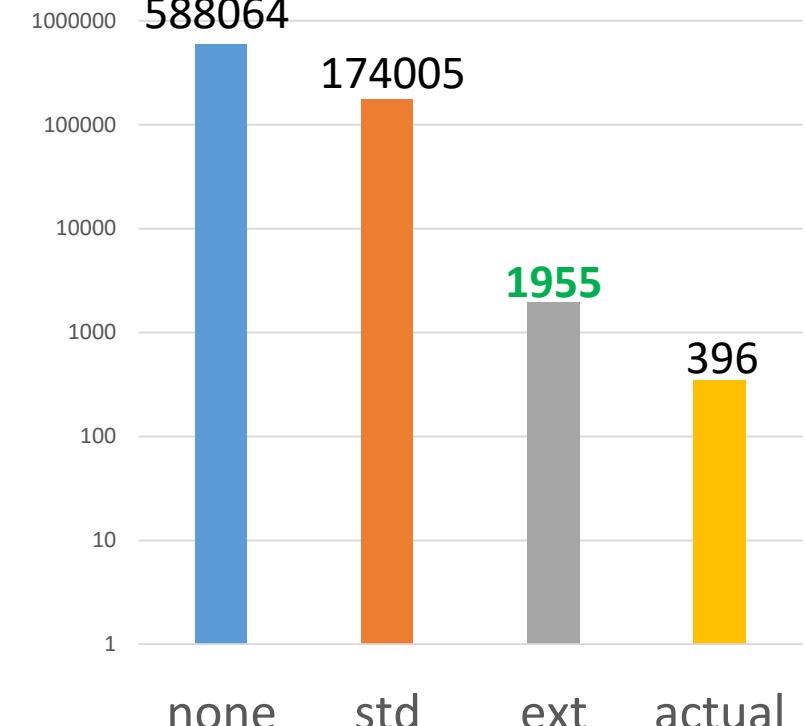
rewriter	% redundant	time to enumerate
none	49.7%	90.0
std	28.8%	60.8

bv-term, depth 3



rewriter	% redundant	time to enumerate
none	39.3%	96.4
std	9.7%	55.4

bool-crci, depth 7



rewriter	% redundant	time to enumerate
none	99.8%	19128.8
std	82.2%	60.6

# Conjecture-Specific Enumeration

- Given grammar:

```
A->A+A|-A|x|y|0|1|ite(B,A,A)  
B->B&B|¬B|A=A|A≥A|⊥
```

- Efficiently enumerate the set of useful/interesting terms

- $0, 1, x, y, 1+1, x+x, y+y, 1+x, 1+y, x+y, \dots$

# Conjecture-Specific Enumeration

- Given grammar *and specification*:

$$\begin{aligned} A \rightarrow & A+A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A) \\ B \rightarrow & B \wedge B \mid \neg B \mid A=A \mid A \geq A \mid \perp \end{aligned}$$
$$+ \quad \exists f. \forall xy. P(f, xy)$$

- Efficiently enumerate the set of useful/interesting terms

- $0, 1, x, \cancel{y}, \cancel{1+1}, x+x, \cancel{y+y}, \cancel{1+x}, \cancel{1+y}, \cancel{x+y}, \dots$

E.g. “if there exists a solution for  $f$ , for this conjecture, then there exists one that does not involve  $y$  or constants greater than one”

⇒ Even more aggressive pruning

# Unification Algorithms

# Does this scale?

- For this Bit-vector grammar, enumerating:
  - Terms of size=1 → **.05 seconds**
  - Terms of size=2 → **.6 seconds**
  - Terms of size=3 → **48 seconds**
  - Terms of size=4 → **5.8 hours** (5.8 million functions, 84050 unique)
  - Terms of size=5 → ??? 100+ days

```
(synth-fun f ((s (BitVec 4))
                (t (BitVec 4)))
  (BitVec 4) (
    (Start (BitVec 4) (
      s t #x0
      (bvneg Start)
      (bvnot Start)
      (bvadd Start Start)
      (bvmul Start Start)
      (bvand Start Start)
      (bvlshr Start Start)
      (bvor Start Start)
      (bvshl Start Start)))))
```

# Example: Programming by Examples (PBE)

```
A->0|1|x| (bvnot A) |  
(shl1 A) | (shr1 A) | (shr4 A) | (shr16 A) |  
(bvand A A) | (bvor A A) | (bvxor A A) |  
(bvadd A A) | (if0 A A A)
```

```
 $\exists f.$   
f (#x28085a970e13e12c) = #x28085a970e13e12d  
f (#xbe5341beb2a0749) = #xbe5341beb2a0749  
f (#xe239460eed2cc34e) = #xe239460eed2cc34f  
...  
f (#x4c5ee4be98c5ee7d) = #x4c5ee4be98c5ee7d  
f (#xcd67bd5beaac575e) = #xcd67bd5beaac575e
```



Specification is concrete input/output points

# Example: Programming by Examples (PBE)

```
A->0|1|x| (bvnot A) |  
(shl1 A) | (shr1 A) | (shr4 A) | (shr16 A) |  
(bvand A A) | (bvor A A) | (bvxor A A) |  
(bvadd A A) | (if0 A A A)
```

$\exists f.$

$f(\#x28085a970e13e12c)=\#x28085a970e13e12d$   
 $f(\#xbe5341bebd2a0749)=\#xe5341bebd2a0749$   
 $f(\#xe239460eed2cc34e)=\#xe239460eed2cc34f$   
...  
 $f(\#x4c5ee4be98c5ee7d)=\#x4c5ee4be98c5ee7d$   
 $f(\#xcd67bd5beaac575e)=\#xcd67bd5beaac575e$

```
f := λxy.  
(if0 (bvand (bvnot x) #x0000000000000001)  
(if0 (bvand (bvnot (shr4 x)) #x0000000000000001)  
(if0 (bvand (shr1 (shr16 x)) #x0000000000000001)  
(if0 (bvand (bvnot (shr1 x)) #x0000000000000001) (bvor #x0000000000000001 x) x)  
(if0 (bvand (bvnot (shr1 x)) #x0000000000000001) x  
(if0 (bvand (shr16 x) #x0000000000000001) x (bvor #x0000000000000001 x))))  
(if0 (bvand (shr1 (shr16 x)) #x0000000000000001) (bvor #x0000000000000001 x)  
x) (bvor #x0000000000000001 x)))
```

size 29

⇒ No chance to enumerate this solution by fair enumeration

# Unification Algorithms

- Idea: use a high level strategy to build solutions using a pool of enumerated terms

$t_1, t_2, t_3, \dots, t_n$

Unification  
Algorithm

$f := \lambda xy . \textcolor{red}{u} [\dots, t_i, \dots, t_j, \dots] ?$

- Two examples:
  - ITE/decision tree learning
  - String concatenation

# Unification for ITE

```
A->A+A|-A|x|y|0|1|ite(B,A,A)
```

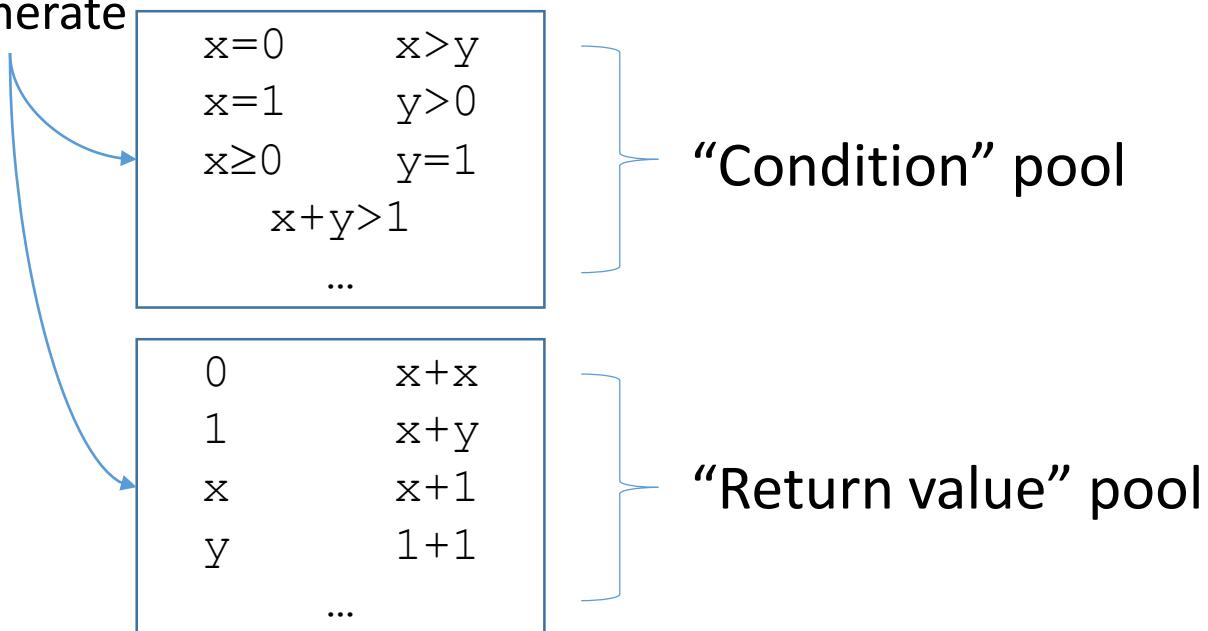
...

# Unification for ITE

A → A+A | -A | x | y | 0 | 1 | ~~ite(B, A, A)~~

...

Enumerate



# Unification for ITE

$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

$x=0$	$x > y$
$x=1$	$y > 0$
$x \geq 0$	$y = 1$
$x+y > 1$	
...	

...

$0$	$x+x$
$1$	$x+y$
$x$	$x+1$
$y$	$1+1$
...	

...

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$



Partial specification

# Unification for ITE

$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

$x=0$	$x > y$
$x=1$	$y > 0$
$x \geq 0$	$y = 1$
$x+y > 1$	
...	

$0$	$x+x$
$1$	$x+y$
$x$	$x+1$
$y$	$1+1$
...	

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$

$(x_1, y_1)$   
 $(x_2, y_2)$   
 $(x_3, y_3)$   
 $(x_4, y_4)$



# Unification for ITE

$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

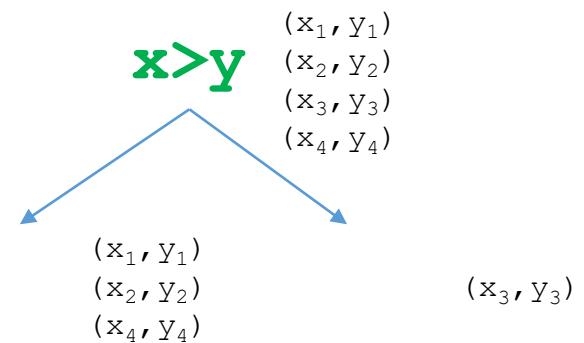
$x=0$	$\mathbf{x>y}$
$x=1$	$y>0$
$x \geq 0$	$y=1$
$x+y > 1$	
...	

...

$0$	$x+x$
$1$	$x+y$
$x$	$x+1$
$y$	$1+1$
...	

...

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$



# Unification for ITE

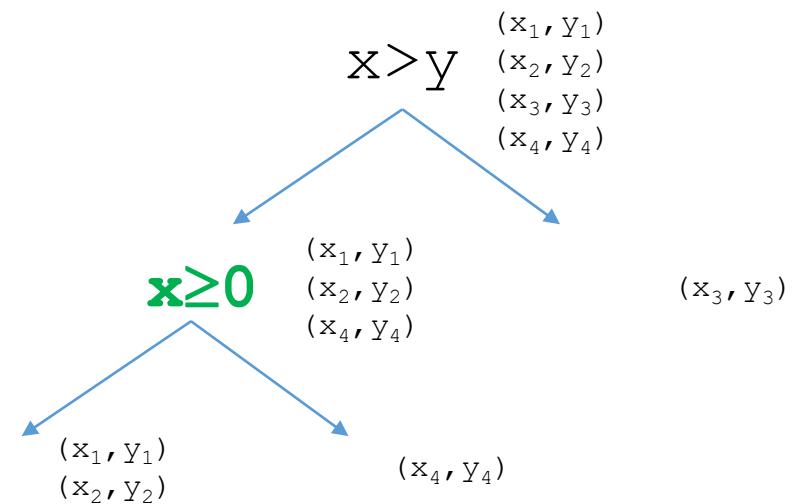
$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

$x=0$	$x > y$
$x=1$	$y > 0$
$\mathbf{x \geq 0}$	$y=1$
$x+y > 1$	
...	

$0$	$x+x$
$1$	$x+y$
$x$	$x+1$
$y$	$1+1$
...	

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$



# Unification for ITE

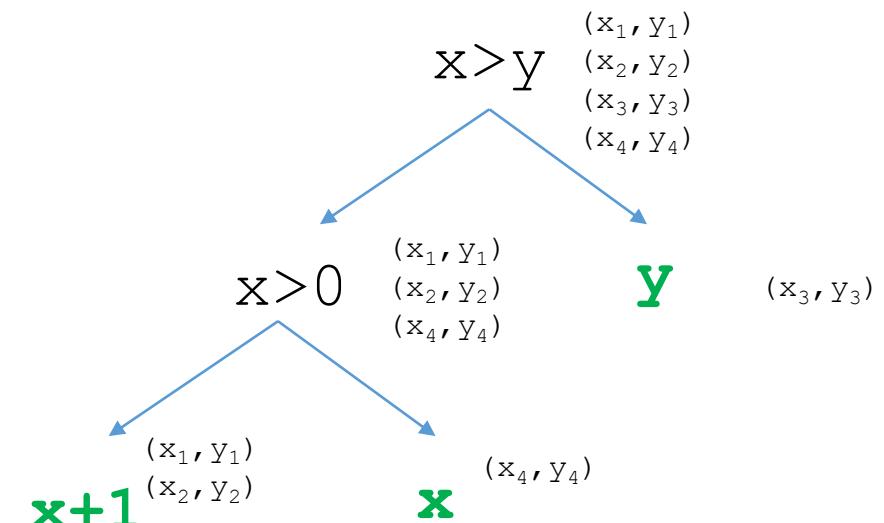
$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

$x=0$	$x > y$
$x=1$	$y > 0$
$x \geq 0$	$y = 1$
$x+y > 1$	
...	

$0$	$x+x$
$1$	$x+y$
$\textcolor{red}{x}$	$\textcolor{red}{x+1}$
$\textcolor{red}{y}$	$1+1$
...	

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$



# Unification for ITE

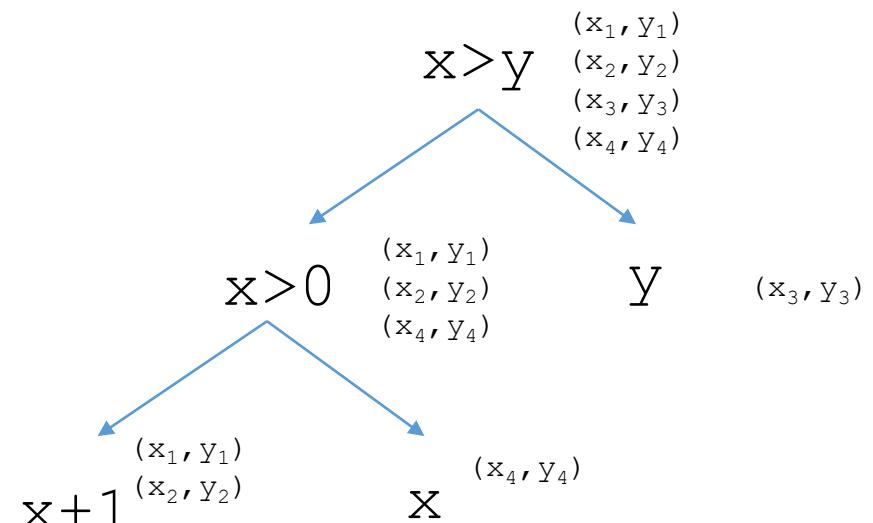
$A \rightarrow A + A \mid -A \mid x \mid y \mid 0 \mid 1 \mid \text{ite}(B, A, A)$

...

$x=0$	$x > y$
$x=1$	$y > 0$
$x \geq 0$	$y=1$
$x+y > 1$	
...	

$0$	$x+x$
$1$	$x+y$
$x$	$x+1$
$y$	$1+1$
...	

$P[f(x_1, y_1)] \wedge$
$P[f(x_2, y_2)] \wedge$
$P[f(x_3, y_3)] \wedge$
$P[f(x_4, y_4)]$



$f = \lambda x. \text{ite}(x > y, \text{ite}(x > 0, x + 1, x), y)$

# Related Work

- Learning invariants using decision trees and implication counterexamples  
[\[Garg et al POPL 2016\]](#)
- Data-driven precondition inference with learned features  
[\[Pahdi et al PLDI 2016\]](#)
- ICE-Based Refinement Type Discovery for Higher-Order Functional Programs  
[\[Champion et al TACAS 2018\]](#)
- Invariant Synthesis for Incomplete Verification Engines  
[\[Neider et al TACAS 2018\]](#)

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
fInt := 0 | 1 | +(fInt, fInt)
```

$\exists f. \forall x.$	
$f(\text{“Alice”})$	$= \text{“Dr\_Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr\_Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr\_Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr\_David\_D”}$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

↓  
Enumerate

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr(“Dr”, 0, 1)  
...
```

$\exists f. \forall x.$

$f(\text{“Alice”})$	$= \text{“Dr\_Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr\_Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr\_Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr\_David\_D”}$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr(“Dr”, 0, 1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{“Alice”})$	$= \text{“Dr\_Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr\_Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr\_Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr\_David\_D”}$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr("Dr", 0, 1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{“Alice”})$	$= \text{“Dr}_\downarrow \text{Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr}_\downarrow \text{Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr}_\downarrow \text{Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr}_\downarrow \text{David\_D”}$

$f = \lambda x. ++(\text{“Dr”}, \dots)$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr(“Dr”, 0, 1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{“Alice”})$	$= \text{“Dr}_\text{A} \text{Alice}_\text{A”}$ $\wedge$
$f(\text{“Bob”})$	$= \text{“Dr}_\text{B} \text{Bob}_\text{B”}$ $\wedge$
$f(\text{“Carl”})$	$= \text{“Dr}_\text{C} \text{Carl}_\text{C”}$ $\wedge$
$f(\text{“David”})$	$= \text{“Dr}_\text{D} \text{David}_\text{D”}$

$f = \lambda x. ++(\text{“Dr”}, \text{“}_”, \dots)$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr("Dr", 0, 1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{"Alice"})$	$= \text{"Dr\_Alice\_A"} \wedge$
$f(\text{"Bob"})$	$= \text{"Dr\_Bob\_B"} \wedge$
$f(\text{"Carl"})$	$= \text{"Dr\_Carl\_C"} \wedge$
$f(\text{"David"})$	$= \text{"Dr\_David\_D"}$

$f = \lambda x. ++(\text{"Dr"}, \text{"_"}, \text{x}, \dots)$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
“_”  
“Dr”  
substr(x, 0, 1)  
substr(x, 1, 1)  
substr(x, 0, 2)  
substr(“Dr”, 0, 1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{“Alice”})$	$= \text{“Dr\_Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr\_Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr\_Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr\_David\_D”}$

$f = \lambda x. ++(\text{“Dr”}, \text{“_”}, x, \text{“_”}, \dots)$

# Unification for Strings

```
fStr := x | "_" | "Dr" | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
  
fInt := 0 | 1 | +(fInt, fInt)
```

```
x  
"  
_"  
"Dr"  
substr(x,0,1)  
substr(x,1,1)  
substr(x,0,2)  
substr("Dr",0,1)  
...
```

Match →

$\exists f. \forall x.$

$f(\text{"Alice"})$	$= \text{"Dr\_Alice\_A"} \wedge$
$f(\text{"Bob"})$	$= \text{"Dr\_Bob\_B"} \wedge$
$f(\text{"Carl"})$	$= \text{"Dr\_Carl\_C"} \wedge$
$f(\text{"David"})$	$= \text{"Dr\_David\_D"}$

$f = \lambda x. ++(\text{"Dr"}, \text{"_"}, x, \text{"_"}, \text{substr}(x, 0, 1))$

# Unification for Strings

```
fStr := x | “_” | “Dr” | ++(fStr, fStr)  
        substr(fStr, fInt, fInt)  
fInt := 0 | 1 | +(fInt, fInt)
```

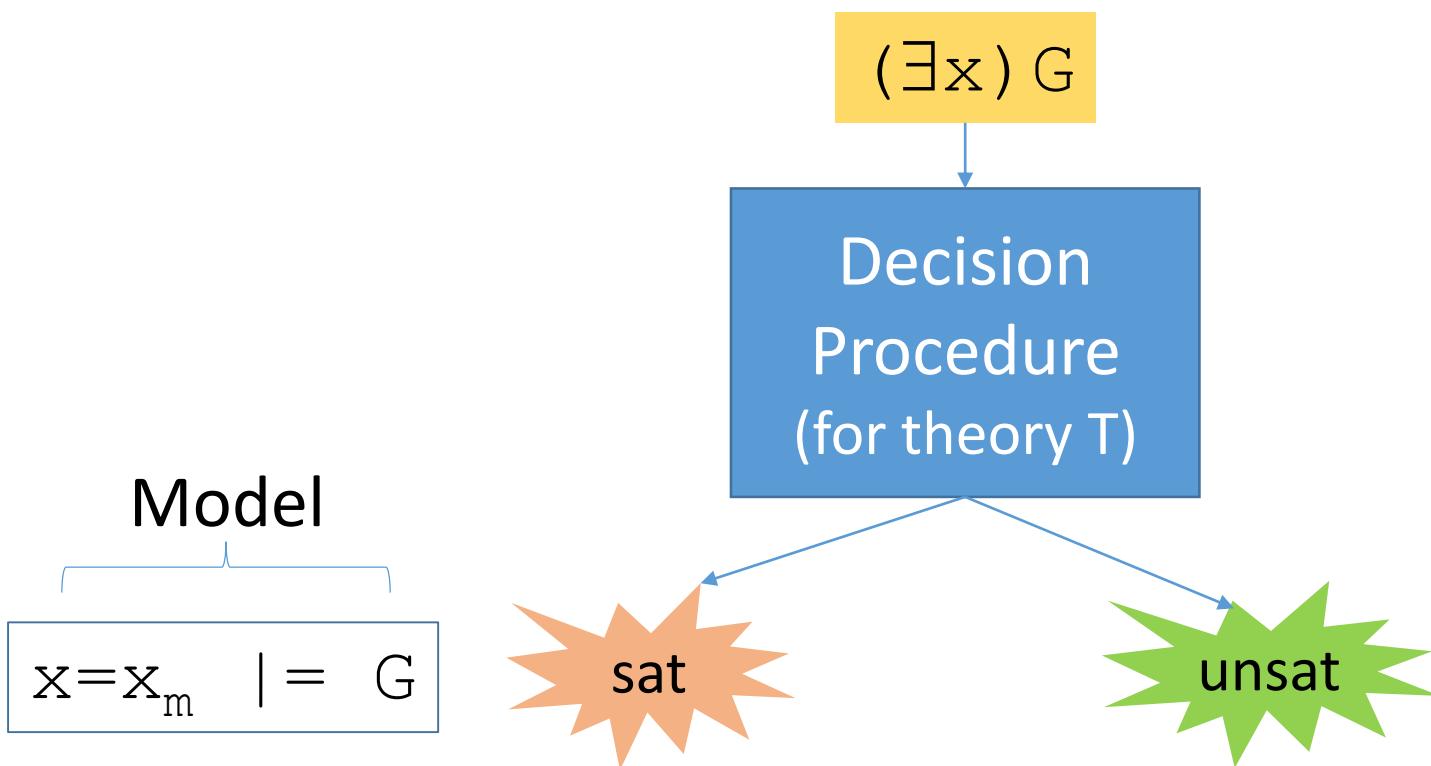
$\exists f. \forall x.$	
$f(\text{“Alice”})$	$= \text{“Dr\_Alice\_A”} \wedge$
$f(\text{“Bob”})$	$= \text{“Dr\_Bob\_B”} \wedge$
$f(\text{“Carl”})$	$= \text{“Dr\_Carl\_C”} \wedge$
$f(\text{“David”})$	$= \text{“Dr\_David\_D”}$

$\Rightarrow$  Return

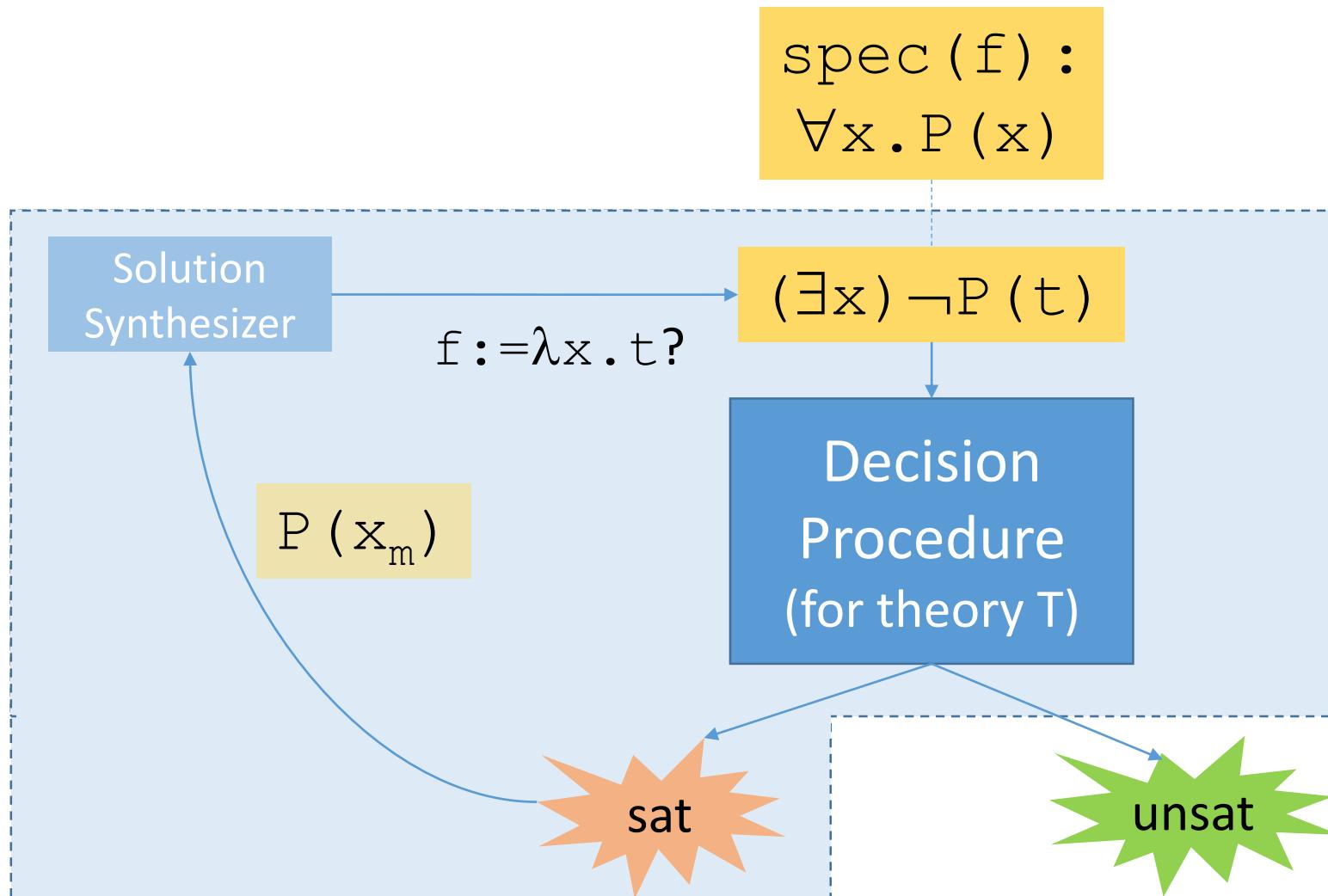
```
f =  $\lambda x. ++(\text{“Dr”}, \text{“_”}, x, \text{“_”}, \text{substr}(x, 0, 1))$ 
```

# (Decision) Procedures for Verifying Solutions

# From Decision Procedures to Synthesis Procedures



# From Decision Procedures to Synthesis Procedures



Decision Procedure for T  
⇒  
Synthesis Procedure for T

$f := \lambda x . t$

# CVC4 Supports Many Theories

- Including:
  - Linear arithmetic
  - Bit-Vectors
  - Strings
  - Sets
  - Inductive datatypes and codatatypes
  - Floating points
  - Non-linear arithmetic
- Combinations of all of these (+quantifiers) (+synthesis conjectures)

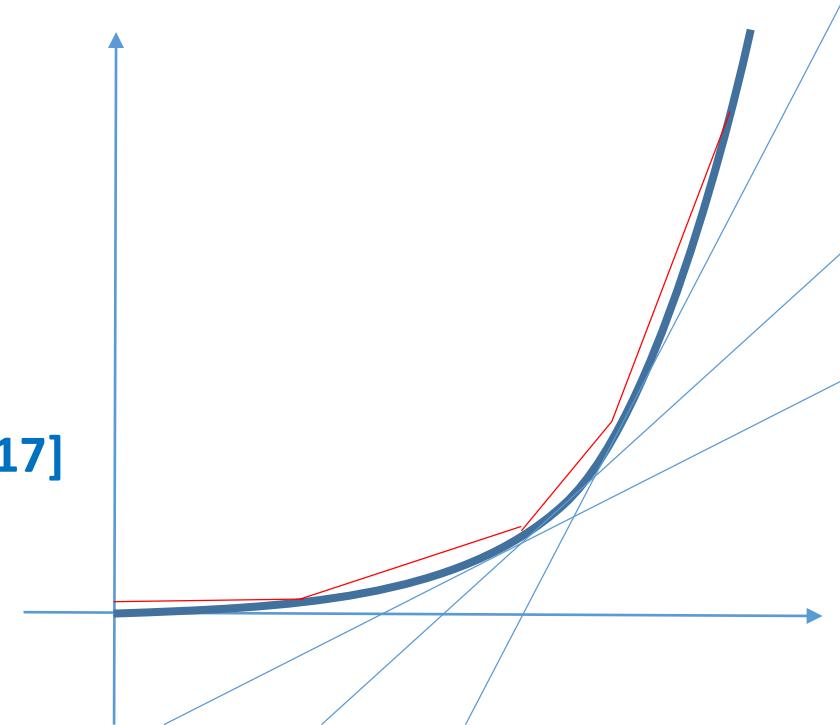


⇒ Entered every division of SMT comp this year

# Non-linear Arithmetic

- Incremental linearization

[Cimatti/Griggio/Irfan/Roveri/Sebastiani TACAS 2017, CADE 2017]



- Model-based reduction:

- Non-linear arithmetic → Uninterpreted functions + Linear Arithmetic

$$(x < c_x \wedge y < c_y) \vee (x > c_x \wedge y > c_y) \Rightarrow x * y > c_y * x + c_x * y + c_x * c_y$$

“Tangent plane”

$$x * x < (x - d) * (c * c - d * d) / (c - d)$$

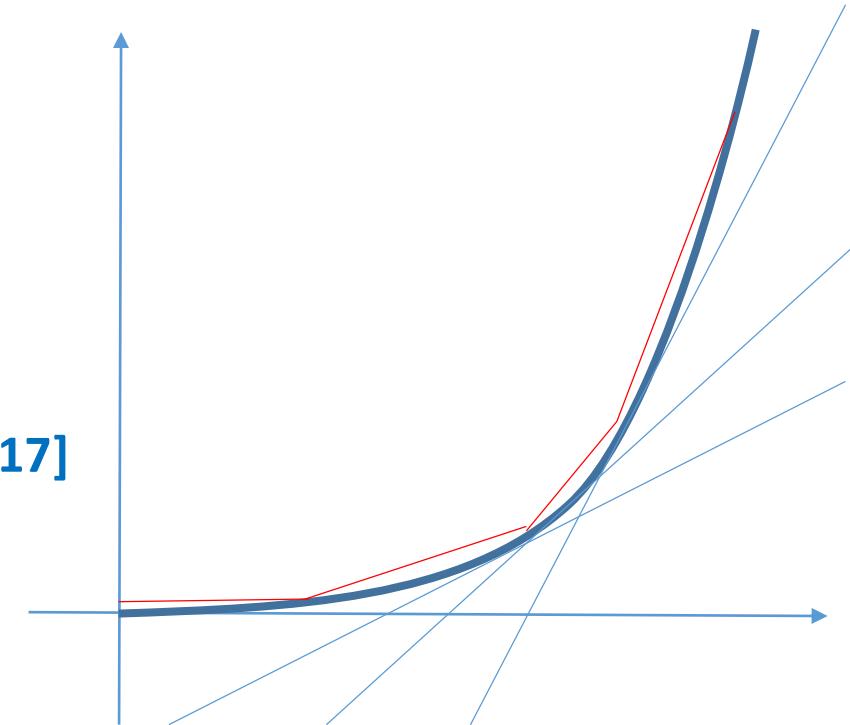
“Secant plane”

# Non-linear Arithmetic

- Incremental linearization

[Cimatti/Griggio/Irfan/Roveri/Sebastiani TACAS 2017, CADE 2017]

- Can be extended transcendental functions
  - $\sin$ ,  $\exp$
- Works well for nonlinear arithmetic without real equalities
  - Good for verification applications, bad for theorem proving applications



# Floating Points

- State of the art floating point procedure in CVC4 [Brain et al 2018]
- Word level conversion to theory of Bit-Vectors using SymFPU
- Many optimizations involving packing/unpacking, encodings:



# Putting it Together

# CVC4: Open Source Tool for Synthesis Modulo T

- In addition solving Satisfiability Modulo Theories,  
CVC4 has (improving) support for Synthesis Modulo Theories



# Progress in Syntax-Guided Synthesis

- SyGuS comp initiative
  - Started 2014, synthesis solvers are improving each year
- This years competition:
  - **GENERAL:** CVC4 (447/598)  
⇒ Program snippets, circuit synthesis, theorem proving, planning
  - **CLIA:** DryadSynth, CVC4 (85/88)
  - **Invariant Synthesis:** LoopInvGen (115/127)  
⇒ Model checking
  - **PBE strings:** CVC4 (102/118)  
⇒ Flash fill, data wrangling
  - **PBE bit-vectors:** CVC4 (724/750)

# Using CVC4's Synthesis Solver to Improve Itself

- Solving quantified bit-vectors using Invertibility Conditions

$$\exists C. \forall ab. C(a, b) \Leftrightarrow \exists x. x \oplus a = b$$

[Niemetz et al CAV 2018]

- Rewrites for SMT solvers using Syntax-Guided Synthesis

$$\exists t_1 t_2. \forall x. t_1[x] = t_2[x], \quad t_1 \not\rightarrow t_2$$

[Reynolds et al SMT 2018]

- **In progress:** Floating point rewrites, abstractions, bound propagation

$$\begin{aligned} & \exists L. \forall x_1 a_1 b_1 x_2 a_2 b_2. \\ & a_1 \leq x_1 \leq b_1 \wedge a_2 \leq x_2 \leq b_2 \Rightarrow L(a_1, b_1, a_2, b_2) \leq x_1 \oplus x_2 \end{aligned}$$

# Conclusions

- Generic approaches for (Invariant) Synthesis
  - Modulo any theory that the SMT solver supports
- Ongoing work:
  - Faster enumeration
  - Better unification techniques
  - More decision procedures
- Future directions:
  - Invariant synthesis + (pragmatic approaches) for non-linear arithmetic
  - Synthesizing properties of floating point operations

# Thanks for Listening!

- CVC4 available at : <http://cvc4.cs.stanford.edu/web/>

