

Scaling Up DPLL(T) String Solvers Using Context-Dependent Simplification

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CAV 2017



Importance of String Solvers

- Automated string solvers are essential for formal methods applications
- Security applications (e.g. finding XSS attacks) require *string solvers* that:
 - Are *highly efficient*
 - Reason about strings with *unbounded length* (not just bounded ones)
 - Accept a *rich language* of string constraints

In This Paper:

- DPLL(T) string solvers for *extended string constraints*
- New technique, *context-dependent simplification*, improves *scalability* of current string solvers
- Implemented in SMT solver *CVC4*
- *Experiments* show advantages using CVC4 as backend to *symbolic execution* engine PyEx

Basic String Constraints

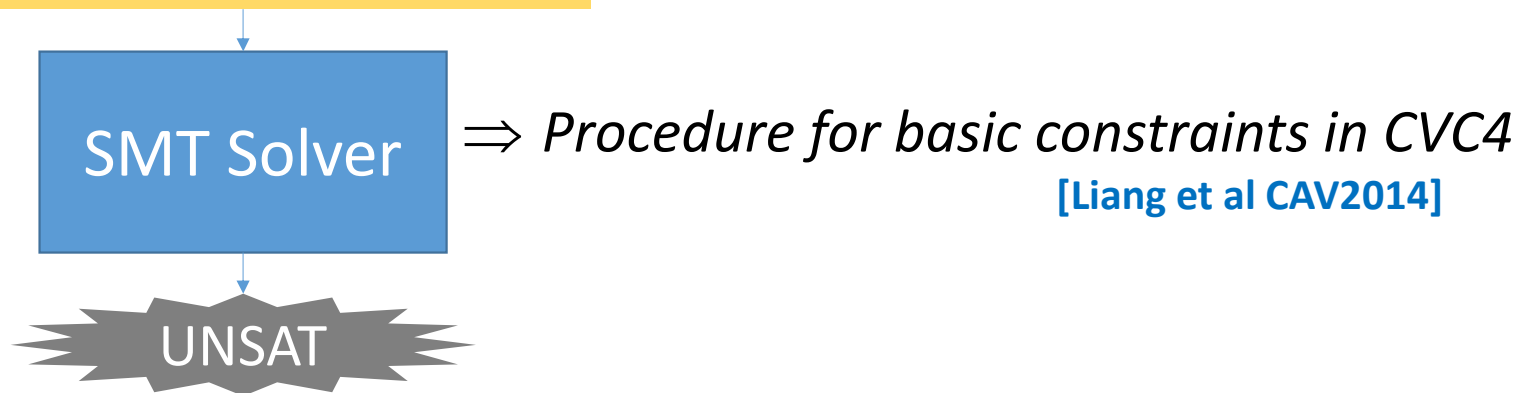
- Equalities and disequalities between:
 - *Basic string* terms
 - String constants: ϵ , "abc"
 - Concatenation: $x \cdot \text{"abc"}$
 - Length: $|x|$
 - *Linear arithmetic* terms: $x+4$, $y>2$

Example: $x \cdot \text{"a"} = y \wedge |y| > |x| + 2$

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Extended String Constraints

- Equalities and disequalities between:
 - *Basic string* terms
 - String constants: ϵ , "abc"
 - Concatenation: $x \cdot \text{"abc"}$
 - Length: $|x|$
 - *Linear arithmetic* terms: $x+4$, $y>2$
 - *Extended string* terms:
 - Substring: $\text{substr}(\text{"abcde"}, 1, 3)$
 - String contains: $\text{contains}(\text{"abcde"}, \text{"cd"})$
 - Find "index of": $\text{indexof}(\text{"abcde"}, \text{"d"}, 0)$
 - String replace: $\text{replace}(x, \text{"a"}, \text{"b"})$

Example: $\text{contains}(\text{substr}(x, 0, 3), \text{"a"}) \wedge 0 \leq \text{indexof}(x, \text{"ab"}, 0) < 4$

?

\Rightarrow *Focus of this work*

DPLL(T) String Solvers

- Cooperation between:



SAT
Solver

Arithmetic
Solver

String
Solver

DPLL(T) String Solvers

```
¬contains(x, "a")  
indexof(x, "ab", 0) = n  
n < 4 ∨ n > 8
```

Set of extended string formulas in CNF

SAT
Solver

Arithmetic
Solver

String
Solver

DPLL(T) String Solvers

```
¬contains(x, "a")  
indexof(x, "ab", 0) = n  
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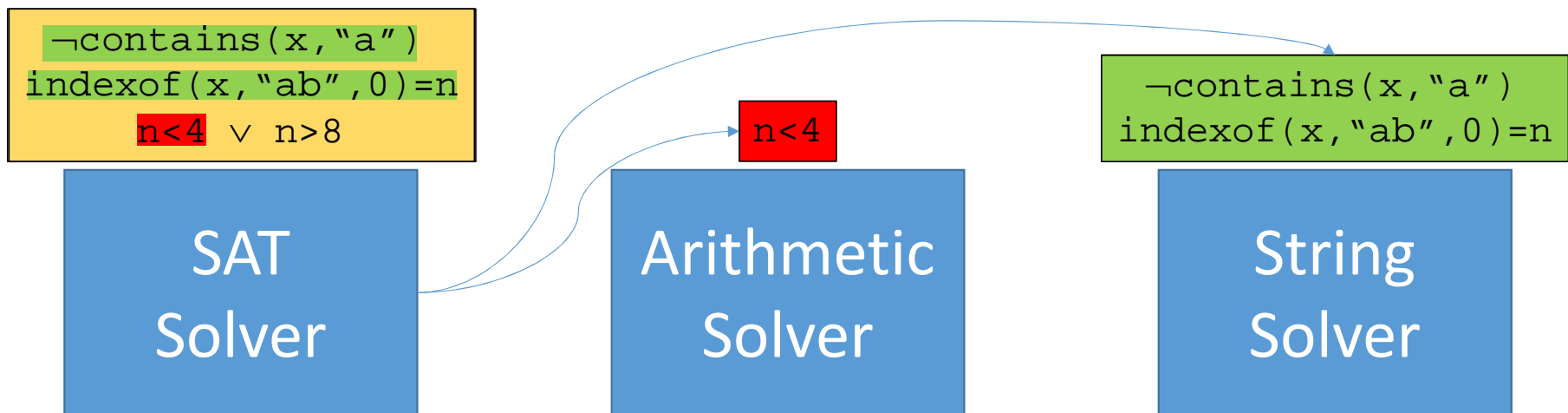
SAT
Solver

Arithmetic
Solver

String
Solver

⇒ Find propositionally satisfying assignment

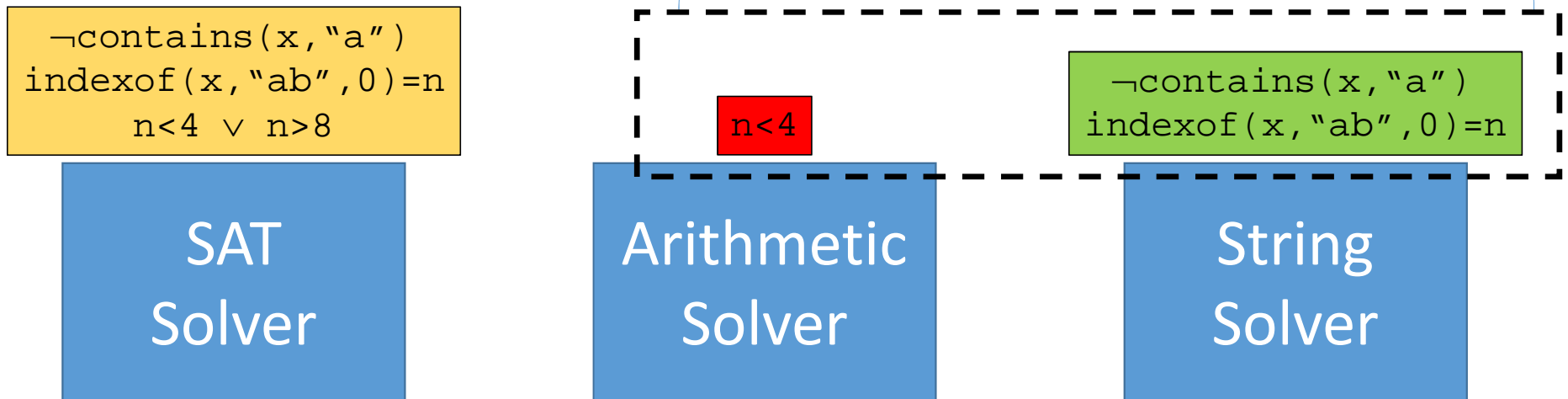
DPLL(T) String Solvers



⇒ Distribute to **arithmetic** and **string** solvers

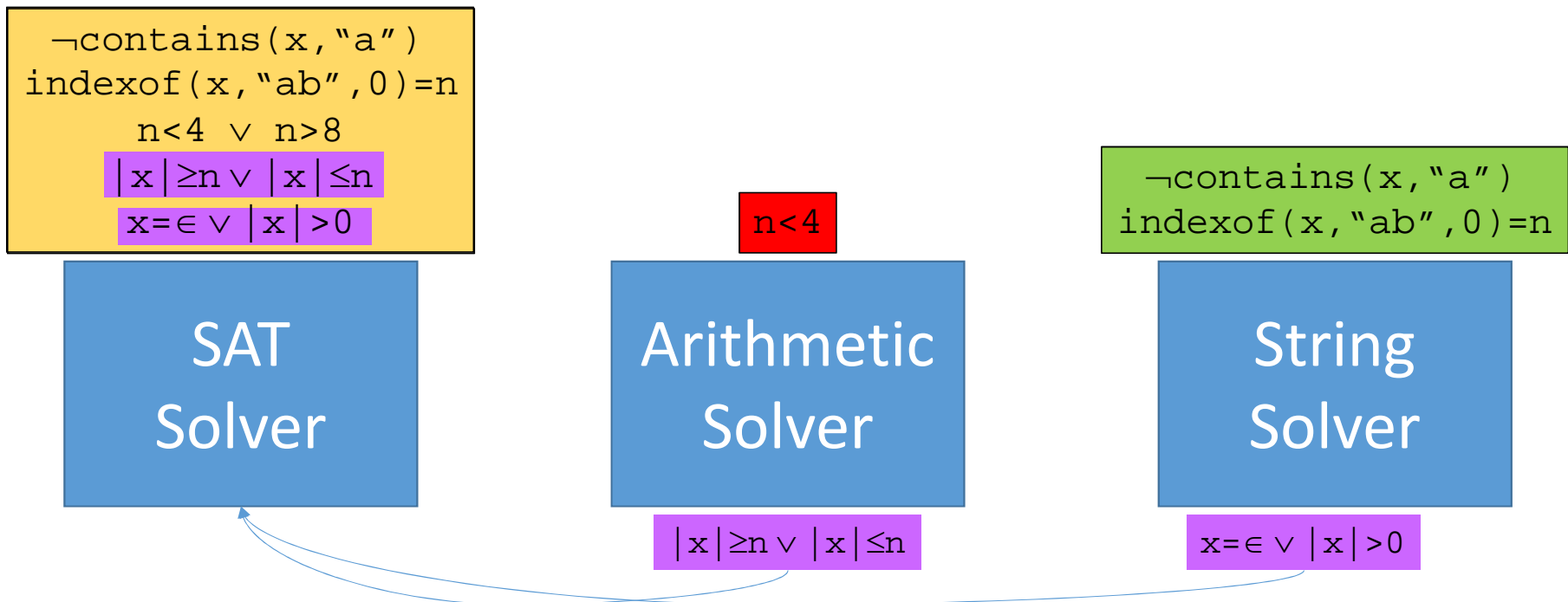
DPLL(T) String Solvers

(Arithmetic, String) **Context**



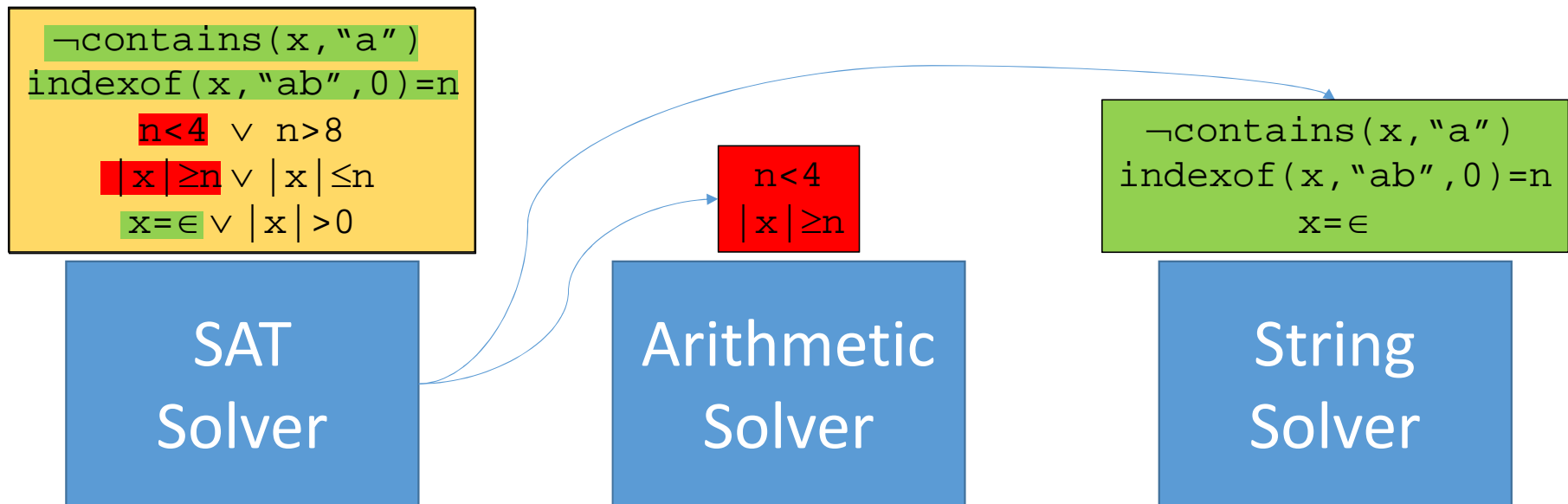
\Rightarrow Solvers maintain a **context** (conjunction of theory literals)

DPLL(T) String Solvers



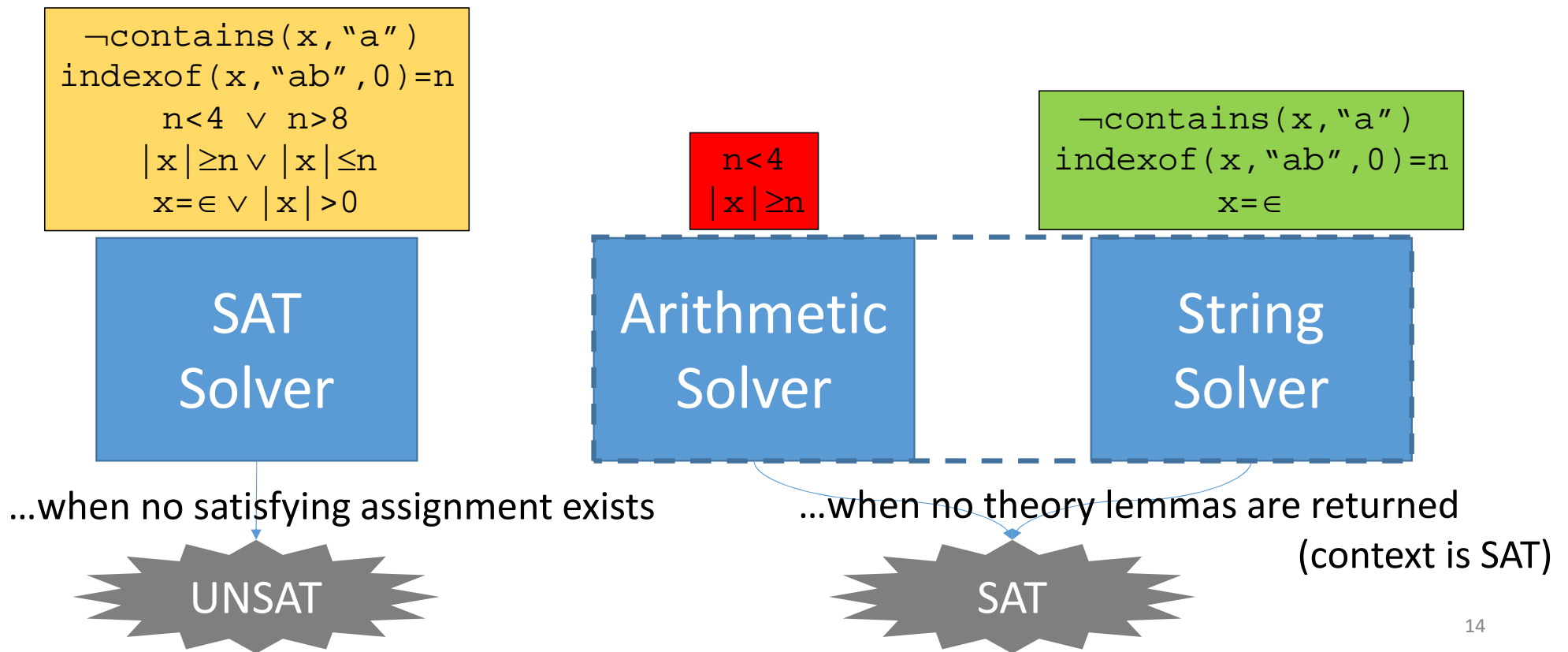
⇒ String and arithmetic solvers return **theory lemmas** to SAT solver

DPLL(T) String Solvers



\Rightarrow ...and repeat

DPLL(T) String Solvers



Properties of DPLL(T) String Solvers

- For *basic* constraints, DPLL(T) string solvers:
 - Can be used for “**sat**” and “**unsat**” answers
 - Are **incomplete** and/or **non-terminating** in general
- Expected, since *decidability is unknown*
[Bjorner et al 2009, Ganesh et al 2011]
- Regardless, modern solvers are *efficient in practice*
[Zheng et al 2013, Liang et al 2014, Abdulla et al 2015, Trinh et al 2016]

How do we handle **Extended String Constraints?**

```
¬contains(x, "a")
```


How do we handle Extended String Constraints?

- Naively, by **reduction** to basic constraints + bounded \forall

```
¬contains(x, "a")
```

How do we handle Extended String Constraints?

- Naively, by **reduction** to basic constraints + bounded \forall

$\neg \text{contains}(x, \text{"a"})$

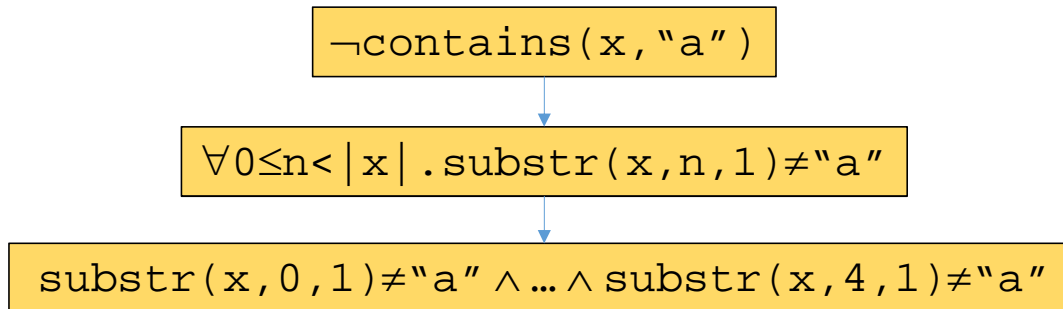


$\forall 0 \leq n < |x| . \text{substr}(x, n, 1) \neq \text{"a"}$

Expand contains

How do we handle Extended String Constraints?

- Naively, by **reduction** to basic constraints + bounded \forall

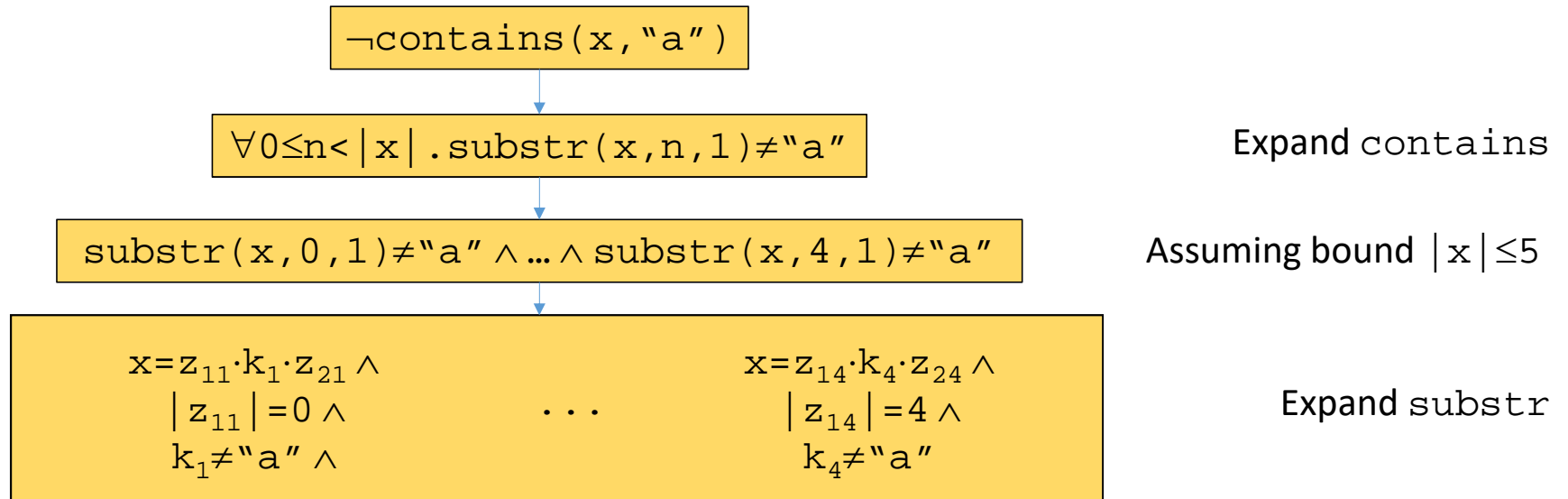


Expand contains

Assuming bound $|x| \leq 5$

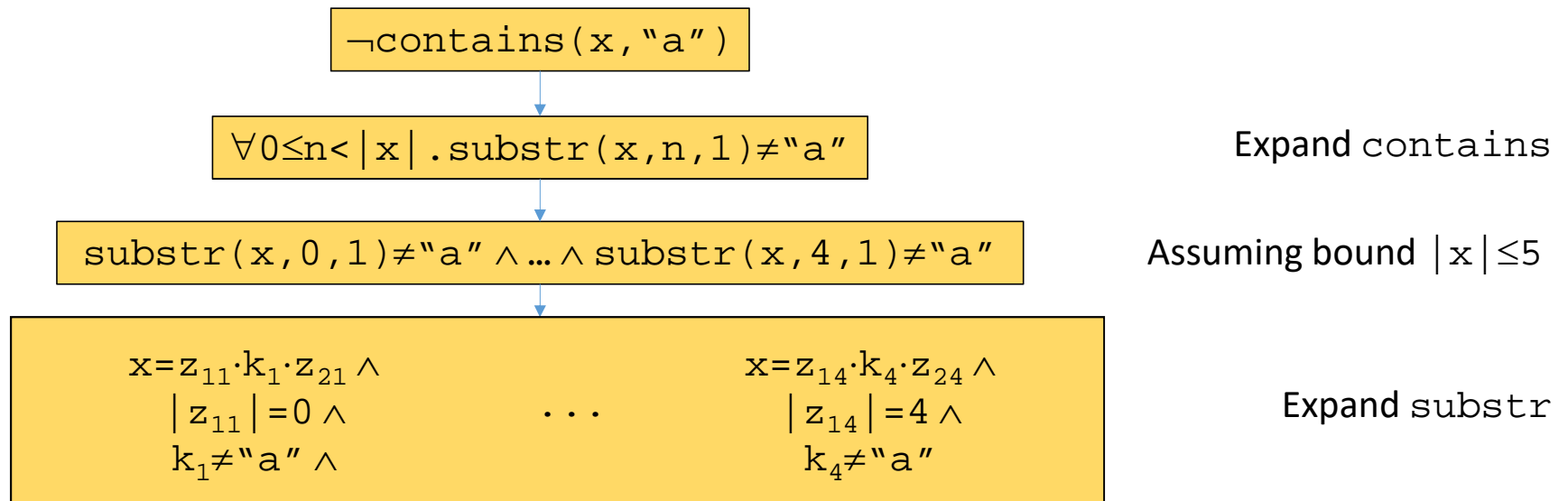
How do we handle Extended String Constraints?

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How do we handle Extended String Constraints?

- Naively, by **reduction** to basic constraints + bounded \forall



- Approach used by many current solvers
[\[Bjorner et al 2009, Zheng et al 2013, Li et al 2013, Trinh et al 2014\]](#)

(Eager) Expansion of Extended Constraints

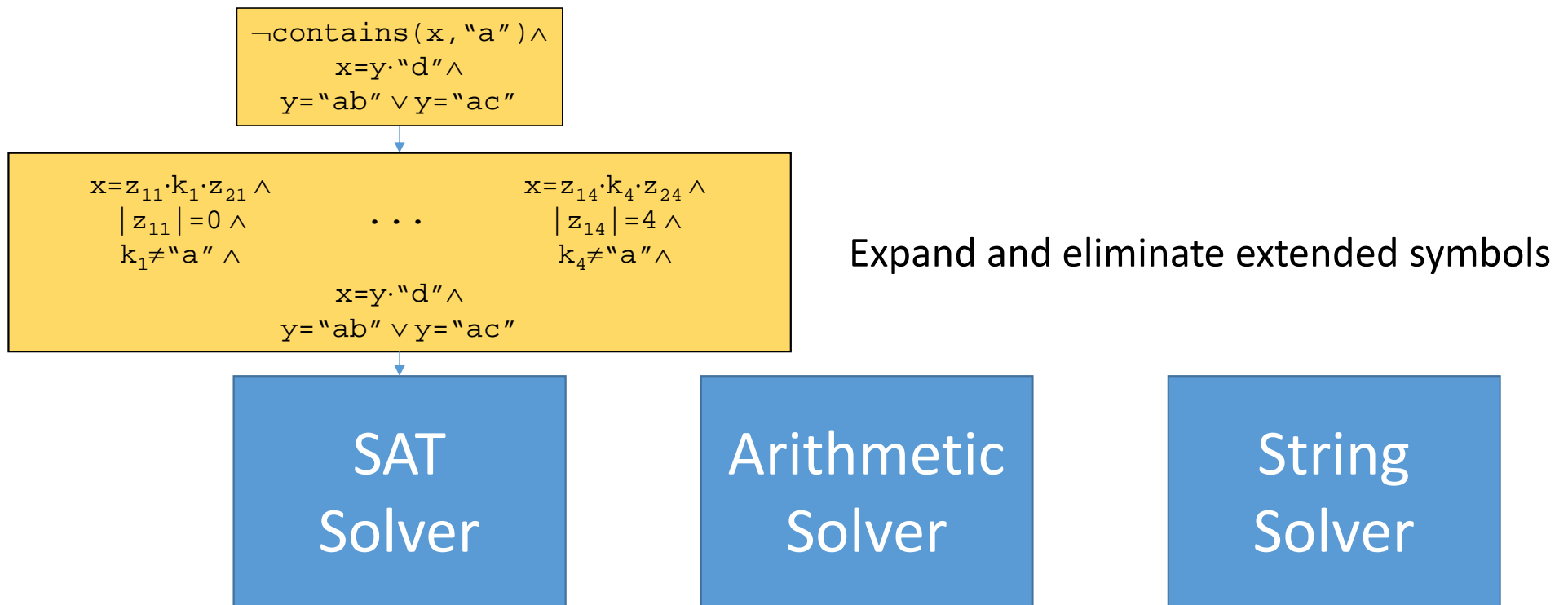
```
¬contains(x, "a") ∧  
x=y·"d" ∧  
y="ab" ∨ y="ac"
```

SAT
Solver

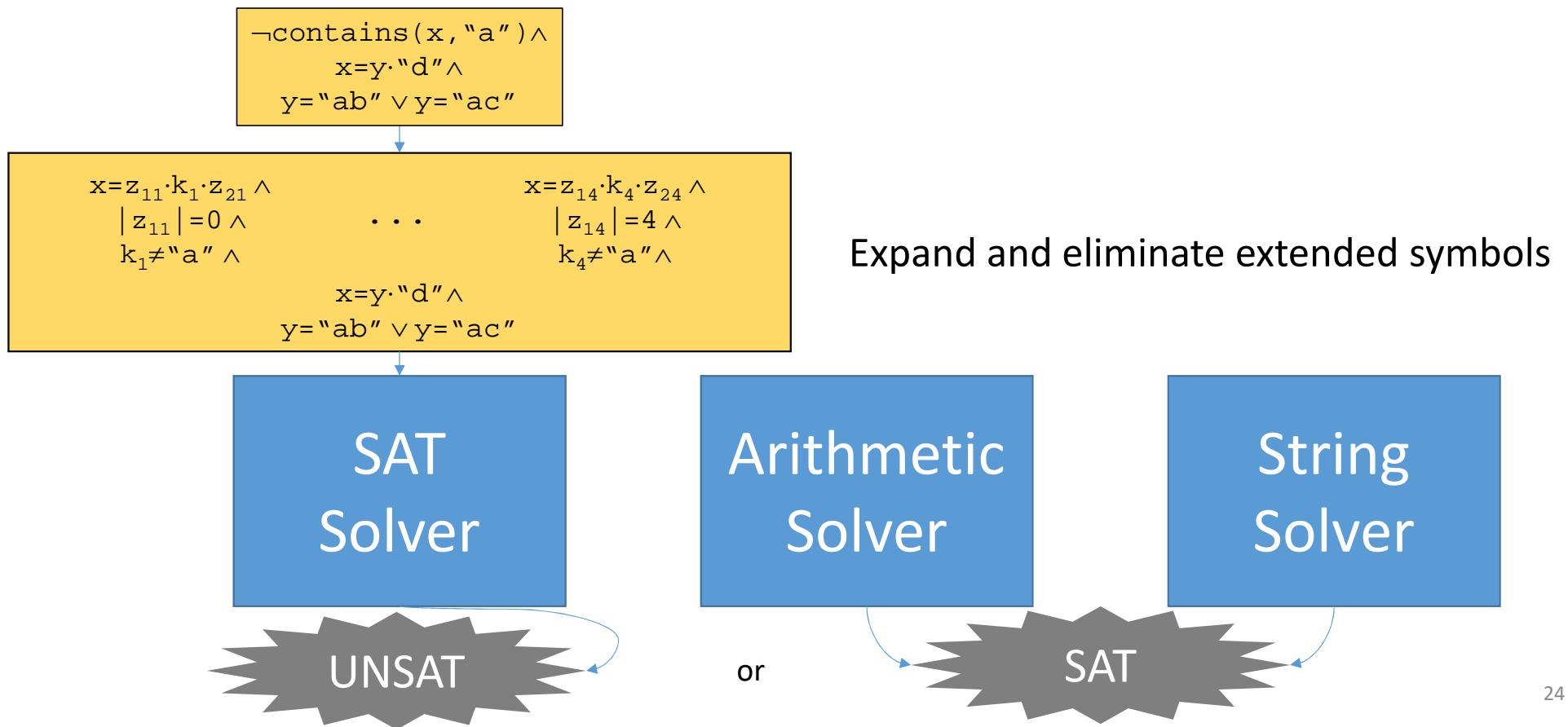
Arithmetic
Solver

String
Solver

(Eager) Expansion of Extended Constraints



(Eager) Expansion of Extended Constraints



(Lazy) Expansion of Extended Constraints

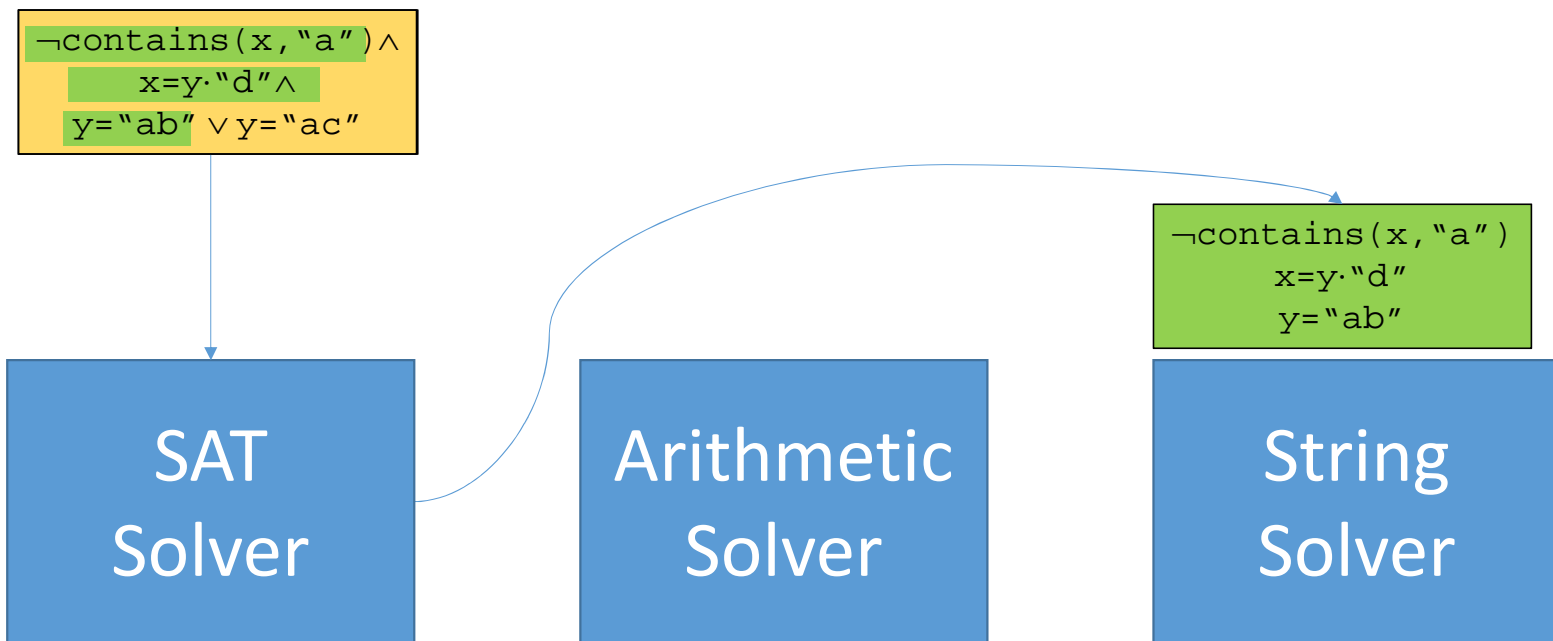
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SAT
Solver

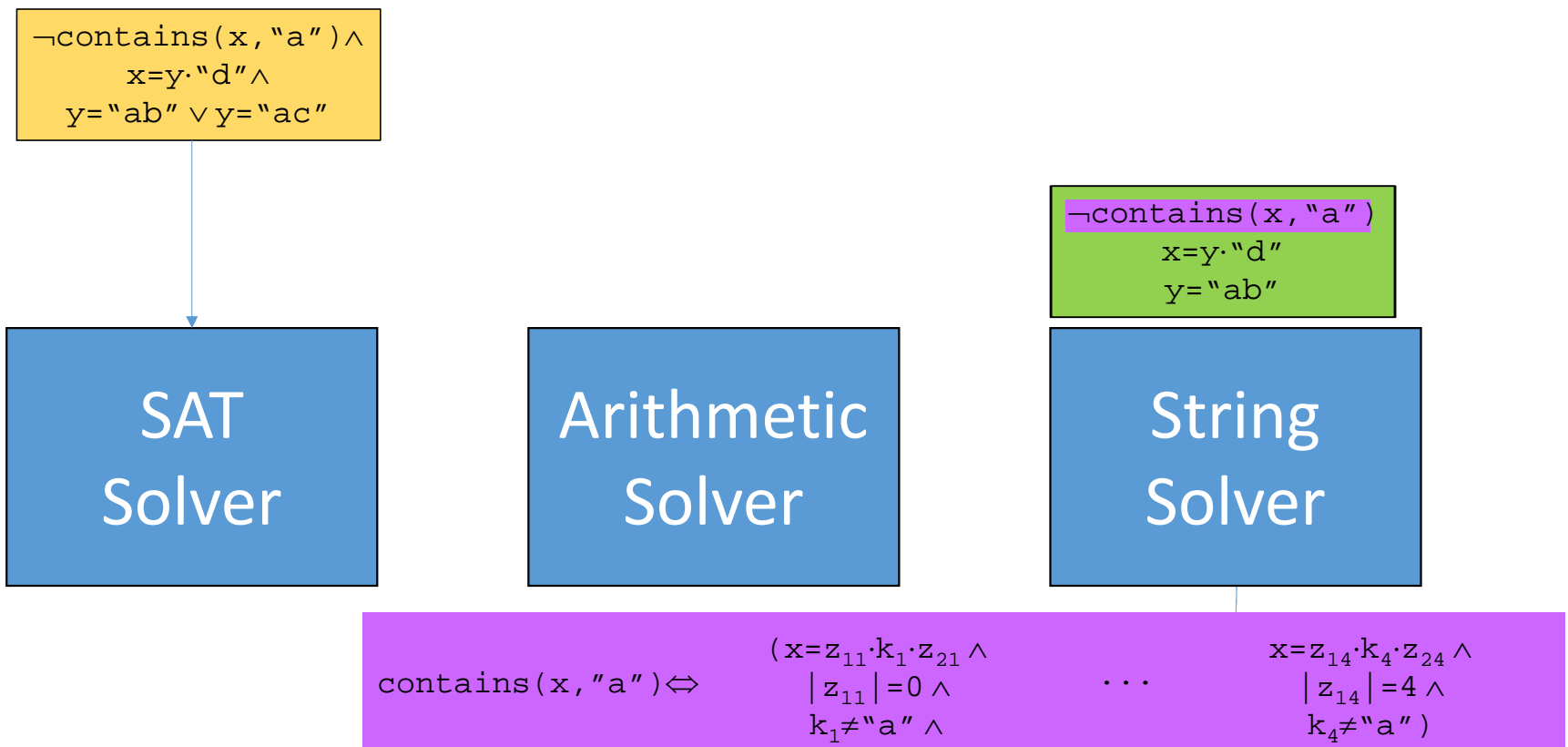
Arithmetic
Solver

String
Solver

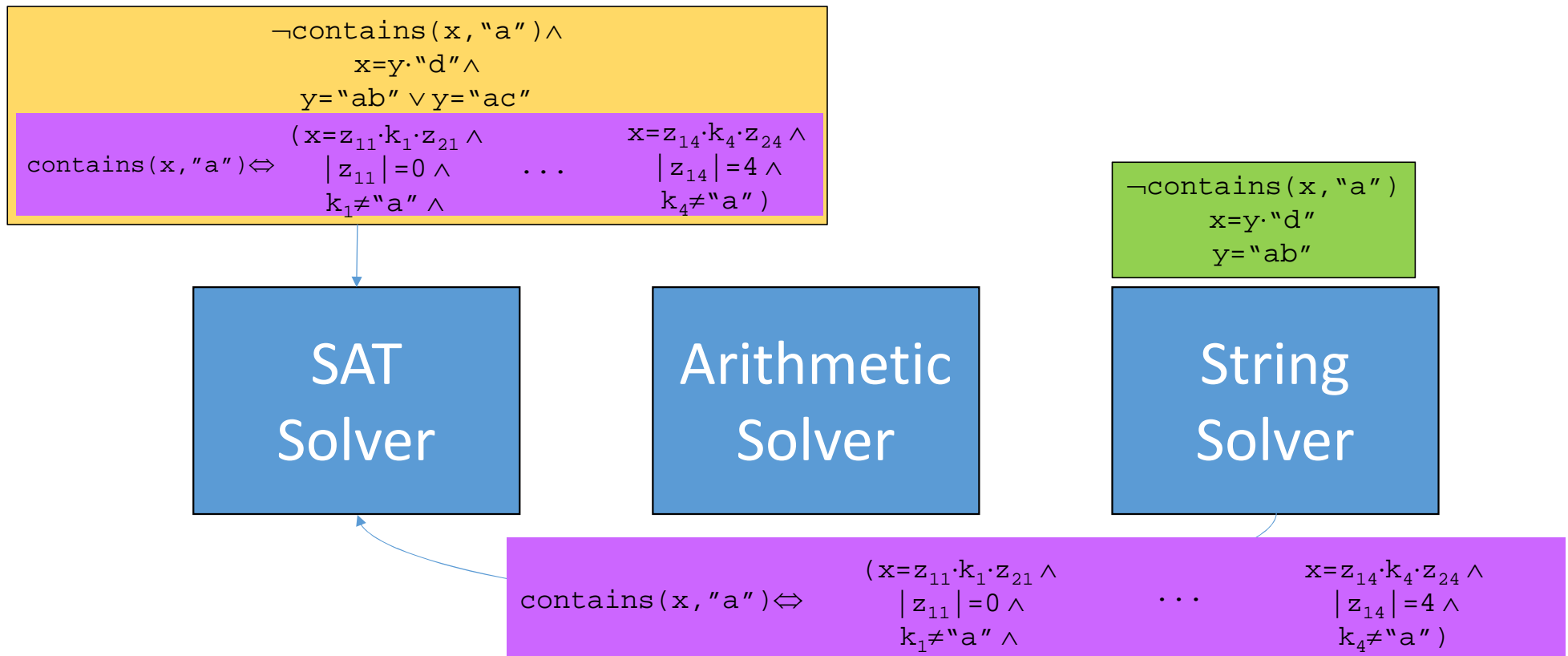
(Lazy) Expansion of Extended Constraints



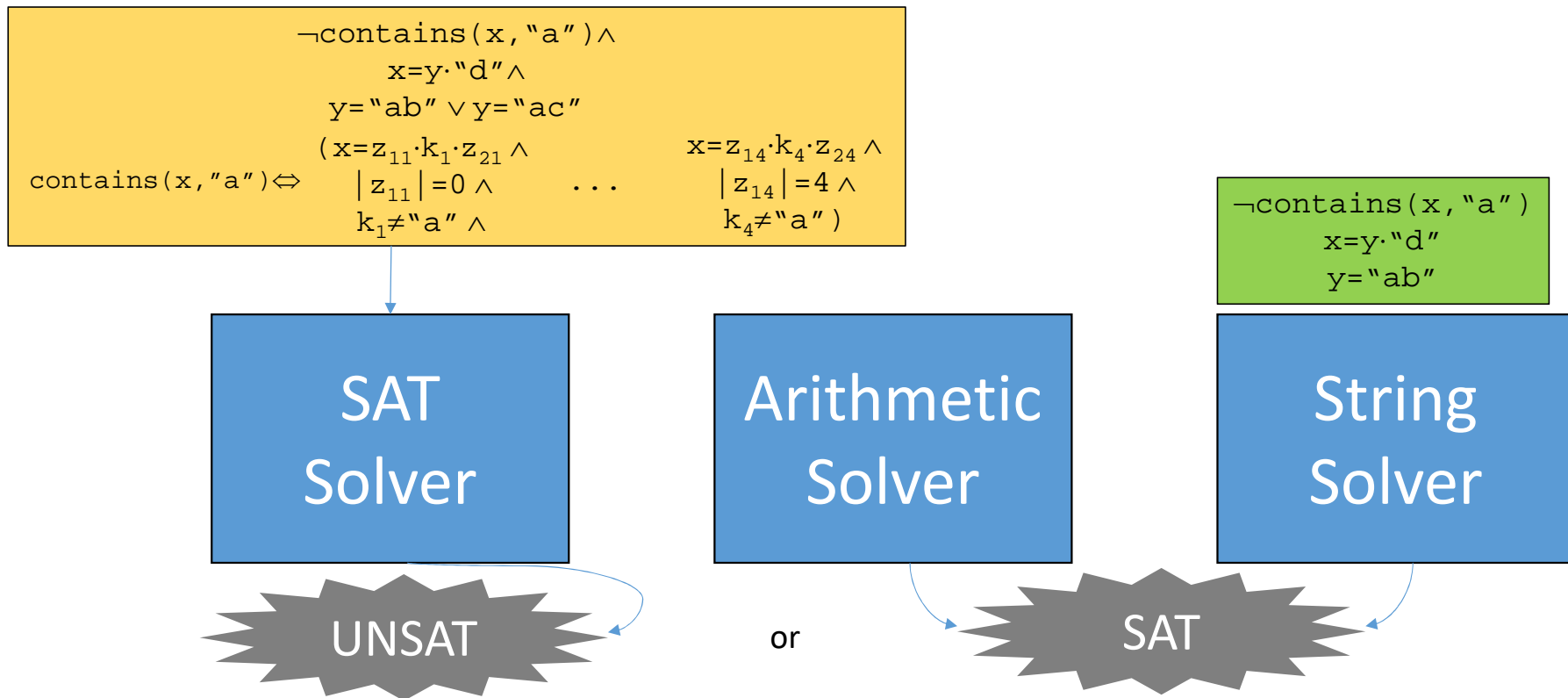
(Lazy) Expansion of Extended Constraints



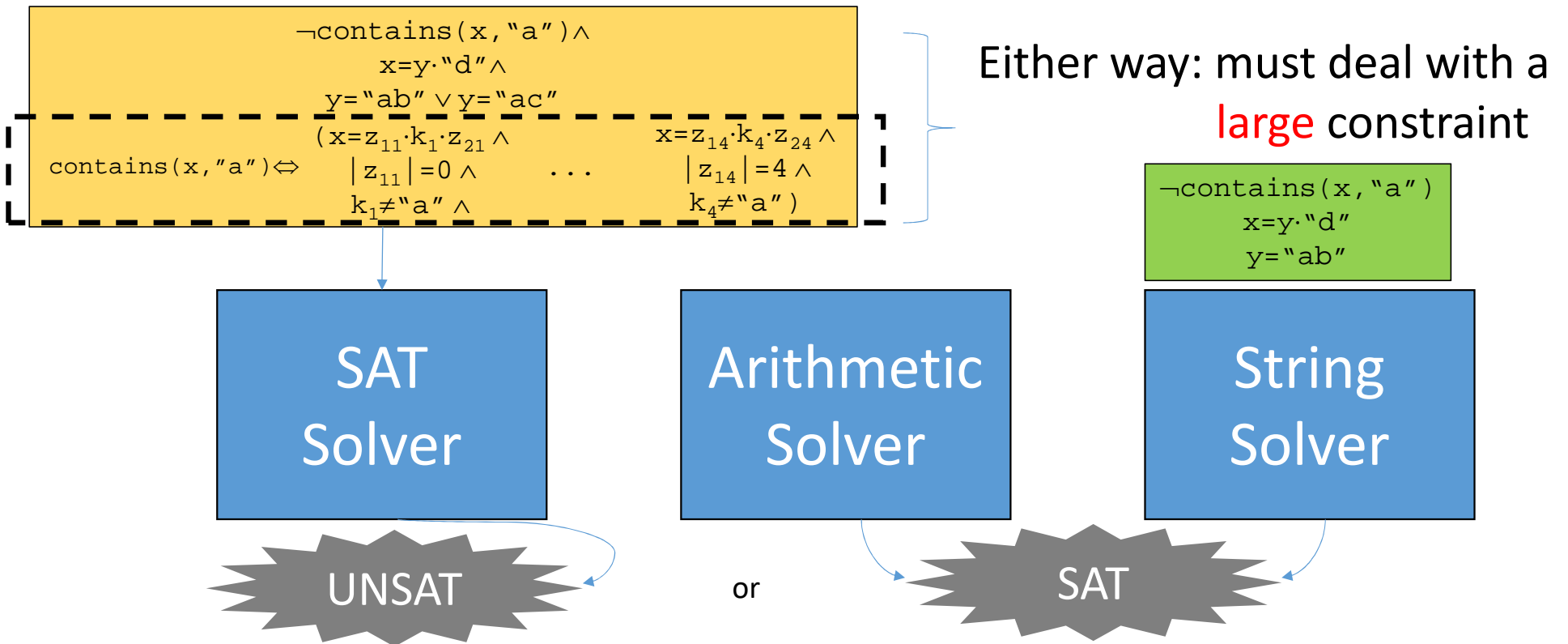
(Lazy) Expansion of Extended Constraints



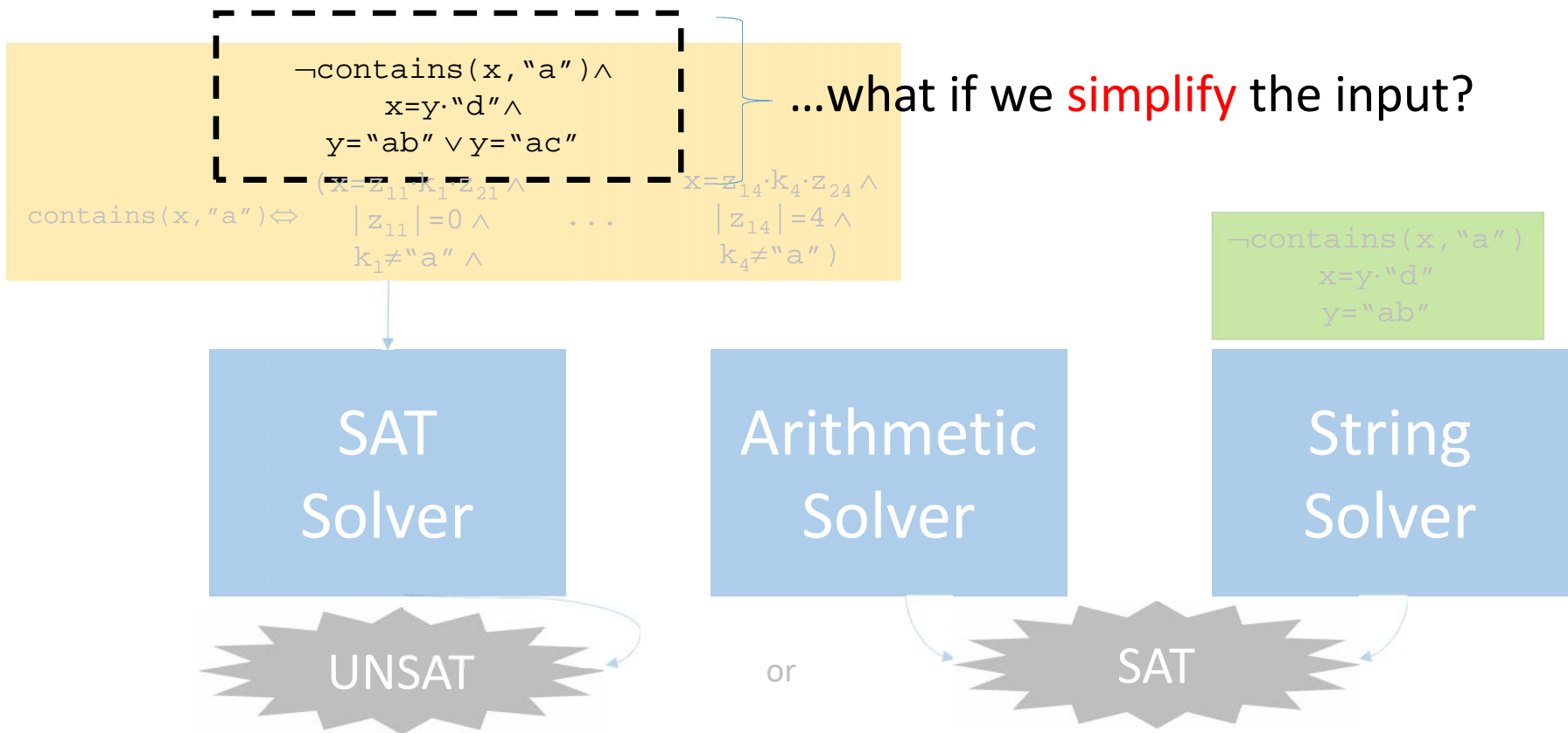
(Lazy) Expansion of Extended Constraints



(Lazy) Expansion of Extended Constraints



(Lazy) Expansion of Extended Constraints



SMT Solvers + Simplification

- All SMT solvers implement *simplification* techniques
(also called *normalization* or *rewrite rules*)

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¬contains(x, "a") ∧  
  x=y·"d" ∧  
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SMT Solvers + Simplification

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(also called *normalization* or *rewrite rules*)

$\neg \text{contains}(x, \text{"a"}) \wedge$
 $x = y \cdot \text{"d"} \wedge$
 $y = \text{"ab"} \vee y = \text{"ac"}$

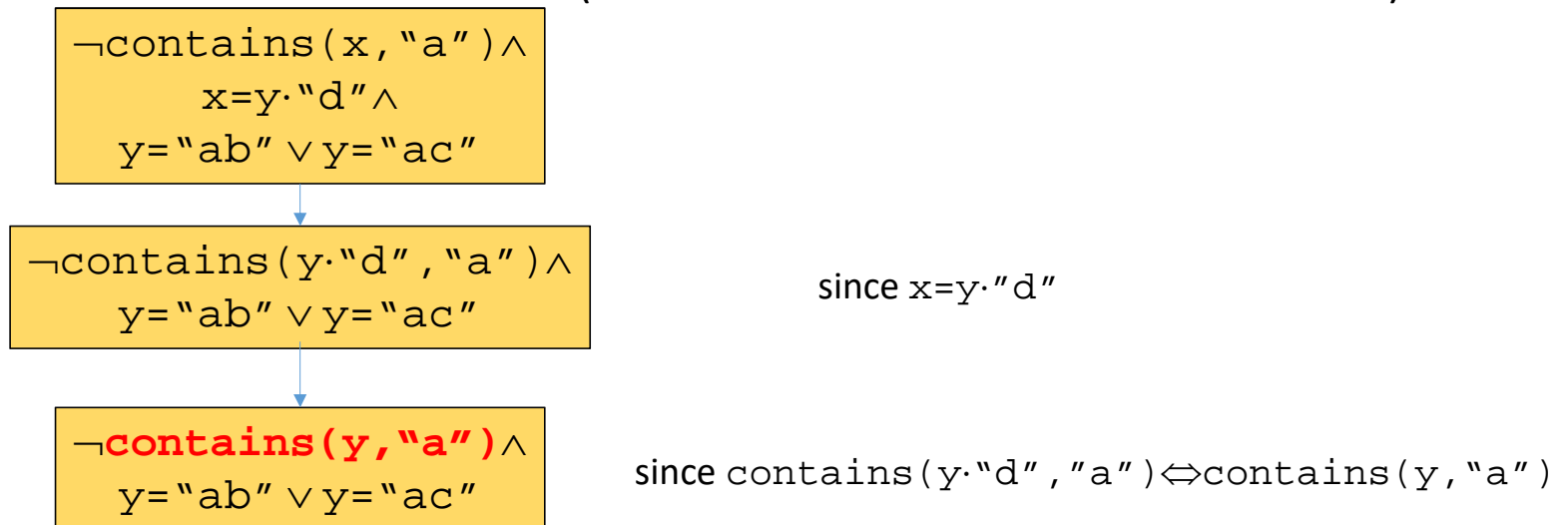


$\neg \text{contains}(y \cdot \text{"d"}, \text{"a"}) \wedge$
 $y = \text{"ab"} \vee y = \text{"ac"}$

since $x = y \cdot \text{"d"}$

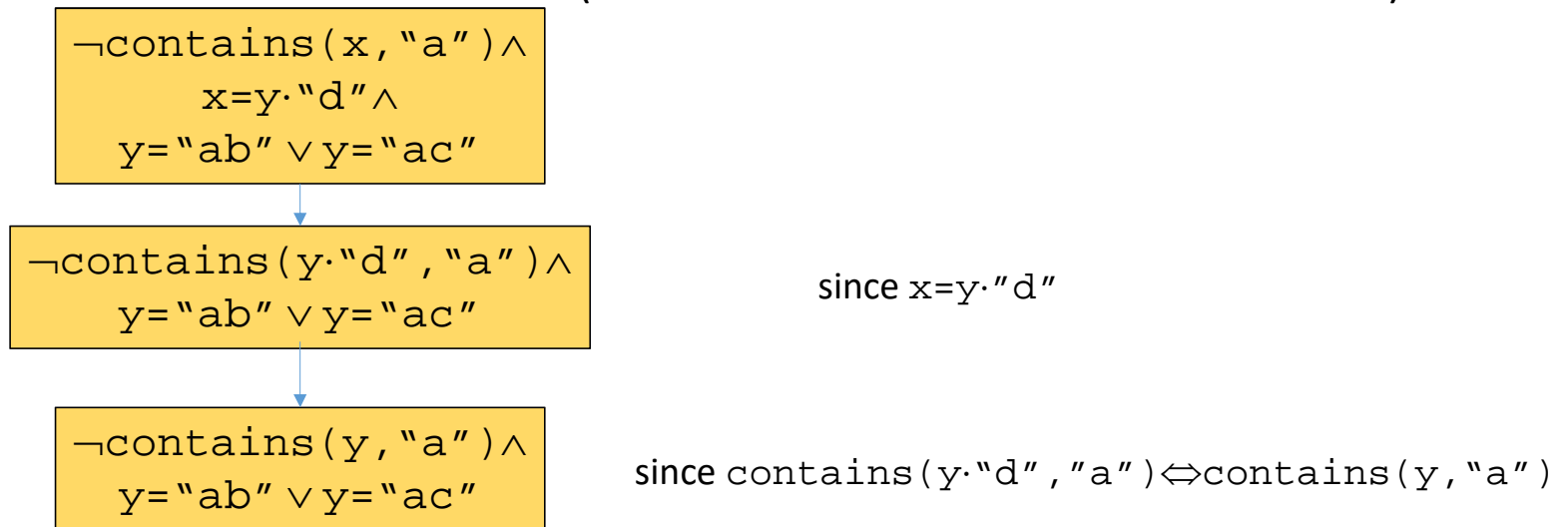
SMT Solvers + Simplification

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SMT Solvers + Simplification

- All SMT solvers implement *simplification* techniques
(also called *normalization* or *rewrite rules*)



- Leads to smaller inputs, simpler procedures

(Lazy) Expansion + Simplification

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¬contains(x, "a") ∧  
x=y·"d" ∧  
y="ab" ∨ y="ac"
```

SAT
Solver

Arithmetic
Solver

String
Solver

(Lazy) Expansion + Simplification

```
¬contains(x, "a") ∧  
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y="ab" ∨ y="ac"
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```
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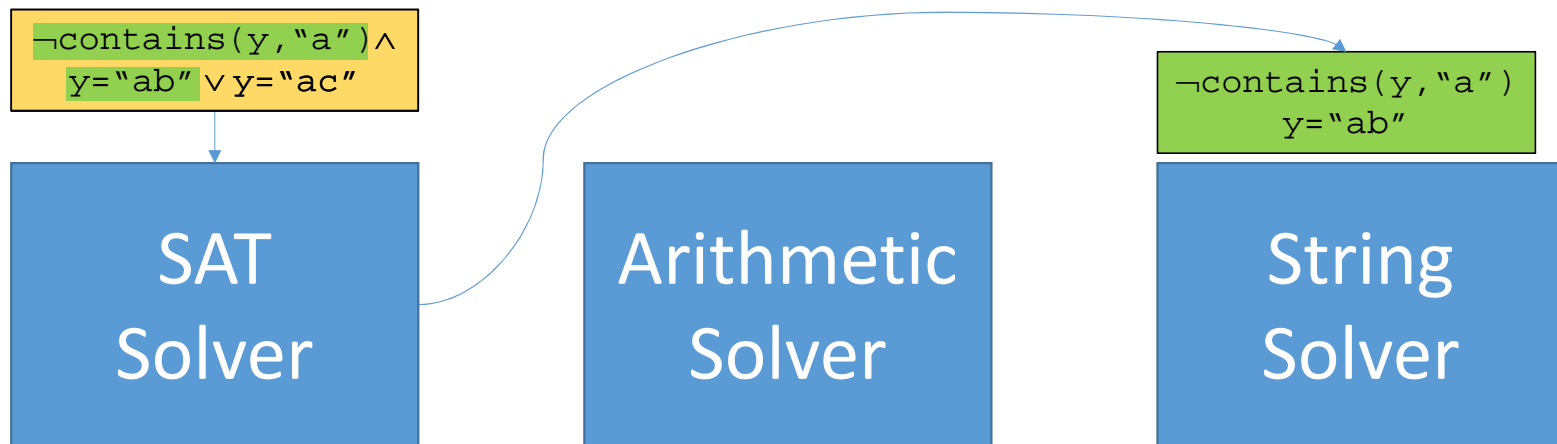
Simplify the input

SAT
Solver

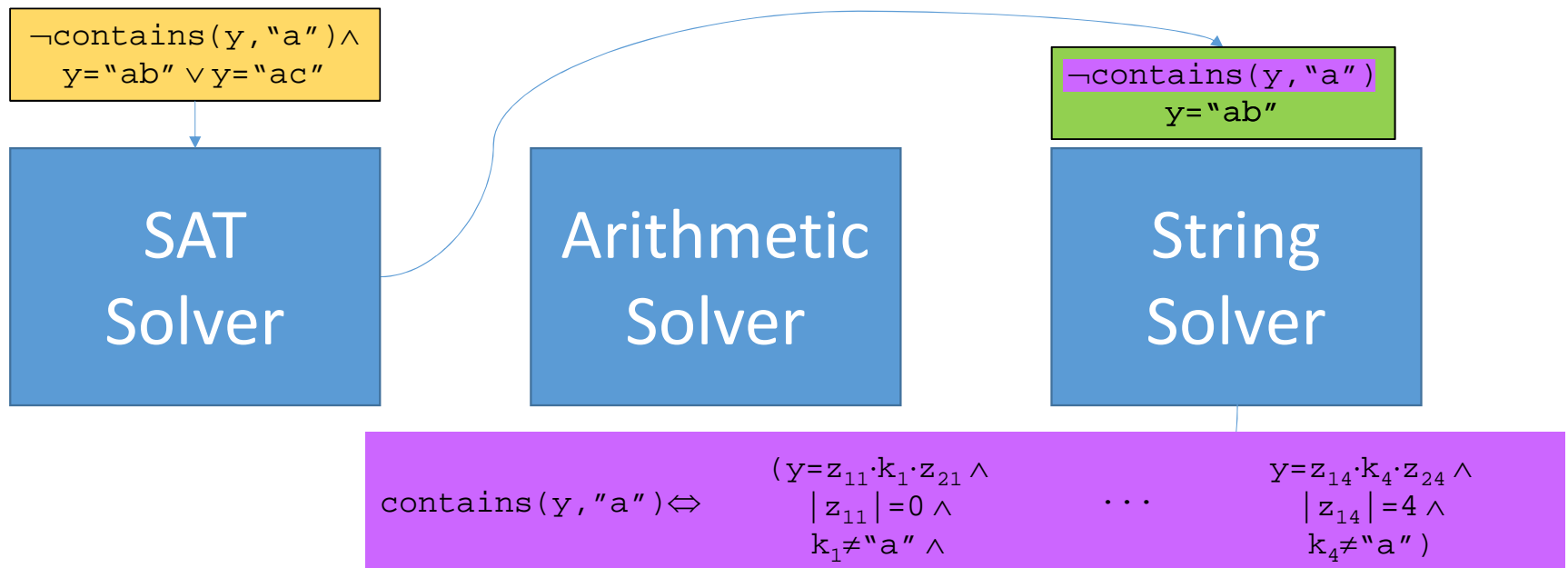
Arithmetic
Solver

String
Solver

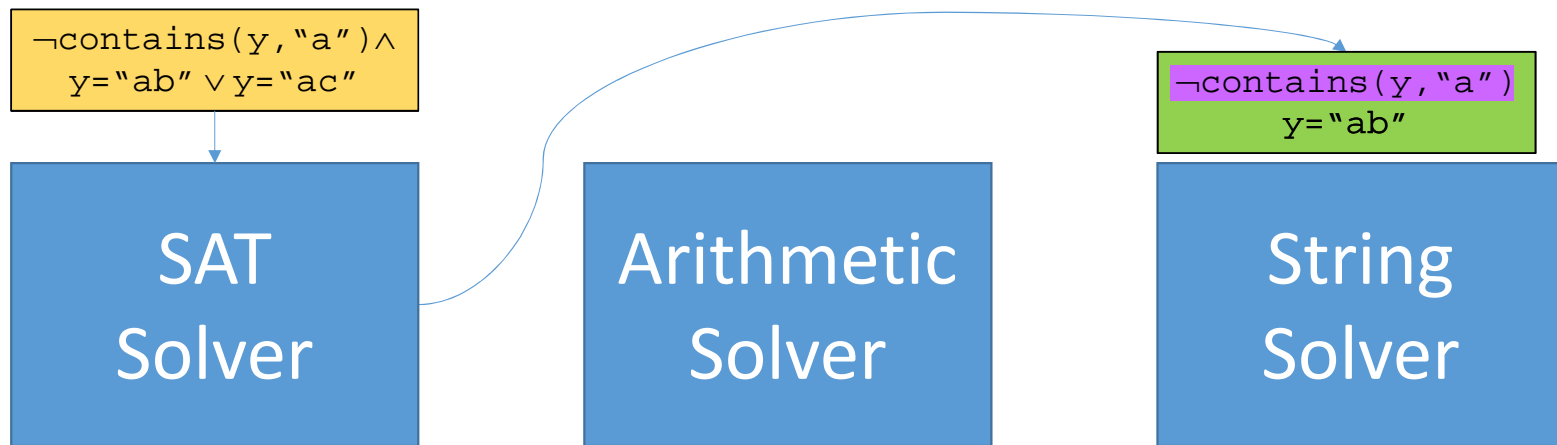
(Lazy) Expansion + Simplification



(Lazy) Expansion + Simplification



(Lazy) Expansion + Simplification



Still have a large constraint

$$\text{contains}(y, "a") \Leftrightarrow (y = z_{11} \cdot k_1 \cdot z_{21} \wedge |z_{11}| = 0 \wedge k_1 \neq "a" \wedge \dots \wedge y = z_{14} \cdot k_4 \cdot z_{24} \wedge |z_{14}| = 4 \wedge k_4 \neq "a")$$

(Lazy) Expansion + Simplification

What if we simplify based on the **context?**



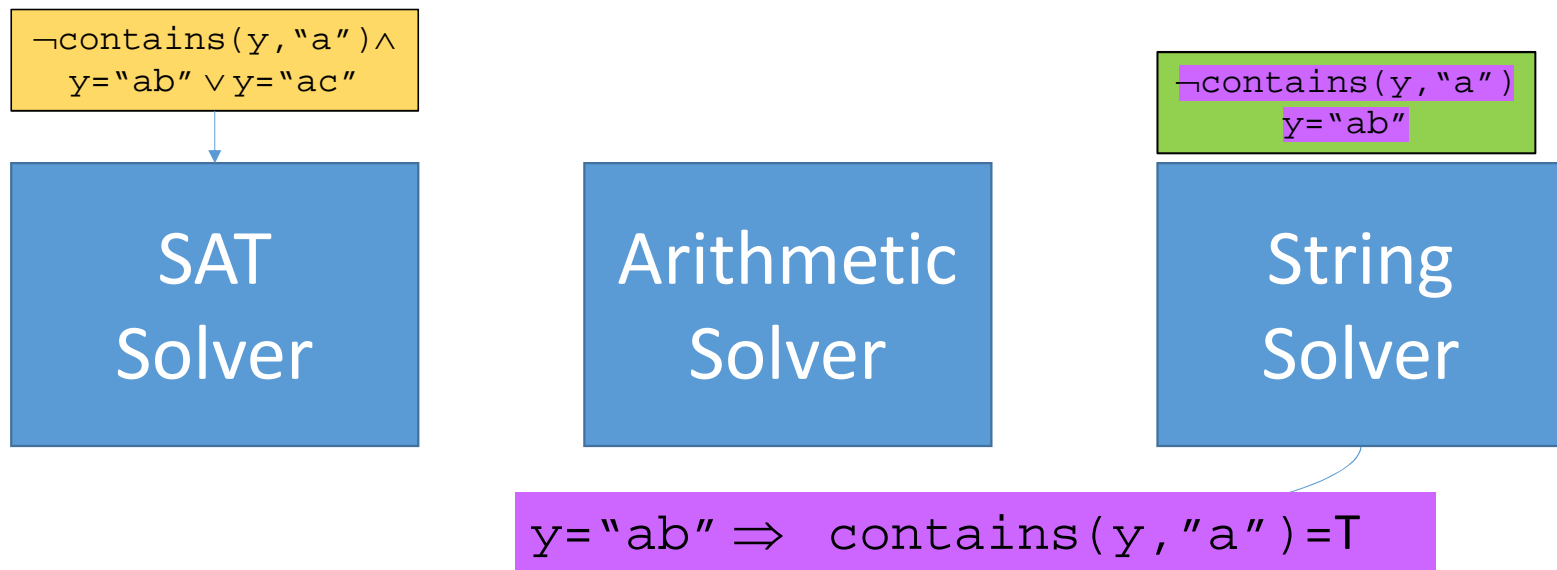
$$\text{contains}(y, "a") \Leftrightarrow (y = z_{11} \cdot k_1 \cdot z_{21} \wedge |z_{11}| = 0 \wedge k_1 \neq "a" \wedge \dots \wedge y = z_{14} \cdot k_4 \cdot z_{24} \wedge |z_{14}| = 4 \wedge k_4 \neq "a")$$

(Lazy) Expansion + **Context-Dependent** Simplification

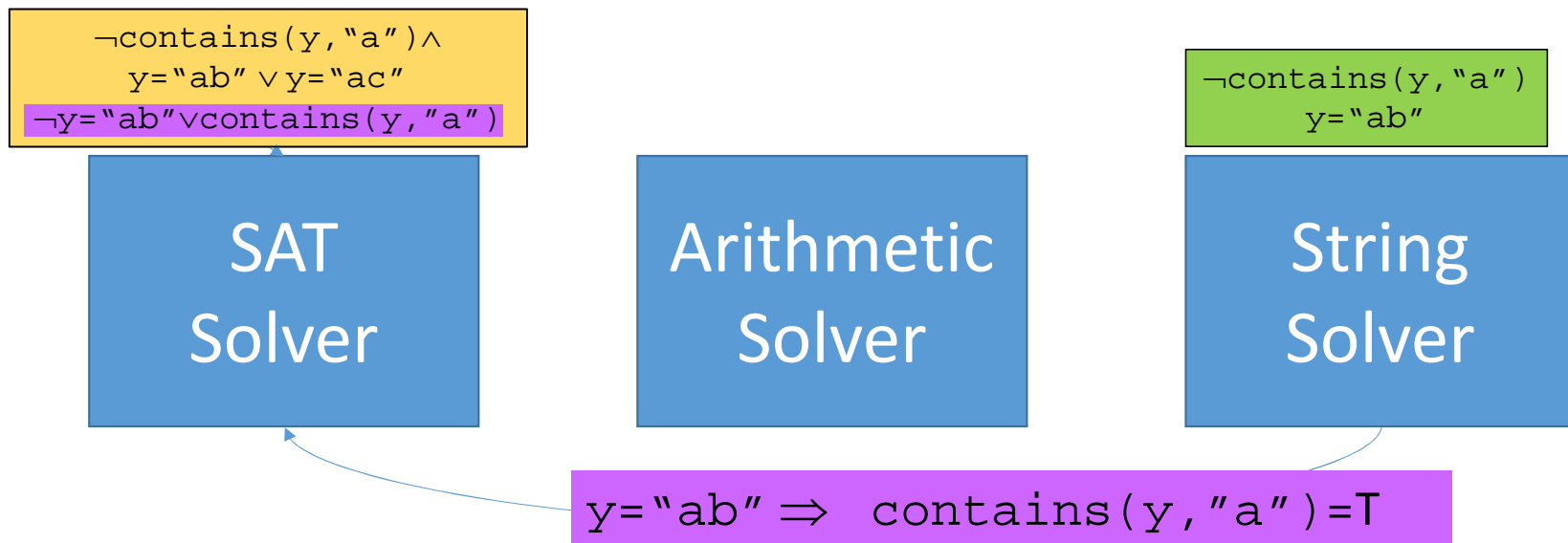


Since $\text{contains}(y, "a")$ is true when $y = "ab"$...

(Lazy) Expansion + **Context-Dependent** Simplification



(Lazy) Expansion + **Context-Dependent** Simplification



(Lazy) Expansion + **Context-Dependent** Simplification

```
¬contains(y, "a") ∧  
y = "ab" ∨ y = "ac"  
¬y = "ab" ∨ contains(y, "a")
```

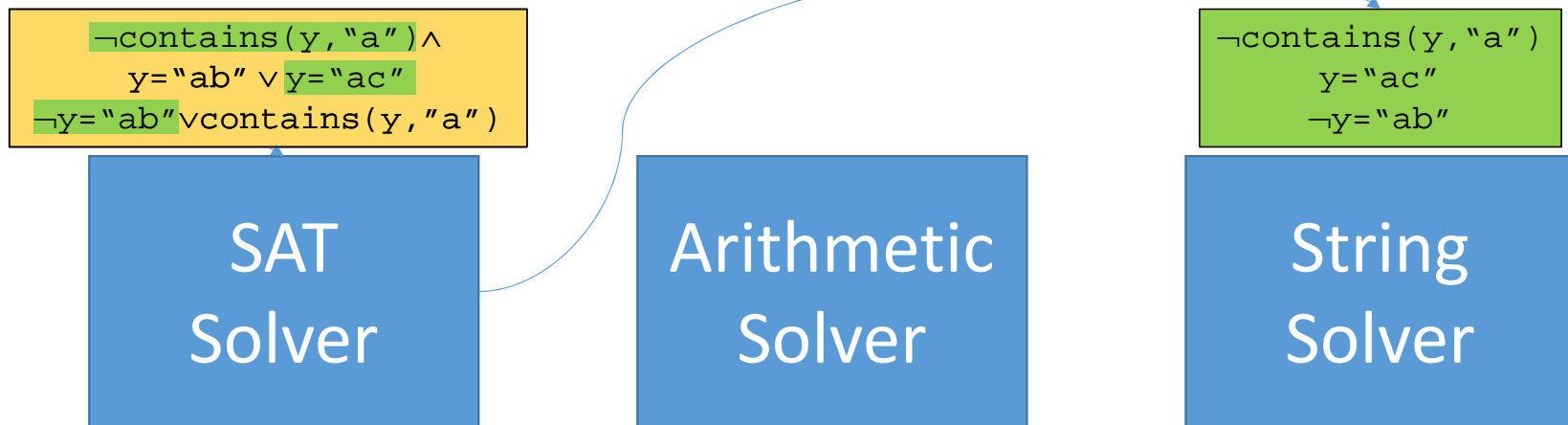
SAT
Solver

Arithmetic
Solver

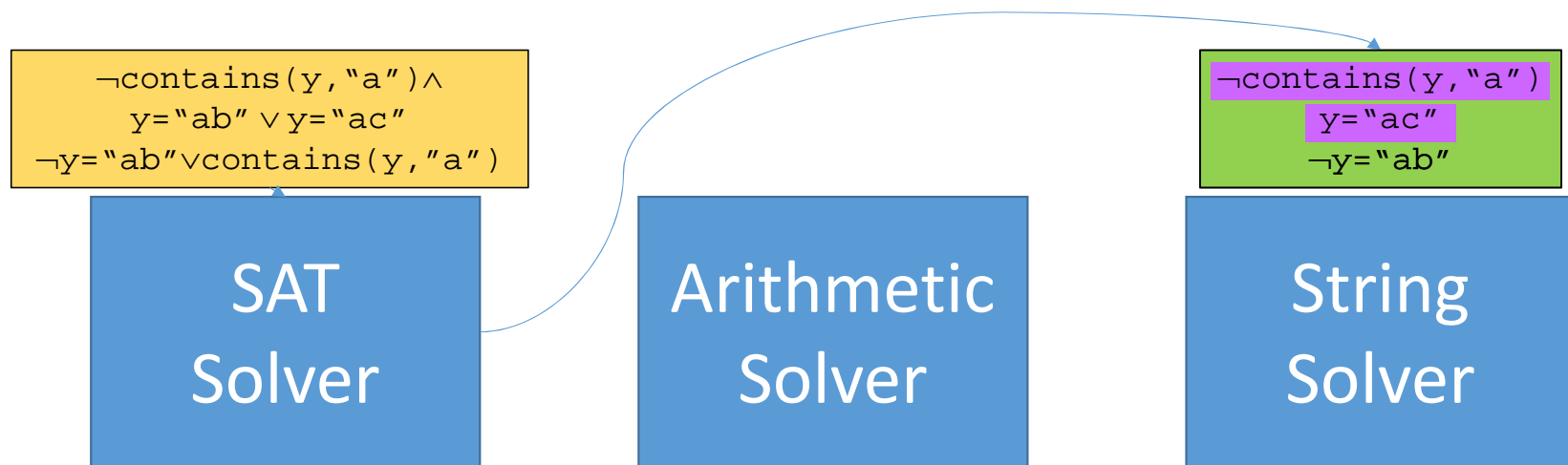
```
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y = "ab"
```

String
Solver

(Lazy) Expansion + **Context-Dependent** Simplification

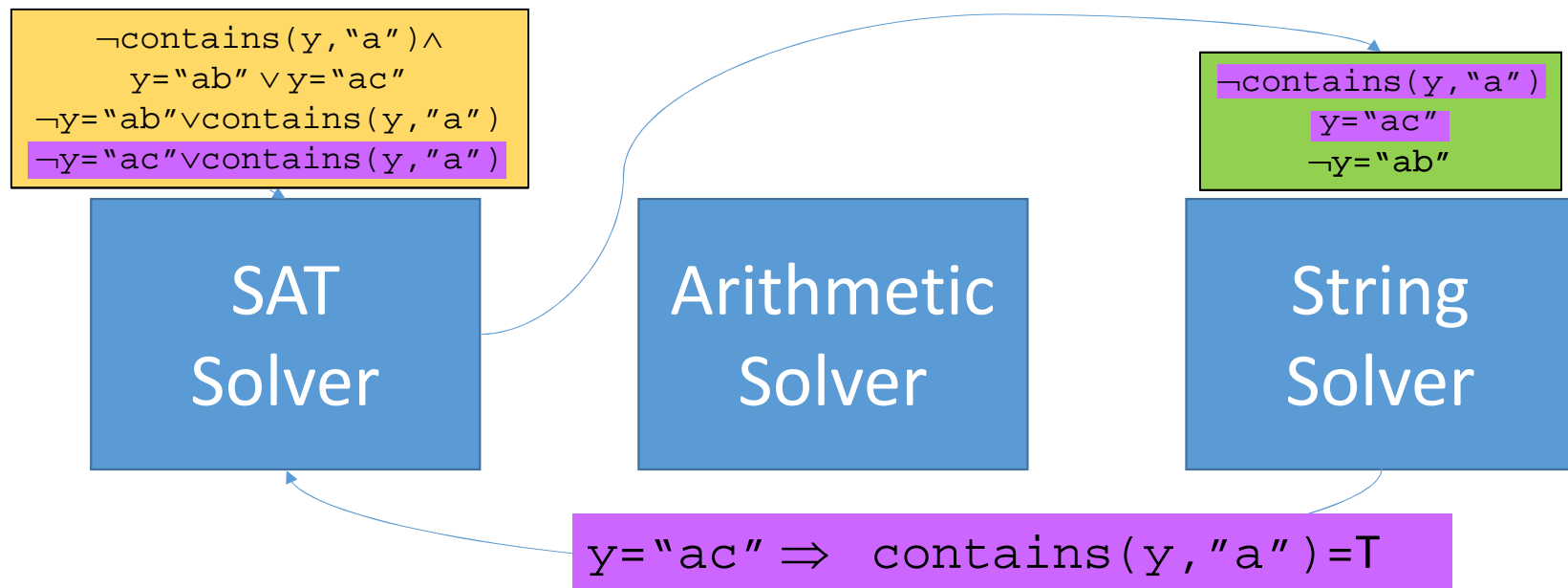


(Lazy) Expansion + **Context-Dependent** Simplification

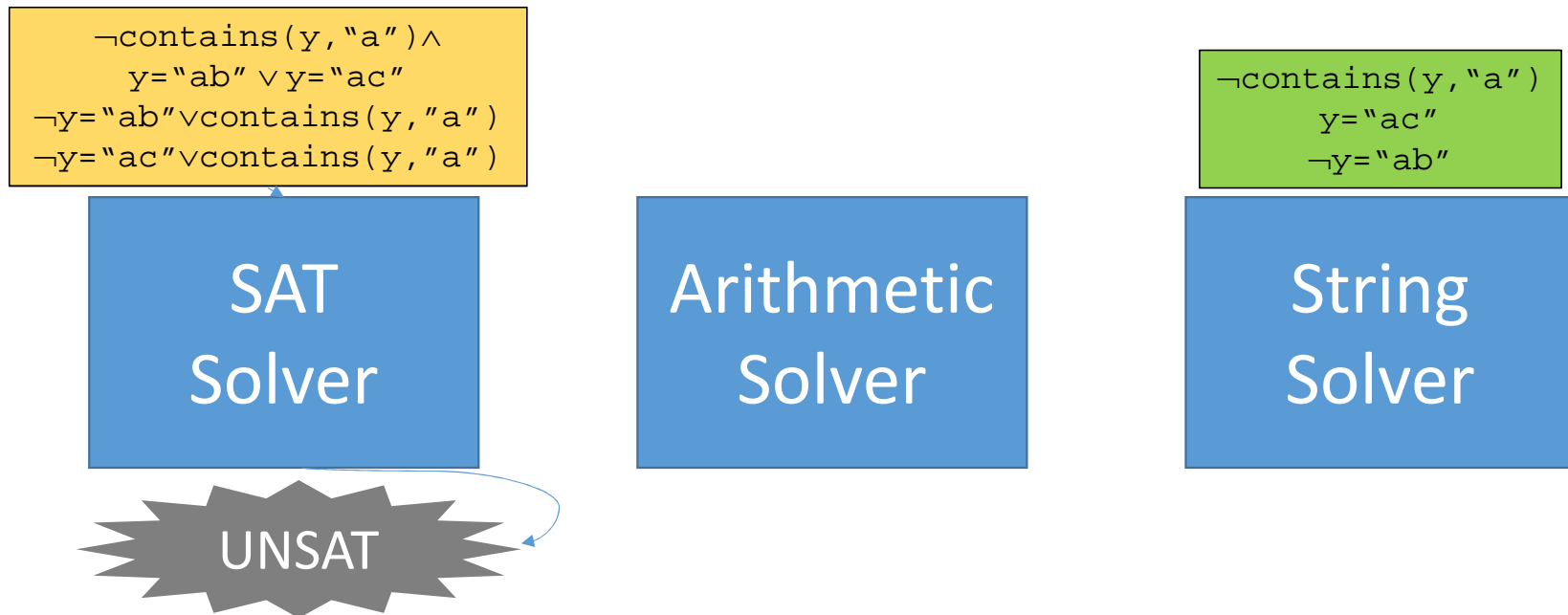


$\text{contains}(y, "a")$ is also true when $y = "ac"$...

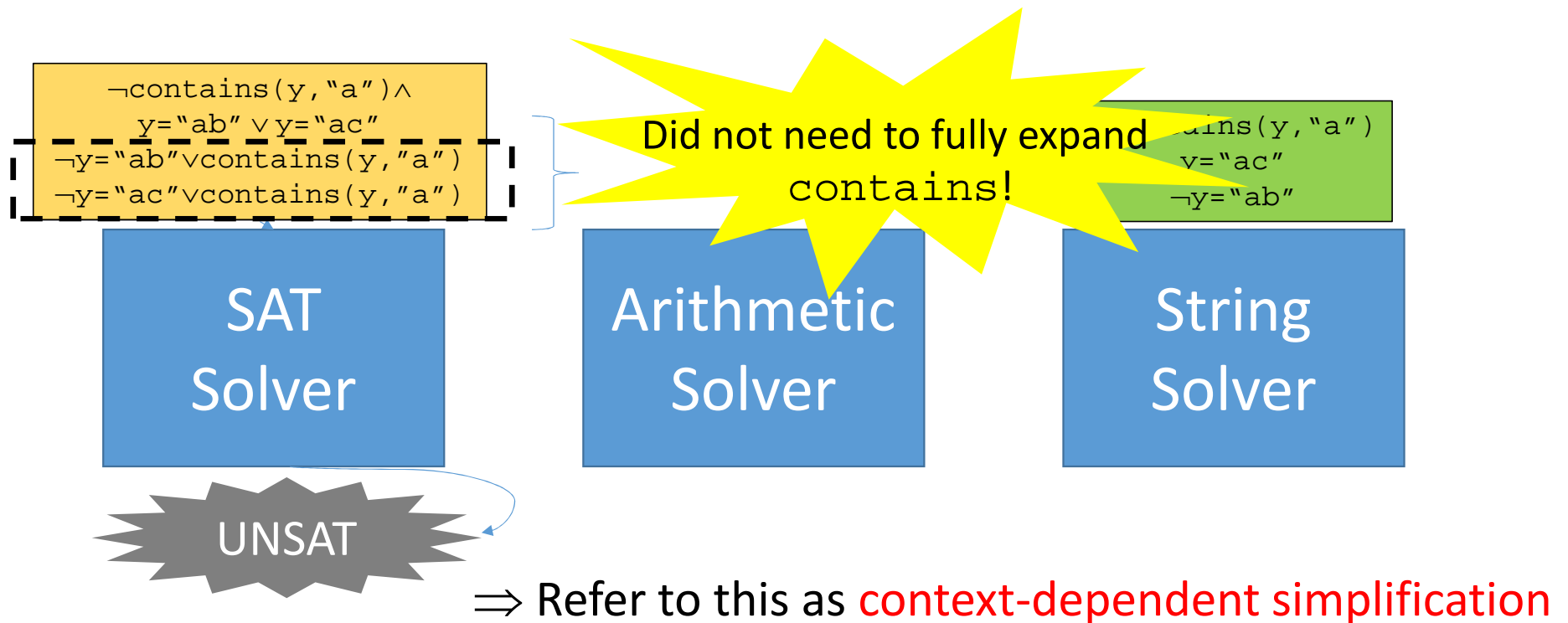
(Lazy) Expansion + **Context-Dependent** Simplification



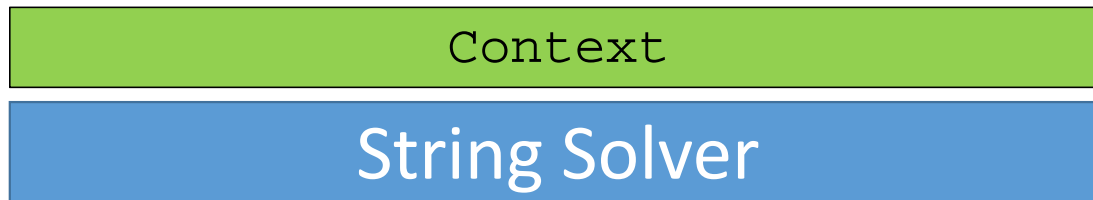
(Lazy) Expansion + **Context-Dependent** Simplification



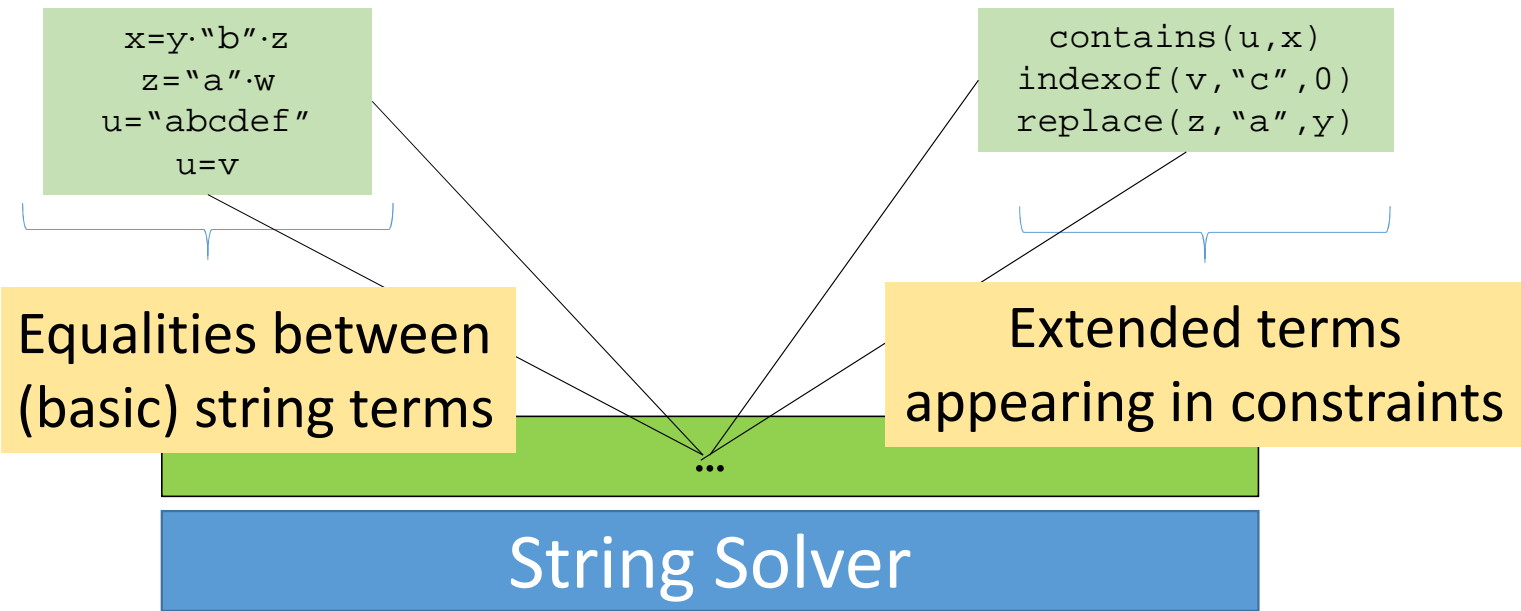
(Lazy) Expansion + **Context-Dependent** Simplification



Context-Dependent Simplification



Context-Dependent Simplification



Context-Dependent Simplification

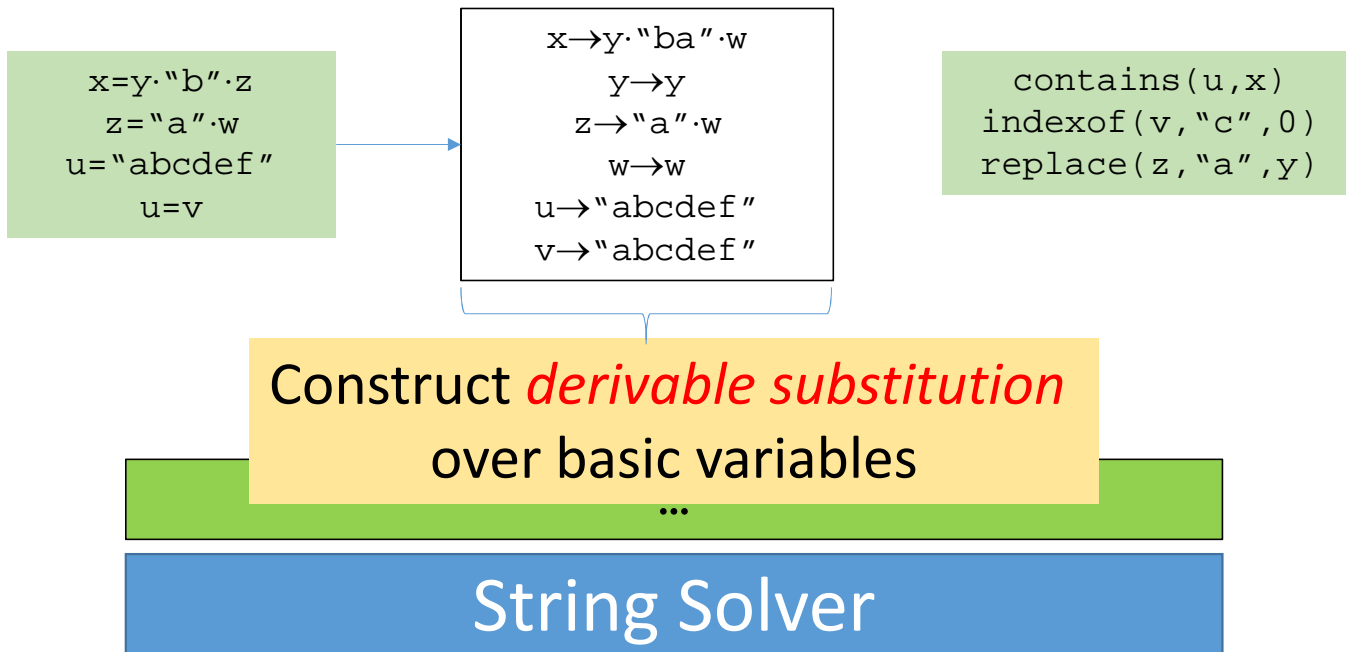
```
x=y·"b"·z  
z="a"·w  
u="abcdef"  
u=v
```

```
contains(u,x)  
indexOf(v,"c",0)  
replace(z,"a",y)
```

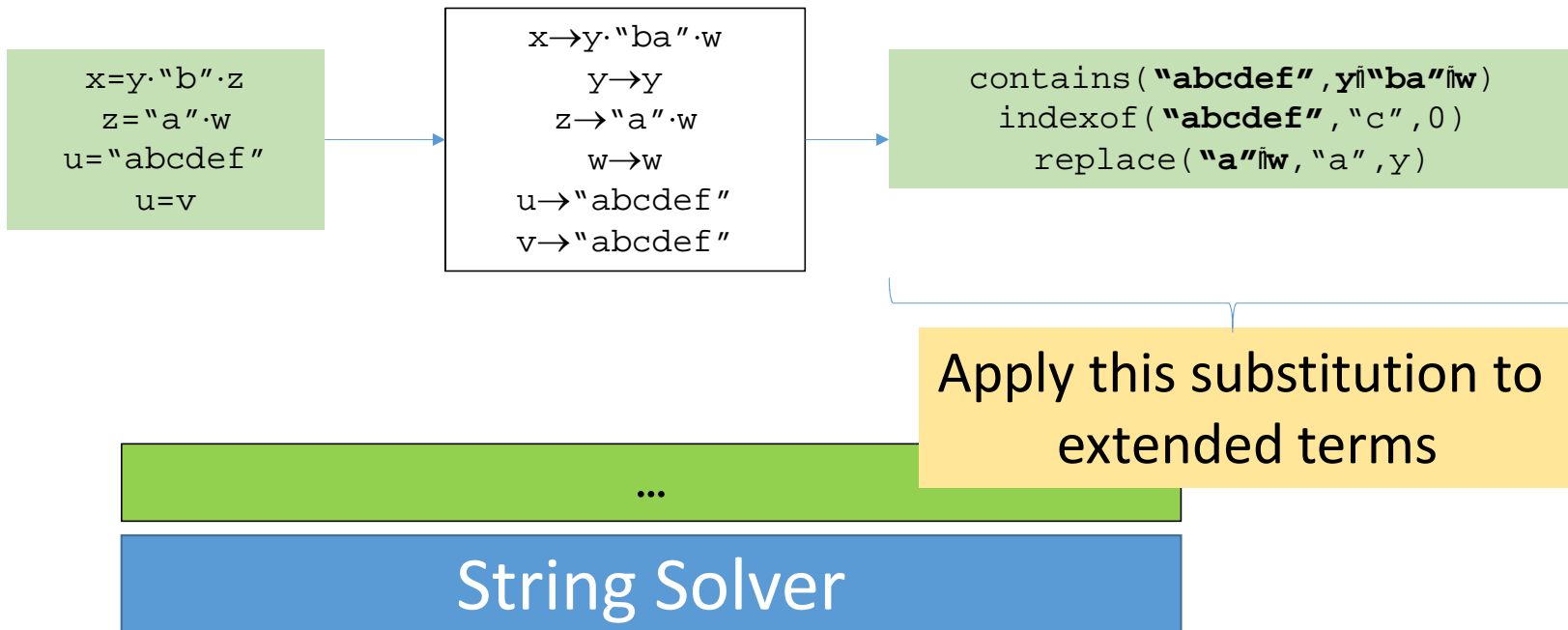
...

String Solver

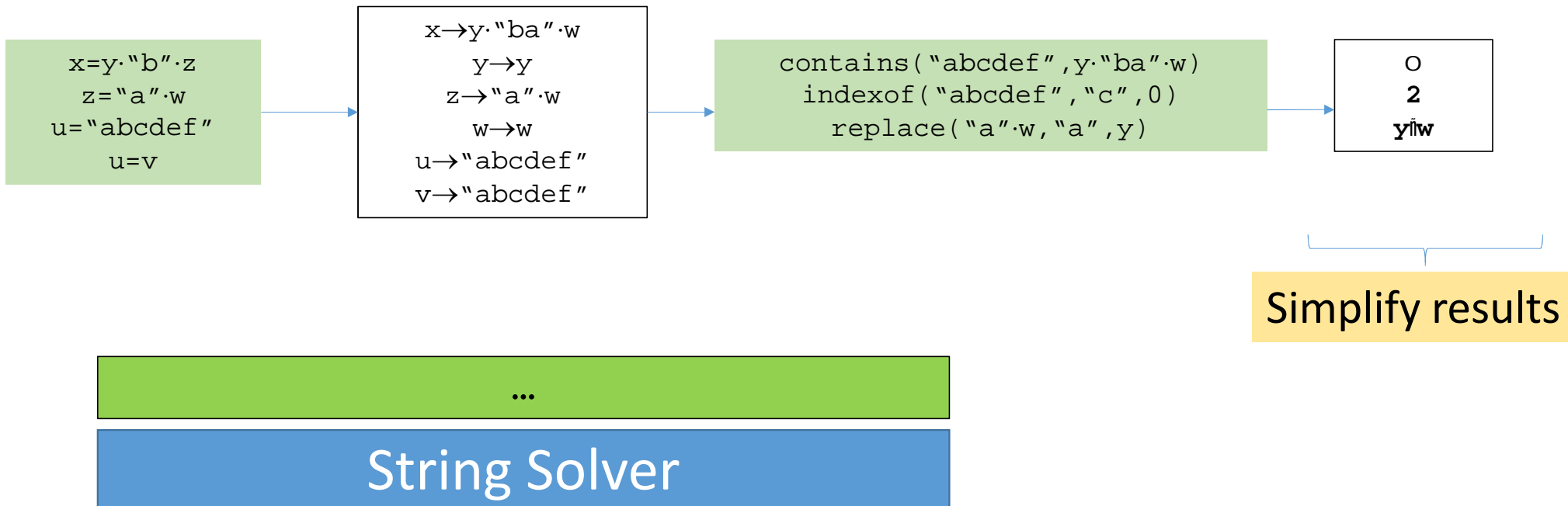
Context-Dependent Simplification



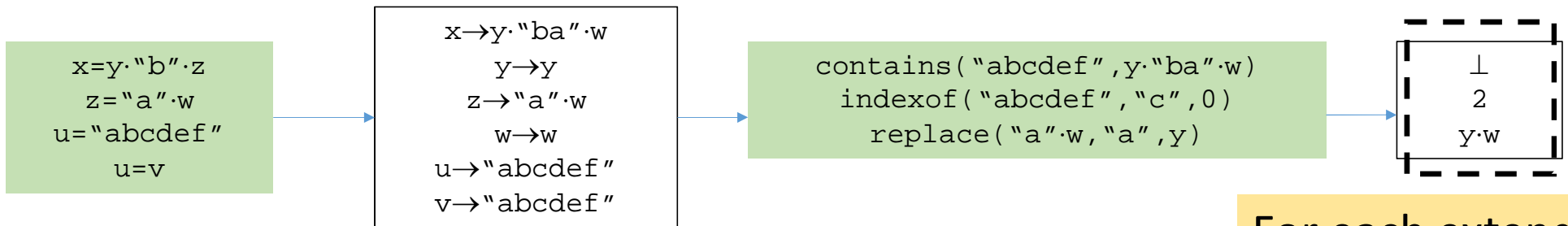
Context-Dependent Simplification



Context-Dependent Simplification



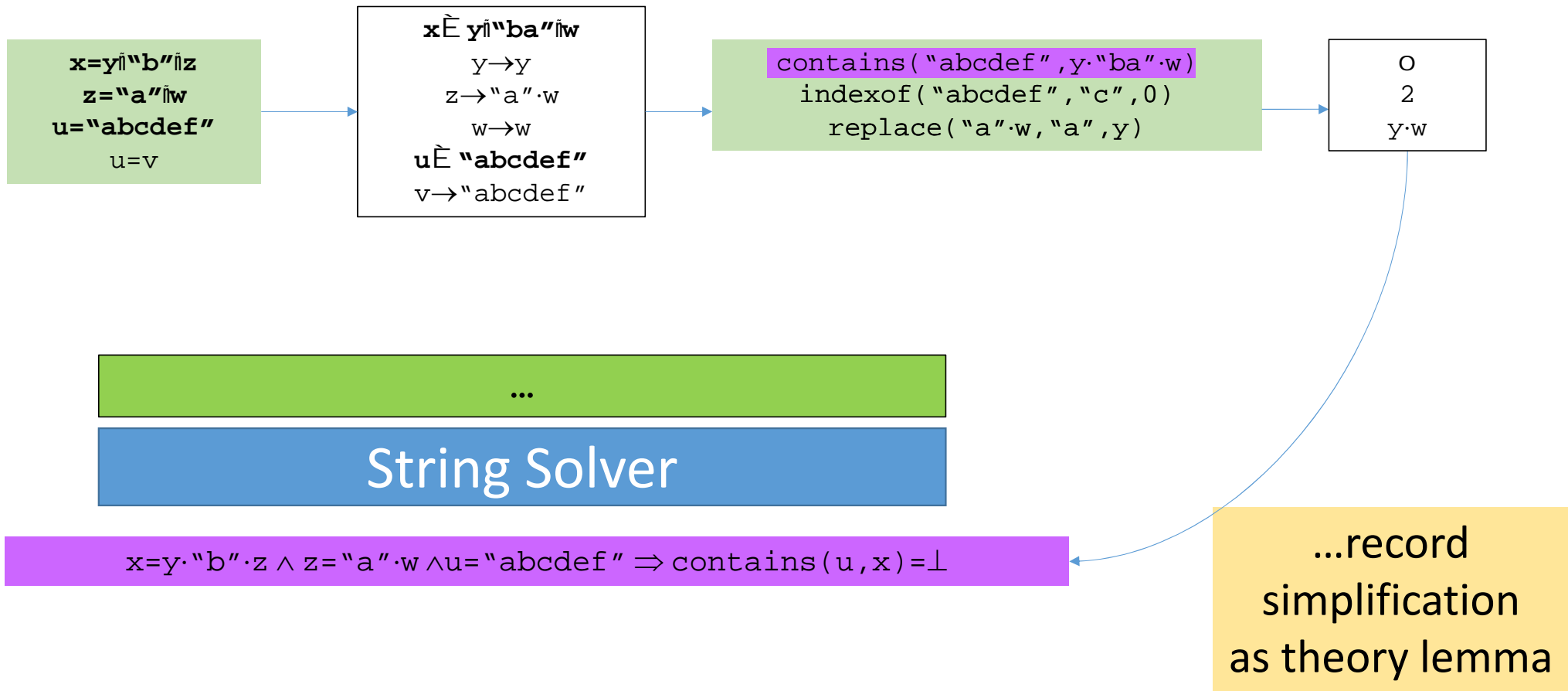
Context-Dependent Simplification



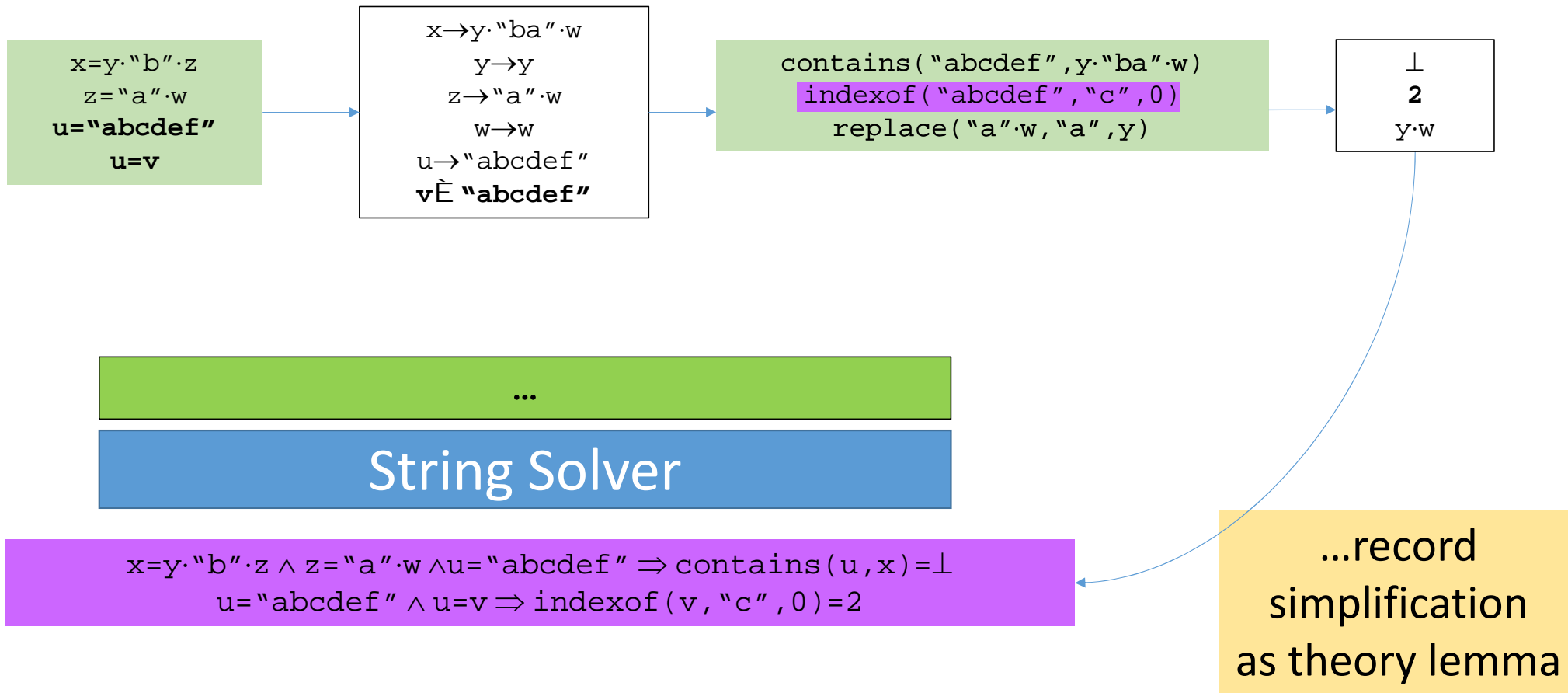
For each extended term that was simplified to a basic one...



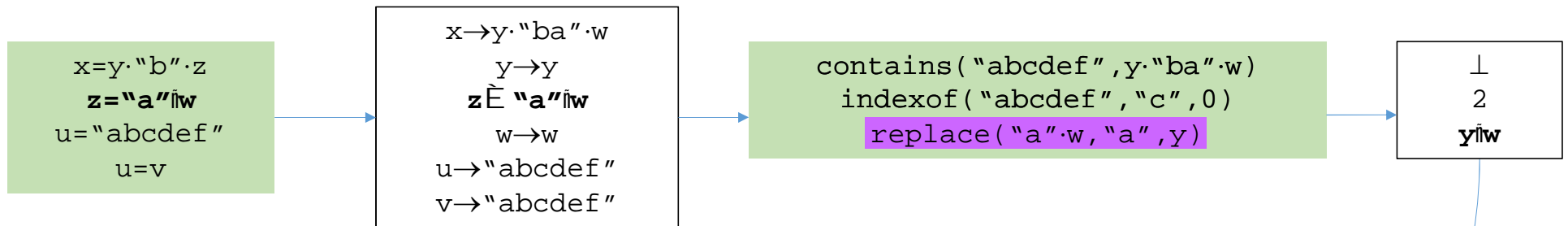
Context-Dependent Simplification



Context-Dependent Simplification



Context-Dependent Simplification



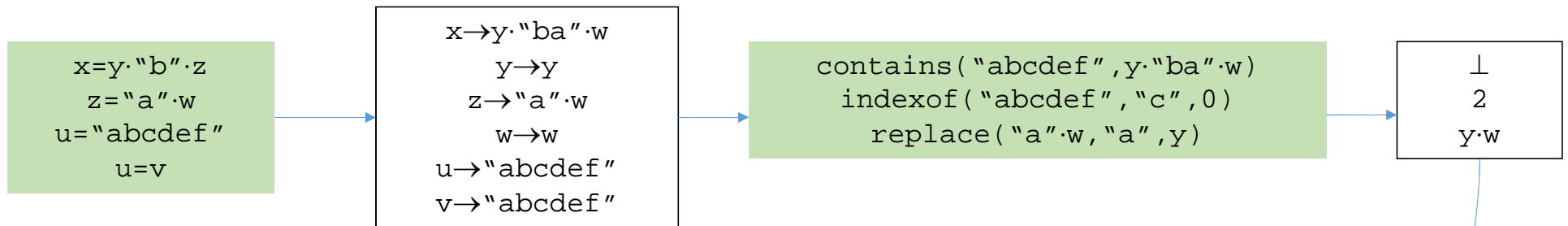
...

String Solver

$x=y\cdot"b"\cdot z \wedge z="a"\cdot w \wedge u="abcdef" \Rightarrow \text{contains}(u,x)=\perp$
 $u="abcdef" \wedge u=v \Rightarrow \text{indexof}(v,"c",0)=2$
 $z="a"\cdot w \Rightarrow \text{replace}(z,"a",y)=y\cdot w$

...record simplification as theory lemma

Context-Dependent Simplification



...

String Solver

$$\begin{aligned}
 &x=y\cdot"b"\cdot z \wedge z="a"\cdot w \wedge u="abcdef" \Rightarrow \text{contains}(u, x) = \perp \\
 &u="abcdef" \wedge u=v \Rightarrow \text{indexof}(v, "c", 0) = 2 \\
 &z="a"\cdot w \Rightarrow \text{replace}(z, "a", y) = y\cdot w
 \end{aligned}$$

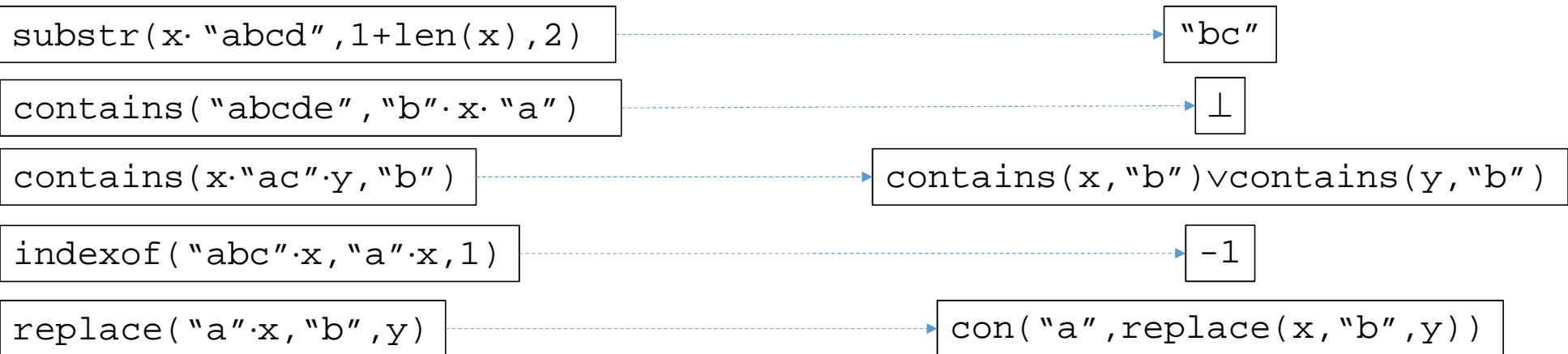
In our dataset, inference of this form is possible in 98% of contexts

Simplification Rules for Strings

- Unlike arithmetic:

$$x+x+7*y=y-4 \quad \longrightarrow \quad 2*x+6*y+4=0$$

...**simplification** rules for **strings** are **highly non-trivial**:



- Implemented in 3000+ lines of C++ code

Theoretical Contribution

- Approach described as a rule-based *calculus*, e.g.:

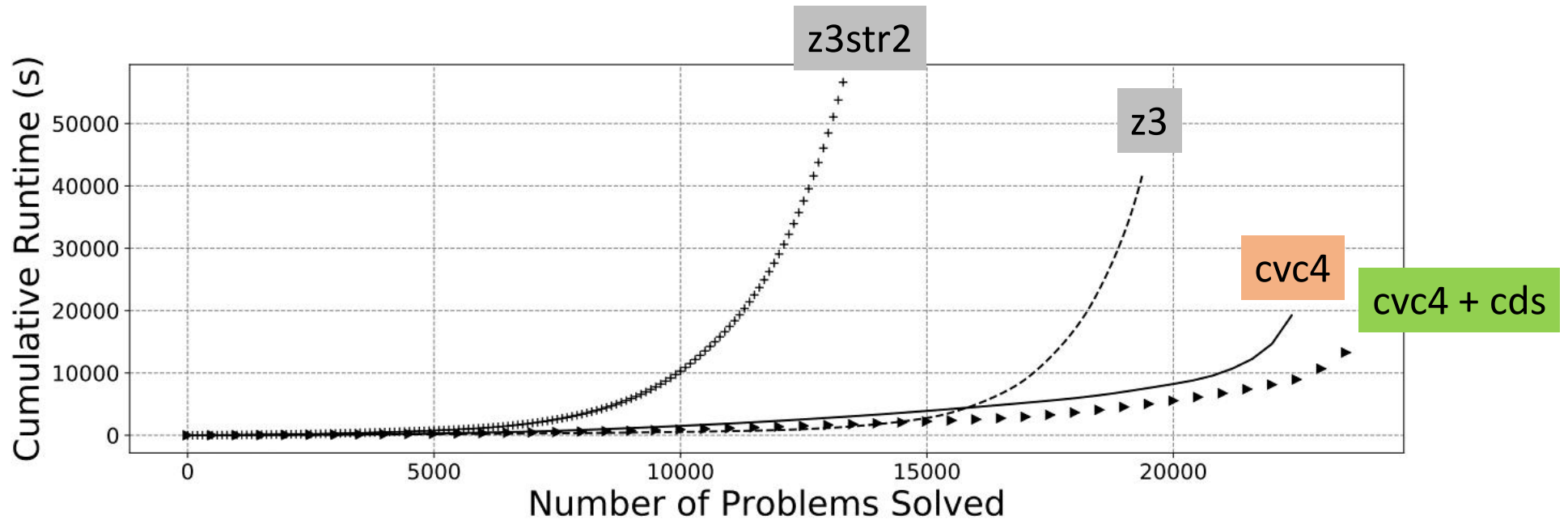
$$\text{Ext-Simplify} \frac{\begin{array}{c} \dots \\ x \approx t \in X \quad E \models \mathbf{y} \approx \mathbf{s} \quad (t\{\mathbf{y} \mapsto \mathbf{s}\})\downarrow \text{ is a } \Sigma_{AS}\text{-term} \end{array}}{G := G, [x \approx (t\{\mathbf{y} \mapsto \mathbf{s}\})\downarrow] \quad X := X \setminus \{x \approx t\}} \dots$$

- Calculus is:
 - **Refutation-sound**
 - **Model-sound**
 - **Not terminating** in general (decidability is still unknown)

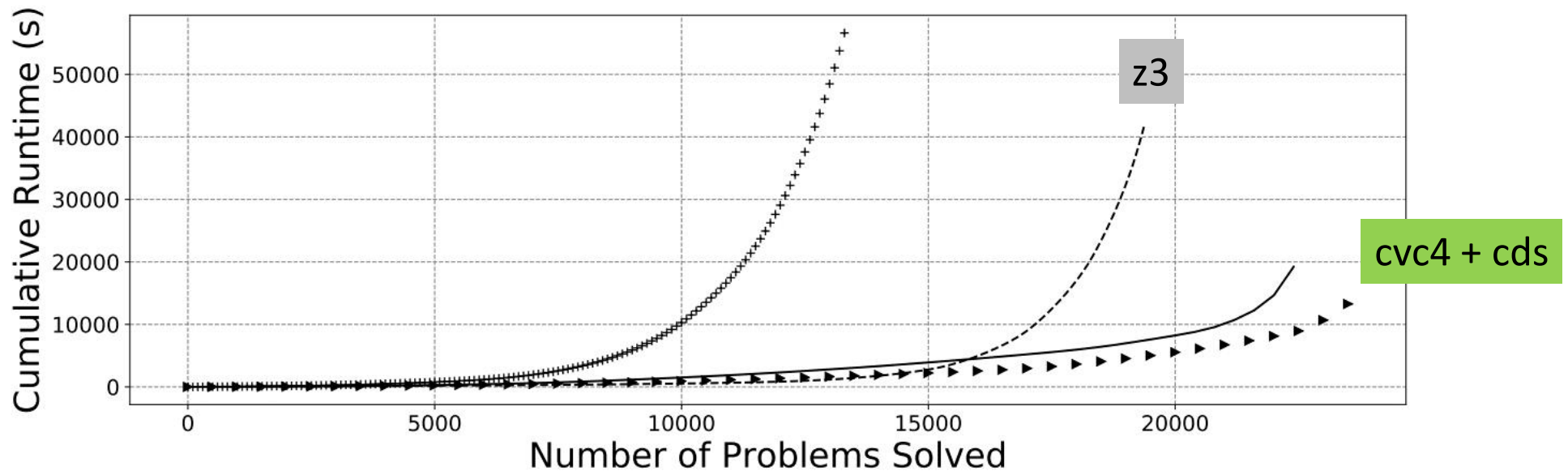
Experimental Results : PyEx Symbolic Execution

- Logged queries from **PyEx symbolic execution engine** (successor of PyExZ3)
 - Using z3str2, z3 and cvc4 as path constraint solver
- Total of **25,421 benchmarks** over 3 runs
- Compared z3str2, z3, cvc4 w, w/o context-dependent simplification (cds)

Results : PyEx Symbolic Execution Benchmarks (25,421)

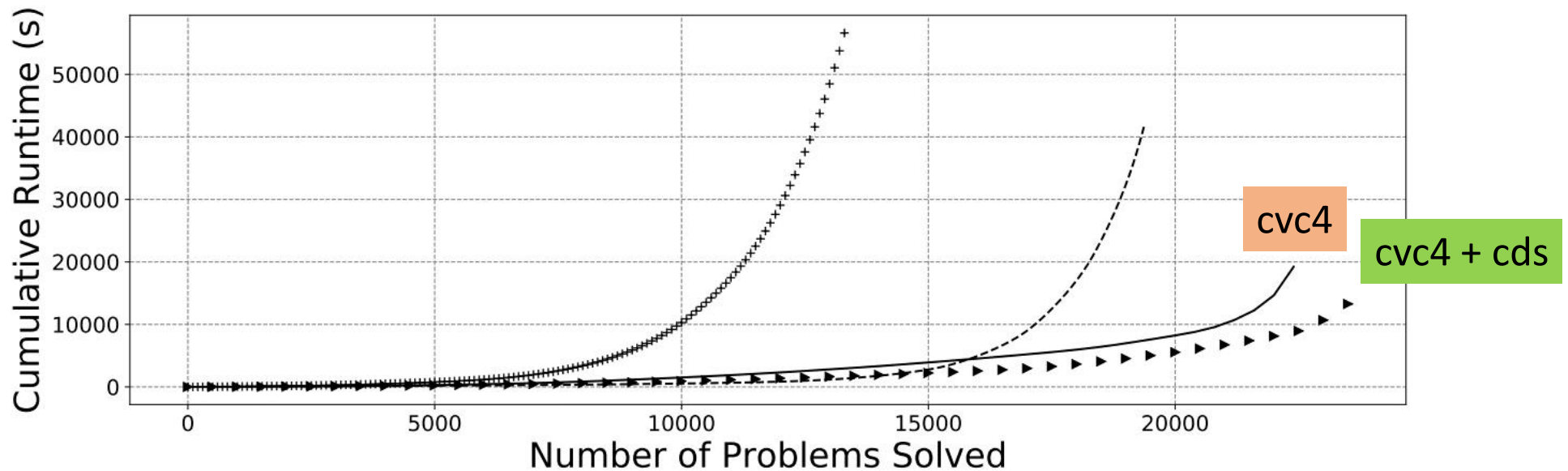


Results : PyEx Symbolic Execution Benchmarks (25,421)



- **cvc4+context-dependent simplification** solves 23,802 benchmarks in 5h8m
 - Nearest competitor **z3** solves 19,368 benchmarks in 11h33m

Results : PyEx Symbolic Execution Benchmarks (25,421)



- By using context-dependent simplification:
 - **cvc4+cds** solves 536 benchmarks (+582 -46) w.r.t default **cvc4**
 - **cvc4+cds** expands 4.2x fewer extended terms per benchmark

Impact on PyEx Symbolic Execution

- Considered regression tests for 4 Python packages:
 - `httplib2`, `pip`, `pymongo`, `requests`
- Tested PyEx using different SMT backends:

Config	Time	Branch Coverage	Line Coverage
PyEx+z3str2	13h49m	3,500	8.34%
PyEx+z3	11h57m	3,895	8.41%
PyEx+cvc4	4h55m	3,612	8.48%

⇒ PyEx+cvc4 achieves comparable program coverage, much faster, wrt other solvers

Summary

- New technique **context-dependent simplification** implemented in CVC4's string solver
- Improves **scalability** on extended string constraints
- PyEx + CVC4 achieves **comparable program coverage** using **41% of the runtime** as PyEx + nearest competitor

Future Work

- More **aggressive simplification rules** for strings
 - More powerful rules → better performance
- **Quality of models** for PyEx symbolic execution
 - Which models lead to higher code coverage?
- Apply context-dependent simplification to **other theories**:
 - Non-linear arithmetic
 - Lazy bit-blasting approaches to bit-vectors
 - ⇒ See [\[Reynolds et al FroCoS 2017\]](#) for details
- Simplification directly benefits **Syntax-Guided Synthesis** for strings in CVC4

- String solver in CVC4

- Open source
- Available at : <http://cvc4.cs.stanford.edu/web/>



- 25,421 new benchmarks from PyEx (*.smt2)

- Available at : <http://cvc4.cs.stanford.edu/papers/CAV2017-strings/>

- ...Thanks for listening!