

A handle body is a 3-manifold homeomorphic to a connected sum of solid tori.

A Heegard splitting (of genus  $g$ ) of a 3-manifold  $M$  consists of a surface  $F = \#_1^g T^2$  which separates  $M$  into two handlebodies. I.e.  $M = V_1 \cup_f V_2$  where  $V_i$  are handlebodies of genus  $g$ .

Every closed orientable 3-manifold has a Heegard splitting (use triangulation and let  $V_1$  be thickened 1-skeleton and  $V_2$  corresponds to the dual triangulation).

If  $F$  is an orientable surface in orientable 3-manifold  $M$ , then  $F$  has a collar neighborhood  $F \times I \subset M$ .  $F$  has two sides. Can push  $F$  (or portion of  $F$ ) in one direction.

$M$  is prime if every separating sphere bounds a ball.

$M$  is irreducible if every sphere bounds a ball.  $M$  irreducible iff  $M$  prime or  $M \cong S^2 \times S^1$ .

A disjoint union of 2-spheres,  $S$ , is independent if no component of  $M - S$  is homeomorphic to a punctured sphere ( $S^3$  - disjoint union of balls).

$F$  is properly embedded in  $M$  if  $F \cap \partial M = \partial F$ .

Two surfaces  $F_1$  and  $F_2$  are parallel in  $M$  if they are disjoint and  $M - (F_1 \cup F_2)$  has a component  $X$  of the form  $\overline{X} = F_1 \times I$  and  $\partial \overline{X} = F_1 \cup F_2$ .

A compressing disk for surface  $F$  in  $M^3$  is a disk  $D \subset M$  such that  $D \cap F = \partial D$  and  $\partial D$  does not bound a disk in  $F$  ( $\partial D$  is essential in  $F$ ).

Defn: A surface  $F^2 \subset M^3$  without  $S^2$  or  $D^2$  components is incompressible if for each disk  $D \subset M$  with  $D \cap F = \partial D$ , there exists a disk  $D' \subset F$  with  $\partial D = \partial D'$

Lemma: A closed surface  $F$  in a closed 3-manifold with triangulation  $T$  can be isotoped so that  $F$  is transverse to all simplices of  $T$  and for all 3-simplices  $\tau$ , each component of  $F \cap \partial\tau$  is of the form:

Defn:  $F$  is a normal surface with respect to  $T$  if

- 1.)  $F$  is transverse to all simplices of  $T$ .
- 2.) For all 3-simplices  $\tau$ , each component of  $F \cap \partial\tau$  is of the form:
- 3.) Each component of  $F \cap \tau$  is a disk.

Lemma 3.5: (1.) If  $F$  is a disjoint union of independent 2-spheres then  $F$  can be taken to be normal.

(2.) If  $F$  is a closed incompressible surface in a closed irreducible 3-manifold, then  $F$  can be taken to be normal.

Thm 3.6 (Haken) Let  $M$  be a compact irreducible 3-manifold. If  $S$  is a closed incompressible surface in  $M$  and no two components of  $S$  are parallel, then  $S$  has a finite number of components.